

I hereby certify that the following Agenda was posted at least 72 hours prior to the time of the Board Meeting so noticed below, at the usual agenda posting location of the South Orange County Wastewater Authority [SOCWA] and at www.socwa.com.



Betty Burnett, General Manager
SOCWA and the Board of Directors thereof

AGENDA

*Regular Meeting of The
South Orange County Wastewater Authority
Board of Directors*

April 4, 2019
8:30 a.m.

THE BOARD OF DIRECTORS MEETING ROOM IS LOCATED AT 34156 DEL OBISPO STREET, DANA POINT, CA. THE ROOM IS WHEELCHAIR ACCESSIBLE. IF YOU REQUIRE ANY SPECIAL DISABILITY RELATED ACCOMMODATIONS (I.E. ACCESS TO AN AMPLIFIED SOUND SYSTEM, ETC.), PLEASE CONTACT THE SOUTH ORANGE COUNTY WASTEWATER AUTHORITY'S SECRETARY'S OFFICE AT 949-234-5421 AT LEAST SEVENTY-TWO (72) HOURS PRIOR TO THE SCHEDULED MEETING. THIS AGENDA CAN BE OBTAINED IN ALTERNATE FORMAT UPON WRITTEN REQUEST TO THE SOUTH ORANGE COUNTY WASTEWATER AUTHORITY SECRETARY AT LEAST SEVENTY-TWO (72) HOURS PRIOR TO THE SCHEDULED MEETING.

AGENDA ATTACHMENTS AND OTHER WRITINGS THAT ARE DISCLOSABLE PUBLIC RECORDS DISTRIBUTED TO ALL, OR A MAJORITY OF, THE MEMBERS OF THE SOUTH ORANGE COUNTY WASTEWATER AUTHORITY BOARD OF DIRECTORS IN CONNECTION WITH A MATTER SUBJECT TO DISCUSSION OR CONSIDERATION AT AN OPEN MEETING OF THE BOARD OF DIRECTORS ARE AVAILABLE FOR PUBLIC INSPECTION IN THE AUTHORITY OFFICE, 34156 DEL OBISPO STREET, DANA POINT, CA ("AUTHORITY OFFICE"). IF SUCH WRITINGS ARE DISTRIBUTED TO MEMBERS OF THE BOARD OF DIRECTORS LESS THAN SEVENTY-TWO (72) HOURS PRIOR TO THE MEETING, THEY WILL BE AVAILABLE IN THE RECEPTION AREA OF THE AUTHORITY OFFICE AT THE SAME TIME AS THEY ARE DISTRIBUTED TO THE BOARD OF DIRECTORS, EXCEPT THAT, IF SUCH WRITINGS ARE DISTRIBUTED IMMEDIATELY PRIOR TO, OR DURING, THE MEETING, THEY WILL BE AVAILABLE IN THE BOARD OF DIRECTORS MEETING ROOM.

- 1. CALL MEETING TO ORDER
- 2. PLEDGE OF ALLEGIANCE
- 3. ORAL COMMUNICATIONS

A. Members of the public may address the board regarding an item on the agenda or may reserve this opportunity during the meeting at the time item is discussed by the board. There will be a three-minute limit for public comments.

4. CONSENT CALENDAR

A. Minutes of Board of Directors Meeting(s)

Board of Directors Meeting March 7, 2019 1

ACTION The Board of Directors will be requested to approve subject Minutes.

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B. Minutes of Finance Committee Meeting(s)

1) Finance Committee Meeting November 16, 2018	9
2) Finance Committee Meeting November 30, 2018	13
3) Finance Committee Meeting January 28, 2019.....	16
4) Finance Committee Meeting February 19, 2019	18

ACTION The Board of Directors will be requested to receive and file subject Minutes.

C. Financial Matters

1) Monthly Financials Report for February 2019	21
a) Summary of Disbursements for February 2019 (Exhibit A).....	22
b) Schedule of Funds Available for Reinvestment (Exhibit B).....	23
c) Local Agency Investment Fund (LAIF) Performance Report	24
d) Schedule of Cash and Investments (Exhibit C)	25
e) Capital Project Summaries (Exhibit D)	26
f) Capital Projects - Graph (Exhibit D-1)	27

2) Budget vs. Actual Expenses:

a) O&M & Environmental Safety Costs Summary (Exhibit E-1)	28
b) O&M & Environmental Safety Costs by PC (E-1.2)	30
c) Residual Engineering, after transfer to Capital (Exhibit E-2).....	35
d) Comparison Administration (Exhibit E-3).....	36
e) Comparison Information Technology (IT) (Exhibit E-4).....	37

ACTION Finance Committee recommends to the Board of Directors to ratify the February 2019 disbursements for the period from February 1, 2019, through February 28, 2019, totaling \$1,975,071, and receive and file the February 2019 Financial Reports as submitted.

D. Operational Reports

1) Monthly Operations Report – February 2019	38
2) Ocean Outfall Discharges	50
3) Beach/Ocean Monitoring Report.....	51
4) Recycled Water Report.....	74
5) Pretreatment Report	79

ACTION The Board of Directors will be requested to receive and file the *February 2019 Operations Report*.

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E. Capital Improvement Program

Status Report(s) 82

ACTION The Board of Directors will be requested to receive and file subject reports.

F. Minutes of Engineering Committee Meeting(s)

1) Engineering Committee Meeting February 14, 2019 98

ACTION The Board of Directors will be requested to receive and file subject Minutes.

5. ENGINEERING MATTERS

A. Project Committee No. 2

1) Construction Contract – J.B. Latham Treatment Plant Package “B” Improvement Project..... 103

ACTION The Project Committee No. 2 Board of Directors will be requested to approve award of construction contract to Olsson Construction at a price not to exceed \$17,325,000.

6. GENERAL MANAGER’S REPORTS

A. Operational (O&M) Cost Distribution Report 112

ACTION The Finance Committee recommends that the Board of Directors receive and file the March 20, 2019, Operational Cost Distribution Analysis prepared by Carollo Engineers. Staff is directed to proceed to include the report recommendations in the FY 2019-20 Draft Budget preparations.

B. Project Committee No. 5

1) Updated Modeling Work for the San Juan Creek Ocean Outfall (SJCOO) 180

ACTION Staff recommends that the Project Committee No. 5 Board of Directors approve the additional modeling work for the San Juan Creek Ocean Outfall in the amount of \$17,000.

C. Project Committee No. 5 & 24

1) SB332: Hertzberg Bill on Prohibition of Ocean Dischargers 182

ACTION Information item.

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D. General Manager's Status Report 186

ACTION Information item.

E. Monthly Progress Report on State Audit Recommendations 196

ACTION Information item.

7. CLOSED SESSION

Closed Session will be conducted for the following matter:

Existing Litigation per paragraph (1) of Subdivision (d) of Government Code Section 54956.9, *Case of SOCWA et al. v. Moulton Niguel Water District* Case Number RIC 1721240.

OTHER MATTERS

A. Open discussion or items received too late to be agendized.

Note: Determine the need to take action on the following item(s) introduced by the General Manager which arose subsequent to the agenda being posted.

[Adoption of this action requires a two-thirds vote of the Board, or if less than two-thirds are present an unanimous vote.]

ADJOURNMENT

THE NEXT REGULAR SOCWA BOARD MEETING WILL BE HELD ON
May 9, 2019 at 8:30 a.m.

**MINUTES OF REGULAR MEETING
OF THE
SOUTH ORANGE COUNTY WASTEWATER AUTHORITY**

Board of Directors

March 7, 2019

The Regular Meeting of the South Orange County Wastewater Authority (SOCWA) Board of Directors was held on March 7, 2019, at 8:30 a.m. at the Administrative Offices located at 34156 Del Obispo Street, Dana Point, California. The following members of the Board of Directors were present:

MIKE DUNBAR, Emerald Bay Service District	Director
SCOTT GOLDMAN, El Toro Water District	Director
STEPHAN DOPUDJA, Trabuco Canyon Water District	Vice Chairman
JOONE LOPEZ, Moulton Niguel Water District	Director
DOUG REINHART, Irvine Ranch Water District	Director
TONI ISEMAN, City of Laguna Beach	Director
DAN FERONS, Santa Margarita Water District	Chairman
RICK SHINTAKU, South Coast Water District	Alternate Director
RAY MILLER, City of San Juan Capistrano	Alternate Director
DAVE REBENS DORF, City of San Clemente	Director

Staff present:

BETTY BURNETT	General Manager/Secretary
GREG MOSER	Procopio Law Firm
BRIAN PECK	Director of Engineering
AMBER BAYLOR	Director of Environmental Compliance
JIM BURROR	Director of Operations
MARY CAREY	Finance Controller
DINA ASH	Human Resource Administrator
JEANETTE CONTINOLA	Contracts/Procurement Administrator
KONSTANTIN SHILKOV	Senior Accountant
NAYDN KIM	Accountant
DANITA HIRSH	Assistant to the General Manager
RONI YOUNG	Associate Engineer
ANNA SUTHERLAND	Accounts Payable/Payroll Accountant
TERI NOSON	Clerk of the Board/Assistant Secretary

Also present:

DENNIS CAFFERTY	Trabuco Canyon Water District
KATHRYN FRESHLEY	El Toro Water District
ROBB GRANTHAM	Santa Margarita Water District
STEVE GREYSHOCK	GREYCOMM
JEREMY JUNGREIS	Rutan & Tucker

1. Call Meeting to Order

Chairman Ferons called the meeting to order at 8:31 a.m. and welcomed everyone present.

2. Pledge of Allegiance

Director Shintaku led the Pledge of Allegiance to the Flag of the United States of America.

3. Oral Comments

Chairman Ferons asked if members of the public desired to address the Board, or desired to reserve addressing the Board during the meeting regarding any item on the Agenda.

No comments were received.

ACTION TAKEN

No action required.

4. Consent Calendar

Chairman Ferons referenced the items under the Consent Calendar and asked if there were any questions or comments.

Director Lopez requested to pull item 4.B.2. Board Directed Work Not Included in FY2018/19 Budget Adjustment Request, and item 4.E.1. Project Committee No. 15, Change Order to SS Mechanical Construction Contract.

Director Dunbar requested to pull item 4.D. Capital Improvement Program.

ACTION TAKEN

Motion was made by Director Miller and seconded by Director Rebensdorf to approve the remaining items under the consent calendar.

Motion carried:	Aye 10; Nay 0; Abstain 0; Absent 0
	Director Dunbar Aye
	Director Goldman Aye
	Vice Chairman Dopudja Aye
	Director Lopez Aye
	Director Reinhart Aye
	Director Iseman Aye
	Chairman Ferons Aye
	Director Shintaku Aye
	Director Miller Aye
	Director Rebensdorf Aye

4.B.2. Board Directed Work Not Included in FY2018/19 Budget Adjustment Request

Director Lopez noted that MNWD would be registering a No vote for this item, and reported that MNWD did not register an Aye vote for the Administration Budget.

ACTION TAKEN

Motion was made by Director Dopudja and seconded by Director Goldman to approve a Budget Increase of \$92,391 to the Administration Budget to fund the actuarial services and the Cost Distribution Study accomplished in FY2018/19 Budget year as Board-approved work.

Motion carried:	Aye 9; Nay 1; Abstain 0; Absent 0
Director Dunbar	Aye
Director Goldman	Aye
Vice Chairman Dopudja	Aye
Director Lopez	Nay
Director Reinhart	Aye
Director Iseman	Aye
Chairman Ferons	Aye
Director Shintaku	Aye
Director Miller	Aye
Director Rebensdorf	Aye

4.D. Capital Improvement Program

Director Dunbar referenced the Capital Improvement Projects Report, page 76, item 3514-000 - CTP Facility Improvements Upgrade Design Project (2016). Director Dunbar stated that he understood, from staff, that the project went out to bid, and inquired directly to Director Lopez whether MNWD was going to provide payment for the project when the next round of invoices were provided on April 1, 2019. Director Lopez noted that the item was not on the agenda for discussion.

Director Iseman requested Mr. Moser's opinion on the request. Mr. Moser stated that the item could be discussed, but MNWD was not required to provide discussion on the item.

ACTION TAKEN

Motion was made by Director Dunbar and seconded by Director Goldman to receive and file item 4.D. Capital Improvement Program.

Motion carried:	Aye 10; Nay 0; Abstain 0; Absent 0
Director Dunbar	Aye
Director Goldman	Aye
Vice Chairman Dopudja	Aye
Director Lopez	Aye
Director Reinhart	Aye
Director Iseman	Aye
Chairman Ferons	Aye
Director Shintaku	Aye

Director Miller	Aye
Director Rebensdorf	Aye

4.E.1. Project Committee No. 15 - Change Order to SS Mechanical Construction Contract

Director Lopez reported that MNWD would register an Abstention vote for the submitted Change Order to SS Mechanical. Director Lopez stated that the Change Order was a cost to SCWD Advanced Water Treatment (AWT) facility and MNWD did not feel the need to weigh in.

ACTION TAKEN

Motion was made by Director Shintaku and seconded by Director Dunbar to approve Change Order 1 to the construction contract with SS Mechanical Construction for the Coastal Treatment Plant Tertiary System Upgrades Project.

Motion carried:	Aye 3; Nay 0; Abstain 1; Absent 0
	Director Dunbar Aye
	Director Lopez Abstain
	Director Iseman Aye
	Director Shintaku Aye

5. Engineering Matters

A. Project Committee No. 17

- 1) Award of Engineering Services – Regional Treatment Plant Motor Control Center Upgrades Final Design

ACTION TAKEN

Motion was made by Director Shintaku and seconded by Director Goldman to approve the award of the engineering services contract to Lee & Ro at a fee not to exceed \$326,078.

Motion carried:	Aye 5; Nay 0; Abstain 0; Absent 0.
	Director Dunbar Aye
	Director Goldman Aye
	Director Lopez Aye
	Director Iseman Aye
	Director Shintaku Aye

6. General Manager's Reports

A. Additional Cash Distribution by Project Committee and Member Agency Methodology

Director Shintaku noted how fortunate the member agencies were to have the outstanding service SOCWA staff provides, and thanked the SOCWA staff for their well-appreciated service.

ACTION TAKEN

Motion was made by Director Dunbar and seconded by Director Goldman to approve the *Additional Cash Distribution by Project Committee and Member Agency Methodology Report* with the Amendment as follows:

- 1) Remove the Laguna Niguel heat transfer for analysis and discussion.
- 2) Additional Language to the Future Practice listing on page 112 of the Agenda:
"3. An annual review of additional cash distribution as part of the Use Audit".

Motion carried:	Aye 10; Nay 0; Abstain 0; Absent 0
	Director Dunbar Aye
	Director Goldman Aye
	Vice Chairman Dopudja Aye
	Director Lopez Aye
	Director Reinhart Aye
	Director Iseman Aye
	Chairman Ferons Aye
	Director Shintaku Aye
	Director Miller Aye
	Director Rebensdorf Aye

B. Project Committee No. 15 Litigation Update Report

Ms. Burnett reported that the Court released information was distributed to member agencies, and there would be further litigation phases to clarify both the current decision from the court as well as the potential for a Second Phase regarding the process of billing (budgets, budget approval, billing). There was an ongoing discussion among the agencies to get together to talk further.

Chairman Ferons inquired as to the when the final draft would be released. Mr. Moser reported there was uncertainty from the parties as to what the Judge did release, and whether to go back to the Judge to ask for more clarity in terms of the ruling he provided. Mr. Moser stated the Judge did not release any dates at this time.

Director Dunbar noted the professionalism and work ethic of SOCWA staff during the litigation process as well as noted the difficulty of the past two years, how staff has been equally fair to both parties and thanked them for their service. Director Dunbar stated it has not been easy for SOCWA staff.

ACTION TAKEN

No action taken, information and discussion only.

C. General Manager's Status Report

Director Iseman requested a summary on the most recent storm event. Mr. Burror provided an overview of the February 14, 2019, storm event and referenced the provided pictures on pages 116-119.

ACTION TAKEN

No action taken, Information item only.

D. Legislation Update

Mr. Moser provided a brief overview of upcoming Senate Bills, and Ms. Baylor provided a summary of the proposed SB332 (Hertzberg) – *Mandatory Ocean Outfall Discharge Reductions*. An open discussion ensued.

ACTION TAKEN

No action taken, information and discussion only.

E. Monthly Progress Report on State Audit Recommendations

ACTION TAKEN

There being no objection from the Board, the Chairman directed the *Monthly Progress Report on State Audit Recommendations* – State Audit Response Schedule to be received and filed.

7. Closed Session

There being no objection from the Board, the Chairman noted a Closed Session would not be conducted for the following matter:

Existing Litigation per paragraph (1) of Subdivision (d) of Government Code Section 54956.9, *Case of SOCWA et al. v. Moulton Niguel Water District* Case Number RIC 1721240.

8. Report Out of Closed Session

None.

Other Matters

Chairman Ferons asked if there were any more questions or comments from the Board. There were none.

Chairman Ferons requested that staff schedule a Special Board of Directors Meeting, calendar permitting, prior to the April 4, 2019, Board meeting with a Closes Session item for *Evaluation of the General Manager*.

Adjournment

There being no further business, Chairman Ferons adjourned the meeting at 9:42 a.m.

I HEREBY CERTIFY that the foregoing Minutes are a true and accurate copy of the Minutes of the Regular Meeting of the South Orange County Wastewater Authority Board of Directors on March 7, 2019, and approved by the Board of Directors of the South Orange County Wastewater Authority.

Betty C. Burnett, General Manager/Secretary
SOUTH ORANGE COUNTY WASTEWATER AUTHORITY

**SUMMARY OF BOARD ACTIONS
SOUTH ORANGE COUNTY WASTEWATER AUTHORITY**

February 7, 2019

<u>DESCRIPTION</u>	<u>MOTION MADE BY</u>	<u>ACTION</u>
Consent Calendar	Miller	Approved
4.B.2. Board Directed Work Not Included in FY2018/19 Budget Adjustment Request	Dopudja	Approved
4.D. Capital Improvement Program	Dunbar	Receive and File
4.E.1. Project Committee No. 15, Change Order to SS Mechanical Construction Contract	Shintaku	Approved
Award of Engineering Services – Regional Treatment Plant Motor Control Center Upgrades Final Design	Shintaku	Approved
Additional Cash Distribution by Project Committee and Member Agency Methodology	Dunbar	Approved
Monthly Progress Report on State Audit Recommendations	Board Direction	Receive and File

**MINUTES OF SPECIAL MEETING
OF THE
SOUTH ORANGE COUNTY WASTEWATER AUTHORITY**

**Finance Committee
November 16, 2018**

The Special Meeting of the South Orange County Wastewater Authority (SOCWA) Finance Committee Meeting was held on November 16, 2018, at 10:30 a.m. at their Administrative Offices located at 34156 Del Obispo Street, Dana Point, California. The following members of the Finance Committee were present:

DENNIS ERDMAN	South Coast Water District
ROBB GRANTHAM	Santa Margarita Water District (arrived 10:40 am)
RAY MILLER	City of San Juan Capistrano
FRED ADJARIAN	El Toro Water District
MATT COLLINGS	Moulton Niguel Water District (exited 11:25 am)

Absent:

TONI ISEMAN	City of Laguna Beach
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Staff Present:

BETTY BURNETT	General Manager
MARY CAREY	Finance Controller
JIM BURROR	Director of Operations
AMBER BAYLOR	Director of Environmental Compliance
KONSTANTIN SHILOV	Sr. Accountant
NADYN KIM	Accountant
DANITA HIRSH	Administrative Assistant

Also Present:

GREG MOSER	Procopio
MARY BETH REDDING	Bartel Associates LLC (via teleconference)
LUIS MURILLO	Nyhart
DENNIS CAFFERTY	El Toro Water District
NEELY SHAHBAKTI	El Toro Water District
PAM ARENDS-KING	South Coast Water District

1. Call Meeting to Order

Chairperson Erdman called the meeting to order at 10:30 a.m.

2. Pledge of Allegiance – Director Fred Adjarian

3. Public Comments

None

Chairperson Erdman stated that there would be a change to the order of the agenda by addressing item 11 first in courtesy of the Actuarial consultants who were present to report on the GASB 75 and GASB 68.

11. Government Accounting Standards Board Statement GASB 75 and GASB 68 Reporting for FY2017-18 Financial Statements Audit

Ms. Carey provided a brief introduction of Luis Murillo of Nyhart and Mary Beth Redding of Bartel Associates who participated via teleconference.

Mr. Murillo gave a PowerPoint presentation on the GASB 75. An open discussion ensued.

Ms. Redding gave a PowerPoint presentation on the GASB 68 and how the changes would affect the Liability Pension. An open discussion ensued.

Director Collings suggested tabling the agenda item until the next year's (FY19-20) budget.

Ms. Arends-King, CFO for South Coast Water District, requested that a copy of the PERS evaluation report be distributed to the Finance Officers.

Ms. Carey would be distributing the report to SOCWA's Finance Officers via email.

Chairperson Erdman convened the meeting at 11:20 a.m. for a brief recess. The meeting reconvened at 11:31 a.m.

Director Collings exited the Finance Committee meeting at 11:25 a.m. for the remainder of the meeting. No Action was taken.

4. Approval of Minutes

Chairperson Erdman noted a correction to be made on the August 10, 2018 Minutes, under item 6, Financial Matters – Month of May 2018 Financials, that Director Miller was missing from the record as voting "Aye" on the approval of the item and asked that the Minutes be corrected.

ACTION TAKEN

Motion was made by Director Grantham and seconded by Director Miller to approve the Finance Committee Minutes for September 19, 2018, August 29, 2018, and June 27, 2018, as submitted, and Minutes for August 10, 2018, with correction as noted.

Motion carried:	Aye 4, Nay 0, Abstained 0, Absent 2
	Director Erdman Aye
	Director Iseman Absent
	Director Miller Aye
	Director Grantham Aye
	Director Collings Absent
	Director Adjarian Aye

Chairperson Erdman commented on the importance of reviewing the Minutes making sure they were correct for the record. He also stated, over the last two years since he had been on the Finance Committee, there had been minor omissions similar to the one just discussed. The Minutes are sent out in advance and that it is both staff and the Directors responsibility to assure the Minutes are as accurate as possible. He stated, there will be times when documents will show up in places where accuracy will be very important as in the situation in Riverside.

This was an Information Item.

5. Monthly Financial Report for June 2018

Ms. Carey stated, only the Cash Disbursements needed to be ratified.

ACTION TAKEN

Motion was made by Director Grantham and seconded by Director Miller that the Finance Committee recommends the Board of Directors ratify the Cash Disbursements for the month of June 2018.

Motion carried:	Aye 4, Nay 0, Abstained 0, Absent 2
	Director Erdman Aye
	Director Iseman Absent
	Director Miller Aye
	Director Grantham Aye
	Director Collings Absent
	Director Adjarian Aye

Ms. Carey stated, the only thing that was done separately by month was the Cash Disbursements, because the Committee had to ratify Disbursements. The remaining Financial documents were informational only. All of the Financials were current year to date as of September 30 which was assessed for Q1 of the fiscal year. Staff recommended the Finance Committee ratify the Disbursements for July, August and September 2018.

Director Erdman stated, unless the Committee objects, he would entertain a motion as a block for approval. He continued that the Committee would address agenda item 9 for the Quarterly reporting and then return to agenda items 6, 7, and 8 to take a motion to approve as a block.

6. Monthly Financial Report for July 2018

7. Monthly Financial Report for August 2018

8. Monthly Financial Report for September 2018

ACTION TAKEN

Motion was made by Director Adjarian and seconded by Director Grantham that the Finance Committee recommends to the Board of Directors to ratify agenda items 6, 7, and 8, the Summary of Disbursements for the period from July 1 through July 31, August 1 through August 31, and September 1 through 30, 2018.

Motion carried:	Aye 4, Nay 0, Abstained 0, Absent 1
	Director Erdman Aye
	Director Iseman Absent
	Director Miller Aye
	Director Grantham Aye
	Director Collings Absent
	Director Adjarian Aye

9. Quarterly (Q1) Financial Reports July 1, 2018 thru September 30, 2018

Ms. Burnett provided the Committee with a high-level overview of the Capital Project Summary July 1 through September 2018. She stated, the beginning part of the year has been slower on the capital spending side which is not unusual. There are 2 large projects for PC-15 Capital, and Page 57 shows the capital projects broken out by Project Committee for the year.

Director Grantham requested a joint meeting of the Finance and Engineering Committees to discuss capital project expenditures.

Ms. Carey went over the budget vs. actual cost expenditures on page 57 of the packet. Chairperson Erdman asked that before approving the monthly financial statements for July, August, and September 2018, whether Jim Burror, Director of Operations would provide comments on a few of the notes that were included in the reports.

Mr. Burror provided the following comments:

- 1) The new engines continue to impact our budgets. We are tracking time spent on CIP projects for labor – mostly engine support. Ferric and other operational costs increased more than expected in the project study phases, and we used this information for the FY18-19 budget.
- 2) Delays in completing the RTP engine project increased costs associated with the purchase of power and natural gas.
- 3) New employee costs - Training costs for new employees is as high as requested during the budget process. We will be talking about this some more during the upcoming budget process. We see increased participation in industry activities to gain knowledge by the new employees. Mr. Burror recommends increasing both training and conference budgets to accommodate the training process for the large group of new employees.
- 4) CIP Construction activities have been impacting budgets for items like the use of potable water at CTP. Activities for controlling dust can double our O&M budgets quickly. We will ask Engineering what they anticipate for the upcoming FY for both O&M employee and material resources.
- 5) Opportunity project costs are hard to anticipate during CIP shutdowns. We will try to do more review of projects during design related to assumptions for staff support, equipment operation expectation, and changes to plant operations.
- 6) We increased coordination with O&M, Engineering, and other agency projects due to conflicts all around. This can impact staff workload and O&M backlogs; we will continue to try to balance these competing demands for staff time.

Chairperson thanked Mr. Burror for providing insight and sharing his story with the Committee.

This was an information item.

10. Cash Roll Forward Fiscal Years Summary June 30, 2016 through June 30, 2018, ending September 30, 2018

Ms. Carey stated this is an information item.

12. Adjournment

There being no further business, Chairman Erdman adjourned the meeting at 12:20 p.m.

I HEREBY CERTIFY that the foregoing Minutes are a true and accurate copy of the Minutes of the Special Meeting of the South Orange County Wastewater Authority Finance Committee of November 16, 2018 and approved by the Finance Committee and received and filed by the Board of Directors of the South Orange County Wastewater Authority.

Betty Burnett, General Manager/Secretary
SOUTH ORANGE COUNTY WASTEWATER AUTHORITY

**MINUTES OF SPECIAL MEETING
OF THE
SOUTH ORANGE COUNTY WASTEWATER AUTHORITY**

**Finance Committee
November 30, 2018**

The Special Meeting of the South Orange County Wastewater Authority (SOCWA) Finance Committee Meeting was held on November 30, 2018, at 8:30 a.m. at their Administrative Offices located at 34156 Del Obispo Street, Dana Point, California. The following members of the Finance Committee were present:

DENNIS ERDMAN	South Coast Water District
FRED ADJARIAN	El Toro Water District
MATT COLLINGS	Moulton Niguel Water District
TONI ISEMAN	City of Laguna Beach
RAY MILLER	City of San Juan Capistrano

Absent:

ROBB GRANTHAM	Santa Margarita Water District
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Staff Present:

BETTY BURNETT	General Manager
MARY CAREY	Finance Controller
JIM BURROR	Director of Operations
AMBER BAYLOR	Director of Environmental Compliance
KONSTANTIN SHILOV	Sr. Accountant
NADYN KIM	Accountant
ANNA SUTHERLAND	Accounts Payable
DANITA HIRSH	Administrative Assistant

Also Present:

GREG MOSER	Procopio
KEN PUN	The Pun Group
DENNIS CAFFERTY	El Toro Water District
NEELY SHAHBAKTI	El Toro Water District
ERICA CASTILLO	Santa Margarita Water District
TREVOR AGRELIUS	Moulton Niguel Water District

1. Call Meeting to Order

Chairperson Erdman called the meeting to order at 8:35 a.m.

2. Pledge of Allegiance – Jim Burror, Director of Operations

3. Public Comments – None

Chairperson Erdman asked the Director of Operations to provide a report on the plant impacts of the weather storm conditions.

Jim Burror, reported the treatment plants held up well during the storms. He stated, there were a few issues that came up, but overall, the treatment plants did well and that everything is back to normal at this time.

Director Iseman asked that we agendize the protocol on weather conditions and the effects it has on the treatment plants. Ms. Burnett responded that we would put the item on the agenda for future discussion.

4. Monthly Financial Report for October 2018

ACTION TAKEN

Motion was made by Director Adjarian and seconded by Director Miller recommending the Board of Directors ratify the Summary of Disbursements for October 2018 totaling \$2,317, 205.

Motion carried:	Aye 5, Nay 0, Abstained 0, Absent 1
	Director Erdman Aye
	Director Iseman Aye
	Director Miller Aye
	Director Grantham Absent
	Director Collings Aye
	Director Adjarian Aye

Ms. Burnett stated that the Committee would also need to receive and file the report which would be going to the Board of Directors for approval.

Director Adjarian asked if there were any specific areas of the Financials that the Finance Controller would like to highlight.

Ms. Carey noted that SOCWA's typical projection in the 1st quarter should be 1/3rd spent of the budget and that we were currently below the mark. An open discussion ensued.

Ms. Baylor, Director of Environment Compliance, drew the Committee's attention to Exhibit E-4 regarding IT expenses on page 19 stating, the overage of "Cloud Subscriptions" was due to recommendations made by Homeland Security in regard to purchasing a Security Management System to prevent potential hacking.

ACTION TAKEN

Motion was made by Director Adjarian and seconded by Director Miller to receive and file the Financial Reports as submitted.

Motion carried:	Aye 5, Nay 0, Abstained 0, Absent 1
	Director Erdman Aye
	Director Iseman Aye
	Director Miller Aye
	Director Grantham Absent
	Director Collings Aye
	Director Adjarian Aye

5. Pun Group Presentation of FY Ending June 30, 2018, SOCWA Audited Financial Statements

Mr. Ken Pun of The Pun Group presented SOCWA's draft Audited Financial Statements to the Committee noting that fiscal year 17/18 audit is near completion with a few items pending; including some classification in the income statement with a few expenses that may be moved back to the operating expense. An open discussion ensued.

Ms. Burnett noted that the Pun Group would be making some changes to a few of the pages in the Financial Statements and would have those changes completed before presenting to the Board of Directors.

There was a consensus amongst the Finance Committee members to proceed with sending the draft Audited Financial Statements on to the Board of Directors meeting on December 2, 2018, for review and approval. The Pun Group would make the necessary changes for the final document and submit to SOCWA early in the following week.

6. Update on Open Financial Items

Ms. Burnett informed the Finance Committee on the list of documents that SOCWA is required to have completed for closing the fiscal year. These included the Use Audit and the Financial Statements Audit. Ms. Burnett stated that the Finance Controller made some adjustments to the Use Audit tying the numbers to the Financial Statements as requested by Moulton Niguel Water District. She asked Ms. Carey to provide a high-level overview of the changes that were made to tie the Use Audit to the Financial Statements. Ms. Cary reviewed those items. No action was taken.

7. Adjournment

There being no further business, Alternate Chairman Grantham adjourned the meeting at 10:24 a.m.

I HEREBY CERTIFY that the foregoing Minutes are a true and accurate copy of the Minutes of the Special Meeting of the South Orange County Wastewater Authority Finance Committee of November 30, 2018 and approved by the Finance Committee and received and filed by the Board of Directors of the South Orange County Wastewater Authority.

Betty Burnett, General Manager/Secretary
SOUTH ORANGE COUNTY WASTEWATER AUTHORITY

**MINUTES OF SPECIAL MEETING
OF THE
SOUTH ORANGE COUNTY WASTEWATER AUTHORITY**

**Finance Committee
January 28, 2019**

The Special Meeting of the South Orange County Wastewater Authority (SOCWA) Finance Committee Meeting was held on January 28, 2019, at 8:30 a.m. at their Administrative Offices located at 34156 Del Obispo Street, Dana Point, California. The following members of the Finance Committee were present:

DENNIS ERDMAN	South Coast Water District
ROBB GRANTHAM	Santa Margarita Water District
RAY MILLER	City of San Juan Capistrano
KATHRYN FRESHLEY	El Toro Water District
MATT COLLINGS	Moulton Niguel Water District

Absent:

TONI ISEMAN	City of Laguna Beach
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Staff Present:

BETTY BURNETT	General Manager
MARY CAREY	Finance Controller
JIM BURROR	Director of Operations
AMBER BAYLOR	Director of Environmental Compliance
KONSTANTIN SHILOV	Sr. Accountant
NADYN KIM	Accountant
ANNA SUTHERLAND	Accounts Payable
DANITA HIRSH	Administrative Assistant

Also Present:

GREG MOSER	Procopio
DENNIS CAFFERTY	El Toro Water District
NEELY SHAHBAKTI	El Toro Water District
PAM ARENDS-KING	South Coast Water District
GAVIN CURRAN	City of Laguna Beach
ERICA CASTILLO	Santa Margarita Water District
TREVOR AGRELIUS	Moulton Niguel Water District

1. Call Meeting to Order

Chairperson Erdman called the meeting to order at 8:32 a.m.

2. Pledge of Allegiance – Director Ray Miller

Ms. Burnett welcomed new Alternate Board Member, Kathryn Freshley as a Member of SOCWA's Finance Committee. Ms. Burnett provided a brief overview of SOCWA's organization and structure. She stated that she was available to answer any questions and that staff would be providing new Board Members with an information packet in the upcoming days.

3. Public Comments – None

4. Workshop: Financial Supplemental Schedules Period Ending June 30, 2018

The Finance Officers of the SOCWA Member Agencies were invited to attend the meeting and participate in the discussion. Member Agency representatives who were not able to attend on January 28, 2019, would be given an opportunity to review the Financial Supplemental Schedules for the period ending June 30, 2018, on January 29, 2019, at 10:00 a.m.

Ms. Carey provided a detailed breakdown of the Balance Sheet /Net Position by Project Committee and Member Agency. An open discussion ensued.

Director Collings stated he still had open questions and did not feel comfortable supporting the item at this time.

Director Erdman stated that he had to exit the meeting at 9:50 a.m. and handed the gavel off to Director Grantham to continue chairing the meeting in his absence. Director Grantham proceeded with conducting the remainder of the Finance Committee meeting.

Director Erdman stated before exiting the meeting that for the record, he was in favor of recommending the agenda item to the Board of Directors to receive and file as submitted.

ACTION TAKEN

Motion was made by Director Freshley and seconded by Director Miller to recommend to the Board of Directors to receive and file the Financial Supplemental Schedules for the period ending June 30, 2018, as submitted.

Motion carried:	Aye 3, Nay 1, Abstained 0, Absent 2
	Director Erdman Absent
	Director Iseman Absent
	Director Miller Aye
	Director Grantham Aye
	Director Collings Nay
	Director Freshley Aye

5. Adjournment

There being no further business, Alternate Chairman Grantham adjourned the meeting at 10:19 a.m.

I HEREBY CERTIFY that the foregoing Minutes are a true and accurate copy of the Minutes of the Special Meeting of the South Orange County Wastewater Authority Finance Committee of January 28, 2019, and approved by the Finance Committee and received and filed by the Board of Directors of the South Orange County Wastewater Authority.

Betty Burnett, General Manager/Secretary
SOUTH ORANGE COUNTY WASTEWATER AUTHORITY

**MINUTES OF SPECIAL MEETING
OF THE
SOUTH ORANGE COUNTY WASTEWATER AUTHORITY**

**Finance Committee
February 19, 2019**

The Special Meeting of the South Orange County Wastewater Authority (SOCWA) Finance Committee Meeting was held on February 19, 2019, at 8:30 a.m. at their Administrative Offices located at 34156 Del Obispo Street, Dana Point, California. The following members of the Finance Committee were present:

DENNIS ERDMAN	South Coast Water District
ROBB GRANTHAM	Santa Margarita Water District
RAY MILLER	City of San Juan Capistrano
KATHRYN FRESHLEY	El Toro Water District

Absent:

DAVID SHISSLER	City of Laguna Beach
MATT COLLINGS	Moulton Niguel Water District

Staff Present:

BETTY BURNETT	General Manager
MARY CAREY	Finance Controller
JIM BURROR	Director of Operations
KONSTANTIN SHILOV	Sr. Accountant
NADYN KIM	Accountant
DANITA HIRSH	Administrative Assistant

Also Present:

DENNIS CAFFERTY	El Toro Water District
NEELY SHAHBAKTI	El Toro Water District
MATT PEREA	South Coast Water District
GAVIN CURRAN	City of Laguna Beach
ERICA CASTILLO	Santa Margarita Water District
TREVOR AGRELIUS	Moulton Niguel Water District
KYLE RHORER	Carollo Engineers
DAVID BARANOWSKI	Carollo Engineers
MADDIE ATKINS	Carollo Engineers

1. Call Meeting to Order

Chairperson Erdman called the meeting to order at 8:32 a.m.

2. Pledge of Allegiance – Director Ray Miller

3. Public Comments – None

4. Approval of Minutes

- a. Finance Committee Meeting Minutes of November 16, 2018
- b. Finance Committee Meeting Minutes of November 30, 2018
- c. Finance Committee Meeting Minutes of January 28, 2018

ACTION TAKEN

Motion was made by Director Miller and seconded by Director Freshley to recommend to the Finance Committee Meeting Minutes for November 16, 2018, November 30, 2018, and January 28, 2019, as submitted.

Motion carried:	Aye 4, Nay 0, Abstained 0, Absent 2
	Director Erdman Aye
	Director Shissler Absent
	Director Miller Aye
	Director Grantham Aye
	Director Collings Absent
	Director Freshley Aye

Chairperson Erdman welcomed Mr. Trevor Agrelius, Controller for Moulton Niguel Water District, and Mr. Gavin Curran, Director of Administrative Services for City of Laguna Beach who sat at the table in a non-Committee Member capacity.

Ms. Burnett stated for the record that Mr. Agrelius and Mr. Curran were welcome to comment, but they are not voting members of the Finance Committee because they are not Board Alternates.

5. FY2018 / 19 Adjustment

Ms. Burnett gave a summary to the Finance Committee stating the Administration Budget would be over budget by \$65,000 due to work provided by Carollo and the actuaries Nyhart and Bartel that was requested by the Board of Directors. Ms. Burnett requested \$92,391 increase be made to the Administration Budget to backfill for the additional work. An open discussion ensued.

Chairperson Erdman noted he would entertain a motion to approve the staff recommendation to send to the Board of Directors, and then he'll take any comments or questions.

Director Grantham moved approval.

Director Freshley 2nd the motion but only to open the floor for comments. An open discussion continued.

The Finance Committee instructed Carollo to make corrections to specific data in the tables regarding items being fixed vs. variable and bring back to the Finance Committee for another review at it's meeting in April 2019.

ACTION TAKEN

Motion was made by Director Grantham and seconded by Director Freshley to recommend to the Board of Directors to increase the Administration Budget to fund the actuarial services and the cost distribution study accomplished in FY 2018/19 Budget year as Board-approved work.

Motion carried:	Aye 3, Nay 1, Abstained 0, Absent 2
	Director Erdman Aye
	Director Shissler Absent
	Director Miller Aye
	Director Grantham Aye
	Director Collings Absent
	Director Freshley Nay

6. Carollo Cost Distribution Study

Ms. Burnett gave a summary to the Finance Committee on the basis for hiring Carollo to perform the Cost Distribution Study. Mr. Burror, Director of Operation, also provided some insight to the study followed by Ms. Burnett introducing the Carollo team.

Mr. David Baranowski and Kyle Rhorer of Carollo walked the Finance Committee Members through a presentation on the Cost Distribution Study Model based on the operational costs and distribution analysis. An open discussion ensued throughout the presentation.

The Finance Committee directed staff to do the following:

- 1) Schedule a meeting to present Carollo's Cost Distribution Study to the member agencies Finance Manager's for discussion and feedback
- 2) Carollo will verify the positive and negative cost impacts are correct for El Toro Water District
- 3) Bring update back to the Finance Committee in April.

There was no action taken at this time.

Chairperson Erdman and Ms. Burnett welcomed new Board Member Troy Bourne and thanked him for attending the SOCWA Finance Committee meeting.

7. Adjournment

There being no further business, Chairperson Erdman adjourned the meeting at 10:09 a.m.

I HEREBY CERTIFY that the foregoing Minutes are a true and accurate copy of the Minutes of the Special Meeting of the South Orange County Wastewater Authority Finance Committee of February 19, 2019, and approved by the Finance Committee and received and filed by the Board of Directors of the South Orange County Wastewater Authority.

Betty Burnett, General Manager/Secretary
SOUTH ORANGE COUNTY WASTEWATER AUTHORITY

Agenda Item

Board of Directors Meeting

Meeting Date: April 4, 2019

TO: Board of Directors
FROM: Betty Burnett, General Manager
STAFF CONTACT: Mary Carey, Finance Controller
SUBJECT: Financial Reports for the Month of February 2019

Summary/Discussion

The following selected financial reports are routinely provided monthly to the Finance Committee for recommendation to the Board of Directors to ratify Cash Disbursements and receive and file the remaining documents.

The reports included are as follows:

- a) Summary of Disbursements for February 2019 (Exhibit A)
- b) Schedule of Funds Available for Reinvestment (Exhibit B)
 - Local Agency Investment Fund (LAIF) Performance Report
- c) Schedule of Cash and Investments (Exhibit C)
- d) Capital Projects Summaries (Exhibit D)
 - Capital Projects – Graph (Exhibit D-1)
- e) Budget vs. Actual Expenses:
 - Operations and Environmental Safety Costs Summary (Exhibit E-1)
 - Operations and Environmental Safety Costs by PC (E-1.2)
 - Residual Engineering, after transfer to Capital (Exhibit E-2)
 - Comparison Administration (Exhibit E-3)
 - Comparison Information Technology (IT) (Exhibit E-4)

Fiscal impact

February 2019 cash disbursements were: \$1,975,071.

- Monthly disbursements are summarized in the attached Exhibit A.
- The attached Exhibits B, C, D, and E are informational reports only.

Recommendation

The Finance Committee recommends to the Board of Directors to ratify the February 2019 disbursements for the period from February 1, 2019, through February 28, 2019, totaling \$1,975,071, and receive and file the February 2019 Financial Reports as submitted.

**South Orange County Wastewater Authority
Summary of Disbursements for February 2019
Staff Recommendation of Fiscal Matters**

	<u>Actual</u>
General Fund	(414,504)
PC 2 - Jay B. Latham Plant	(528,716)
PC 3 - SOCWA Plant/PCA AWT	-
PC 5 - San Juan Creek Ocean Outfall	(10,516)
PC 8 - Pretreatment Program	(8,002)
PC 12 SO - Water Reclamation Permits	(1,238)
PC 15 - Coastal Treatment Plant/AWT	(357,858)
PC 17 - Joint Regional Wastewater Reclamation	(613,961)
PC 21 - Effluent Transmission Main	(27,032)
PC 24 - Aliso Creek Ocean Outfall	(13,244)
Total	<u><u>(\$1,975,071)</u></u>

**SOUTH ORANGE COUNTY WASTEWATER AUTHORITY
SCHEDULE OF FUNDS AVAILABLE FOR REINVESTMENT
as of February 28, 2019**

CASH IN BANK: (BEGINNING BAL.)	3,149,189
L.A.I.F. FUNDS: (BEGINNING BAL.)	12,708,249
DEPOSITS, TRANSFERS & ADJUSTMENTS:	4,237,932
FUND REQUIREMENTS:	
BILLS FOR CONSIDERATION	(1,975,071)
	\$ 18,120,300

In accordance with Government Code 53646(c), since all funds are placed in the State LAIF, staff has included in the Financial Packet, the most current statement from the State LAIF, in lieu of the report required by Government Code 53646(b)(1).

In accordance with requirements of the Government Code and the "SOCWA Investment Policy",

I hereby certify that:

- 1). All investment actions executed since the last report have been made in full compliance with the Investment Policy.

- 2). SOCWA does not have sufficient funds currently on hand to meet its expenditure obligations for the next six months (see note) due to the fact that SOCWA bills and receives operational funds on a quarterly basis only.

Betty Burnett
General Manager

Note: Operational funds are collected on a quarterly basis at the beginning of the quarter. Capital funds are collected on a quarterly basis in connection with projected needs. Member agencies have pledged to have funds available to meet all obligations.



**CALIFORNIA STATE TREASURER
FIONA MA, CPA**



PMIA Performance Report

Date	Daily Yield*	Quarter to Date Yield	Average Maturity (in days)
02/11/19	2.39	2.36	185
02/12/19	2.39	2.36	183
02/13/19	2.39	2.37	182
02/14/19	2.39	2.37	182
02/15/19	2.39	2.37	181
02/16/19	2.39	2.37	181
02/17/19	2.39	2.37	181
02/18/19	2.39	2.37	181
02/19/19	2.39	2.37	178
02/20/19	2.39	2.37	178
02/21/19	2.39	2.37	177
02/22/19	2.39	2.37	177
02/23/19	2.39	2.37	177
02/24/19	2.39	2.37	177
02/25/19	2.39	2.37	175
02/26/19	2.39	2.37	174
02/27/19	2.39	2.37	175
02/28/19	2.43	2.37	184
03/01/19	2.43	2.37	191
03/02/19	2.43	2.38	191
03/03/19	2.43	2.38	191
03/04/19	2.43	2.38	191
03/05/19	2.43	2.38	190
03/06/19	2.43	2.38	189
03/07/19	2.43	2.38	189
03/08/19	2.43	2.38	190
03/09/19	2.43	2.38	190
03/10/19	2.43	2.38	190
03/11/19	2.43	2.38	187
03/12/19	2.43	2.38	185
03/13/19	2.43	2.38	184

*Daily yield does not reflect capital gains or losses

[View Prior Month Daily Rates](#)

LAIF Performance Report

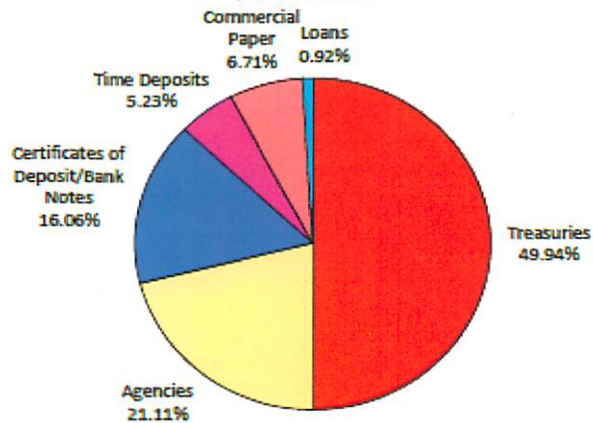
Quarter Ending 12/31/18

Apportionment Rate: 2.40
 Earnings Ratio: 0.00006573663340150
 Fair Value Factor: 0.999051127
 Daily: 2.32%
 Quarter to Date: 2.21%
 Average Life: 192

PMIA Average Monthly Effective Yields

Feb 2019 2.392
 Jan 2019 2.355
 Dec 2018 2.291

**Pooled Money Investment Account
Portfolio Composition
02/28/19
\$89.5 billion**



Percentages may not total 100% due to rounding

Notes: The apportionment rate includes interest earned on the CalPERS Supplemental Pension Payment pursuant to Government Code 20825 (c)(1)

Based on data available as of 03/13/2019

Exhibit C

**South Orange County Wastewater Authority
Schedule of Cash and Investments
as of February 28, 2019**

MVA	\$ 15,314	(A)
A/P Checking	\$ 283,205	(B)
Payroll Checking	\$ 122,532	(C)
State LAIF	\$ 17,699,249	(D)
Total Cash in Bank	18,120,300	
Petty Cash	\$ 1,600	(E)
Total Operating Cash	18,121,900	
OPEB Trust	\$ 4,926,600	(F)
Total Cash and Investments	\$ 23,048,500	

Notes:

- (A) Interest bearing account; all cash receipts are deposited in this account and later moved to the LAIF account.
- (B) Accounts Payable Checks are drawn against this account; money is transferred to this account, as needed, from the LAIF account.
- (C) Payroll including payroll taxes and related liabilities are drawn against this account; money is transferred into this account, as needed, from the LAIF account.
- (D) LAIF balance.
- (E) Cash on hand with GM's office and held by Chief Operators at each Treatment facility.
- (F) OPEB Trust Fund; these funds can only be used for Retiree Health Benefits.

**South Orange County Wastewater Authority
Capital Projects Summaries
For the Period Ended February 28, 2019**

Description	FY 2018-19 Budget vs. Actual Spending							Past Due by Fiscal Year				
	Capital Budget	Fiscal Year Spending	(Over)/ Under Budget	% Expended	Member Agency Billed	Member Agency Collections	Current Receivables	Past Due Amount FY 18/19	FY 18/19 Unpaid Amounts	FY 17/18 Unpaid Amounts	FY 16/17 Unpaid Amounts	Total Past Due Amount
PC 2-JB Latham PC 3A	7,390,500	1,172,715	6,217,785	15.9%	715,000	715,000						
PC 5-San Juan Creek Outfall	205,148	4,000	201,148	1.9%	153,861	153,861						
PC 15-Coastal	9,976,376	1,215,645	8,760,731	12.2%	1,915,826	1,669,730		246,096	732,878	649,490		1,628,464
PC 17-Regional	5,045,535	751,132	4,294,402	14.9%	2,979,155	2,979,155						
PC 21 Effluent Transmission	371,500	107,646	263,853	29.0%	322,125	282,937		39,188				39,188
PC 24-Alliso Creek Outfall	135,000	808	134,192	0.6%	270,001	270,001						
Total Large Capital	23,124,057	3,251,946	19,872,111	14.1%	6,355,968	6,070,684	-	285,284	732,878	649,490		1,667,652
Non-Capital Engineering	44,500	89,657	(45,157)	201.5%	44,500	44,500		-	585	21,831		22,416
Small Internal Capital	2,011,000	671,036	1,339,964	33.4%	1,508,250	1,382,978		125,272	169,648	84,550		379,470
Total Capital	25,179,557	4,012,639	21,166,918	15.9%	7,908,718	7,498,162	-	410,556	903,111	755,871		2,069,538

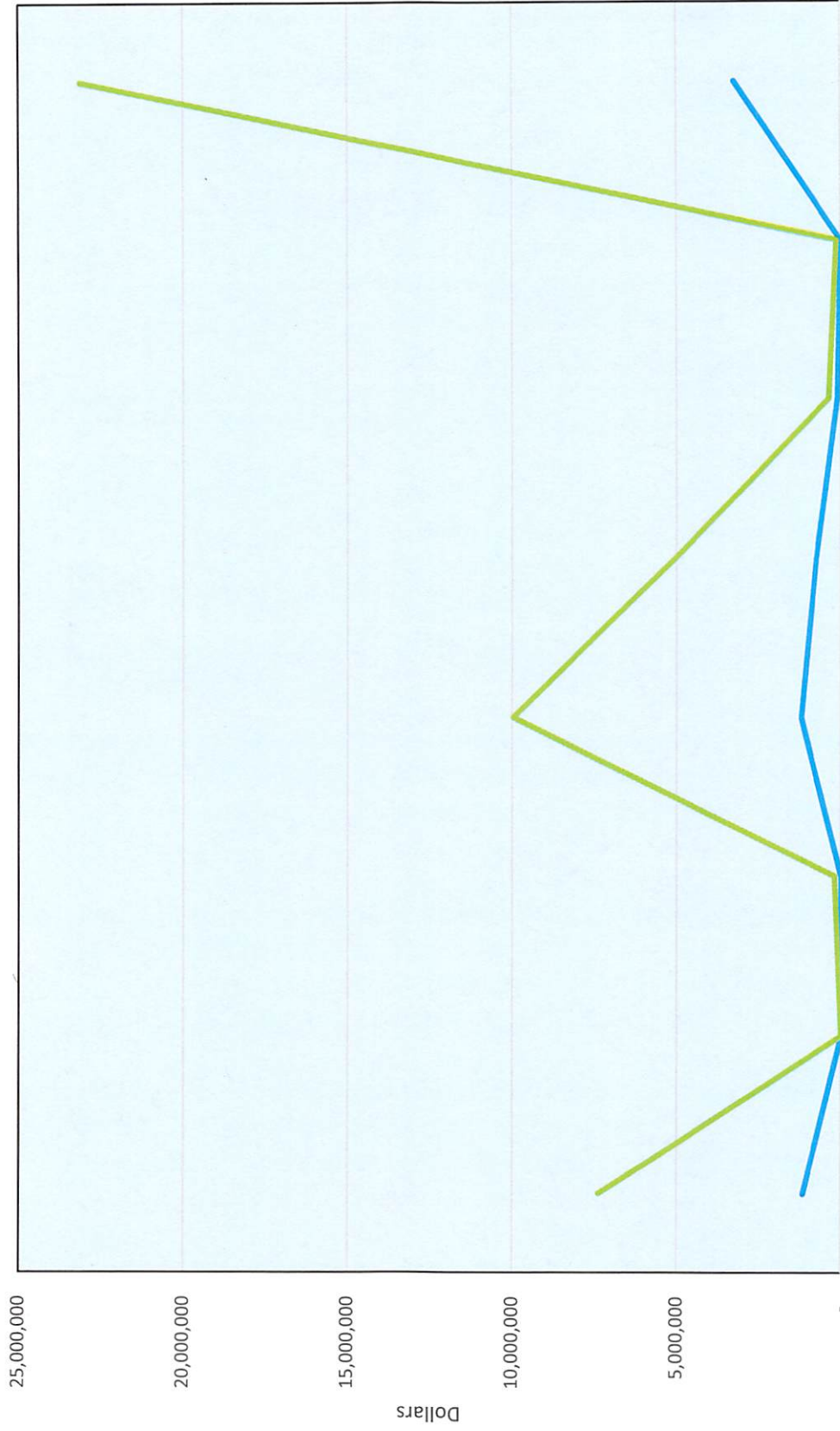
(1) Schedule for Past Due Amounts as of February 28, 2019

Description	Past Due Amount MNWD	Past Due Amount IRWD	Past Due Amount TOTAL
PC 15-Coastal	1,628,464		1,628,464 (1)
PC 21-Effluent Transmission		39,188	39,188
PC 24-Alliso Creek Outfall			
Total Large Capital	1,628,464	39,188	1,667,652
Non-Capital Engineering	22,416		22,416 (2)
Small Internal Capital	379,470		379,470 (2)
Total Capital	2,030,350	39,188	2,069,538

(2) Balances due are for PC 15 Coastal Treatment Plant with the exception of \$39,188 payment in transit from Irvine Ranch Water District.

D-1

Large Capital Projects Fiscal Year '18-19 Budget vs. Year-to-Date Actual Spending as of 2/28/2019



Category	Spent - YTD	Capital Budget 18-19
PC 2-JB Latham	1,172,715	7,390,500
PC 3A	-	-
PC 5-San Juan Creek Outfall	4,000	205,148
PC 15-Coastal	1,215,645	9,976,376
PC 17-Regional	751,132	5,045,535
PC 21 Effluent Transmission	107,646	371,500
PC 24 Aliso Creek Outfall	808	135,000
Total Large Capital	3,251,946	23,124,057

South Orange County Wastewater Authority
O & M & Environmental Safety Costs Summary
 For the Period Ended February 28, 2019

	FY 2018-19 Budget	Actual	(Over)/Under Budget	% Expended
Salary and Fringe				
5000-*	4,510,404	2,701,706	1,808,698	59.9%
5001-*	115,036	143,624	(28,588)	124.9% (1)
5306-*	49,860	24,872	24,988	49.9%
5315-*	1,828	12,855	(11,027)	703.2% (1)
5401-*	3,247,504	1,931,342	1,316,162	59.5%
5700-*	69,292	45,240	24,052	65.3%
Total Payroll Costs	7,993,924	4,859,639	3,134,285	60.8%
Other Expenses				
5002-*	1,055,968	907,178	148,790	85.9% (2)
5003-*	148,532	169,437	(20,905)	114.1% (3)
5004-*	69,012	49,700	19,312	72.0%
5005-*	(250,012)	(149,425)	(100,587)	59.8%
5006-*	489,012	414,578	74,434	84.8% (4)
5007-*	658,028	384,919	273,109	58.5%
5008-*	265,000	233,596	31,404	88.1% (5)
5009-*	163,008	78,299	84,709	48.0%
5010-*	5,000	-	5,000	0.0%
5011-*	41,696	23,776	17,920	57.0%
5012-*	134,008	72,616	61,392	54.2%
5013-*	185,008	118,817	66,191	64.2%
5014-*	175,004	11,911	163,093	6.8%
5015-*	363,936	34,665	329,271	9.5%
5016-*	500	-	500	0.0%
5017-*	23,020	62,303	(39,283)	270.6%
5018-*	2,008	170	1,838	8.5%
5019-*	375,012	194,399	180,613	51.8%
5021-*	21,024	20,969	55	99.7% (7)
5022-*	18,488	13,204	5,284	71.4%
5023-*	38,004	22,825	15,179	60.1%
5024-*	59,980	19,035	40,945	31.7%
5025-*	37,016	35,300	1,716	95.4% (6)
5026-*	35,680	13,136	22,544	36.8%
5027-*	185,132	142,938	42,194	77.2%
5028-*	85,664	35,384	50,280	41.3%
5030-*	6,992	2,679	4,313	38.3%
5031-*	106,396	55,892	50,504	52.5%
5032-*	7,008	2,006	5,002	28.6%
5033-*	3,024	1,140	1,884	37.7%
5034-*	72,068	36,747	35,321	51.0%
5035-*	63,380	51,608	11,772	81.4%
5036-*	90,328	55,888	34,440	61.9%
5037-*	24,996	10,774	14,222	43.1%
5038-*	415,952	381,108	34,844	91.6% (8)
5039-*	11,920	10,014	1,906	84.0%
5044-*	36,000	24,967	11,033	69.4%
5045-*	110,000	-	110,000	0.0%
5046-*	28,004	36,045	(8,041)	128.7% (9)
5047-*	48,000	28,100	19,900	58.5%
5048-*	20,008	124,752	(104,744)	623.5% (10)
5049-*	1,639,008	787,625	851,383	48.1%
5050-*	21,004	12,073	8,931	57.5%
5051-*	0	92	(92)	0.0%
5052-*	100,024	49,148	50,876	49.1%
5053-*	60,000	-	60,000	0.0%
5054-*	41,004	12,587	28,417	30.7%
5055-*	14,004	6,142	7,862	43.9%
5056-*	338,000	294,530	43,470	87.1% (11)
5057-*	591,996	355,202	236,794	60.0%
5058-*	76,004	47,006	28,998	61.8%
5059-*	1,135,016	409,973	725,043	36.1%
5060-*	72,000	46,723	25,277	64.9%
5061-*	2,036	1,394	642	68.5%
5067-*	35,000	-	35,000	0.0%
5068-*	24,484	22,680	1,804	92.6%
5069-*	7,996	-	7,996	0.0%
5076-*	57,000	306	56,694	0.5%
5077-*	-	2,216	(2,216)	0.0%
5101-*	1,004	-	1,004	0.0%
5105-*	249,972	149,425	100,547	59.8%
5303-*	10,824	9,637	1,187	89.0%
5305-*	9,452	3,400	6,052	36.0%
5309-*	31,004	13,181	17,823	42.5%
5705-*	25,376	19,276	6,100	76.0%
5706-*	90,000.00	-	90,000	0.0%
6500-*	646,448	388,792	257,656	60.1%
Total Other Expenses	10,707,660	6,363,352	4,344,308	59.4%
	18,701,584	11,222,991	7,478,593	60.0%

- (1) Elevated overtime costs are associated with several ongoing construction projects, staff vacancies, several large Fall/Winter storm events, larger repair projects at the plants and PC15 litigation.
- (2) The JBL emissions control system required several upgrades; which required shutdowns that resulted in additional purchase of electricity and power transmission costs. O&M is working with Engineering to resolve outstanding AQMD compliance issues. Electricity costs at RTP have been elevated due to delays in completing the new Engine Project.
- (3) The plants continue to see decreases in wastewater flows and loads leading to less digester gas for the new engines and increased natural gas usage.
Bleach usage was elevated in the first quarter due to elevated recycled water use and decreased flows to the plant causing partial nitrification
- (4) that consumes elevated levels of bleach in the disinfection process. O&M staff has removed one aeration tank from service to reduce nitrification. The change was planned for the winter to minimize potential disruptions of recycled water in the summer and fall.
- (5) Ferric Chloride usage is elevated above the budget that was based on engineering estimates for usage to maintain compliance with AQMD permits for the new engines. Budgets will be increased in FY19/20.
- (6) Uniform expenses are elevated due to new employee change outs and required PPE has been added to employee inventories.
- (7) New vehicles were outfitted with gear for use by staff; elevating expenses for the first quarter.
- (8) SOCWA permits are primarily paid in July and November each year; the RWQCB increased its fees higher than anticipated. The increase was due to a shortfall in State funding for their permitting programs.
- (9) Annual Kelp Survey as required by NPDES permit; expenses are planned to level off.
- (10) The FY16-17 storm damage repair was completed and was determined to be a maintenance expense. Received FEMA funds of \$136,995 during FY 2017-18.
- (11) Solids expenses at JBL included the planned major overhaul of Centrifuge #2 in July; expenses are planned to level off. Solids at RTP included a large emergency repair of the hot water system; expenses are planned to level off.

South Orange County Wastewater Authority
O & M & Environmental Safety Costs by PC
For the Period Ended February 28, 2019

	FY 2018-19 Budget	Actual	(Over)/Under Budget	% Expended	
02 - Jay B. Latham Plant					
Salary and Fringe					
02-5000-**-***	Regular Salaries-O&M	1,472,936	895,944	576,992	60.8%
02-5001-**-***	Overtime Salaries-O&M	32,908	51,118	(18,210)	155.3% (1)
02-5306-**-***	Scheduled Holiday Work	14,732	11,402	3,330	77.4%
02-5315-**-***	Comp Time - O&M	752	7,889.14	(7,137)	1049.1% (1)
02-5401-**-***	Fringe Benefits IN to PC's & Depts.	1,060,520	638,847	421,673	60.2%
02-5700-**-***	Standby Pay	27,048	18,525	8,523	68.5%
	Total Payroll Costs	2,608,896	1,623,725	985,171	62.2%
Other Expenses					
02-5002-**-***	Electricity	374,976	327,461	47,515	87.3% (2)
02-5003-**-***	Natural Gas	120,024	100,275	19,749	83.5% (3)
02-5004-**-***	Potable & Reclaimed Water	20,008	15,349	4,659	76.7%
02-5006-**-***	Chlorine/Sodium Hypochlorite	28,000	-	28,000	0.0%
02-5007-**-***	Polymer Products	250,008	124,923	125,085	50.0%
02-5008-**-***	Ferric Chloride	105,004	80,582	24,422	76.7%
02-5009-**-***	Odor Control Chemicals	28,004	21,247	6,757	75.9%
02-5010-**-***	Other Chemicals - Misc.	1,000	-	1,000	0.0%
02-5011-**-***	Laboratory Services	10,692	5,303	5,390	49.6%
02-5012-**-***	Grit Hauling	50,004	33,697	16,307	67.4%
02-5013-**-***	Landscaping	50,004	40,194	9,810	80.4% (4)
02-5014-**-***	Engineering - Misc.	30,004	4,747	25,257	15.8%
02-5015-**-***	Management Support Services	59,004	22,689	36,315	38.5%
02-5017-**-***	Legal Fees	4,992	473	4,519	9.5%
02-5019-**-***	Contract Services Misc.	115,004	51,631	63,373	44.9%
02-5021-**-***	Small Vehicle Expense	8,004	10,666	(2,662)	133.3% (5)
02-5022-**-***	Miscellaneous Expense	8,000	3,296	4,704	41.2%
02-5023-**-***	Office Supplies - All	27,000	11,725	15,275	43.4%
02-5024-**-***	Petroleum Products	15,992	7,426	8,566	46.4%
02-5025-**-***	Uniforms	13,004	13,670	(666)	105.1% (6)
02-5026-**-***	Small Vehicle Fuel	14,980	7,526	7,454	50.2%
02-5027-**-***	Insurance - Property/Liability	65,464	50,544	14,920	77.2%
02-5028-**-***	Small Tools & Supplies	40,004	12,653	27,351	31.6%
02-5030-**-***	Trash Disposal	2,000	971	1,029	48.6%
02-5031-**-***	Safety Supplies	34,704	22,050	12,654	63.5%
02-5032-**-***	Equipment Rental	3,000	1,834	1,166	61.1%
02-5033-**-***	Recruitment	1,024	570	454	55.7%
02-5034-**-***	Travel Expense/Tech. Conferences	18,876	16,280	2,596	86.2% (7)
02-5035-**-***	Training Expense	26,188	23,187	3,001	88.5%
02-5036-**-***	Laboratory Supplies	14,296	9,269	5,027	64.8%
02-5037-**-***	Office Equipment	13,000	7,854	5,146	60.4%
02-5038-**-***	Permits	22,432	22,793	(361)	101.6% (8)
02-5039-**-***	Membership Dues/Fees	8,040	5,159	2,881	64.2%
02-5049-**-***	Biosolids Disposal	600,000	227,818	372,182	38.0%
02-5050-**-***	Contract Services Generators	9,000	7,273	1,727	80.8% (9)
02-5052-**-***	Janitorial Services	40,004	23,595	16,409	59.0%
02-5053-**-***	Contract Serv - Digester Cleaning - 29E	60,000	-	60,000	0.0%
02-5054-**-***	Diesel Truck Maint	20,996	4,597	16,399	21.9%
02-5055-**-***	Diesel Truck Fuel	6,000	2,823	3,177	47.1%
02-5056-**-***	Maintenance Equip. & Facilities (Solids)	142,004	163,989	(21,985)	115.5% (10)
02-5057-**-***	Maintenance Equip. & Facilities (Liquids)	246,996	155,348	91,648	62.9%
02-5058-**-***	Maintenance Equip. & Facilities (Common)	27,000	24,519	2,481	90.8% (11)
02-5059-**-***	Maintenance Equip. & Facilities (Co-Gen)	485,004	160,395	324,609	33.1%
02-5061-**-***	Mileage	2,036	455	1,581	22.4%
02-5076-**-***	SCADA Infrastructure	19,000	306	18,694	1.6%
02-5077-**-***	IT Direct	-	1,153	(1,153)	0.0%
02-5303-**-***	Group Insurance Waiver	3,604	2,409	1,195	66.8%
02-5305-**-***	Medicare Tax Payments for Employees	3,864	2,047	1,817	53.0%
02-5309-**-***	Operating Leases	25,004	13,181	11,823	52.7%
02-5705-**-***	Monthly Car Allowance	8,416	11,617	(3,201)	138.0%
02-6500-**-***	IT Allocations in to PC's & Depts.	211,128	124,069	87,059	58.8%
	Total Other Expenses	3,492,792	1,981,815	1,510,977	56.7%
	Total Expenses	6,101,688	3,605,540	2,496,148	59.1%
05 - San Juan Creek Ocean Outfall					
Salary and Fringe					
05-5000-**-***	Regular Salaries-O&M	123,972	51,734	72,238	41.7%
05-5001-**-***	Overtime Salaries-O&M	1,116	644	472	57.7%
05-5306-**-***	Scheduled Holiday Work	740	126	614	17.0%
05-5401-**-***	Fringe Benefits IN to PC's & Depts.	89,252	37,249	52,003	41.7%
	Total Payroll Costs	215,080	89,753	125,327	41.7%
Other Expenses					
05-5002-**-***	Electricity	1,004	-	1,004	0.0%
05-5003-**-***	Natural Gas	512	-	512	0.0%
05-5014-**-***	Engineering - Misc.	10,000	-	10,000	0.0%
05-5015-**-***	Management Support Services	9,404	3,424	5,980	36.4%
05-5017-**-***	Legal Fees	2,000	233	1,768	11.6%
05-5026-**-***	Small Vehicle Fuel - 37A	512	-	512	0.0%
05-5027-**-***	Insurance - Property/Liability	5,996	4,444	1,312	77.2%
05-5031-**-***	Safety Supplies	1,004	-	1,004	0.0%

South Orange County Wastewater Authority
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		FY 2018-19 Budget	Actual	(Over)/Under Budget	% Expended
05-5034-**-***	Travel Expense/Tech. Conferences	4,456	3,435	1,021	77.1%
05-5035-**-***	Training Expense	564	-	564	0.0%
05-5036-**-***	Laboratory Supplies	19,236	10,651	8,585	55.4%
05-5038-**-***	Permits	155,000	172,815	(17,815)	111.5% (8)
05-5044-**-***	Offshore Monitoring	18,000	12,484	5,516	69.4% (12)
05-5045-**-***	Offshore Biochemistry - 20B	64,996	-	64,996	0.0%
05-5046-**-***	Effluent Chemistry	14,000	14,895	(895)	106.4% (12)
05-5058-**-***	Maintenance Equip. & Facilities (Common)	1,004	-	1,004	0.0%
05-5069-**-***	Misc-Capital-Dilution & Metering Study	7,996	-	7,996	0.0%
05-5101-**-***	Employee Recognition	1,004	-	1,004	0.0%
05-5305-**-***	Medicare Tax Payments for Employees	2,460	-	2,460	0.0%
05-5705-**-***	Monthly Car Allowance	4,204	-	4,204	0.0%
05-6500-**-***	IT Allocations in to PC's & Depts.	17,772	8,697	9,075	48.9%
	Total Other Expenses	340,884	231,078	109,806	67.8%
	Total Expenses	555,964	320,831	235,133	57.7%
08 - Pre Treatment					
Salary and Fringe					
08-5000-**-***	Regular Salaries-O&M	80,312	48,936	31,376	60.9%
08-5001-**-***	Overtime Salaries-O&M	1,380	0	1,380	0.0%
08-5306-**-***	Scheduled Holiday Work	200	-	200	0.0%
08-5315-**-***	Comp Time - Environment	-	2,049.03	(2,049)	0.0%
08-5401-**-***	Fringe Benefits IN to PC's & Depts.	57,824	35,234	22,590	60.9%
	Total Payroll Costs	139,716	86,218	53,498	61.7%
Other Expenses					
08-5011-**-***	Laboratory Services	3,000	745	2,255	24.8% (13)
08-5015-**-***	Management Support Services	4,004	906	3,098	22.6%
08-5016-**-***	Audit - Environmental	500	-	500	0.0%
08-5017-**-***	Legal Fees	2,008	10,465	(8,457)	521.2%
08-5018-**-***	Public Notices/ Public Relations	2,008	170	1,838	8.5%
08-5021-**-***	Small Vehicle Expense - 31A	1,016	-	1,016	0.0%
08-5022-**-***	Miscellaneous Expense	1,496	-	1,496	0.0%
08-5026-**-***	Small Vehicle Fuel - 37A	1,196	-	1,196	0.0%
08-5027-**-***	Insurance - Property/Liability	2,016	1,557	459	77.2%
08-5028-**-***	Small Tools & Supplies	6,648	686	5,962	10.3%
08-5034-**-***	Travel Expense/Tech. Conferences	4,248	1,762.46	2,486	41.5%
08-5035-**-***	Training Expense	3,872	965	2,907	24.9%
08-5038-**-***	Permits and Fines	10,012	-	10,012	0.0%
08-5039-**-***	Membership Dues/Fees	324	430	(106)	132.7%
08-6500-**-***	IT Allocations in to PC's & Depts.	11,516	7,463	4,053	64.8%
	Total Other Expenses	53,864	25,149	28,715	46.7%
	Total Expenses	193,580	111,367	82,213	57.5%
12 - Water Reclamation Permits					
Salary and Fringe					
12-5000-**-***	Regular Salaries-O&M	30,396	21,738	8,658	71.5%
12-5401-**-***	Fringe Benefits IN to PC's & Depts.	21,880	15,651	6,229	71.5%
	Total Payroll Costs	52,276	37,389	14,887	67.4%
Other Expenses					
12-5015-**-***	Management Support Services	130,516	2,000.00	128,516	1.5%
12-5017-**-***	Legal Fees	2,004	1,872	132	93.4%
12-5027-**-***	Insurance - Property/Liability	1,000	772	228	77.2%
12-5034-**-***	Travel Expense/Tech. Conferences	2,240	58	2,182	2.6%
12-5038-**-***	Permits	18,488	16,347	2,141	88.4% (8)
12-6500-**-***	IT Allocations in to PC's & Depts.	4,356	4,199	157	96.4%
	Total Other Expenses	158,604	25,249	133,356	15.9%
	Total Expenses	210,880	62,637	148,243	29.7%
15 - Coastal Treatment Plant					
Salary and Fringe					
15-5000-**-***	Regular Salaries-O&M	897,448	512,909	384,539	57.2%
15-5001-**-***	Overtime Salaries-O&M	21,252	19,496	1,756	91.7% (1)
15-5306-**-***	Scheduled Holiday Work	6,548	2,811	3,737	42.9%
15-5315-**-***	Comp Time - O&M	-	269.36	(269.36)	0.0% (1)
15-5401-**-***	Fringe Benefits IN to PC's & Depts.	646,172	369,295	276,877	57.2%
15-5700-**-***	Standby Pay	16,900	3,250	13,650	19.2%
	Total Payroll Costs	1,588,320	908,030	680,290	57.2%
Other Expenses					
15-5002-**-***	Electricity	297,000	148,668	148,332	50.1%
15-5003-**-***	Natural Gas	2,996	1,404	1,592	46.9%
15-5004-**-***	Potable & Reclaimed Water	13,004	16,791	(3,787)	129.1% (14)
15-5006-**-***	Chlorine/Sodium Hypochlorite	100,004	46,975	53,029	47.0%
15-5007-**-***	Polymer Products	2,008	-	2,008	0.0%
15-5008-**-***	Ferric Chloride	30,996	33,170	(2,174)	107.0% (15)
15-5009-**-***	Odor Control Chemicals	85,004	31,654	53,350	37.2%
15-5011-**-***	Laboratory Services	11,500	7,040	4,460	61.2%
15-5012-**-***	Grit Hauling	30,244	12,989	17,015	43.3%
15-5013-**-***	Landscaping	60,008	27,640	32,368	46.1%
15-5014-**-***	Engineering - Misc.	20,000	2,788	17,212	13.9%

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	FY 2018-19 Budget	Actual	(Over)/Under Budget	% Expended	
15-5015-**-***	Management Support Services	10,000	42.20	9,958	0.4%
15-5017-**-***	Legal Fees	5,000	35,507	(30,507)	710.1%
15-5019-**-***	Contract Services Misc.	110,000	59,261	50,739	53.9%
15-5021-**-***	Small Vehicle Expense	6,004	3,519	2,485	58.6%
15-5022-**-***	Miscellaneous Expense	2,996	2,522	474	84.2%
15-5023-**-***	Office Supplies - All	4,004	3,547	457	88.6%
15-5024-**-***	Petroleum Products	2,000	1,841	159	92.0% (16)
15-5025-**-***	Uniforms	6,004	5,289	715	88.1% (6)
15-5026-**-***	Small Vehicle Fuel	6,004	807	5,197	13.4%
15-5027-**-***	Insurance - Property/Liability	26,436	20,411	6,025	77.2%
15-5028-**-***	Small Tools & Supplies	11,000	9,753	1,247	88.7%
15-5030-**-***	Trash Disposal	2,996	918	2,078	30.7%
15-5031-**-***	Safety Program & Supplies	34,692	13,031	21,661	37.6%
15-5032-**-***	Equipment Rental	1,000	172	828	17.2%
15-5033-**-***	Recruitment	1,000	-	1,000	0.0%
15-5034-**-***	Travel Expense/Tech. Conferences	18,872	4,722	14,150	25.0%
15-5035-**-***	Training Expense	13,652	5,104	8,548	37.4%
15-5036-**-***	Laboratory Supplies	14,496	9,398	5,098	64.8%
15-5037-**-***	Office Equipment	2,000	-	2,000	0.0%
15-5038-**-***	Permits	22,000	2,110	19,890	9.6%
15-5039-**-***	Membership Dues/Fees	968	1,435	(467)	148.2% (17)
15-5047-**-***	Access Road Expenses	48,000	28,100	19,900	58.5%
15-5048-**-***	Storm Damage	20,008	124,752	(104,744)	623.5% (18)
15-5049-**-***	Biosolids Disposal - 21B	125,004	-	125,004	0.0%
15-5050-**-***	Contract Services Generators	4,004	1,674	2,330	41.8%
15-5051-**-***	Contract Serv - Metering Station - 29B	-	92	(92)	0.0%
15-5052-**-***	Janitorial Services	20,008	7,464	12,544	37.3%
15-5054-**-***	Diesel Truck Maint	1,004	115	889	11.4%
15-5055-**-***	Diesel Truck Fuel - 37B	1,004	-	1,004	0.0%
15-5057-**-***	Maintenance Equip. & Facilities (Liquids)	175,000	44,223	130,777	25.3%
15-5058-**-***	Maintenance Equip. & Facilities (Common)	21,000	2,330	18,670	11.1%
15-5059-01-00-00	Maintenance Equip. & Facilities (Co-Gen) 41-I	0	124	(124)	0.0%
15-5060-**-***	Maintenance Equip. & Facilities (AWT)	36,000	8,297	27,703	23.0%
15-5061-**-***	Mileage	-	243	(243)	0.0%
15-5076-**-***	SCADA Infrastructure	19,000	-	19,000	0.0%
15-5077-**-***	IT Direct	-	1,063	(1,063)	0.0%
15-5303-**-***	Group Insurance Waiver	3,600	2,409	1,191	66.9%
15-5305-**-***	Medicare Tax Payments for Employees	2,996	1,314	1,682	43.9%
15-5705-**-***	Monthly Car Allowance	1,368	2,811	(1,443)	205.5%
15-6500-**-***	IT Allocations in to PC's & Depts.	128,644	75,440	53,204	58.6%
	Total Other Expenses	1,560,288	808,981	751,307	51.8%
	Total Expenses	3,148,608	1,717,011	1,431,597	54.5%

17 - Joint Regional Wastewater Reclamation and Sludge Handling

Salary and Fringe

17-5000-**-***	Regular Salaries-O&M	1,776,684	1,118,243	658,441	62.9%
17-5001-**-***	Overtime Salaries-O&M	57,712	71,144	(13,432)	123.3% (1)
17-5306-**-***	Scheduled Holiday Work	27,320	10,456	16,864	38.3%
17-5315-**-***	Comp Time - O&M	1,076	2,647.14	(1,571)	246.0% (1)
17-5401-**-***	Fringe Benefits IN to PC's & Depts.	1,279,200	797,481	481,719	62.3%
17-5700-**-***	Standby Pay	25,344	23,465	1,879	92.6%
	Total Payroll Costs	3,167,336	2,023,436	1,143,900	56.4%

Other Expenses

17-5002-**-***	Electricity	381,988	431,048	(49,060)	112.8% (2)
17-5003-**-***	Natural Gas	25,000	67,758	(42,758)	271.0% (3)
17-5004-**-***	Potable & Reclaimed Water	36,000	17,559	18,441	48.8%
17-5005-**-***	Co-generation Power Credit	(250,012)	(149,425)	(100,587)	59.8% (2)
17-5006-**-***	Chlorine/Sodium Hypochlorite	361,008	367,602	(6,594)	101.8% (19)
17-5007-**-***	Polymer Products	406,012	259,996	146,016	64.0%
17-5008-**-***	Ferric Chloride	129,000	119,844	9,156	92.9% (20)
17-5009-**-***	Odor Control Chemicals	50,000	25,398	24,602	50.8%
17-5010-**-***	Other Chemicals - Misc.	4,000	-	4,000	0.0%
17-5011-**-***	Laboratory Services	16,504	10,689	5,815	64.8%
17-5012-**-***	Grit Hauling - 21A	54,000	25,929	28,071	48.0%
17-5013-**-***	Landscaping	74,996	50,983	24,013	68.0% (4)
17-5014-**-***	Engineering - Misc.	32,500	2,801	29,699	8.6%
17-5015-**-***	Management Support Services	123,500	42,21	123,458	0.0%
17-5017-**-***	Legal Fees	5,008	2,707	2,301	54.1%
17-5019-**-***	Contract Services Misc.	150,008	82,972	67,036	55.3%
17-5021-**-***	Small Vehicle Expense	6,000	6,784	(784)	113.1% (5)
17-5022-**-***	Miscellaneous Expense	5,996	7,387	(1,391)	123.2% (21)
17-5023-**-***	Office Supplies - All	7,000	7,552	(552)	107.9% (22)
17-5024-**-***	Petroleum Products	41,988	9,768	32,220	23.3%
17-5025-**-***	Uniforms	18,008	16,341	1,667	90.7% (6)
17-5026-**-***	Small Vehicle Fuel	12,988	4,803	8,185	37.0%
17-5027-**-***	Insurance - Property/Liability	78,860	60,887	17,973	77.2%
17-5028-**-***	Small Tools & Supplies	28,012	12,291	15,721	43.9%
17-5030-**-***	Trash Disposal	1,996	790	1,207	39.6%
17-5031-**-***	Safety Program & Supplies	35,000	20,810	14,190	59.5%
17-5032-**-***	Equipment Rental	3,008	-	3,008	0.0%
17-5033-**-***	Recruitment	1,000	570	430	57.0%
17-5034-**-***	Travel Expense/Tech. Conferences	18,880	7,078	11,802	37.5%
17-5035-**-***	Training Expense	18,584	22,352	(3,768)	120.3%

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	FY 2018-19 Budget	Actual	(Over)/Under Budget	% Expended	
17-5036-***	Laboratory Supplies	22,740	14,411	8,329	63.4%
17-5037-***	Office Equipment	9,996	2,920	7,076	29.2%
17-5038-***	Permits	40,016	1,917	38,099	4.8%
17-5039-***	Membership Dues/Fees	2,588	2,990	(402)	115.5% (17)
17-5049-***	Biosolids Disposal	914,004	559,807	354,197	61.2%
17-5050-***	Contract Services Generators	8,000	3,127	4,873	39.1%
17-5052-***	Janitorial Services	40,012	18,088	21,924	45.2%
17-5054-***	Diesel Truck Maint	19,004	7,876	11,128	41.4%
17-5055-***	Diesel Truck Fuel	7,000	3,318	3,682	47.4%
17-5056-***	Maintenance Equip. & Facilities (Solids)	195,996	130,541	65,455	66.6%
17-5057-***	Maintenance Equip. & Facilities (Liquids)	170,000	155,631	14,369	91.5% (23)
17-5058-***	Maintenance Equip. & Facilities (Common)	26,000	18,038	7,962	69.4%
17-5059-***	Maintenance Equip. & Facilities (Co-Gen)	650,012	249,454	400,558	38.4%
17-5060-***	Maintenance Equip. & Facilities (AWT)	36,000	38,427	(2,427)	106.7% (24)
17-5061-***	Mileage	-	696	(696)	0.0%
17-5068-***	MNWD Potable Water Supplies & Svcs.	24,484	22,680	1,804	92.6%
17-5076-***	SCADA Infrastructure	19,000	-	19,000	0.0%
17-5105-***	Co-Generation Power Credit - Offset	249,972	149,425	100,547	59.8%
17-5303-***	Group Insurance Waiver	3,620	4,818	(1,198)	133.1%
17-5305-***	Medicare Tax Payments for Employees	132	39	93	29.2%
17-5309-***	Operating Leases	6,000	-	6,000	0.0%
17-5705-***	Monthly Car Allowance	11,388	4,849	6,539	42.6%
17-5706-***	Effluent Pond Cleaning	90,000	-	90,000	0.0%
17-6500-***	IT Allocations in to PC's & Depts.	254,744	161,028	93,716	63.2%
	Total Other Expenses	4,677,540	3,043,694	1,633,846	65.1%
	Total Expenses	7,844,876	5,067,130	2,777,746	64.6%
21 - Effluent Transmission Main					
Salary and Fringe					
21-5000-***	Regular Salaries-O&M	500	356	144	64.1%
21-5401-***	Fringe Benefits IN to PC's & Depts.	360	256	104	64.1%
	Total Payroll Costs	860	612	248	64.1%
Other Expenses					
21-5014-***	Engineering - Misc.	62,496	1,575	60,921	2.5%
21-5015-***	Management Support Services	2,500	-	2,500	0.0%
21-5019-***	Contract Services Misc. - 29	-	535	(535)	0.0%
21-5027-***	Insurance - Property/Liability	-	0	0	0.0%
	Total Other Expenses	64,996	2,110	62,886	3.2%
	Total Expenses	65,856	2,722	63,134	4.1%
23 - North Coast Interceptor					
Other Expenses					
23-5017-***	Legal Fees	-	10,743	(10,743)	0.0%
	Total Other Expenses	-	10,743	(10,743)	0.0%
	Total Expenses	-	10,743	(10,743)	0.0%
24 - Aliso Creek Ocean Outfall					
Salary and Fringe					
24-5000-***	Regular Salaries-O&M	128,156	51,846	76,310	40.5%
24-5001-***	Overtime Salaries-O&M	668	1,222	(554)	182.9% (1)
24-5306-***	Scheduled Holiday Work	320	78	242	24.5%
24-5401-***	Fringe Benefits IN to PC's & Depts.	92,296	37,329	54,967	40.4%
	Total Payroll Costs	221,440	90,476	130,964	40.9%
Other Expenses					
24-5002-***	Electricity	1,000	-	1,000	0.0%
24-5014-***	Engineering - Misc.	20,004	-	20,004	0.0%
24-5015-***	Management Support Services	25,008	5,561	19,447	22.2%
24-5017-***	Legal Fees	2,008	304	1,704	15.1%
24-5027-***	Insurance - Property/Liability	5,600	4,324	1,276	77.2%
24-5031-***	Safety Supplies	996	-	996	0.0%
24-5034-***	Travel Expense/Tech. Conferences	4,496	3,412	1,084	75.9% (7)
24-5035-***	Training Expense	520	-	520	0.0%
24-5036-***	Laboratory Supplies	19,560	12,160	7,400	62.2%
24-5038-***	Permits	148,004	165,126	(17,122)	111.6% (8)
24-5044-***	Offshore Monitoring	18,000	12,484	5,516	69.4% (12)
24-5045-***	Offshore Biochemistry - 20B	45,004	-	45,004	0.0%
24-5046-***	Effluent Chemistry	14,004	21,150	(7,146)	151.0% (12)
24-5058-***	Maintenance Equip. & Facilities (Common) 41	1,000	2,118	(1,118)	211.8% (25)
24-5067-***	Port Cleaning	35,000	-	35,000	0.0%
24-6500-***	IT Allocations in to PC's & Depts.	18,288	7,896	10,392	43.2%
	Total Other Expenses	358,692	234,534	124,158	65.4%
	Total Expenses	580,132	325,010	255,122	56.0%
	SOCWA TOTAL	18,701,584	11,222,991	7,478,593	60.0%

South Orange County Wastewater Authority
O & M & Environmental Safety Costs by PC
 For the Period Ended February 28, 2019

- (1) Elevated overtime costs are associated with several ongoing construction projects, staff vacancies, repair projects at the plants and PC15 litigation.
 The JBL emissions controls system required several upgrades; which required shutdowns that resulted in additional purchase of electricity and power transmission costs. O&M is working with Engineering to resolve outstanding AQMD compliance issues. Electricity costs at RTP have been elevated due to delays in completing the new Engine project.
- (2) The plants continue to see decreases in wastewater flows and loads leading to less digester gas for the new engines and increased natural gas usage.
- (3) Several large trees were removed that were in danger of falling onto the adjacent properties; expenses are planned to level off.
- (4) New vehicles were outfitted with gear for use by staff; elevating expenses for the first quarter.
- (5) Uniform expenses are elevated due to new employee change outs and required PPE has been added to employee inventories.
- (6) Two of the three planned major conferences were attended by staff in the first quarter of the Fiscal Year including, WEFTEC and HACHWIMS; expenses are planned to level off.
- (7) SOCWA permits are primarily paid in July and November each year; the RWQCB increased its fees higher than anticipated. The increase was due to a shortfall in State funding for their permitting programs.
- (8) Standby Generator maintenance was completed for the FY. No additional expenses are anticipated.
- (9) Solids expenses included the planned major overhaul of Centrifuge #2 in July; expenses are planned to level off.
- (10) Common expenses included several large repairs in anticipation of the upcoming winter weather; expenses are planned to level off.
- (11) Additional toxicity testing due to NPDES accelerated monitoring at Aliso Creek Ocean Outfall.
- (12) These are pass through costs that will be billed to private companies directly and reversed during the year.
- (13) Water use is higher than planned to meet a new recommendation for odor scrubber operations to reduce equipment fouling.
- (14) Ferric Chloride usage is elevated due to higher than normal hydrogen sulfides in the sewer systems discharging to CTP.
- (15) Elevated use of generator fuel at CTP due to power company work in the area.
- (16) Several staff membership dues were paid in late summer; expenses are planned to level off.
- (17) The FY16-17 storm damage repair was completed and was determined to be a maintenance expense.
- (18) Bleach usage has been elevated in the first quarter due to elevated recycled water use and decreased flows to the plant causing partial nitrification that consumes elevated levels of bleach in the disinfection process. O&M staff has removed one aeration tank from service to reduce nitrification. The change was planned for the winter to minimize potential disruptions of recycled water in the summer and fall.
- (19) Ferric Chloride usage is elevated above the budget that was based on engineering estimates for usage to maintain compliance with AQMD permits for the new engines. Budgets will be increased in FY19/20.
- (20) SOCWA purchased a number of Design Standards, Guidelines, and Operations study manuals for new staff to use at the plant.
- (21) Office Supplies spending is expected to level off.
- (22) Liquids expenses included several large repairs in anticipation of the upcoming winter weather; expenses are planned to level off.
- (23) Several failed valves were replaced at RTP that could not wait until the annual AWT maintenance shutdown in January.
- (24) The Aliso Creek Ocean Outfall sampling station has failed and is in the process of being assessed for potential replacement.
- (25)

South Orange County Wastewater Authority
Budget vs. Actual Comparison - Residual Engineering, after transfer to Capital
For the Period Ended February 28, 2019

		FY 2018-19 Budget	Actual	(Over)/Under Budget	% Expended
Salary and Fringe					
-5000--**-00	Regular Salaries-O&M	199,834	129,469	70,365	64.8%
01-5401-03-00-00	Fringe Benefits IN to PC's & Depts.	143,880	134,452	9,428	93.4%
	Total Payroll Costs	343,714	263,921	79,793	76.8%
Other Expenses					
01-5015-03-00-00	Management Support Services	7,500	-	7,500	0.0%
01-5022-03-00-00	Miscellaneous Expense	3,060	-	3,060	0.0%
01-5023-03-00-00	Office Supplies - All	200	192	8	95.8%
01-5031-03-00-00	Safety Supplies	-	145	(145)	0.0%
01-5034-03-00-00	Travel Expense/Tech. Conferences	6,659	7,988	(1,329)	120.0%
01-5035-03-00-00	Training Expense	4,000	-	4,000	0.0%
01-5037-03-00-00	Office Equipment	5,000	1,890	3,111	37.8%
01-5039-03-00-00	Membership Dues/Fees	2,025	846	1,179	41.8%
01-5061-03-00-00	Mileage	300	-	300	0.0%
01-5301-03-00-00	Vehicle Pay	4,200	-	4,200	0.0%
01-5309-03-00-00	Operating Leases	20,637	4,463	16,174	21.6%
01-5705-03-00-00	Monthly Car Allowance	-	2,811	(2,811)	0.0%
01-5802-03-00-00	Shipping/Freight	300	-	300	0.0%
01-6500-03-00-00	IT Allocations in to PC's & Depts.	59,860	34,734	25,126	58.0%
	Total Other Expenses	113,741	53,099	60,642	46.7%
	Total Expenses	457,455	317,019	140,435	69.3%

The costs in this department will vary based on the amount of work required to support Capital Projects vs. Non-Capital Projects.

South Orange County Wastewater Authority
Budget vs. Actual Comparison - Administration
For the Period Ended February 28, 2019

		FY 2018-19 Budget	*Board Approved Expenditure not in Budget	Adjusted Budget	Actual	(Over)/Under Budget	% Expended
Salary and Fringe							
01-6000-04-00-00	Regular Salaries-Admin or IT	985,352		985,352	599,995	385,357	60.9%
01-6001-04-00-00	Overtime Salaries-Admin or IT	12,000		12,000	9,074	2,926	75.6%
01-6315-04-00-00	Comp Time - Admin	10,000		10,000	4,292	5,708	42.9%
01-6401-04-00-00	Fringe Benefits IN to ADMIN or IT	709,453		709,453	386,823	322,630	54.5%
	Total Payroll Costs	1,716,805	-	1,716,805	1,000,184	716,621	58.3%
Other Expenses							
01-5018-04-00-00	Public Notices/ Public Relations	1,400		1,400	-	1,400	0.0%
01-5101-04-00-00	Employee Recognition	-		-	500	(500)	0.0% (1)
01-6101-04-00-00	HR Recruitment & Employee Relations	21,200		21,200	12,517	8,683	59.0%
01-6102-04-00-00	Subscriptions	3,243		3,243	1,934	1,309	59.6%
01-6200-04-00-00	Management Support Services	112,500	92,391	204,891	123,716	81,175	60.4% (2)
01-6201-04-00-00	Audit	40,000		40,000	20,000	20,000	50.0%
01-6202-04-00-00	Legal	250,000		250,000	173,531	76,469	69.4% (3)
01-6204-04-00-00	Postage	2,319		2,319	1,028	1,291	44.3%
01-6223-04-00-00	Office Supplies - Admin	8,471		8,471	3,667	4,804	43.3%
01-6224-04-00-00	Office Equipment Admin or IT	8,000		8,000	351	7,649	4.4%
01-6234-04-00-00	Memberships & Trainings	89,520		89,520	59,943	29,577	67.0%
01-6239-04-00-00	Travel & Conference	20,800		20,800	19,587	1,213	94.2%
01-6240-04-00-00	Scholarship Sponsorship	1,000		1,000	-	1,000	0.0%
01-6241-04-00-00	Education Reimbursement	-		-	1,500	(1,500)	0.0%
01-6310-04-00-00	Miscellaneous	20,000		20,000	13,779	6,221	68.9%
01-6311-04-00-00	Mileage	1,000		1,000	612	389	61.1%
01-6317-04-00-00	Contract Services Misc	4,936		4,936	3,220	1,716	65.2%
01-6500-04-00-00	IT Allocations in to PC's & Depts.	141,240		141,240	66,184	75,056	46.9%
01-6601-04-00-00	Shipping/Freight	1,500		1,500	2,443	(943)	162.9%
01-6705-04-00-00	Monthly Car Allowance	12,000		12,000	8,031	3,969	66.9%
	Total Other Expenses	739,130	92,391	831,521	512,544	318,978	61.6%
	Total Expenses	2,455,935	92,391	2,548,326	1,512,728	1,035,598	59.4%

* Additional Budget disclosed during Finance Committee meeting 2/19

(1) End-of-the-Year Employee award.

(2) Costs include Carollo Engineering utility cost allocation study, \$42,269, expended to date and Actuaries costs for the Long Term Liabilities Methodologies Study, \$37,792. These Board Approved Projects were not included in the Administration Budget. The Board approved a Budget increase of \$92,391 on March 7, 2019 to cover these costs.

(3) Procopio Firm is anticipated to be over legal budget due to start-up work and project to evaluate changes to JPA Agreement this FY.

South Orange County Wastewater Authority
Budget vs. Actual Comparison-IT
For the Period Ended February 28, 2019

		FY 2018-19 Budget	Actual	(Over)/Under Budget	% Expended
Salary & Fringe					
01-6000-05-00-00	Regular Salaries-Admin or IT	93,378	56,165	37,213	60.1%
01-6001-05-00-00	Overtime Salaries-Admin or IT	3,082	1,222	1,859	39.7%
01-6315-05-00-00	Comp Time - IT	2,332	2,830	(498)	121.4%
01-6401-05-00-00	Fringe Benefits IN to ADMIN or IT	67,232	40,439	26,793	60.1%
	Total Salary & Fringe	166,024	100,656	65,368	60.6%
Other Expenses					
01-5028-05-00-00	Small Tools & Supplies	1,000	-	1,000	0.0%
01-5035-05-00-00	Training Expense	12,000	-	12,000	0.0%
01-5037-05-00-00	Office Equipment	400	-	400	0.0%
01-5061-05-00-00	Mileage	-	498	(498)	0.0%
01-6200-05-00-00	Management Support Services	64,500	3,663	60,837	5.7%
01-6234-05-00-00	Memberships & Trainings	2,750	96	2,654	3.5%
01-6239-05-00-00	Travel & Conference	4,210	-	4,210	0.0%
01-6300-05-00-00	Software Maintenance Agreements	98,893	65,241	33,651	66.0%
01-6301-05-00-00	Hardware Maintenance Agreements	11,657	2,474	9,182	21.2%
01-6302-05-00-00	Cloud Subscriptions (Internet)	45,800	81,812	(36,012)	178.6% (1)
01-6303-05-00-00	Telecommunications	129,940	78,221	51,719	60.2%
01-6305-05-00-00	IT Professional Services	157,000	56,448	100,552	36.0%
01-6306-05-00-00	Small Hardware Purchases (< \$5k)	46,800	49,994	(3,194)	106.8%
01-6307-05-00-00	Small Software Purchases & Licenses (<\$5k)	43,735	17,125	26,611	39.2%
01-6308-05-00-00	IT Memberships	160	130	30	81.3%
01-6309-05-00-00	Operating Leases	55,200	31,525	23,675	57.1%
01-6310-05-00-00	Miscellaneous	5,000	219	4,781	4.4%
01-6312-05-00-00	Computer & Photocopy Supplies	2,275	1,607	668	70.6%
01-6601-05-00-00	Shipping/Freight	276	-	276	0.0%
	Total Other Expenses	681,596	389,055	292,542	57.1%
	Total Expenses before Allocation	847,620	489,710	357,910	57.8%
IT Allocations (Out) to PC's & Depts					
01-6400-05-00-00	IT Allocations (OUT) to PC's & Depts.	(847,620)	(489,710)	(357,910)	57.8%
	Total IT Allocations (Out) to PC's & Depts	(847,620)	(489,710)	(357,910)	57.8%

(1) Additional IT system security, not included in the budget

Agenda Item

Meeting Date: April 4, 2019

TO: Board of Directors
FROM: Betty Burnett, General Manager
STAFF CONTACT: Jim Burror, Director of Operations
SUBJECT: February 2019 Operations Report

Summary/Discussion

The following selected operational reports are provided monthly to the Board of Directors. The operational reports included are as follows:

1) Monthly Operational Report

An eight (8) page overview and comparison of owner use of facilities, including influent and recycled water production. The pages include ongoing calculation used by SOCWA for billing the agencies. Other items include important statistics for regulatory compliance, visits by the public to the treatment works, and other vendor interactions. The information is broken down by facility and by member agency.

2) SOCWA Ocean Outfall Discharges by Agency

This data shows how much water is being discharged to the ocean each month and for the last 12 months. This data is presented for the agencies planning reuse projects to better understand the potential to expand water reuse in their service area.

3) Beach Ocean Monitoring Report

4) Recycled Water Report

5) Pretreatment Report

Fiscal impact

No change

Recommendation

Receive and file the Operational Reports.

Monthly Operational Report

SOCWA Operational Report February, 2019

Excursion, Complaint, and Violation Events

Events	CTP	RTP	JBL	Totals
Odor	0	0	0	0
Noise	0	0	0	0
Spills	0	0	0	0
Violations	0	0	0	0
Others	0	0	0	0

Plant Wastewater Billing Characteristics

Key Parameters	CTP	RTP	JBL TP1	JBL TP2	Totals
Influent (mgd) (1)	2.81	8.46	5.17	1.07	17.51
Effluent (mgd)	2.89	5.88	5.17	1.51	15.45
Peak Flow (mgd)	11.92	30.39	17.88	8.36	68.55
Influent BOD (mg/l)	209	256	342	282	
Influent TSS (mg/l)	306	295	584	311	
Effluent BOD (mg/l)	6.2	3.4	8.2	8.1	
Effluent TSS (mg/l)	8.2	6.5	10.3	11.5	
Effluent Turbidity (NTU)	2.7	3.4	4.4	4.4	

(1) CTP Influent value does not include AWT backwash in this table.

Recycled Water (AWT) Operations

Key Parameters	CTP(1)	RTP	JBL	Totals
Average Flow (mgd)	0.00	2.58		2.58
Days of Operation (days)	0	28		
Total Flow (million gallons)	0.0	72.2		72.2
Plant Irrigation (million gallons)	0.00	0.00	0.26	
AWT Time Online (%)	0.0	99.0		

(1) AWT offline for SOCWA AWT construction project.

Wastewater Unit Definitions

mgd = million gallons per day

mg/l = milligram per liter also known as parts per million

NTU = Nephelometric Turbidity Units

SOCWA Operational Report February, 2019 (cont'd)

Biosolids Management

Biosolids Management Site	CTP	RTP	JBL	Totals
Synagro Compost (tons)		711.7	0.0	711.7
Nursery Products (tons)		353.5	694.4	1,047.9
Prima Deshecha (tons)		18.3	16.0	34.3
Other: (tons)		0.0	0.0	0.0
Total Processed (tons)		1,083.6	710.4	1,794.0

Summary of Maintenance Activities

Task Type	CTP	RTP	JBL	Totals
Preventative Maintenance	311	517	153	981
Corrective Maintenance	24	74	73	171

Site Visitors

Visitor Types	CTP	RTP	JBL	Totals
Regulatory	0	0	0	0
Member Agency	0	5	2	7
Residents	0	0	0	0
Others	15	0	59	74
Tours #/Visitors	0	26	0	26

Grit Disposal Management

Grit & Screenings	CTP	RTP	JBL	Totals
Simi Valley Landfill (tons)	NA	34.4	NA	34.4

Chemical and Energy Utilization

Chemical/Utility	CTP	RTP	JBL	Totals
Ferric Chloride (tons)	NA	NA	NA	0.0
Utility Power Purchase (kWh)	216,213	-20,561	256,922	452,574
Cogen Power (kWh)		548,604	411,883	960,487
Natural Gas (Dth)	564	1,241	1,288	3,093
Digester Gas to Engine (scfm)		7,478,094	5,830,014	13,308,108
Digester Gas to Boiler (scfm)		0		0
Digester Gas to Flares (scfm)		167,680	330,001	497,681
Digester Gas Power Savings		\$48,842		

(1) The bills to calculate these values are received after this report is prepared for the Board meeting, but will be available upon request at the Board meeting.
 NA = Not Available at the time this report was generated.

Wastewater Unit Definitions

kWh = kilowatt hours

Dth = Dekatherms

scfm = standard cubic feet per minute

SOCWA Operational Report February, 2019 (cont'd)

Agency Wastewater Flows to SOCWA by Facility (Including Internal Waste Streams Used for Billing)

Agency	CTP (mgd)	CTP (%)	RTP (mgd)	JBL (mgd)	JBL (%)	Total (mgd)
CLB	1.804	64.16%				1.80
EBSB	0.060	2.13%				0.06
SCWD	0.948	33.71%		1.907	30.58%	2.85
MNWD	0.000	0.00%	8.46	1.400	22.45%	9.86
CSJC				2.316	37.13%	2.32
SMWD				0.614	9.84%	0.61
Total	2.812	100.00%	8.46	6.236	100.00%	17.51

Total Agency Outfall Flows by Outfall System-Billing Flows

Agency	SJCOO (mgd)	SJCOO (%)	SJCOO Meter (mgd)	ACOO (mgd)	ACOO (%)	Total (mgd)	Notes
CLB				1.80	9.81%	1.80	
EBSB				0.06	0.33%	0.06	
SCWD	1.91	10.79%		1.02	5.56%	2.93	Includes Desalters
MNWD	3.24	18.31%		5.88	31.99%	9.12	
ETWD				4.25	23.11%	4.25	Direct Outfall Only
CSJC	2.69	15.23%				2.69	Includes Desalter
SMWD	5.89	33.33%				5.89	Includes Chiquita
CSC	3.95	22.34%				3.95	Direct Outfall Only
IRWD				5.37	29.20%	5.37	Direct Outfall Only
Total	17.68	100.00%	5.44	18.39	100.00%	36.07	

SOCWA Operational Report February, 2019 (cont'd)

FY Flow/Solids Summary-Billing

Project Committee No. 2 Liquids (JBL)

Agency	Own (mgd)	Own (%)	Budget (mgd)	Budget (%)	Month (mgd)(1)	Month (%)	FY Avg to Date (mgd)	FY Avg to Date (%)
CSJC	4.00	30.77%	2.12	31.98%	2.316	37.13%	2.06	35.67%
MNWD	3.00	23.08%	1.40	21.12%	1.400	22.45%	1.40	24.23%
SCWD	3.75	28.85%	1.70	25.64%	1.907	30.58%	1.72	29.83%
SMWD	2.25	17.31%	1.41	21.27%	0.614	9.84%	0.59	10.27%
Total	13.00	100.00%	6.63	100.00%	6.236	100.00%	5.78	100.00%

Project Committee No. 2 Solids (JBL)

Agency	Own (lbs/d)	Own (%)	Budget (lbs/d)	Budget (%)	Month (lbs/d)	Month (%)	36 Month Rol. Avg. (lbs/d) (2)	36 Month Rol. Avg. (%)
CSJC	11,572	30.00%	5,767	24.54%	7,436	34.26%	5,671	26.79%
MNWD	8,340	21.62%	4,396	18.70%	6,596	30.39%	5,539	26.17%
SCWD	7,715	20.00%	5,391	22.94%	4,783	22.04%	5,255	24.83%
SMWD	10,946	28.38%	7,949	33.82%	2,892	13.32%	4,702	22.21%
Total	38,573	100.00%	23,503	100.00%	21,708	100.00%	21,166	100.00%

Project Committee No. 5 - Effluent Pumping Station (SJCOO EPS)

Agency	Own (%)	Variable Budget (mgd)	Variable Budget (%)	Month Outfall Flow (mgd)	Month Outfall Flow (%)	Month EPS Outfall Flow (mgd)	Month EPS Outfall Flow (%)
CSC	16.62%	2.531	21.57%	3.95	22.34%		
CSJC	11.08%	2.348	20.01%	2.69	15.23%	2.32	37.13%
MNWD(3)	15.51%	2.013	17.16%	3.24	18.31%	1.40	22.45%
SCWD	12.47%	1.740	14.83%	1.91	10.79%	1.91	30.58%
SMWD	44.32%	3.101	26.43%	5.89	33.33%	0.61	9.84%
Total	100.00%	11.733	3.10%	17.68	100.00%	6.24	100.00%

(1) Influent billing meter summary:

- CSJC is metered daily in the collection system. The area-velocity meter has an accuracy of +/- 20%.
- MNWD is assumed to be 1.4 mgd unless Treatment Plant 3A is discharging to the sewer. If other discharges occur, they are estimated.
- SCWD flows are the summation of the DPSD and Victoria PS meters. The two metering systems have an accuracy of +/- 10%.
- The Oso Trabuco sewer is metered daily in the collection system.
The flows from MNWD are subtracted from the metering data collected to determine SMWD's flows.
The area velocity metering system in the collection system has an accuracy of +/- 20%.

(2) The 36-month average is the average of the past 36 months. The Use Audit is based on the last 3 Fiscal Years versus the average of the past 36 months.

(3) All monthly flow data for 3A is reported as part of MNWD's flow to the ocean outfall. The actual allocation of the flows split between MNWD and SMWD is completed annually during the Use Audit.

Sources: 1) Cost Allocation Methodology for Project Committees, Dudek Engineering 2012.

- Instructions to SOCWA Staff regarding the constant flow of 1.4 MGD from MNWD and SMWD dated March 2018.

SOCWA Operational Report February, 2019 (cont'd)

FY Flow/Solids Summary-Billing (cont'd)

Project Committee No. 5 - Outfall (SJCOO)

Agency	FY Avg Outfall Flow (mgd)	FY Avg Outfall Flow (%)	FY Avg EPS Outfall Flow (mgd)	FY Avg EPS Outfall Flow (%)
CSC	2.739	24.13%		
CSJC	2.445	21.55%	2.06	35.67%
MNWD	2.327	20.50%	1.40	24.23%
SCWD	1.885	16.61%	1.72	29.83%
SMWD	1.953	17.21%	0.59	10.27%
Total	11.349	100.00%	5.78	100.00%

Project Committee No. 15 (CTP)

Agency	Own (mgd)	Own (%)	Budget (mgd)	Budget (%)	Month (mgd)	Month (%)	FY Avg to Date (mgd)	FY Avg to Date (%)
CLB	2.54	37.91%	1.770	59.00%	1.804	64.16%	1.584	62.85%
EBS	0.20	2.99%	0.050	1.67%	0.060	2.13%	0.050	1.97%
SCWD	2.00	29.85%	1.180	39.33%	0.948	33.71%	0.887	35.18%
MNWD	1.96	29.25%	0.000	0.00%	0.000	0.00%	0.000	0.00%
Total	6.70	100.00%	3.000	100.00%	2.812	100.00%	2.521	100.00%

Project Committee No. 17 Liquids (RTP)

Agency	Budget Liquids (mgd)	Budget Liquids (%)	Month Plant Influent (mgd)	Month Centrate (mgd)	Month Total (mgd)(1)	Month Total (%)	FY Avg to Date (mgd)	FY Avg to Date (%)
CLB	0.01220	0.157%	0.0000	0.0134	0.0134	0.16%	0.0152	0.19%
EBS	0.00040	0.005%	0.0000	0.0004	0.0004	0.01%	0.0005	0.01%
SCWD	0.00810	0.104%	0.0000	0.0070	0.0070	0.08%	0.0084	0.11%
ETWD	0.01410	0.181%	0.0000	0.0149	0.0149	0.17%	0.0137	0.18%
MNWD	7.75000	99.553%	8.4607	0.0543	8.5150	99.58%	7.7582	99.52%
Total	7.78480	100.000%	8.4607	0.0900	8.5508	100.00%	7.7960	100.00%

(1) Month total does not double count MNWD centrate. It is included in the Monthly Plant Influent too.

SOCWA Operational Report February, 2019 (cont'd)

FY Flow/Solids Summary (cont'd)

Project Committee No. 17 Solids (RTP)

Agency	Own (lbs/d)	Own (%)	Budget (lbs/d)	Budget (%)	Total Month (lbs)	Total Month (%)	FY Avg Total to Date (lbs)	FY Avg Total to Date (%)
CLB	5,605	11.22%	5,133	12.48%	162,421	14.85%	191,714	16.41%
EBSD	295	0.59%	158	0.38%	5,401	0.49%	6,029	0.52%
SCWD	4,480	8.96%	3,546	8.62%	85,332	7.80%	106,788	9.14%
ETWD	10,200	20.41%	5,755	13.99%	180,749	16.53%	172,845	14.80%
MNWD	29,395	58.82%	26,532	64.52%	659,639	60.32%	690,744	59.13%
Total	49,975	100.00%	41,124	100.00%	1,093,540	100.00%	1,168,119	100.00%

Project Committee No. 24 (ACOO)

Agency	Own (%)	Budget (mgd)	Budget (%)	Month Outfall Flow (mgd)	Month Outfall Flow (%)	FY Avg Outfall Flow (mgd)	FY Avg Outfall Flow (%)
CLB	11.000%	1.89	17.73%	1.804	9.81%	1.584	15.16%
EBSD	0.780%	0.05	0.47%	0.060	0.33%	0.050	0.48%
ETWD	16.302%	2.46	23.08%	4.251	23.11%	2.585	24.73%
IRWD	15.760%	2.48	23.26%	5.370	29.20%	2.927	28.01%
MNWD	43.848%	3.08	28.89%	5.883	31.99%	2.955	28.27%
SCWD	12.310%	0.70	6.57%	1.022	5.56%	0.351	3.35%
Total	100.000%	10.66	100.00%	18.391	0.00%	10.451	100.00%

SOCWA Operational Report February, 2018 (cont'd)

Select Critical Equipment Repairs

JBL - PC2

Repaired failed flush water line on the 9-side scum trough.
Cleared clog in 9-side grit cyclone from storms.
Repaired aeration header line leak.
Cleared a giant Rag Ball (debris) clog out of the plant one head works.
Removed faulty TWAS flow meter for cleaning and testing.
Removed failed RAS Pump #7 for a rebuild.
Replaced failed Digester #3 gas mixer.
Replaced failed WAS Pump #3.
SDG&E overnight power outage staffing and support.
Cleared out several grit hoppers that got plugged during the recent storms.
Rebuilt failed Caustic Pump #2.

CTP - PC15

Worked on failed Aliso Creek Ocean Outfall sampler station.
Worked on clogged AWMA Road storm drains.
Cleaned grit and debris in the Headworks building after the storm.
Replaced failed bearing in Aeration Blower #2.
Replaced failed water line near the West Secondaries.
Replaced failed bleach tubing on the East RAS Bleach system.
Replaced failed bleach transfer pump.

RTP - PC17

Storm preparations and repairs around the plant.
Worked on setting up a temporary polymer tote system to strain debris in polymer tank.
Replaced transfer joint on Centrifuge #1
Started overhaul of Centrifuge #3.
Repaired air leak on AWT 2 Cell 2 Backwash Valve
Replaced Odor Scrubber 2 Supply Fan that was at the end of its useful life.
Troubleshoot Ferric Chloride Pump #2.
Troubleshoot Digester Feed Pump #3 leak.
Repaired caustic tank delivery hookups.
Troubleshoot Mixing Tank #1 valve air leak.
Troubleshoot DAF #2 solids pump failure.

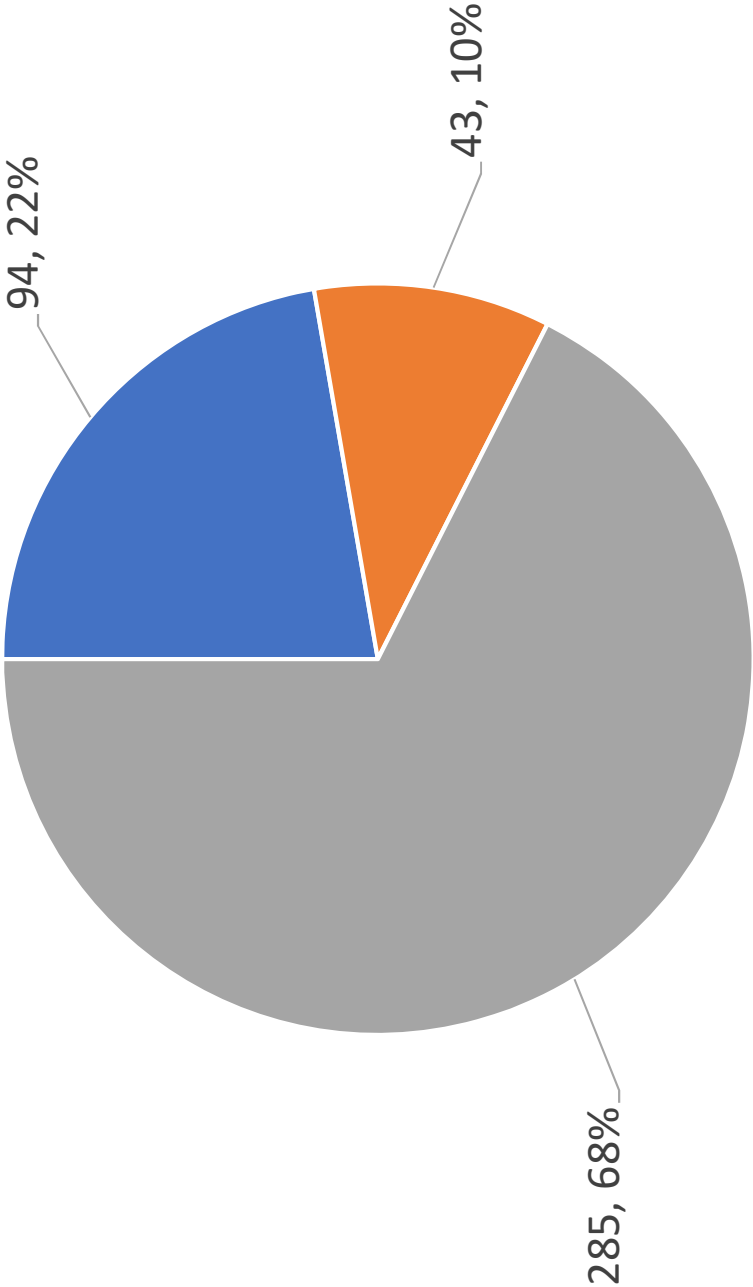
SOCWA Operational Report February, 2018 (cont'd)

Select Critical Equipment Repairs (cont'd)

ELECTRICAL - ALL PC'S

Assisted contractor with troubleshooting faulty 9-side generator.
Install thermostat to control engine fan for new engine at RTP.
Troubleshoot Factory Talk software on Centrifuge #3 at RTP.
Replaced failed exhaust gaskets on new engine at JBL.
Troubleshoot nitrate HACH SC200 analyzer at CTP.
Troubleshoot faulty AWT sump pump at CTP.
Replaced faulty contacts on JBL's Vulcan Wash Press.
Replaced failed East Grit MOV valves at CTP.
Replaced failed East Grit Tank actuators at CTP.
Troubleshoot faulty primary sludge valves at CTP.
Troubleshoot faulty primary sludge actuators at CTP.
Troubleshoot faulty wiring on sump in decant room at JBL.
Replaced faulty "Float" on Plant #2 Pump Room Sump Pump at JBL.
Troubleshoot DAF polymer mix feed pump #2 at RTP.
Replaced failed TWAS Feed Pump #4 at JBL.
Replaced failed Centrifuge 3 Feed Pump at RTP.
Replaced failed Effluent Pump #2 motor at JBL.
Troubleshoot main SCADA screen at RTP.
Troubleshoot ultrasonic display for Scrubber 3 Caustic Tank at RTP.
Troubleshoot Level Sensor Probe for SET Sump Pump 1 at RTP.

2nd Quarter (10/18 to 12/18) Critical Equipment Corrective Maintenance Repairs (422)



- Beyond Expected Life Equipment Repairs
- Within Expected Useful Life Equipment Repairs
- No installation Data or Utility Line Work

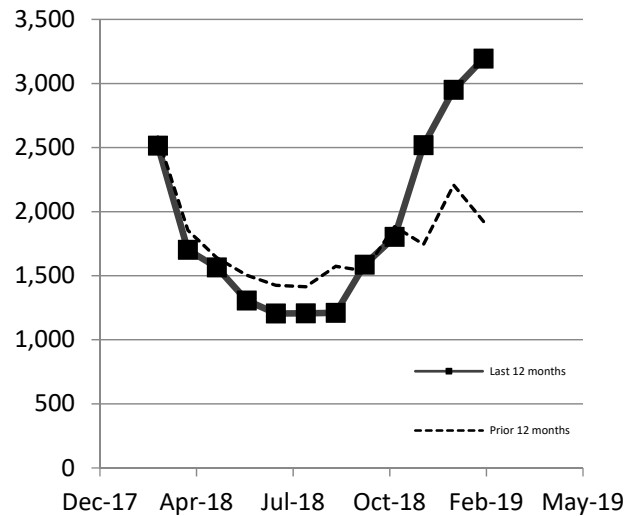
SOCWA Ocean Outfall Discharges by Agency

SOCWA Outfall Discharge Report February, 2019

Agency	SJCOO (mgd)	SJCOO (%)	ACOO (mgd)	ACOO (%)	Total (mgd)
CLB			1.80	9.81%	1.80
EBSD			0.06	0.33%	0.06
SCWD	1.91	10.79%	1.02	5.56%	2.93
MNWD	3.24	18.31%	5.88	31.99%	9.12
ETWD			4.25	23.11%	4.25
CSJC	2.69	15.23%			2.69
SMWD	5.89	33.33%			5.89
CSC	3.95	22.34%			3.95
IRWD			5.37	29.20%	5.37
Total	17.68	100.00%	18.39	100.00%	36.07
or Acre-Feet per year equivalent					40,395

12-Month Running Total Discharge to Ocean Outfalls (AF)

Feb-19	3,195
Jan-19	2,950
Dec-18	2,518
Nov-18	1,804
Oct-18	1,587
Sep-18	1,210
Aug-18	1,207
Jul-18	1,205
Jun-18	1,307
May-18	1,564
Apr-18	1,702
Mar-18	2,515
Total	22,764



Beach / Ocean Monitoring Report

Aliso Creek Outfall

ALISO CREEK OCEAN OUTFALL MONITORING REPORT

February 2019

DATE	IRWD LOS ALISOS WRP			EL TORO WRP			SOCWA REGIONAL PLANT			SOCWA COASTAL PLANT			IRWD		SCWD		ACOO		Rain Fall inches
	FLOW MGD	TSS mg/L	cBOD mg/L	SS mg/L	cBOD mg/L	SS mg/L	SS mg/L	cBOD mg/L	SS mg/L	SS mg/L	cBOD mg/L	SS mg/L	SS mg/L	cBOD mg/L	SS mg/L	SGU FLOW MGD	ACWRF FLOW MGD	FLOW MGD	
02/01/19	5.673	10.0	4.6	<0.3	3.703	<0.1	4.970	6.6	3.0	0.1	2.669	5.1	4.0	0.1	0.376	0.476	0.000	17.867	
02/02/19	6.025	11.0		<0.3	4.250		4.59				2.579				0.376	0.554	0.000	18.374	0.96
02/03/19	5.767	11.0	4.8	<0.3	4.510	13.6	6.520	5.1	3.0	0.2	3.141	3.0	3.0		0.226	0.544	0.000	20.708	0.15
02/04/19	6.343	16.0	5.4	<0.3	4.676	12.6	6.720	5.8	4.0	0.1	2.902	9.3	7.0	0.1	0.376	0.533	0.000	21.550	1.02
02/05/19	6.329	12.0	3.9	<0.3	3.514	15.6	7.080	4.2	2.0	0.1	3.180	6.7	5.0	0.1	0.375	0.531	0.000	21.009	0.20
02/06/19	5.518	11.0	4.0	<0.3	4.361	14.6	8.390	5.4	2.0	0.2	2.919	4.7	4.0	0.1	0.376	0.530	0.000	22.094	
02/07/19	3.280	10.0	5.3	<0.3	4.484	15.8	8.610	5.3	2.0	0.1	2.725	6.9	5.0	0.1	0.376	0.527	0.000	20.002	
02/08/19	3.630	9.8	4.0	<0.3	3.815	0.1	3.890	7.7	4.0	0.1	2.698	2.1	4.0	0.1	0.376	0.616	0.000	15.025	
02/09/19	4.249	8.6		<0.3	3.941		4.950				2.633				0.376	0.633	0.000	16.782	0.30
02/10/19	3.750	8.5	4.2	<0.3	3.134	7.8	5.520	5.1	3.0	0.1	2.801	19.4	11.0		0.376	0.612	0.000	16.193	0.14
02/11/19	3.783	9.8	4.2	<0.3	3.922	11.0	5.730	7.9	4.0	0.1	2.741	9.9	11.0	<0.1	0.376	0.611	0.000	17.163	
02/12/19	3.737	9.3	3.8	<0.3	3.864	8.8	6.000	4.1	2.0	0.2	2.712	9.9	8.0	0.1	0.377	0.658	0.000	17.348	
02/13/19	4.879	13.0	4.4	<0.3	4.247	9.8	4.990	8.7	3.0	0.1	2.613	6.5	5.0	0.1	0.376	0.678	0.000	17.783	0.42
02/14/19	4.612	14.0	4.2	<0.3	5.033	72.4	6.630	5.0	2.0	0.4	2.812				0.376	0.663	0.000	20.126	2.65
02/15/19	6.340	12.0	3.5	<0.3	4.884	0.3	12.030	7.0	3.0	<0.1	5.090	2.8	4.0	<0.1	0.377	0.397	0.000	29.118	0.17
02/16/19	4.351	7.6		<0.3	5.642		7.710				3.324	10.3	6.0	<0.1	0.377	0.392	0.000	21.796	0.01
02/17/19	4.154	12.0	4.2	<0.3	5.268	8.8	6.540	2.7	2.0	0.1	3.124	7.1	7.0		0.376	0.391	0.000	19.853	0.06
02/18/19	3.339	16.0	3.7	<0.3	4.898	10.4	5.980	6.2	3.0	0.1	2.920	8.3	7.0	0.1	0.377	0.391	0.000	17.905	0.01
02/19/19	4.123	26.0	5.4	<0.3	5.627	11.2	6.190	10.2	5.0	0.2	2.837	9.7	8.0	<0.1	0.376	0.598	0.000	19.751	
02/20/19	3.487	14.0	3.7	<0.3	5.544	9.4	5.590	12.5	5.0	0.2	2.727	7.9	6.0	0.1	0.377	0.630	0.000	18.355	0.28
02/21/19	4.255	16.0	5.4	<0.3	3.681	11.2	5.150	10.4	4.0	<0.1	2.824	5.1	4.0	0.1	0.377	0.621	0.000	16.908	
02/22/19	3.522	13.0	5.5	<0.3	3.823	0.1	5.480	6.4	3.0	0.2	2.730	7.1	4.0	<0.1	0.376	0.620	0.000	16.551	
02/23/19	3.929	18.0		<0.3	4.064		5.140				2.709				0.377	0.618	0.000	16.837	
02/24/19	3.268	12.0	5.9	<0.3	3.993	9.2	5.280	6.5	11.0	0.1	2.735	26.6	12.0		0.377	0.617	0.000	16.270	
02/25/19	4.273	16.0	6.0	<0.3	2.982	6.8	0.570	5.9	3.0	0.3	2.745	13.6	10.0	<0.1	0.376	0.618	0.000	11.564	
02/26/19	3.845	17.0	6.5	<0.3	3.820	6.5	5.080	5.7	5.0	0.2	2.673	8.5	7.0	0.1	0.377	0.617	0.000	16.412	
02/27/19	4.093	14.0	6.9	<0.3	3.550	6.2	4.690	5.5	2.0	0.3	2.642	2.1	3.0	<0.1	0.376	0.617	0.000	15.968	
02/28/19	3.511	13.0	7.2		3.800	9.6	4.710	6.6	2.0	0.2	2.628	5.1	4.0	<0.1	0.377	0.616	0.000	15.642	
AVG	4.431	12.9	4.9	<0.3	4.251	13.6	5.883	6.5	3.4	<0.2	2.887	8.2	6.2	<0.1	0.371	0.568	0.000	18.391	
TOTAL	124.07				119.03		164.73				80.83				10.39	15.91	0.000	514.95	6.37

Unified Beach Monitoring

#1

South Orange County Wastewater Authority-Aliso Creek Ocean Outfall

REPORT FOR: February 2019
 REPORT DUE: April 1 2019
 SAMPLE SOURCE: Surf zone
 TYPE OF SAMPLE: Grab

REPORT FREQUENCY: Monthly
 EXACT SAMPLE POINTS: As specified in Unified Monitoring Plan
 SAMPLES COLLECTED BY: SOCWA Lab
 SAMPLES ANALYZED BY: SOCWA Lab

Tidal Condition: High Tide 846

Weather: Overcast

COMMENTS:

STA#	DATE	TIME	Total Coliform		Fecal Coliform	Enterococcus	Material of Sewage Origin		Oil & Grease	Odor	Water Color	H2O Temp(F)	Water Condition	Water Outlet	Birds
			SM9222B	SM9222D			Onshore	Offshore							
S3	02/05/19	745	140	40	40	70	None	None	None	None	Green	60	Clear	Flowing	
S4	02/05/19	1010	300	400	400	86	None	None	None	None	Green		Clear		
S5	02/05/19	950	160	130	130	100	None	None	None	None	Green		Clear		
S6	02/05/19	930	240	40	40	70	None	None	None	None	Green		Clear		
WEST	02/05/19	925	220	60	60	100	None	None	None	None	Green		Clear		
S7	02/05/19	920	310	40	40	88	None	None	None	None	Green		Clear		
S8	02/05/19	915	200	70	70	94	None	None	None	None	Blue		Clear		
S9	02/05/19	905	2,100	410	410	790	None	None	None	None	Green		Clear		
ACM1	02/05/19	848	>20,000	3,400	3,400	790	None	None	None	None	Brown		Turbid	Flowing	
S10	02/05/19	840	80	10	10	30	None	None	None	None	Brown		Slightly Turbid		
S11	02/05/19	815	80	<10	<10	20	None	None	None	None	Green		Clear		
S12	02/05/19	805	200	70	70	22	None	None	None	None	Green		Clear		

RECREATIONAL WATER LIMITATIONS: Single Sample Maximum - Total coliform density shall not exceed 10,000 per 100ml; Fecal coliform density shall not exceed 400 per 100ml; Enterococcus density shall not exceed 104 per 100ml.

Unified Beach Monitoring

#2

South Orange County Wastewater Authority-Aliso Creek Ocean Outfall

REPORT FOR: February 2019

REPORT FREQUENCY: Monthly

REPORT DUE: April 1, 2019

EXACT SAMPLE POINTS: As specified in Unified Monitoring Plan

SAMPLE SOURCE: Receiving water surf zone

SAMPLES COLLECTED BY: SOCWA Lab

TYPE OF SAMPLE: Grab

SAMPLES ANALYZED BY: SOCWA Lab

Tidal Condition: High Tide 459

Weather: Clear

COMMENTS: Rain on 14th

STA#	DATE	TIME	Total Coliform		Fecal Coliform	Enterococcus	Material of Sewage Origin		Oil & Grease	Odor	Water Color	H2O Temp(F)	Water Condition	Water Outlet	Birds
			SM9222B	SM9222D			Onshore	Offshore							
S3	02/15/19	750	700	100	300	None	None	None	None	Green	60	Clear	Flowing		
S4	02/15/19	1040	500	<100	100	None	None	None	None	Green		Clear			
S5	02/15/19	1020	700	<100	200	None	None	None	None	Green		Clear			
S6	02/15/19	1000	400	200	300	None	None	None	None	Green		Clear			
WEST	02/15/19	955	1,000	100	200	None	None	None	None	Green		Slightly Turbid	Flowing		
S7	02/15/19	950	1,000	<100	200	None	None	None	None	Green		Clear			
S8	02/15/19	945	500	<100	200	None	None	None	None	Blue		Clear			
S9	02/15/19	935	2,000	400	500	None	None	None	None	Green		Clear			
ACM1	02/15/19	830	2,000	300	700	None	None	None	None	Brown		Turbid	Flowing		
S10	02/15/19	805	400	<100	90	None	None	None	None	Green		Slightly Turbid			
S11	02/15/19	900	300	100	200	None	None	None	None	Green		Clear			
S12	02/15/19	920	<100	<100	100	None	None	None	None	Green		Clear			

RECEIVING WATER LIMITATIONS: Single Sample Maximum - Total coliform density shall not exceed 10,000 per 100ml; Fecal coliform density shall not exceed 400 per 100ml; Enterococcus density shall not exceed 104 per 100ml.

Unified Beach Monitoring

#3

South Orange County Wastewater Authority-Aliso Creek Ocean Outfall

REPORT FOR: February 2019
 REPORT DUE: April 1, 2019
 SAMPLE SOURCE: Receiving water surf zone
 TYPE OF SAMPLE: Grab

REPORT FREQUENCY: Monthly
 EXACT SAMPLE POINTS: As specified in Unified Monitoring Plan
 SAMPLES COLLECTED BY: SOCWA Lab
 SAMPLES ANALYZED BY: SOCWA Lab

Tidal Condition: High Tide 904
 Weather: Partly Cloudy

COMMENTS:

STA#	DATE	TIME	Total Coliform		Enterococcus	Material of Sewage Origin		Oil & Grease	Odor	Water Color	H2O Temp(F)	Water Condition	Water Outlet	Birds
			SM9222B	SM9222D		Onshore	Offshore							
S3	02/20/19	740	10	<10	8	None	None	None	None	Green	60	Clear		
S4	02/20/19	1005	30	<10	10	None	None	None	None	Green		Clear		
S5	02/20/19	950	<10	<10	<2	None	None	None	None	Green		Clear		
S6	02/20/19	940	<10	<10	<2	None	None	None	None	Green		Clear		
WEST	02/20/19	935	10	<10	4	None	None	None	None	Green		Clear		
S7	02/20/19	930	40	<40	8	None	None	None	None	Green		Clear		
S8	02/20/19	925	10	<10	10	None	None	None	None	Blue		Clear		
S9	02/20/19	915	340	20	6	None	None	None	None	Green		Slightly Turbid	Flowing	
ACM1	02/20/19	900	>=530	10	2	None	None	None	None	Green		Slightly Turbid		
S10	02/20/19	835	20	10	2	None	None	None	None	Green		Clear		
S11	02/20/19	815	<10	10	130	None	None	None	None	Green		Clear		
S12	02/20/19	805	<10	<10	2	None	None	None	None	Green		Clear		

RECEIVING WATER LIMITATIONS: Single Sample Maximum - Total coliform density shall not exceed 10,000 per 100ml; Fecal coliform density shall not exceed 400 per 100ml; Enterococcus density shall not exceed 104 per 100ml.

Unified Beach Monitoring

#4

South Orange County Wastewater Authority-Aliso Creek Ocean Outfall

REPORT FOR: February 2019
 REPORT DUE: April 1, 2019
 SAMPLE SOURCE: Receiving water surf zone
 TYPE OF SAMPLE: Grab

REPORT FREQUENCY: Monthly
 EXACT SAMPLE POINTS: As specified in Unified Monitoring Plan
 SAMPLES COLLECTED BY: SOCWA Lab
 SAMPLES ANALYZED BY: SOCWA Lab

Tidal Condition: High Tide 442

Weather: Overcast

COMMENTS:

0.0

STA#	DATE	TIME	Total Coliform		Enterococcus	Material of Sewage Origin		Oil & Grease	Odor	Water Color	H2O Temp(F)	Water Condition	Water Outlet	Birds
			SM9222B	SM9222D		CFU/100ml	CFU/100ml							
S3	02/28/19	745	10	<10	2	None	None	None	None	Green	59	Clear		
S4	02/28/19	1015	<10	<10	<2	None	None	None	None	Green		Clear		
S5	02/28/19	955	10	<10	<2	None	None	None	None	Green		Clear		
S6	02/28/19	930	10	<10	<2	None	None	None	None	Green		Clear		
WEST	02/28/19	925	10	<10	<2	None	None	None	None	Green		Clear		
S7	02/28/19	920	10	<10	2	None	None	None	None	Green		Clear		
S8	02/28/19	910	10	<10	2	None	None	None	None	Blue		Clear		
S9	02/28/19	900	10	<10	2	None	None	None	None	Green		Clear		
ACM1	02/28/19	850	80	40	8	None	None	None	None	Green		Slightly Turbid	Flowing	
S10	02/28/19	830	10	20	2	None	None	None	None	Green		Clear		
S11	02/28/19	815	40	30	<2	None	None	None	None	Green		Clear		
S12	02/28/19	800	90	50	30	None	None	None	None	Green		Clear		

RECEIVING WATER LIMITATIONS: Single Sample Maximum - Total coliform density shall not exceed 10,000 per 100ml; Fecal coliform density shall not exceed 400 per 100ml; Enterococcus density shall not exceed 104 per 100ml.

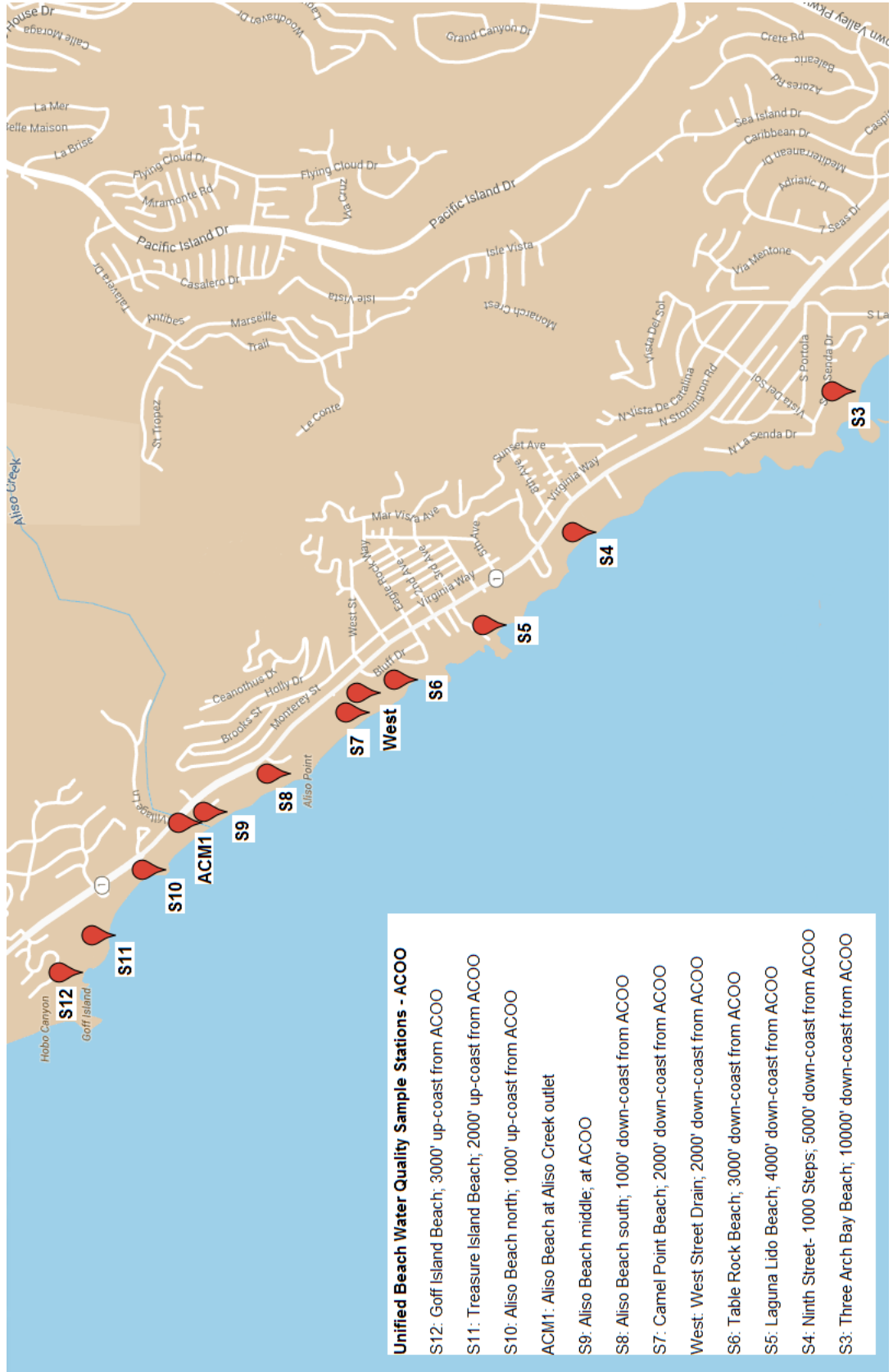
Aliso Creek Ocean Outfall

Unified Beach Water Quality Monitoring Stations

SOCWA's NPDES discharge permit requires participation in the South Orange County Unified Beach Water Quality Monitoring Program. The monitoring stations below are tested by SOCWA at least once per week for Total and Fecal Coliform and Enterococcus Bacteria.

Station	Location
S3	Three Arch Bay Beach; 10,000' down-coast from ACOO
S4	Ninth Street-1000 Steps; 5,000' down-coast from ACOO
S5	Laguna Lido Beach; 4,000 down-coast from ACOO
West	West Street Drain; 2,000' down-coast from ACOO
S6	Table Rock Beach; 3,000' down-coast from ACOO
S7	Camel Point Beach; 2,000' down-coast from ACOO
S8	Aliso Beach south; 1,000' down-coast from ACOO
S9	Aliso Beach middle; at ACOO
ACM1	Aliso Beach at Aliso Creek Outlet
S10	Aliso Beach north; 1,000' up-coast of ACOO
S11	Treasure Island Beach; 2,000' up-coast of ACOO
S12	Goff Island Beach; 3,000' up-coast of ACOO

Unified Beach Water Quality Sample Station Map – Aliso Creek Ocean Outfall



MONITORING REPORT

Off Shore Stations

South Orange County Wastewater Authority

DISCHARGE: Aliso Creek Ocean Outfall

REPORT FOR: February 2019

REPORT DUE: April 1, 2019

SAMPLE SOURCE: Receiving water, nearshore and offshore

EXACT SAMPLE POINTS: As specified in permit

SAMPLES COLLECTED BY: Seaventures/SOCWA staff

SAMPLES ANALYZED BY: SOCWA Lab

REPORT FREQUENCY: Monthly

SAMPLING FREQUENCY: Monthly

TYPE OF SAMPLE: Grab

Comments: Low Tide 1033

Sta No.	Sample Depth	Sample Date	Total Coliform	Fecal Coliform	Enterococcus	Sample Time	Oil & Grease	Sewage Debris	0 - None 1 - Mild 2 - Moderate 3 - Severe
			CFU/100ml SM9222B	CFU/100ml SM9222D	CFU/100ml EPA 1600				
A-1	Surface	02/13/19	<2	<2	<2	802	0	0	
A-1	Mid depth	02/13/19	180	180	10				
A-2	Surface	02/13/19	<2	<2	<2	750	0	0	
A-2	Mid depth	02/13/19	<10	<10	<10				
A-3	Surface	02/13/19	<2	<2	<2	755	0	0	
A-3	Mid depth	02/13/19	40	10	10				
A-4	Surface	02/13/19	<2	<2	<2	806	0	0	
A-4	Mid depth	02/13/19	40	50	10				
A-5	Surface	02/13/19	<2	<2	<2	758	0	0	
A-5	Mid depth	02/13/19	80	70	<10				
B-1	Surface	02/13/19	<2	<2	<2	742	0	0	
B-1	Mid depth	02/13/19	<10	<10	<10				
B-2	Surface	02/13/19	<2	<2	<2	814	0	0	
B-2	Mid depth	02/13/19	<10	<10	<10				
N1	Surface	02/13/19	6	<2	<2	828	0	0	
N2	Surface	02/13/19	<2	<2	<2	826	0	0	
N3	Surface	02/13/19	12	<2	4	825	0	0	
N4	Surface	02/13/19	4	6	<2	824	0	0	
N5	Surface	02/13/19	4	<2	4	823	0	0	
N6	Surface	02/13/19	6	4	<2	822	0	0	
N7	Surface	02/13/19	<2	<2	<2	821	0	0	

REQUIREMENT: (1) Floating particulates and grease and oil shall not be visible. (2) The discharge of wasteshall not cause aesthetically undesirable discoloration of the ocean surface.

**Compliance Summary Report
Aliso Creek Ocean Outfall 2019**

ACOO Permit Order No. R9-2012-0013							
Agency - Facility	Violation Date	Constituent	Effluent Limit Violation	Units	Permit Limit	Reported Value	Potential Fine
No violations during this reporting period.							



SOCWA and MEMBER AGENCY FACILITIES
ACOO Spill / Overflow Report Log - 2019
Order No. R9-2012-0013 ~ NPDES Permit No. CA0107611

Reporting Agency	Responsible Agency	Estimated Volume (Gallons)	Type of Discharge	Location/Comments	Receiving Waters	Date Reported To State	Date Resolved
No spills during this monitoring period.							

San Juan Creek Outfall

SAN JUAN CREEK OCEAN OUTFALL MONITORING REPORT

February 2019

DATE	J.B. LATHAM FACILITY			SAN CLEMENTE WRP			SMWD CHIQUITA WRP			3-A PLANT			CSJC		SCWD		SJCOO		Rain Fall inches		
	FLOW MGD	TSS mg/L	cBOD mg/L	SS m/L	FLOW MGD	TSS mg/L	cBOD mg/L	SS m/L	FLOW MGD	TSS mg/L	cBOD mg/L	SS m/L	Desalter FLOW MGD	Desalter FLOW MGD	Desalter FLOW MGD	Desalter FLOW MGD	Desalter FLOW MGD	Desalter FLOW MGD		Desalter FLOW MGD	
02/01/19	6.120	11.2	7.6	<0.1	3.805	8.2	6.0	<0.1	2.770	5.8	2.6	<0.1	1.775	7.0	5.0	0.1	0.000	No Flow	16.350		
02/02/19	5.800				3.129				4.990				1.598				0.000	No Flow	14.830		0.96
02/03/19	8.110	9.6	10.3		4.880				4.420				2.453				0.000	No Flow	21.640		0.15
02/04/19	7.190	9.2	8.8	0.1	4.211	8.6	8.0	<0.1	5.380	6.7	16.0	0.1	2.320	6.0	14.0	0.1	0.000	No Flow	19.440		1.02
02/05/19	7.600	6.7	6.5	0.1	4.480	7.7	7.0	<0.1	5.940	6.6	12.5	<0.1	2.344	5.0	14.0	0.1	0.235	No Flow	21.590		0.20
02/06/19	7.110	10.0	6.8	0.5	3.871	6.3	8.0	<0.1	5.150	5.0	2.5	<0.1	0.414	4.0	4.0	0.3	0.526	No Flow	19.000		
02/07/19	6.400	14.2	11.9	0.1	3.920	6.6	8.0	<0.1	4.570	5.6	3.2	<0.1	0.075	7.0	4.0	0.6	0.520	No Flow	17.200		
02/08/19	6.210	11.7	8.4	0.1	3.553	5.9	6.0	<0.1	3.100	3.7	3.8	0.1	1.486	26.0	15.0	1.5	0.497	No Flow	17.560		
02/09/19	5.940				3.420				4.530				1.808				0.509	No Flow	16.460		0.30
02/10/19	6.130	6.2	7.2		3.830				5.480				2.014				0.506	No Flow	18.600		0.14
02/11/19	6.070	11.4	8.9	0.1	2.925	8.0	8.0	<0.1	5.140	4.9	16.0	<0.1	1.009	5.0	15.0	<0.1	0.465	No Flow	19.380		
02/12/19	5.990	13.0	9.2	0.1	4.820	6.8	7.0	<0.1	5.120	6.0	14.2	<0.1	1.050	7.0	13.0	<0.1	0.433	No Flow	18.710		
02/13/19	5.720	7.7	4.6	0.2	3.350	6.8	5.0	<0.1	6.690	6.8	5.0	<0.1	1.878	5.0	6.0	<0.1	0.428	No Flow	18.170		0.42
02/14/19	8.020	6.7	5.3	2.0	4.760	11.1	5.0	<0.1	9.790	6.4	4.8	4.5	2.504	6.0	5.0	<0.1	0.427	No Flow	24.270		2.65
02/15/19	12.300	13.9	8.5	<0.1	6.510	8.1	5.0	<0.1	6.790	116.0	27.5	<0.1	3.323	5.0	3.0	<0.1	0.422	No Flow	34.440		0.17
02/16/19	7.700				3.920				6.040				2.258				0.412	No Flow	22.910		0.01
02/17/19	6.950	5.4	4.5		4.210				5.790				2.122				0.450	No Flow	20.820		0.06
02/18/19	6.510	5.9	4.9	0.1	4.000	12.3	7.0	<0.1	5.750	2.6	15.8	<0.1	2.002	4.0	15.0	<0.1	0.451	No Flow	19.860		0.01
02/19/19	6.560	16.5	10.8	<0.1	3.950	9.1	7.0	<0.1	5.260	2.3	14.5	<0.1	2.104	7.0	13.0	<0.1	0.459	No Flow	20.290		
02/20/19	6.260	9.9	7.0	0.1	3.760	7.2	5.0	<0.1	5.590	8.3	4.7	0.3	1.882	9.0	6.0	<0.1	0.461	No Flow	18.650		0.28
02/21/19	6.280	10.3	6.0	<0.1	4.080	8.8	4.0	<0.1	6.210	14.3	7.1	0.4	1.951	4.0	5.0	<0.1	0.455	No Flow	19.990		
02/22/19	6.100	15.1	10.2	0.1	3.700	4.7	4.0	<0.1	4.450	1.3	3.0	<0.1	1.828	3.0	6.0	<0.1	0.312	No Flow	19.820		
02/23/19	6.070	10.4	8.0		3.520				3.350				1.815				0.442	No Flow	17.240		
02/24/19	6.100	15.0	12.5		3.800				4.740				1.897				0.442	No Flow	16.570		
02/25/19	5.930	14.4	13.7	0.1	3.750	8.1	8.0	<0.1	5.500	10.4	13.0	0.1	2.027	8.0	12.0	<0.1	0.377	No Flow	17.450		
02/26/19	6.020	12.5	9.4	0.1	3.630	7.0	4.0	<0.1	4.900	9.8	12.2	3.5	1.878	10.0	12.0	<0.1	0.441	No Flow	18.240		
02/27/19	5.590	9.7	7.6	0.2	3.680	8.7	6.0	<0.1	5.190	8.0	3.9	0.3	1.820	12.0	9.0	0.1	0.437	No Flow	14.120		
02/28/19	5.860	9.5	5.8	0.2	3.080	5.7	5.0	<0.1	5.150	7.0	3.8	<0.1	1.810	5.0	4.0	<0.1	0.436	No Flow	13.240		
AVG	6.666	10.6	8.2	<0.2	3.948	7.8	6.2	<0.1	5.278	11.9	9.3	<0.5	1.837	7.3	9.0	<0.2	0.377	No Flow	19.173		6.37
TOTAL	186.640				110.544				147.780				51.445				10.543	No Flow	536.840		

Unified Beach Monitoring

#1

South Orange County Wastewater Authority-San Juan Creek Ocean Outfall

REPORT FOR: February 2019

REPORT FREQUENCY: Monthly

REPORT DUE: April 1, 2019

EXACT SAMPLE POINTS: As specified in Unified Monitoring Plan

SAMPLE SOURCE: Receiving water surf zone

SAMPLES COLLECTED BY: SOCWA Lab

TYPE OF SAMPLE: Grab

SAMPLES ANALYZED BY: SOCWA Lab

Tidal Condition: High Tide 948

Weather: Clear

COMMENTS:

STA#	DATE	TIME	Total Coliform		Enterococcus	Material of Sewage Origin			Oil & Grease	Odor	Water Color	H2O Temp(F)	Water Condition	Water Outlet	Birds		
			CFU/100ml	SM9222B		CFU/100ml	SM9222D	CFU/100ml								Onshore	Offshore
			SM9222B	EPA 1600		EPA 1600	Onshore	Offshore								Onshore	Offshore
S0	02/07/19	950	600	300	900	None	None	None	None	Brown	61	Slightly Turbid					
S1	02/07/19	1000	200	100	300	None	None	None	None	Green		Slightly Turbid					
S2	02/07/19	930	>=700	80	300	None	None	None	None	Brown		Slightly Turbid					
DSB5	02/07/19	905	2,000	800	100	None	None	None	None	Brown		Turbid	Flowing				
S3	02/07/19	1010	200	20	100	None	None	None	None	Green		Slightly Turbid					
DSB4	02/07/19	1020	200	60	100	None	None	None	None	Green		Slightly Turbid					
S5	02/07/19	1030	100	20	60	None	None	None	None	Green		Slightly Turbid					
DSB1	02/07/19	1035	200	20	40	None	None	None	None	Green		Slightly Turbid					
SJC1	02/07/19	940	1,000	1,000	3,000	None	None	None	None	Brown		Turbid	Flowing				

RECEIVING WATER LIMITATIONS: Single Sample Maximum - Total coliform density shall not exceed 10,000 per 100ml; Fecal coliform density shall not exceed 400 per 100ml; Enterococcus density shall not exceed 104 per 100ml.

Unified Beach Monitoring

#2

South Orange County Wastewater Authority-San Juan Creek Ocean Outfall

REPORT FOR: February 2019

REPORT FREQUENCY: Monthly

REPORT DUE: April 1, 2019

EXACT SAMPLE POINTS: As specified in Unified Monitoring Plan

SAMPLE SOURCE: Receiving water surf zone

SAMPLES COLLECTED BY: SOCWA Lab

TYPE OF SAMPLE: Grab

SAMPLES ANALYZED BY: SOCWA Lab

Tidal Condition: low tide 858

Weather: Partly Cloudy

COMMENTS:

STA#	DATE	TIME	Total Coliform		Enterococcus	Material of Sewage Origin			Oil & Grease	Odor	Water Color	H2O Temp(F)	Water Condition	Water Outlet	Birds
			CFU/100ml SM9222B	CFU/100ml SM9222D		CFU/100ml EPA 1600	Onshore	Offshore							
S0	02/12/19	912	<20	20	40	None	None	None	None	Brown	58	Turbid			
S1	02/12/19	920	40	<20	34	None	None	None	None	Brown		Turbid			
S2	02/12/19	855	420	380	760	None	None	None	None	Brown		Turbid			
DSB5	02/12/19	840	40	60	300	None	None	None	None	Brown	58	Turbid			
S3	02/12/19	925	40	<20	60	None	None	None	None	Brown		Turbid			
DSB4	02/12/19	927	20	<20	30	None	None	None	None	Brown		Turbid			
S5	02/12/19	935	<20	<20	10	None	None	None	None	Brown		Turbid			
DSB1	02/12/19	946	20	20	10	None	None	None	None	Brown		Turbid			
C1	02/12/19	905	300	100	70	None	None	None	None	Brown		Turbid	Flowing	500	

RECEIVING WATER LIMITATIONS: Single Sample Maximum - Total coliform density shall not exceed 10,000 per 100ml; Fecal coliform density shall not exceed 400 per 100ml; Enterococcus density shall not exceed 104 per 100ml.

Unified Beach Monitoring

#3

South Orange County Wastewater Authority-San Juan Creek Ocean Outfall

REPORT FOR: February 2019
 REPORT DUE: April 1, 2019
 SAMPLE SOURCE: Receiving water surf zone
 TYPE OF SAMPLE: Grab

REPORT FREQUENCY: Monthly
 EXACT SAMPLE POINTS: As specified in Unified Monitoring Plan
 SAMPLES COLLECTED BY: SOCWA Lab
 SAMPLES ANALYZED BY: SOCWA Lab

Tidal Condition: High Tide 951
 Weather: Partly Cloudy

COMMENTS:

STA#	DATE	TIME	Total Coliform		Enterococcus	Material of Sewage Origin			Oil & Grease	Odor	Water Color	H2O Temp(F)	Water Condition	Water Outlet	Birds		
			CFU/100ml	SM9222B		CFU/100ml	SM9222D	CFU/100ml								Onshore	Offshore
			>=1,000	>=500		>=500	EPA 1600	None								None	None
S0	02/21/19	840	>=1,000	40	300	None	None	None	None	Brown	61	Turbid					
S1	02/21/19	845	>=500	200	400	None	None	None	None	Brown		Turbid					
S2	02/21/19	815	300	100	80	None	None	None	None	Brown		Turbid					
DSB5	02/21/19	800	5,000	700	700	None	None	None	None	Brown		Turbid					
S3	02/21/19	850	>=500	100	300	None	None	None	None	Brown		Turbid					
DSB4	02/21/19	855	700	80	400	None	None	None	None	Brown		Turbid					
S5	02/21/19	905	>=700	100	400	None	None	None	None	Brown		Turbid					
DSB1	02/21/19	915	>=400	100	300	None	None	None	None	Brown		Turbid					
C1	02/21/19	825	>=2,000	600	1,000	None	None	None	None	Brown		Turbid	Flowing				

RECEIVING WATER LIMITATIONS: Single Sample Maximum - Total coliform density shall not exceed 10,000 per 100ml; Fecal coliform density shall not exceed 400 per 100ml; Enterococcus density shall not exceed 104 per 100ml.

Unified Beach Monitoring

#4

South Orange County Wastewater Authority-San Juan Creek Ocean Outfall

REPORT FOR: February 2019
 REPORT DUE: April 1, 2019
 SAMPLE SOURCE: Receiving water surf zone
 TYPE OF SAMPLE: Grab

REPORT FREQUENCY: Monthly
 EXACT SAMPLE POINTS: As specified in Unified Monitoring Plan
 SAMPLES COLLECTED BY: SOCWA Lab
 SAMPLES ANALYZED BY: SOCWA Lab

Tidal Condition: low tide 954
 Weather: Partly Cloudy

COMMENTS:

STA#	DATE	TIME	Total Coliform		Enterococcus	Material of Sewage Origin		Oil & Grease	Odor	Water Color	H2O Temp(F)	Water Condition	Water Outlet	Birds
			SM9222B	SM9222D		Onshore	Offshore							
			CFU/100ml	CFU/100ml		CFU/100ml	EPA 1600							
S0	02/26/19	930	280	100	480	None	None	None	None	Brown	58	Turbid		
S1	02/26/19	940	40	20	52	None	None	None	None	Brown		Turbid		
S2	02/26/19	855	20	20	20	None	None	None	None	Brown		Turbid		
DSB5	02/26/19	850	200	60	70	None	None	None	None	Brown		Turbid		
S3	02/26/19	945	20	<20	10	None	None	None	None	Brown	58	Turbid		
DSB4	02/26/19	946	20	<20	20	None	None	None	None	Brown		Turbid		
S5	02/26/19	955	<20	20	<2	None	None	None	None	Brown		Turbid		
DSB1	02/26/19	959	<20	<20	<2	None	None	None	None	Brown		Turbid		
C1	02/26/19	925	1,200	200	600	None	None	None	None	Brown		Turbid	Flowing	500

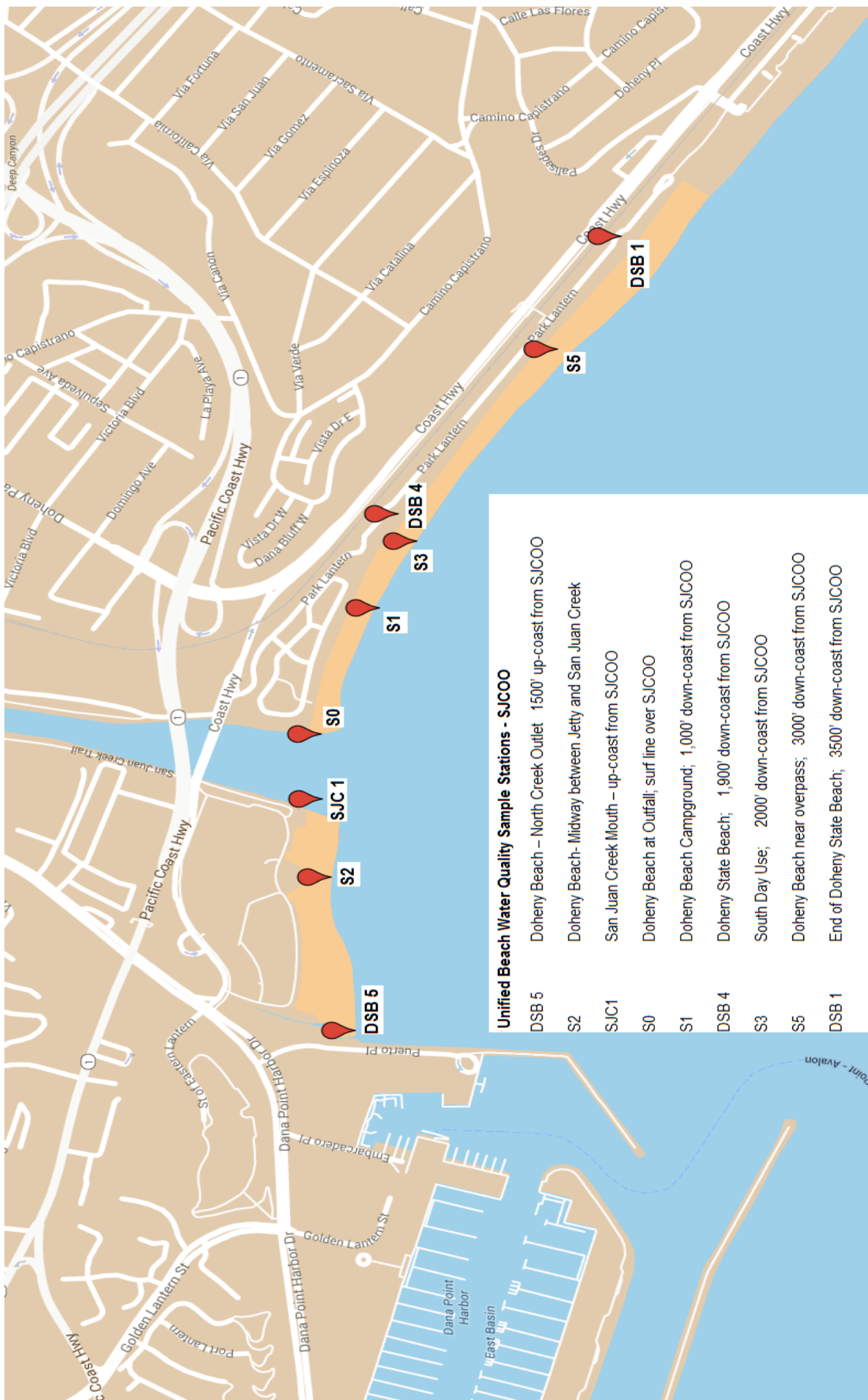
RECEIVING WATER LIMITATIONS: Single Sample Maximum - Total coliform density shall not exceed 10,000 per 100ml; Fecal coliform density shall not exceed 400 per 100ml; Enterococcus density shall not exceed 104 per 100ml.

San Juan Creek Ocean Outfall

Unified Beach Water Quality Monitoring Stations

SOCWA's NPDES discharge permit requires participation in the South Orange County Unified Beach Water Quality Monitoring Program. The monitoring stations below are tested by SOCWA at least once per week for Total and Fecal Coliform and Enterococcus Bacteria.

Station	Location
DSB 5	Doheny Beach – North Creek Outlet 1500' up-coast from SJCOO
S2	Doheny Beach- Midway between Jetty and San Juan Creek
SJC1	San Juan Creek Mouth – up-coast from SJCOO
S0	Doheny Beach at Outfall; surf line over SJCOO
S1	Doheny Beach Campground; 1,000' down-coast from SJCOO
DSB 4	Doheny State Beach; 1,900' down-coast from SJCOO
S3	South Day Use; 2000' down-coast from SJCOO
S5	Doheny Beach near overpass; 3000' down-coast from SJCOO
DSB 1	End of Doheny State Beach; 3500' down-coast from SJCOO



MONITORING REPORT

Offshore

South Orange County Wastewater Authority

DISCHARGE: San Juan Creek Ocean Outfall

REPORT FOR: February 2019

REPORT FREQUENCY: Monthly

REPORT DUE: April 1, 2019

SAMPLE SOURCE: Receiving water, nearshore and offshore

SAMPLING FREQUENCY: Monthly

EXACT SAMPLE POINTS: As specified in permit

TYPE OF SAMPLE: Grab

SAMPLES COLLECTED BY: Seaventures/SOCWA staff

SAMPLES ANALYZED BY: SOCWA Lab

Comments: Low Tide 1033

Sta No.	Sample Depth	Sample Date	Total Coliform CFU/100ml SM9222B	Fecal Coliform CFU/100ml SM9222D	Enterococcus CFU/100ml EPA 1600	Sample Time	Oil & Grease	Sewage Debris	0 - None 1 - Mild 2 - Moderate 3 - Severe
A-1	Surface	02/13/19	2	2	<2	927	0	0	
A-1	Mid depth	02/13/19	30	<10	<10				
A-2	Surface	02/13/19	<2	<2	<2	930	0	0	
A-2	Mid depth	02/13/19	10	<10	<10				
A-3	Surface	02/13/19	<2	<2	<2	933	0	0	
A-3	Mid depth	02/13/19	<10	<10	<10				
A-4	Surface	02/13/19	4	2	<2	939	0	0	
A-4	Mid depth	02/13/19	20	<10	10				
A-5	Surface	02/13/19	30	30	6	937	0	0	
A-5	Mid depth	02/13/19	160	60	10				
B-1	Surface	02/13/19	4	<2	<2	917	0	0	
B-1	Mid depth	02/13/19	<10	<10	<10				
B-2	Surface	02/13/19	2	<2	<2	946	0	0	
B-2	Mid depth	02/13/19	20	10	<10				
N1	Surface	02/13/19	2	<2	<2	908	0	0	
N2	Surface	02/13/19	<2	2	<2	905	0	0	
N3	Surface	02/13/19	2	<2	<2	901	0	0	
N4	Surface	02/13/19	76	40	32	858	0	0	
N5	Surface	02/13/19	22	12	14	856	0	0	
N6	Surface	02/13/19	6	6	10	853	0	0	

REQUIREMENT: (1) Floating particulates and grease and oil shall not be visible. (2) The discharge of waste shall not cause aesthetically undesirable discoloration of the ocean surface.

**Compliance Summary Report
San Juan Creek Ocean Outfall 2019**

Agency	Violation Date	Constituent	Effluent Limit Violation	Units	Permit Limit	Reported Value	Potential Fine
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No Violations During this Reporting Period

**SOCWA and MEMBER AGENCY FACILITIES
 SJCOO Spill / Overflow Report Log - 2019
 Order No. R9-2012-0012 ~ NPDES Permit No. CA0107417**

Reporting Agency	Responsible Agency	Estimated Volume (Gallons)	Type of Discharge	Location/Comments	Receiving Waters	Date Reported To State	Date Resolved
				No Spills for this Monitoring Period			

Recycled Water Report

Compliance Summary Report
Recycled Water Permit
2019

Waste Discharge Requirement Order 97 - 52

Agency - Facility	Violation Date	Constituent	Effluent Limit Violation	Units	Permit Limit	Reported Value	Remarks
TCWD - RRWRP	10/19/2018	Nitrate as NO3	Annual	mg/L	45	68	Results reported in January. Agency to begin quarterly accelerated monitoring.
SOCWA - RTP	1/16/2019- 1/22/2019	Coliform	30-day Max	cfu/100mL	23	37-548	There were six instances of exceedances of MPN greater than 23 cfu/100mL. Permit limits no more than one sample in excess of 23 in 30 day period. Chlorine contact basin past scheduled annual maintenance due to storm as key contributor to exceedances. Chlorine contact basin cleaned early February.
SOCWA - RTP	1/16/2019	Coliform	Instantaneous	cfu/100mL	240	548	Chlorine contact basin past scheduled annual maintenance due to storm as key contributor to exceedances. Chlorine contact basin cleaned early February.
SOCWA - RTP	1/22/2019- 1/30/2019	Coliform	7 Day Median	cfu/100mL	2.2	3-37	There were nine exceedances of the 7 day median. Chlorine contact basin past scheduled annual maintenance due to storm as key contributor to exceedances. Chlorine contact basin cleaned early February.
SOCWA - RTP	1/3/2019	Manganese	12-Month	mg/L	0.05	0.07	
SOCWA - RTP	1/3/2019	TDS	12-Month	mg/L	1000	1024	SOCWA began accelerated monitoring.
SMWD - 3A	1/1/2019	Manganese	12-Month	mg/L	0.05	0.06	Plant offline but running annual average continues to be above the permit limit.
SOCWA - RTP	2/5/2019	Manganese	12-Month	mg/L	0.05	0.07	
SOCWA - RTP	2/5/2019	TDS	12-Month	mg/L	1000	1072	
SOCWA - CTP	2/28/2019	Manganese	12-Month	mg/L	0.05	0.06	Plant offline but running annual average continues to be above the permit limit.
SMWD - 3A	2/7/2019	Manganese	12-Month	mg/L	0.05	0.06	
SMWD - 3A	2/9/2019	Coliform	Instantaneous	cfu/100mL	<2	2419	Suspected sample contamination.

SOUTH ORANGE COUNTY WASTEWATER AUTHORITY

QUARTERLY RECYCLED WATER MONITORING

Monitoring Period Ending: Feb 28, 2019

Constituent	Units	12-month Avg Maximum Permit Limit	IRWD-LAWRP 12-month Average	ETWD-WRP 12-month Average	TCWD 12-month Average	SMWD Oso 12-month Average	SMWD Chiquita 12-month Average	SMWD Nichols 12-month Average	MNWD-3A 12-month Average	MNWD-RTP 12-month Average	SCWD-CTP 12-month Average
TDS	mg/L	1000	757 *	944 **	962	773	824	833	777	1,111	890
Chloride	mg/L	375	143	211	261	174	194	233	196	268	255
Sulfate	mg/L	400	225	274	273	210	203	183	183	365	232
Sodium	mg/L	None	145	173	190	155	163	183	145	183	177
Alkalinity	mg/L	None	-	-	-	-	-	-	240	262	182
Adjusted SAR	Ratio	None	5.05	-	4.73	4.97	5.20	5.72	5.00	4.06	4.05
Iron	mg/L	0.3	0.056	0.073	0.020	0.050	0.145	0.011	0.13	0.155	0.097
Manganese	mg/L	0.05	0.031	0.011	0.013	0.024	0.040	0.010	0.064	0.078	0.059
MIBAS	mg/L	0.5	0.07	0.00	0.16	0.08	0.18	0.09	0.03	0.03	0.03
Boron	mg/L	0.75	0.24	0.265	0.303	0.367	0.378	0.328	0.33	0.330	0.34
Fluoride	mg/L	None	0.33	0.995	0.26	0.873	0.67	0.59	0.86	0.94	0.70
Total Organic Carbon	mg/L	None	10.5	-	10.1	13.1	10.8	7.8	10.2	13.4	10.0

* The LAWRP 12-month permit limits are listed below:
 TDS 1000 mg/L
 Chloride 180 mg/L
 Sulfate 340 mg/L

**The ETWD 12-month permit limits are listed below:
 TDS 910 mg/L

*** The CTP 12-month permit limits are listed below:
 TDS 1200 mg/L
 Chloride 400 mg/L
 Sulfate 500 mg/L

SOCWA Service Area
Recycled Water Production (ac-ft) 2019

Agency	Facility or Region	Jan '19	Feb '19	Mar '19	Apr '19	May '19	Jun '19	Jul '19	Aug '19	Sep '19	Oct '19	Nov '19	Dec '19	Annual Totals
CSJC 1	3-A Plant/MNWD	0.00	0.00											0.00
CSJC 2	Chiquita/SMWD	0.18	3.18											3.36
CSJC 3	Non-Domestic Well	13.29	1,000.21											1,013.50
ETWD	Region 8	23.64	10.09											33.73
IRWD														
	⁴ IRWD - 8	35.00	11.25											46.26
	⁴ IRWD - 9	15.72	2.97											18.69
SCWD	SOCWA CTP	-0.83	0.00											-0.83
MNWD	JRP	245.54	221.48											467.02
	3-A Plant	0.00	14.32											14.32
	⁵ CTP	-10.71	1.48											-9.23
SMWD	Oso Creek	103.42	142.27											245.70
	Chiquita	179.53	45.27											224.80
	Nichols	2.00	1.97											3.97
TCWD	RRWRP	41.93	23.76											65.69
TOTALS		648.73	1,478.25											2,126.98

- 1 Denotes transfer of recycled water from MNWD (3A Plant) for use in the CSJC service area. Not counted as additional production.
- 2 Denotes recycled water purchased from SMWD Chiquita-WRP used in the CSJC service area. Not counted as additional production.
- 3 Denotes non-domestic groundwater produced from wells used for landscape irrigation.
- 4 IRWD production is from recycled water production, non-potable water wells, and surface water impoundments.
- 5 Denotes transfer of recycled water from SCWD (SOCWA CTP) for use in the MNWD service area. Not counted as additional production.

Note: All of ETWD reclaimed water produced and used in Region 8.

NR = No Report

Pretreatment Report

Agenda Item

Legal Counsel Review: N/A

Meeting Date: April 4, 2019

TO: SOCWA Board of Directors
FROM: Betty Burnett, General Manager
STAFF CONTACT: Katie Greenwood, Source Control Manager
SUBJECT: Monthly Pretreatment Report, February and March 2019
San Juan Creek Ocean Outfall
NPDES Permit #CA0107417 Order #R9-2012-0012
Aliso Creek Ocean Outfall
NPDES Permit #CA0107611 Order #R9-2012-0013

Summary of Program Activities

State contractors conducted a Pretreatment Compliance Audit (PCA) of IRWD's Pretreatment Program on March 15-16, 2019. SOCWA staff attended the interview portion of the audit to help answer questions regarding pretreatment program implementation in the SOCWA Lake Forest area. The PCA produced no preliminary findings in the SOCWA Lake Forest service area.

EPA published a new rule for Management Standards for Hazardous Waste Pharmaceuticals on February 22, 2019. The new Rule prohibits the discharge of any hazardous pharmaceutical wastes to a POTW (a new specific prohibition). The effective date of the sewer-related prohibition is six (6) months after publication, August 22, 2019. EPA Region 9 and EPA Headquarters are not expecting POTWs to modify their pretreatment programs to incorporate this prohibition since it is a Resource Conservation and Recovery Act (RCRA) regulation revision, not a Clean Water Act/pretreatment regulation revision (and therefore, not a reason to revise pretreatment legal authority, unless POTWs want to for added notification). SOCWA maintains a general prohibition against the discharge of toxic or hazardous waste but may be required to add a more specific prohibition for pharmaceutical wastes. SOCWA staff may be required to also notify health care facilities of the new Rule under 40 CFR Section 403.8(f)(2)(iii). Additionally, this Rule may impact at least one vitamin manufacturer in the SOCWA service area presently permitted to discharge facility wash-down wastewater to the sewer. Staff will be seeking clarification from EPA Staff over the next few months.

State contractors conducted a PCA of SOCWA's Pretreatment Program on December 5-6, 2018. The following two categorical industrial user (CIU) files and facilities were inspected:

CSC-South Coast Fashion Jewelry

SMWD-Applied Medical (004)

Preliminary findings were presented verbally and include the requirement to modify permit language to state that notification is required if any change in facility operations occur that affect the potential for a slug load discharge. Several recommendations for program improvement were also given verbally and include:

- 1) SOCWA should ensure that permit language singles out and clearly states the required self-monitoring frequency instead of bolding or highlighting the required frequency.

- 2) SOCWA should review facility factsheets and ensure that they state that sampling compliance history has been established for certain pollutants found not to be present, and is the reason why monitoring for those pollutants is not performed regularly.

SOCWA Staff continues to wait on issuance of the report and now understands that it should be issued by June 2019.

Permit Related Activities

The following Wastewater Discharge (WD) Permits, Special Wastewater Discharge (SWD) Permits, Nuisance Water-Special Wastewater Discharge (NSWD) Permits, Non-Industrial Wastewater Discharge (NIWD) forms, and BMP letters were issued or are in the process of being drafted for issuance:

CLB - NSWD Permit No. CLB-N4-001 – Multiple Diversions – Renewal SWD Permit to continue to allow dry weather diversion flows to be discharged to the sewer. A NSWD renewal permit application was received on June 18, 2018, and a renewal permit issued on July 30, 2018. SCWD and CLB have worked out a New Agreement for three diversion structures located in SCWD jurisdiction and operated by CLB Staff. The new Agreement was finalized and signed during the SCWD Board meeting on November 15, 2018, and is currently awaiting the signature of the CLB Manager. Once signed, SOCWA will modify the CLB NSWD Permit and attach the Agreement.

SCWD – NSWD Permit No. SCWD-N4-010 – Three Arch Bay Community – Renewal NSWD Permit to continue to allow dry weather diversion flows to be discharged to the sewer. A NSWD renewal permit application was received on November 13, 2018, and a renewal permit issued on March 6, 2019.

Inspections

CSC – On March 13, 2019, SOCWA Staff inspected Regenesis, located at 1011 Calle Sombra #100, San Clemente, CA 92673. Inspection findings require the site to purchase non-expired pH buffer solutions for use in calibration of pH meters and recording and logging of each pH meter calibration performed.

IRWD – On March 12, 2019, SOCWA Staff inspected Beacon Bay Enterprises-Groundwater Remediation site, located at 23581 Rockfield Boulevard, Lake Forest, CA 92630. The inspection yielded no major findings and verified current equipment and procedures presently in use at the site.

Public Outreach

Staff participated in the annual Ocean Awareness Day as part of the Festival of Whales on March 3, 2019. SOCWA Staff answered questions from the public regarding SOCWA's ocean outfalls and water quality impacts and handed out educational flyers and brochures related to sewer prohibitions and proper disposal of hazardous materials. Staff also gave away items such as paper straws and rulers and pencils.

Trainings and Committee Meetings Attended

On February 28, 2019, Staff attended a free CUPA training related to Hazardous Waste Management to better understand Rules applicable to facilities inspected by SOCWA staff.

Summary of IWS Activities, Enforcement and Types of IUs in the SOCWA Service Area - YTD through March 20, 2019.

<u>MA</u>		<u>Events</u>	<u>Permit</u>	<u>NIWD</u>	<u>BMP</u>	<u>FSE</u>	<u>OSE</u>	<u>Closed</u>	<u>Enforcement</u>	<u># of</u>
<u>IUs</u>										
CLB	(S)	0	3	2	5	8	111	0	0	129
CSC	(M)	0	7	35	18	181	1283	0	0	1524
CSJC	(S)	0	2	27	59	137	1669	0	0	1894
ETWD	(M)	0	3	98	0	262	134	0	0	497
EBSD	(U)	0	1	0	0	0	0	0	0	1
IRWD	(S)	0	4	51	21	63	920	0	0	1059
MNWD	(S)	32	4	124	40	625	2100	8	0	2893
SMWD	(S)	18	6	19	20	196	782	2	0	1023
SCWD	(S)	0	7	33	7	148	184	0	1	380
TCWD	(S)	0	11	0	0	7	33	0	0	51
SOCWA	(S)	0	3	1	0	0	0	0	1	4
Totals		50	51	390	170	1627	7216	10	2	9459
Dentist (All)										444
										Total Known IUs 9903

(S) = SOCWA conducts PT program.
 (M) = MA conducts PT program /w SOCWA oversight.
 (U) = Urban Diversion Only.
 YTD = Year to Date.

NIWD = Non-industrial Waste Discharger.
 BMP = Best Management Practices.
 FSE = Food Service Establishment.
 OSE = Other Surveyed Establishment.

Agenda Item

Legal Counsel Review: N/A

Meeting Date: April 4, 2019

TO: Board of Directors

FROM: Betty Burnett, General Manager

STAFF CONTACT: Jason Manning, Senior Engineer

SUBJECT: Capital Improvement Program Status Report

The status of the SOCWA Capital Improvement Program is presented in the tables on the following pages.

**SOUTH ORANGE COUNTY WASTEWATER AUTHORITY
PROJECT COMMITTEE NO. 2(R) - JB LATHAM TREATMENT PLANT CAPITAL PROJECTS
(18/19)**

Task Code	Description	Phase	Status
CAPITAL IMPROVEMENT PROJECT LIQUIDS			
3213-000	Water System Piping Improvements (2014)	Bid	Study completed. Remaining work is part of the Package 'B' Improvements. Award of construction contract for Package 'B' to be presented at April 4, 2019, SOCWA Board meeting.
3214-000	4MGD Diversion Flow Meter & Control Structure (2012)	Design	This work has been delayed until the development of Package 'D' in Fiscal Year '19/'20.
3212-000	East Primary Influent Channel Repair (2015)	Bid	This work is part of the Package 'B' Improvements. Award of construction contract for Package 'B' to be presented at April 4, 2019, SOCWA Board meeting.
3280-000	Facility Improvements B - Basin Upgrades (2018)	Bid	This work is part of the Package 'B' Improvements. Award of construction contract for Package 'B' to be presented at April 4, 2019, SOCWA Board meeting.
3252-000	Plant 1 Standby Power Generator Replacement (2017)	Design	Operations staff has requested a standby power generator large enough to supply power to all of Plant No.1. Design on hold to allow consideration of larger unit (see Project 3352-000).
3250-000	Plant 1 Grit Basin Improvements (2017)	Construction	No field work taking place during wet weather season. SS Mechanical to resume work in April, 2019.
3253-000	Effluent System Valve Replacement (2017)	Bid	This work is part of the Package 'B' Improvements. Award of construction contract for Package 'B' to be presented at April 4, 2019, SOCWA Board meeting.
3350-000	Motor Control Center 'M' Replacement (2019)	Design	RFP for engineering services for project to be issued in May 2019.
3351-000	Facility Improvements B - Basin Upgrades Construction I (2019)	Bid	This work is part of the Package 'B' Improvements. Award of construction contract for Package 'B' to be presented at April 4, 2019, SOCWA Board meeting.

**SOUTH ORANGE COUNTY WASTEWATER AUTHORITY
PROJECT COMMITTEE NO. 2(R) - JB LATHAM TREATMENT PLANT CAPITAL PROJECTS
(18/19)**

Task Code	Description	Phase	Status
CAPITAL IMPROVEMENT PROJECT COMMON			
3218-000	Energy Building Roof Reconstruction (2015)	Bid	This work is part of the Package 'B' Improvements. Award of construction contract for Package 'B' to be presented at April 4, 2019, SOCWA Board meeting.
3216-000	Hoist System for Maintenance Shop (2013)	Bid	This work is part of the Package 'B' Improvements. Award of construction contract for Package 'B' to be presented at April 4, 2019, SOCWA Board meeting.
3286-000	PLC Upgrades (2018)	Construction	Ongoing.
3352-000	Electrical System Evaluation (2018)	Study	RFP for engineering services for project to be issued in May 2019.
4001-000	Plant Drain System Study (2017) (NCP)	Study	TetraTech is proceeding with the evaluation of the drain system.
CAPITAL IMPROVEMENT PROJECT SOLIDS			
3287-000	Facility Improvements B - DAFT and Ancillary Solids Improvements (2018)	Bid	This work is part of the Package 'B' Improvements. Award of construction contract for Package 'B' to be presented at April 4, 2019, SOCWA Board meeting.
3210-000	Facility Improvements - Solids Area (2015)	Bid	This work is part of the Package 'B' Improvements. Award of construction contract for Package 'B' to be presented at April 4, 2019, SOCWA Board meeting.
3353-000	Centrate System Design (2019)	Design	Issue RFP for design in April, 2019.
3354-000	Emulsion Polymer Feeder Replacement (2019)	Design	SOCWA staff is reviewing the project with the equipment supplier.
3355-000	Digester No.4 Rehabilitation (2019)	Bid	This work is part of the Package 'B' Improvements. Award of construction contract for Package 'B' to be presented at April 4, 2019, SOCWA Board meeting.
3356-000	Facility Improvements B - DAFT and Ancillary Solids Improvements Construction - Part I (2019)	Bid	This work is part of the Package 'B' Improvements. Award of construction contract for Package 'B' to be presented at April 4, 2019, SOCWA Board meeting.

**SOUTH ORANGE COUNTY WASTEWATER AUTHORITY
PROJECT COMMITTEE NO. 5 - SAN JUAN CREEK OCEAN OUTFALL CAPITAL PROJECTS
('18/'19)**

Task Code	Description	Phase	Status
CAPITAL IMPROVEMENT PROJECTS			
3601-000	Junction Structure Rehabilitation Design	Design	Project reviewed at January, 2019, Engineering Committee meeting. SOCWA staff to return with additional information at the April 3, 2019 Engineering Committee meeting.
3357-000	Junction Structure Reinforcement Permitting and Final Design	Design	Project reviewed at January, 2019, Engineering Committee meeting. SOCWA staff to return with additional information at the April 3, 2019 Engineering Committee meeting.
4004-000	Emergency Plan Development (2016) (NCP)	Study	SOCWA staff preparing Final Plan based on preliminary work completed by Black & Veatch. Target completion is April, 2019.

**SOUTH ORANGE COUNTY WASTEWATER AUTHORITY
PROJECT COMMITTEE NO. 15(R) - COASTAL TREATMENT PLANT CAPITAL PROJECTS
(*18/19)**

Task Code	Description	Phase	Status
CAPITAL IMPROVEMENT PROJECTS LIQUIDS			
1367	Export Sludge Line Repair & Rip Rap (R - 2) (2012)	Design	Protection in this area has been largely addressed through the routing of the new Export Sludge pipeline as well as through minor embankment protection features that will be integrated with Export Sludge System replacement. Project expected to advertise for bid in May, 2019.
3595-000	Primary Device Electrical Conduit and Conductor Replacement (2018)	Bid	Project part of Facility Improvements Project. Bids are to be received on April 16, 2019.
3514-000	CTP Facility Improvements Upgrade Design (2016)	Bid	Project part of Facility Improvements Project. Bids are to be received on April 16, 2019.
3534-000	Export Sludge System (1997)	Design	USFWS has completed review and transferred documentation to USACOE for Nationwide Permit application. Project expected to advertise for bid in May, 2019.
3594-000	PLC Replacement (2018)	Construction	Project underway by SOCWA Operations department.
3592-000	Facility Improvements Project Design (2018)	Bid	Construction bids are to be received on April 16, 2019.
3358-000	Personnel Building Reconstruction (2019)	Bid	Project to advertise for bids in May, 2019.
3359-000	Facility Improvements Project Construction - Part I (2019)	Bid	Project part of Facility Improvements Project. Bids are to be received on April 16, 2019.
3361-000	AWMA Road Sites West 1 and 2 Embankment Protection (2019)	Design	RFP for project design and permitting was issued on March 14, 2019. Proposals due April 16, 2019.
3362-000	Aliso Creek Long Term Repair Planning (2019)	Planning	RFP for project design and permitting was issued on March 14, 2019. Proposals due April 16, 2019.
3553-000	Plant Drainage Improvements (2017)	Design	TetraTech has completed design. Project expected to advertise for bid in May 2019.
3360-000	Vehicle Storage Building Roof (2019)	Construction	Project completed by Operations staff.

**SOUTH ORANGE COUNTY WASTEWATER AUTHORITY
PROJECT COMMITTEE NO. 15(R) - COASTAL TREATMENT PLANT CAPITAL PROJECTS
('18/'19)**

<i>Task Code</i>	<i>Description</i>	<i>Phase</i>	<i>Status</i>
CAPITAL IMPROVEMENT PROJECT AWT			
3596-000	Applied Water VFD Pump Panel and Electrical (AWT) (2018)	Construction	Work by SS Mechanical in underway and project completion is expected by May 2019
3364-000	Chlorine Contact Basin Mixer Construction (AWT) (2019)	Construction	Work by SS Mechanical in underway and project completion is expected by May 2019
3365-000	MCC A Replacement Construction (AWT) (2019)	Construction	Work by SS Mechanical in underway and project completion is expected by May 2019
3366-000	AWT Filter Valve Upgrade Construction (AWT) (2019)	Construction	Work by SS Mechanical in underway and project completion is expected by May 2019

**SOUTH ORANGE COUNTY WASTEWATER AUTHORITY
PROJECT COMMITTEE NO. 17(R) - REGIONAL TREATMENT PLANT CAPITAL PROJECTS
('18/'19)**

Task Code	Description	Phase	Status
CAPITAL IMPROVEMENT PROJECT LIQUIDS			
3701-000	Secondary Electrical System Rerouting (2014)	Bid	Part of the RTP Miscellaneous Improvements 2018 Project. Bids were opened on February 7, 2019. SOCWA staff is currently reviewing bids and budgeting.
3730-000	Primary Gallery Upgrade (2014)	Bid	Part of the RTP Miscellaneous Improvements 2018 Project. Bids were opened on February 7, 2019. SOCWA staff is currently reviewing bids and budgeting.
3702-000	Waste Activated Sludge VFD Control Panel (2013)	Bid	Part of the RTP Miscellaneous Improvements 2018 Project. Bids were opened on February 7, 2019. SOCWA staff is currently reviewing bids and budgeting.
3752-000	AWT No.2 Upgrade Design (2017)	Design	This project is scheduled to advertise for bid in April 2019
3781-000	Primary Scum Skimmer Replacement (2018)	Design	Design completed by Lee & Ro; this work will be bid in 2021.
3784-000	DAF (Mannich) Polymer System Replacement (2018)	Bid	Part of the RTP Miscellaneous Improvements 2018 Project. Bids were opened on February 7, 2019. SOCWA staff is currently reviewing bids and budgeting.
3785-000	DAF Dissolution Tank System Replacement (2018)	Bid	Part of the RTP Miscellaneous Improvements 2018 Project. Bids were opened on February 7, 2019. SOCWA staff is currently reviewing bids and budgeting.
3786-000	Primary Gallery Mechanical and Electrical Upgrade (2018)	Bid	Part of the RTP Miscellaneous Improvements 2018 Project. Bids were opened on February 7, 2019. SOCWA staff is currently reviewing bids and budgeting.
3367-000	Southwest Influent Sewer and Manhole Design (2019)	Design	The draft RFP for this item will be presented to the Engineering Committee after completion of the Moulton Niguel Water District study.

**SOUTH ORANGE COUNTY WASTEWATER AUTHORITY
PROJECT COMMITTEE NO. 17(R) - REGIONAL TREATMENT PLANT CAPITAL PROJECTS
("18/'19)**

Task Code	Description	Phase	Status
CAPITAL IMPROVEMENT PROJECT LIQUIDS			
3368-000	Aeration System Upgrade (2019)	Design	This project is scheduled to advertise for bid on April 2019
3369-000	Aeration Gate Replacement (2019)	Design	This project is scheduled to advertise for bid on April 2019
4008-000	Effluent Equalization Pond and Gate Condition Assessment (2017) (NCP)	Condition Assessment	Due to weather, this will be delayed until Spring of 2020
CAPITAL IMPROVEMENT PROJECT COMMON			
			Part of the RTP Miscellaneous Improvements 2018 Project. Bids were opened on February 7, 2019.
3761-000	External Lighting Upgrade (2017)	Bid	SOCWA staff is currently reviewing bids and budgeting.
3370-000	Administration Building Repair Design (2019)	Design	This project has been awarded to Tetra Tech.
3371-000	West Slope Protection Evaluation (2019)	Study	This project has been awarded to Tetra Tech.
3372-000	Motor Control Center A, G, H Design (2019)	Design	The SOCWA Board approved the award of contract to Lee & Ro at the March 7, 2019, SOCWA Board Meeting.
3373-000	Southside Plant Flooding Evaluation (2019)	Study	This project has been awarded to Tetra Tech.
3374-000	SE Electrical Manhole Reconstruction (2018)	Design	The SOCWA Board approved the award of contract to Lee & Ro at the March 7, 2019, SOCWA Board Meeting.
3788-000	PLC Upgrade (2018)	Construction	Project underway by SOCWA Operations Dept.

**SOUTH ORANGE COUNTY WASTEWATER AUTHORITY
PROJECT COMMITTEE NO. 17(R) - REGIONAL TREATMENT PLANT CAPITAL PROJECTS
("18/19)**

Task Code	Description	Phase	Status
CAPITAL IMPROVEMENT PROJECT SOLIDS			
3375-000	Phase I Solids Piping Upgrade (2019)	Construction	This project is expected to advertise for bid in June 2019.
3376-000	Dewatering Room Floor Sealing and Lighting (2019)	Design	Harper & Associates has been retained to provide a specification for the floor sealing.
3377-000	Energy Building Roof and Breezeway (2019)	Bid	Part of the RTP Miscellaneous Improvements 2018 Project. Bids were opened on February 7, 2019. SOCWA staff is currently reviewing bids and budgeting.
3379-000	SET Piping Reconstruction (2019)	Construction	This project is expected to advertise for bid in June 2019.
3790-000	Solids Area Upgrade Design (2018)	Design	Lee & Ro is working on the design for the modifications to the digester gas piping.

**SOUTH ORANGE COUNTY WASTEWATER AUTHORITY
PROJECT COMMITTEE NO. 21 - EFFLUENT TRANSMISSION MAIN CAPITAL PROJECTS
('18/'19)**

Task Code	Description	Phase	Status
CAPITAL IMPROVEMENT PROJECTS			
3181-000	Air Vacuum Release Valve Replacement Reach D (2018)	Study	Technical memorandum received from TetraTech. The project was reviewed with the SOCWA Engineering Committee on November 8, 2018. Construction will be programmed into future budgets.
3182-000	Air Vacuum Release Valve Replacement Reach E (2018)	Study	Technical memorandum received from TetraTech. The project was reviewed with the SOCWA Engineering Committee on November 8, 2018. Construction will be programmed into future budgets.
4004-000	Trail Bridge Creek Crossing Protection (Reach D)	Design	Draft memorandum received from TetraTech; presentation was made at Engineering Committee on November 8, 2018. Direction was made to TetraTech regarding which alternative is to be addressed in the CEQA process.

**SOUTH ORANGE COUNTY WASTEWATER AUTHORITY
PROJECT COMMITTEE NO. 24 - ALISO CREEK OCEAN OUTFALL CAPITAL PROJECTS
('18/'19)**

Task Code	Description	Phase	Status
CAPITAL IMPROVEMENT PROJECTS			
3480-000	Internal Seal Replacement (2018)	Design	A purchase order has been issued to Black & Veatch to provide design documents. The project is expected to advertise for bidding in April, 2019.
4004-000	Emergency Plan Development (2016) (NCP)	Study	SOCWA staff preparing Final Plan based on preliminary work completed by Black & Veatch. Target completion is April, 2019.

**SOUTH ORANGE COUNTY WASTEWATER AUTHORITY
PROJECT COMMITTEE NO. 2(R) - JB LATHAM TREATMENT PLANT CAPITAL PROJECTS**

Task Code	Description	Fiscal Year 18/19				Fiscal Year 19/20			
		3rd Qtr	4th Qtr	1st Qtr	2nd Qtr	3rd Qtr	4th Qtr		
FACILITY IMPROVEMENTS PACKAGE B									
3213-000	Water System Piping Improvements (2014)	B&A	C	C	C	C	C	C	C
3202-000	Preliminary and Primary Treatment Improvements (Package "B") (2016)	B&A	C	C	C	C	C	C	C
3285-000	Main Plant Drain Line Reconstruction (2018)	B&A	C	C	C	C	C	C	C
3280-000;									
3351-000	Facility Improvements B - Basin Upgrades (2018)	B&A	C	C	C	C	C	C	C
3287-000;									
3356-000	Facility Improvements B - DAFT (2018)	B&A	C	C	C	C	C	C	C
3212-000	East Primary Influent Channel Repair (2015)	B&A	C	C	C	C	C	C	C
3218-000	Energy Building Roof Reconstruction (2015)	B&A	C	C	C	C	C	C	C
3216-000	Hoist System for Maintenance Shop (2013)	B&A	C	C	C	C	C	C	C
3287-000;									
3210-000;									
3355-000	Facility Improvements - Digester 4 Imps. (2018)	B&A	C	C	C	C	C	C	C
3253-000	Effluent System Valve Replacement (2017)	B&A	C	C	C	C	C	C	C

P	Planning
CA	Condition Assessment
ENV	Environmental/Permitting

D	Design
B&A	Bidding and Award
C	Construction

**SOUTH ORANGE COUNTY WASTEWATER AUTHORITY
PROJECT COMMITTEE NO. 2(R) - JB LATHAM TREATMENT PLANT CAPITAL PROJECTS**

Task Code	Description	Fiscal Year 18/19			Fiscal Year 19/20			
		3rd Qtr	4th Qtr	1st Qtr	2nd Qtr	3rd Qtr	4th Qtr	
INDEPENDENT PROJECTS								
3250-000	Plant 1 Grit Basin Improvements (2017)	C	C					
3286-000	PLC Upgrades (2018)	C	C					
4001-000	Plant Drain System Study (2017) (NCP)	P						
3350-000	MCC "M" Replacement Design (2019)		D	D	D			
3354-000	Emulsion Polymer Feeder Replacement (2019)	C	C					
3353-000	Centrate System Design (2019)		D	D	D			
		P	Planning				D	Design
		CA	Condition Assessment				B&A	Bidding and Award
		ENV	Environmental/Permitting				C	Construction

**SOUTH ORANGE COUNTY WASTEWATER AUTHORITY
PROJECT COMMITTEE NO. 15(R) - COASTAL TREATMENT PLANT CAPITAL PROJECTS**

Task Code	Description	Fiscal Year 18/19				Fiscal Year 19/20			
		3rd Qtr	4th Qtr	1st Qtr	2nd Qtr	3rd Qtr	4th Qtr		
		FACILITY IMPROVEMENTS PACKAGE							
3592-000;		B&A	B&A	C	C	C	C	C	
3359-000	Facility Improvements Project Design (2018)	B&A	B&A	C	C	C	C	C	
3595-000	Primary Device Electrical Conduit (2018)	B&A	B&A	C	C	C	C	C	
3593-000	Primary Sludge Valve Replacement (2018)	B&A	B&A	C	C	C	C	C	
TERTIARY SYSTEM IMPROVEMENTS 2018									
3597-000;		C	C						
3365-000	MCC-A Replacement (2018)	C	C						
3596-000	Applied Water VFD Pump Panel and Electrical (2018)	C	C						
3364-000	Chlorine Contact Basin Mixer Construction (AWT) (2019)	C	C						
3366-000	AWT Filter Valve Upgrade Construction (AWT) (2019)	C	C						
EXPORT SLUDGE SYSTEM REPLACEMENT									
		ENV	B&A	C	C	C	C		
1367	Export Sludge Line Repair & Rip Rap (R - 2) (2012)	ENV	B&A	C	C	C	C		
3534-000	Export Sludge System (1997)	ENV	B&A	C	C	C	C		

P	Planning	D	Design
CA	Condition Assessment	B&A	Bidding and Award
ENV	Environmental/Permitting	C	Construction

**SOUTH ORANGE COUNTY WASTEWATER AUTHORITY
PROJECT COMMITTEE NO. 15(R) - COASTAL TREATMENT PLANT CAPITAL PROJECTS**

Task Code	Description	Fiscal Year 18/19				Fiscal Year 19/20			
		3rd Qtr	4th Qtr	1st Qtr	2nd Qtr	3rd Qtr	4th Qtr	1st Qtr	2nd Qtr
INDEPENDENT PROJECTS									
3594-000	PLC Replacement (2018)	C	C						
3553-000	Plant Drainage Improvements (2017)	B&A	C						
3358-000	Personnel Building Reconstruction (2019)	D	B&A	C	C				
3361-000	AWMA Road Sites West 1 and 2 Embankment Protection (2019)	ENV	ENV	ENV	ENV	ENV	ENV	B&A	
3362-000	Aliso Creek Long Term Repair Planning (2019)	ENV	ENV	ENV	ENV				

P	Planning	D	Design
CA	Condition Assessment	B&A	Bidding and Award
ENV	Environmental/Permitting	C	Construction

**SOUTH ORANGE COUNTY WASTEWATER AUTHORITY
PROJECT COMMITTEE NO. 17(R) - REGIONAL TREATMENT PLANT CAPITAL PROJECTS**

Task Code	Description	Fiscal Year 18/19				Fiscal Year 19/20			
		3rd Qtr	4th Qtr	1st Qtr	2nd Qtr	3rd Qtr	4th Qtr		

MISCELLANEOUS IMPROVEMENTS 2018									
3701-000	Secondary Electrical System Rerouting (2014)	B&A	B&A	C	C	C	C	C	C
3702-000	Waste Activated Sludge VFD Control Panel (2013)	B&A	B&A	C	C	C	C	C	C
3730-000	Primary Gallery Upgrade (2014)	B&A	B&A	C	C	C	C	C	C
3781-000	Primary Scum Skimmer Replacement (2018)	B&A	B&A	C	C	C	C	C	C
3784-000	DAF (Mannich) Polymer System Replacement (2018)	B&A	B&A	C	C	C	C	C	C
3785-000	DAF Dissolution Tank System Replacement (2018)	B&A	B&A	C	C	C	C	C	C
3786-000	Primary Gallery Mechanical and Electrical Upgrade (2018)	B&A	B&A	C	C	C	C	C	C
3377-000	Energy Building Roof and Breezeway (2019)	B&A	B&A	C	C	C	C	C	C
3761-000	External Lighting Upgrade (2017)	B&A	B&A	C	C	C	C	C	C

MISCELLANEOUS IMPROVEMENTS 2019									
3787-000;		D	B&A	C	C	C	C	C	C
3368-000	Aeration Area Upgrade Design (2018)	D	B&A	C	C	C	C	C	C
3369-000	Aeration Gate Replacement (2019)	D	B&A	C	C	C	C	C	C
3375-000	Phase I Solids Piping Upgrade (2019)	D	B&A	C	C	C	C	C	C
3752-000	AWT No.2 Upgrade Design (2017)	D	B&A	C	C	C	C	C	C
3379-000	SET Piping Reconstruction (2019)	D	B&A	C	C	C	C	C	C

P	Planning	D	Design
CA	Condition Assessment	B&A	Bidding and Award
ENV	Environmental/Permitting	C	Construction

**SOUTH ORANGE COUNTY WASTEWATER AUTHORITY
PROJECT COMMITTEE NO. 17(R) - REGIONAL TREATMENT PLANT CAPITAL PROJECTS**

Task Code	Description	Fiscal Year 18/19			Fiscal Year 19/20		
		3rd Qtr	4th Qtr	1st Qtr	2nd Qtr	3rd Qtr	4th Qtr
INDEPENDENT PROJECTS							
4008-000	Effluent Equalization Pond and Gate Condition Assessment (2017) (NCP)	CA					
3788-000	PLC Upgrade (2018)	C	C				
3367-000	Southwest Influent Sewer and Manhole Design (2019)	P	D	D			
3370-000	Administration Building Repair Design (2019)	P	D	C			
3371-000	West Slope Protection Evaluation (2019)	P	P				
3372-000	Motor Control Center A, G, H Design (2019)	D	D	D			
3373-000	Southside Plant Flooding Evaluation (2019)	P	P				
3374-000	SE Electrical Manhole Reconstruction (2018)	D	C				
3376-000	Dewatering Room Floor Sealing and Lighting (2019)	D	B&A	C			

P	Planning
CA	Condition Assessment
ENV	Environmental/Permitting

D	Design
B&A	Bidding and Award
C	Construction

**MINUTES OF REGULAR MEETING
OF THE
SOUTH ORANGE COUNTY WASTEWATER AUTHORITY**

Engineering Committee

February 14, 2019

The Regular Meeting of the South Orange County Wastewater Authority (SOCWA) Engineering Committee was held on Thursday, February 14, 2019, at 8:30 a.m. at their Administrative Offices located at 34156 Del Obispo Street, Dana Point, California. The following members present:

MIKE MARQUIS	City of San Juan Capistrano [exit 9:34 a.m.]
DON BUNTS	Santa Margarita Water District [arrival 9:00 a.m.] [exit 9:33 a.m.]
JOE McDIVITT	South Coast Water District
MIKE DUNBAR	Emerald Bay Service District
ROD WOODS	Moulton Niguel Water District
DENNIS CAFFERTY	El Toro Water District
LORRIE LAUTSEN	Trabuco Canyon Water District
KEVIN BURTON	Irvine Ranch Water District

Absent:

DAVE REBENS DORF	City of San Clemente
DAVID SHISSLER	City of Laguna Beach

Staff present:

BRIAN PECK	Director of Engineering
BETTY BURNETT	General Manager [arrival 8:48]
JASON MANNING	Senior Engineer
RONI YOUNG-GRANT	Associate Engineer
JIM BURROR	Director of Operations [exit 8:45 a.m.]
JEANETTE COTINOLA	Procurement/Contracts Administrator

Also Present:

DENNIS ERDMAN	South Coast Water District
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1. Call Meeting to Order

Mr. Manning called the meeting to order at 8:31 a.m. and welcomed everyone present.

2. Public Comments

Mr. Manning asked if members of the public desired to address the Engineering Committee or desired to reserve addressing the Engineering Committee during the meeting regarding any item on the Agenda. No comments were received.

3. Review/Approval of Meeting Minutes (June '18 and January '19)

ACTION TAKEN

Motion was made by Mr. Dunbar and seconded by Mr. Woods to approve the June 14, 2018, and January 17, 2019, Engineering Committee Meeting minutes as submitted.

Motion carried: Aye 7; Nay 0; Abstain 0; Absent 3

Mike Dunbar	Aye
Kevin Burton	Aye
Joe McDivitt	Aye
Rod Woods	Aye
Mike Marquis	Aye
Dave Rebensdorf	Absent
Don Bunts	Absent
Lorrie Lausten	Aye
Dennis Cafferty	Aye
David Shissler	Absent

4. Operations Report

DISCUSSION

Mr. Burror reported that due to the current rainfall Aliso Creek and Wood Canyon Creek are flowing outside of their normal channels. There is currently no access to the Coastal Treatment Plant (CTP) as the Wood Canyon Creek flows are flooding out the Access Road. The Regional Treatment Plant (RTP) is receiving flows in excess of 13 mgd this morning. An open discussion ensued.

ACTION TAKEN

Information item only.

5. Cost Allocation Report Presentation

DISCUSSION

Mr. Burror noted that the Cost Allocation Report was received on February 2, 2019. The reports have been sent out electronically with a comment form. The staff from the Member Agencies are being asked to either complete the comment form or to provide a marked-up copy with comments. Mr. Burror stated that he was available to meet to discuss the report. An open discussion ensued.

ACTION TAKEN

Information item only.

6. Co-Generation System Status Report

DISCUSSION

Mr. Manning reported that staff was preparing monthly and annual reports on the operating status of the co-generation systems at RTP and the J. B. Latham Treatment Plant (JBLTP). Staff would be closing out the RTP project with Pacific Hydrotech once the punch list items had been completed.

ACTION TAKEN

Information item only.

7. Innovative Biosolids RFP Status Report (Project Committees 2 and 17)

DISCUSSION

Mr. Manning reported that the request for proposals (RFP) was issued. A pre-proposal meeting was held on February 12, 2019.

ACTION TAKEN

Information item only.

8. Review of Engineering Services Proposals - Regional Treatment Plant Motor Control Center (Project Committee 17)

DISCUSSION

Mr. Manning provided a brief review of the proposals. An open discussion ensued.

ACTION TAKEN

The present Project Committee No. 17 members agreed on a recommendation to the SOCWA Board of Directors for an award of contract to Lee & Ro.

9. Review of Bids – Regional Treatment Plant Miscellaneous Improvements 2018 (Project Committee 17)

DISCUSSION

Mr. Peck reported that three (3) bids were received for the project and opened on February 12, 2019. Mr. Peck noted that the bids were significantly higher than the Engineer's Estimate. SOCWA staff is scheduling meetings with the designer and the apparent low bidder (Filanc) to review the bids. There is no recommendation for the award of contract at this time.

ACTION TAKEN

Information item only.

10. Regional Treatment Plant Draft Capital Improvement Budget Fiscal Year 2019/20 (Project Committee 17)

DISCUSSION

Mr. Peck reminded the Engineering Committee members of the 10:30 a.m. March 5, 2019, Joint Engineering Committee and Finance Committee Meeting. Ms. Burnett reported the meeting will be to discuss the concerns from member agencies regarding cash on hand for capital projects and working capital projects from an Engineering prospective.

Mr. Peck reviewed the Agenda Item as submitted with the Engineering Committee. An open discussion ensued.

ACTION TAKEN

Information item only.

11. Regional Treatment Plant AWT Upgrade Project Status (Project Committee 17)

DISCUSSION

Mr. Manning provided a brief summary of the project and reviewed the submitted Change Orders 1, 2, 3 and 4. Mr. Manning noted that these items had been previously reviewed with Moulton Niguel Water District (MNWD) staff. MNWD has full ownership of the advanced wastewater treatment (AWT) facilities at the RTP.

ACTION TAKEN

The present Project Committee 17 members agreed on a recommendation to the SOCWA Board of Directors for approval of Change Orders 1 through 4.

12. AWMA Road Repair Cost Allocation (Project Committees 15 and 21)

DISCUSSION

Ms. Young noted that FEMA has guaranteed a minimum repayment of road and embankment repair costs. Ms. Young reviewed the proposed allocation of the repair costs and the FEMA payment to the member agencies. SOCWA staff was in the process of preparing backup documentation in hopes of obtaining additional funding for the road repair. An open discussion ensued.

ACTION TAKEN

Information item only.

13. Coastal Treatment Plant Aeration Upgrade Technical Memorandum Presentation

DISCUSSION

Mr. Manning reported that Item 13 was to be deferred to the next Engineering Committee Meeting.

ACTION TAKEN

Item deferred item to the next Engineering Committee meeting.

14. Coastal Treatment Plant Draft Capital Improvement Budget Fiscal Year 2019/20 (Project Committee 15)

DISCUSSION

Mr. Peck provided a brief overview of the CTP Draft Capital Improvement Budget as submitted. An open discussion ensued.

Mr. Woods noted for the record that going forward MNWD was not going to be supporting projects that fall under Section 8.2 of the Agreement.

ACTION TAKEN

Information item only.

15. Coastal Treatment Plant AWT Upgrade Project Status Report (Project Committee 15)

DISCUSSION

Ms. Young reviewed Change Order 1 with the Engineering Committed as submitted. It was noted that this change order impacts only the South Coast Water District as this project is solely related to the CTP AWT.

ACTION TAKEN

The present Project Committee 15 members agreed on a recommendation to the SOCWA Board of Directors for approval of Change Order 1. Mr. Woods noted that the Moulton Niguel Water District abstained from discussion of this project.

Adjournment

There being no further business, Mr. Manning adjourned the meeting at 9:53 a.m.

I HEREBY CERTIFY that the foregoing Minutes are a true and accurate copy of the Minutes of the Regular Meeting of the South Orange County Wastewater Authority Engineering Committee

of February 14, 2019, and approved by the Board of Directors of the South Orange County Wastewater Authority.

Betty Burnett, General Manager/Secretary
SOUTH ORANGE COUNTY WASTEWATER AUTHORITY

Agenda Item

Budgeted: Yes

Budget Amount: \$6,699,500

Line Item: PC 2, Tasks 3551-000, 3355-000 and 3356-000

Legal Counsel Review: No

Meeting Date: April 4, 2019

TO: Project Committee No. 2 Board of Directors
FROM: Betty Burnett, General Manager
STAFF CONTACT: Roni Young, Associate Engineer
SUBJECT: Construction Contract for Olsson Construction for the J. B. Latham Treatment Plant Package “B” Improvements Project

Summary

This agenda item provides a recommendation for actions related to the award of a construction contract to Olsson Construction for the J. B. Latham Treatment Plant (JBLTP) Package “B” Improvements Project.

Background

The JBLTP Package “B” Improvements Project includes the following key elements:

1. Rehabilitation of piping and valves at the Effluent Pump Station
2. Limited equipment rehabilitation of Plant 2 Secondary Sedimentation Basins
3. Structural and equipment rehabilitation of the Plant 1 Secondary Sedimentation Basins
4. Structural and equipment rehabilitation of the Primary Effluent Channel (Plant 1 portion)
5. Structural and equipment rehabilitation of the Plant 1 Primary Influent Channel and Sedimentation Basins
6. Structural and equipment rehabilitation of the Plant 2 Primary Influent Channel Basins
7. Structural and equipment rehabilitation of Dissolved Air Flotation Thickener Nos. 1 and 2
8. Construction of a new Thickened Waste Activated Sludge Pump Station
9. Cleaning and equipment rehabilitation of the Digester 1 and 2 mixing and heating systems
10. Structural rehabilitation of the Digesters 1 and 2 Control Building roof
11. Equipment rehabilitation of the Digester 3 and Digester 4 heating systems
12. Replacement of the Digesters 3 and 4 Control Building roof stairs
13. Construction of a new boiler inside of the Energy Recovery Building
14. Removal of the existing boiler within the Digesters 1/2 Control Building
15. Seismic improvements to the Energy Building

16. Structural rehabilitation of the Dewatering Building and Energy Recovery Building roof including the replacement of the skylights
17. Construction of a new monorail system inside of the Energy Building
18. Demolition of the existing Laboratory Building
19. Miscellaneous plant-wide safety improvements for fall protection
20. Structural and coating repairs within Digester 4
21. Mechanical, electrical and instrumentation improvements associated with Digester 4 rehabilitation

The bidding documents included drawings and specifications by two design firms. Items 1-19. In the list above constituted the Package "B" Improvements as designed by Carollo Engineers. Items 20 and 21 were part of the Digester 4 Upgrade designed by Tetra Tech.

Discussion/Analysis

Bidding Process

Bidding documents were made available to the following list of contractors on January 29, 2019:

- Filanc
- Gateway Pacific
- Olsson Construction
- Pacific Hydrotech
- Pascal & Ludwig
- S.S. Mechanical Construction
- Walsh Construction

Three bids were received and opened on March 7, 2019. The results of these bids are summarized in Table 1. A tabulation of the bids is attached in Exhibit A. The apparent low bidder is Olsson Construction.

Table 1 - Overall Bid Prices

Contractor	Bid Price
Filanc	\$ 17,505,771.00
Olsson Construction	\$ 17,325,000.00
Pacific Hydrotech	\$ 18,966,300.00

Bid and Budget Evaluation

The apparent low bid by Olsson Construction is compared with the engineer's estimate in Table 2. The bid came in slightly lower than the engineer's estimate.

Table 2 - Comparison of Apparent Low Bid and Engineer's Estimate

Bid Category	Work Item	Apparent Low Bid: Olsson Construction	Engineer's Estimate
A	Effluent Pump Station	\$960,000.00	\$1,250,742.41
B	Basin Upgrades	\$6,510,000.00	\$7,564,176.55
C	DAF, TWAS, Digester Mixing System and Control Buildings	\$4,745,000.00	\$2,343,704.00
D	Lab Demolition	\$330,000.00	\$98,861.05
E	Digester 4 Heating System	\$1,130,000.00	\$1,148,429.88
	Energy Recovery Building Improvements		\$700,464.45
F	Energy Building Monorail System	\$190,000.00	
G	Energy Building Room Imps.	\$330,000.00	
H	Energy Building Seismic Imps.	\$180,000.00	
I	Liquids Area Safety Improvements	\$240,000.00	\$497,678.49
J	Solids Area Safety Improvements	\$20,000.00	\$55,297.61
K	Boiler System Improvements	\$690,000.00	
	Electrical and I&C		\$2,778,852.74
L	Fiber Loop Completion	\$180,000.00	
M	MCC Upgrades	\$310,000.00	
N	Digester 4 Rehabilitation	\$1,510,000.00	\$1,190,000.00
TOTAL BID		\$17,325,000.00	\$17,628,207.18

The capital improvement budget for Fiscal Year 2018/19 included three items that would address the first year of construction for Package "B". Separate budgets would be established in Fiscal Year. The budgetary status of these items is presented in Table 3.

Table 3 - Current Budget Status

Project Committee	Task	Description	Budget	Expended to Date	Remaining Budget
2	3551-000	Facility Improvements "B" Basin Upgrades Construction I (2019)	\$3,195,000	\$0	\$3,195,000
2	3355-000	Digester No.4 Rehabilitation I (2019)	\$994,500	\$0	\$994,500
2	3356-000	Facility Improvements "B" – DAFT and Ancillary Solids Improvements (2019)	\$2,510,000		\$2,510,000
Total			\$6,699,500	\$0	\$6,699,500

Table 4 shows the overall funding required for the project. This table also includes the values for the engineering services during construction (ESDC) and the most expensive of the four (4) construction management (CM) proposals. The construction management element of the

project is subject to interviews being conducted by the Engineering Committee on April 2019. The projected cost in Table 4 also includes an overall 8% allowance for contract amendments.

Table 4 - Project Bid and Contingency

Work Item	Total Budget	Apparent Low Bid by Olsson Construction	ESDC	CM	Contingency	
Package "B" Liquids Improvements	\$9,359,881	\$7,710,000	\$417,574	\$615,506	\$616,800	8%
Package "B" Common Improvements	\$1,468,930	\$1,210,000	\$65,534	\$96,597	\$96,800	8%
Package "B" Solids Improvements	\$9,752,261	\$8,405,000	\$443,599	\$646,241	\$672,400	8%
Total	\$20,581,072	\$17,325,000	\$926,707	\$13,58,344	\$1,386,000	8%

Advisory Committee Review

The J. B. Latham Treatment Plant Package "B" Improvements Project bids were reviewed by the members of the Project Committee No. 2 Engineering Committee on March 14, 2019. The Engineering Committee recommended the award of the project to Olsson Construction.

Fiscal Impact

The proposed construction contract amount with Olsson Construction is \$ 17,325,000.

Approximately, \$3,707,500 were collected from the Project Committee No. 2 member agencies in Fiscal Year 2018/2019 for budget line items 3551-000, 3355-000 and 3356-000. Given the award date for the construction, it is unlikely that any funds will be expended for construction in Fiscal Year 2018/2019. These funds may then be applied to the projected expenditures in Fiscal Year 2018/2019. The anticipated phasing of budget is as presented in Exhibit B. This approach assumes that each year of construction has a unique budget line item.

The allocation of the proposed construction cost is presented in Table 5.

Table 5 - Allocation of Proposed Project Costs

Project Committee 2	Liquids	Common	Solids	Total
Member Agency	Allocation	Allocation	Allocation	Allocation
Moulton Niguel Water District	\$1,779,468.00	\$270,422.90	\$1,817,161.00	\$3,867,051.90
City of San Juan Capistrano	\$2,372,367.00	\$367,658.50	\$2,521,500.00	\$5,261,525.50
Santa Margarita Water District	\$1,334,601.00	\$276,400.30	\$2,385,339.00	\$3,996,340.30
South Coast Water District	\$2,223,564.00	\$295,518.30	\$1,681,000.00	\$4,200,082.30
TOTAL	\$7,710,000.00	\$1,210,000.00	\$8,405,000.00	\$17,325,000.00

Requested Action

The following actions are requested:

The Project Committee No. 2 Board of Director to approve award of construction contract to Olsson Construction at a price not to exceed \$17,325,000.

EXHIBIT A: BID SUMMARY

J. B. LATHAM TREATMENT PLANT FACILITY IMPROVEMENTS "B"

Base Bid Items A - Effluent Pump Station		Filanc	Olsson	Pacific Hydrotech
1	Mobilization/Demobilization	\$107,680	\$10,000	\$100,000
2	Demolition	\$133,862	\$200,000	\$42,300
3	Effluent Valve Vaults	\$315,048	\$60,000	\$322,600
4	Effluent Pump Station Mechanical	\$355,297	\$450,000	\$569,000
5	Effluent Pump Station Electrical and I&C	\$37,817	\$200,000	\$222,800
6	Other	\$39,936	\$40,000	\$15,200
	TOTAL BASE BID	\$989,640.00	\$960,000.00	\$1,271,900.00
Base Bid Items B - Plant 1 Primary and Secondary, Plant 2 Primary and Secondary Upgrades		Filanc	Olsson	Pacific Hydrotech
1	Mobilization/Demobilization	\$471,137	\$140,000	\$250,000
2	Demolition	\$1,109,850	\$540,000	\$1,754,300
3	Structural Improvements	\$1,173,889	\$900,000	\$1,410,600
4	Concrete Repair	\$420,269	\$470,000	\$194,000
5	Mechanical Improvements	\$2,831,524	\$3,000,000	\$2,272,800
6	Plant 1 Electrical and I&C	\$100,551	\$700,000	\$791,200
7	Other	\$0	\$760,000	\$749,900
	TOTAL BASE BID	\$6,107,220.00	\$6,510,000.00	\$7,422,800.00
Base Bid Items C - DAF and TWAS System, Digesters Mixing System, Control Building and Heating System Upgrades		Filanc	Olsson	Pacific Hydrotech
1	Mobilization/Demobilization	\$269,200	\$90,000	\$150,000
2	Demolition	\$166,553	\$440,000	\$234,200
3	Structural Improvements	\$210,976	\$700,000	\$567,400
4	Civil and Mechanical Improvements	\$3,050,936	\$2,585,000	\$2,592,900
5	Electrical and I&C Improvements	\$1,084,954	\$750,000	\$855,600
6	Other	\$0	\$130,000	\$145,200
	TOTAL BASE BID	\$4,782,619.00	\$4,695,000.00	\$4,545,300.00
Base Bid Items D - Laboratory Building Demolition and Miscellaneous Concrete Repairs		Filanc	Olsson	Pacific Hydrotech
1	Mobilization/Demobilization	\$310,783	\$10,000	\$40,000
2	Demolition	\$108,645	\$300,000	\$140,200
3	Civil/Site Improvements	\$6,537	\$20,000	\$39,600
4	Other	\$0	\$0	\$100
	TOTAL BASE BID	\$425,965.00	\$330,000.00	\$219,900.00
Base Bid Items E - Digester 4 Heating System		Filanc	Olsson	Pacific Hydrotech
1	Mobilization/Demobilization	\$323,040.00	\$50,000.00	\$150,000.00
2	Demolition	\$12,460.00	\$30,000.00	\$16,100.00
3	Mechanical Improvements	\$275,306.00	\$520,000.00	\$746,600.00
4	Electrical and I&C	\$374,759.00	\$470,000.00	\$269,600.00
5	Other	\$0.00	\$60,000.00	\$6,900.00
	TOTAL BASE BID	\$985,565.00	\$1,130,000.00	\$1,189,200.00

Base Bid Items F - Energy Building Monorail System		Filanc	Olsson	Pacific Hydrotech
1	Mobilization/Demobilization	\$5,384.00	\$0.00	\$20,000.00
2	Monorail/Roof and Structural Seismic Improvements	\$136,740.00	\$110,000.00	\$155,000.00
3	Electrical Improvements	\$10,994.00	\$70,000.00	\$69,200.00
4	Other	\$0.00	\$10,000.00	\$4,200.00
	TOTAL BASE BID	\$153,118.00	\$190,000.00	\$248,400.00
Base Bid Items G - Energy Building Roof Improvements		Filanc	Olsson	Pacific Hydrotech
1	Mobilization/Demobilization	\$26,920.00	\$10,000.00	\$20,000.00
2	Building Roof	\$294,946.00	\$320,000.00	\$205,300.00
3	Other	\$0.00	\$0.00	\$100.00
	TOTAL BASE BID	\$321,866.00	\$330,000.00	\$225,400.00
Base Bid Items H - Energy Building Seismic Improvements		Filanc	Olsson	Pacific Hydrotech
1	Mobilization/Demobilization	\$10,768.00	\$0.00	\$40,000.00
2	Seismic Improvements	\$90,002.00	\$180,000.00	\$415,300.00
3	Other	\$0.00	\$0.00	\$12,000.00
	TOTAL BASE BID	\$100,770.00	\$180,000.00	\$467,300.00
Base Bid Items I - Liquids Facility Safety Improvements		Filanc	Olsson	Pacific Hydrotech
1	Mobilization/Demobilization	\$10,768.00	\$10,000.00	\$50,000.00
2	Liquids Area Safety Improvements	\$193,305.00	\$230,000.00	\$549,600.00
3	Other	\$0.00	\$0.00	\$100.00
	TOTAL BASE BID	\$204,073.00	\$240,000.00	\$599,700.00
Base Bid Items J - Solids Facility Safety Improvements		Filanc	Olsson	Pacific Hydrotech
1	Mobilization/Demobilization	\$10,768.00	\$0.00	\$40,000.00
2	Solids Area Safety Improvements	\$118,676.00	\$20,000.00	\$157,500.00
3	Other	\$0.00	\$0.00	\$100.00
	TOTAL BASE BID	\$129,444.00	\$20,000.00	\$197,600.00
Base Bid Items K - Boiler System Improvements		Filanc	Olsson	Pacific Hydrotech
1	Mobilization/Demobilization	\$91,528.00	\$10,000.00	\$40,000.00
2	Mechanical Improvements	\$399,155.00	\$620,000.00	\$881,000.00
3	Electrical and I&C	\$218,552.00	\$40,000.00	\$44,600.00
4	Other	\$0.00	\$20,000.00	\$24,000.00
	TOTAL BASE BID	\$709,235.00	\$690,000.00	\$989,600.00
Base Bid Items L - Fiber Loop Completion		Filanc	Olsson	Pacific Hydrotech
1	Mobilization/Demobilization	\$269,200.00	\$0.00	\$50,000.00
2	Electrical and I&C	\$580,526.00	\$180,000.00	\$112,500.00
3	Other	\$33,615.00	\$0.00	\$100.00
	TOTAL BASE BID	\$883,341.00	\$180,000.00	\$162,600.00
Base Bid Items M - MCCs Upgrades		Filanc	Olsson	Pacific Hydrotech
1	Mobilization/Demobilization	\$134,600.00	\$10,000.00	\$50,000.00
2	MCCs Upgrades	\$315,136.00	\$300,000.00	\$351,700.00
3	Other	\$0.00	\$0.00	\$54,000.00
	TOTAL BASE BID	\$449,736.00	\$310,000.00	\$455,700.00

Base Bid Items N - Digester 4 Rehabilitation		Filanc	Olsson	Pacific Hydrotech
1	Mobilization/Demobilization	\$269,200.00	\$60,000.00	\$110,000.00
2	Demolition	\$151,567.00	\$190,000.00	\$110,700.00
3	Digester 4 Rehabilitation	\$784,491.00	\$600,000.00	\$647,000.00
4	Electrical and I&C	\$11,511.00	\$140,000.00	\$52,800.00
5	Other	\$0.00	\$520,000.00	\$10,400.00
	TOTAL BASE BID	\$1,216,769.00	\$1,510,000.00	\$930,900.00
		Filanc	Olsson	Pacific Hydrotech
	TOTAL ALL BASE BID	\$17,459,361.00	\$17,275,000.00	\$18,926,300.00

Add/Deduct (In Specific Items in Item C4 - Deduct Italics) \$142,394

Item B3 - Add \$ 89,000

BID ADDITIVE ITEMS		Filanc	Olsson	Pacific Hydrotech
O	Spare Digester Mixing Pump	\$46,410.00	\$50,000.00	\$40,000.00

**BID SUMMARY
STATEMENTS BY BIDDER**

Suppliers	Filanc	Olsson	Pacific Hydrotech
Stainless Steel Slide Gates	Fontaine	Fontaine	Fontaine
Single-Lobe Rotary Pumps	Vogelsang	Boerger	Boerger
Tipping Trough Scum Skimming Equipment	Brentwood	Brentwood	Brentwood
Helical Scum Skimming Equipment	Brentwood	Brentwood	Brentwood
Sedimentation Basin Sludge Collectors	Brentwood	Brentwood	Brentwood
Circular DAF System	Ovivo	Ovivo	Ovivo
Spiral Heat Exchanger	Alpha Laval	Gooch	Alpha Laval
Aluminum Covers	Hallsten	Hallsten	Hallsten
Check Valves	Apco	Crispin	Crispin
Plug Valves	Valmatic	Crispin	Crispin
Telescoping Valves	Troy	Troy	Troy
Three-Way Temperature Control Valves	Koso	Koso	Koso
Hot Water Boiler	Hurst	Hurst	Hurst
VFDs	Eaton	Allen Bradley	Allen Bradley
MCCs	Eaton	Allen Bradley	Allen Bradley
Ultrasonic Level Instruments	Siemens	Siemens	Siemens
Magnetic Flowmeters	Sparling	Sparling	Sparling
PLC	Rockwell	Allen Bradley	Allen Bradley
Digester Accessories	Shand & Jurs	Varec	Varec

Subcontractors	Filanc	Olsson	Pacific Hydrotech
Electrical and I&C	Big Sky Electric	CSI Electrical	CSI Electrical
Coatings	National Coating	Murphy Industrial	National Coating
Roofing	Golden State Roofing	Stone Roofing	Stone Roofing

**EXHIBIT B: TOTAL CONSTRUCTION COST AND PHASING
J. B. LATHAM TREATMENT FACILITY IMPROVEMENTS PACKAGE 'B'**

	FY 2019-20				FY 2020-21				TOTAL		
	Work Plan	1st QTR	2nd QTR	3rd QTR	4th QTR	Work Plan	1st QTR	2nd QTR		3rd QTR	4th QTR
Percentage	60.0%	10.0%	15.0%	20.0%	15.0%	40.0%	15.0%	15.0%	7.5%	2.5%	
Contractor											
- Liquids	\$4,626,000	\$771,000	\$1,156,500	\$1,542,000	\$1,156,500	\$3,084,000	\$1,156,500	\$1,156,500	\$578,250	\$192,750	\$7,710,000
- Common	\$726,000	\$121,000	\$181,500	\$242,000	\$181,500	\$484,000	\$181,500	\$181,500	\$90,750	\$30,250	\$1,210,000
- Solids	\$4,857,000	\$809,500	\$1,214,250	\$1,619,000	\$1,214,250	\$3,238,000	\$1,214,250	\$1,214,250	\$607,125	\$202,375	\$8,095,000
Engineering Services during Construction											
- Liquids	\$250,545	\$41,757	\$62,636	\$83,515	\$62,636	\$167,030	\$62,636	\$62,636	\$31,318	\$10,439	\$417,574
- Common	\$39,320	\$6,553	\$9,830	\$13,107	\$9,830	\$26,213	\$9,830	\$9,830	\$4,915	\$1,638	\$65,534
- Solids	\$218,052	\$36,342	\$54,513	\$72,684	\$54,513	\$145,368	\$54,513	\$54,513	\$27,257	\$9,086	\$363,420
Construction Management											
- Liquids	\$369,304	\$61,551	\$92,326	\$123,101	\$92,326	\$246,202	\$92,326	\$92,326	\$46,163	\$15,388	\$615,506
- Common	\$57,958	\$9,660	\$14,490	\$19,319	\$14,490	\$38,639	\$14,490	\$14,490	\$7,245	\$2,415	\$96,597
- Solids	\$387,745	\$64,624	\$96,936	\$129,248	\$96,936	\$258,496	\$96,936	\$96,936	\$48,468	\$16,156	\$646,241
Change Order											
Contingency											
- Liquids	\$370,080	\$61,680	\$92,520	\$123,360	\$92,520	\$246,720	\$92,520	\$92,520	\$46,260	\$15,420	\$616,800
- Common	\$58,080	\$9,680	\$14,520	\$19,360	\$14,520	\$38,720	\$14,520	\$14,520	\$7,260	\$2,420	\$96,800
- Solids	\$388,560	\$64,760	\$97,140	\$129,520	\$97,140	\$259,040	\$97,140	\$97,140	\$48,570	\$16,190	\$647,600
Total	\$12,348,643	\$2,058,107	\$3,087,161	\$4,116,214	\$3,087,161	\$8,232,429	\$3,087,161	\$3,087,161	\$1,543,580	\$514,527	\$20,581,072

Member Agency Allocation	FY 2019-20				FY 2020-21				TOTAL		
	Work Plan	1st QTR	2nd QTR	3rd QTR	4th QTR	Work Plan	1st QTR	2nd QTR		3rd QTR	4th QTR
Moulton Niguel Water District	\$2,758,194	\$459,699	\$689,549	\$919,398	\$689,549	\$1,838,796	\$689,549	\$689,549	\$344,774	\$114,925	\$4,596,991
City of San Juan Capistrano	\$3,751,229	\$625,205	\$937,807	\$1,250,410	\$937,807	\$2,500,819	\$937,807	\$937,807	\$468,904	\$156,301	\$6,252,048
Santa Margarita Water District	\$2,834,061	\$472,344	\$708,515	\$944,687	\$708,515	\$1,889,374	\$708,515	\$708,515	\$354,258	\$118,086	\$4,723,435
South Coast Water District	\$3,005,159	\$500,860	\$751,290	\$1,001,720	\$751,290	\$2,003,439	\$751,290	\$751,290	\$375,645	\$125,215	\$5,008,599

Agenda Item

Budgeted: Yes

Budget amount: \$128,000

Line Item: PC 2, 15 and 17 and Lines
Item 5015

Meeting Date: April 4, 2019

TO: Project Committee No. 2, 15 & 17 Board of Directors

FROM: Betty Burnett, General Manager

STAFF CONTACT: Jim Burror, Director of Operations

SUBJECT: Operational (O&M) Cost Distribution Report

Summary

Carollo Engineering was retained by SOCWA to review and analyze the means and methods SOCWA used to distribute line item costs to member agencies for each Project Committee. This work supplements the Board level discussions about the fair share allocation of costs for energy, water, and chemicals among other items. Carollo Engineering has completed its work.

Discussion/Analysis

The project included reviewing the current methods for apportioning budget line items back to the Member Agencies. The project also included assessing the apportionment methods and recommending potential changes to help ensure fair distribution of costs back to the Member Agencies.

The basis of the assessment was the FY 2017-18 Budget Book. A model was created to replicate the apportionment of costs in the FY 2017-18 Budget Book as the basis for the analysis. A number of meetings and outreach efforts were conducted to review the report material, including:

- October 4, 2018 – Project update to SOCWA's Board.
- October 11, 2018 – Project update to SOCWA's Engineering Committee.
- October 19, 2018 – Distribution of proposed model inputs for review and comment to Member Agency Finance and Engineering staff.
- January 10, 2019 – Project update report to SOCWA's Board and Engineering Committee.
- February 14, 2019 – Project update and presentation to SOCWA's Engineering Committee.
- February 19, 2019 – Project update and presentation to SOCWA's Finance Committee.
- March 8, 2019 – Distribution of a staff memorandum regarding a proposed change for ocean outfall costs distribution from variable to fixed to Member Agency Finance and Engineering staff. This item was requested by SOCWA's Finance Committee at their February 19, 2019, meeting.
- March 14, 2019 – Report update to SOCWA's Engineering Committee regarding a proposed change for ocean outfall costs distribution from variable to fixed.
- March 26, 2019 – Report update and presentation to SOCWA's Finance Committee.

Member Agency Inputs

The only substantive comment on the report materials was a request to review the Ocean Outfall permitting costs as to categorize them as fixed or variable. This issue was discussed with SOCWA’s Engineering Committee. The consensus from SOCWA’s Engineering Committee was that Ocean Outfall permitting costs should be a fixed cost and distributed based on capacity ownership. The change to the apportionment of the permit costs from variable to fixed based on 2017-18 budgeted costs is:

Member Agency	Draft Report Results	Final Report Results	Delta
City of San Juan Capistrano	(\$7,011)	(\$19,967)	(\$12,956)
Moulton Niguel Water District	(\$1,270)	\$18,031	\$19,301
South Coast Water District	(\$709)	\$3,574	\$4,283
Santa Margarita Water District	\$6,826	\$32,775	\$25,949
El Toro Water District	\$2,883	(\$8,317)	(\$11,200)
Irvine Ranch Water District	(\$6,180)	(\$17,537)	(\$11,357)
City of San Clemente	(\$646)	(\$7,825)	(\$7,179)
Trabuco Canyon Water District	\$0	\$0	\$0
Emerald Bay Service District	\$971	\$1,369	\$398
City of Laguna Beach	\$5,033	(\$2,197)	(\$7,230)

(RED is a decrease in costs) / Black is an increase in costs.

The final draft report includes the current and proposed apportionments with the resulting fiscal impacts to the Member Agencies. The report also includes the justifications for the proposed changes. The net impact to the SOCWA agencies ranged from +1.76% to -10.82% of total budget costs. Table 17, “Losses/Gains (Proposed – Original)*”, from the report contains the resultant changes from analyzing the FY 2017-18 Budget. The table shows red items as budget decreases and black items as a budget increase.

Losses/Gains (Proposed - Original)*
*Rounding may impact these values

Member Agency	PC2 J.B. Latham	PC5 SJC00	PC8 Pre-Treatment*	Recycled Water Permits*	PC15 Coastal	PC17 Regional	PC21 ETM*	PC24 ACOO	Total Change	% of Total PC Budget
1 City of San Juan Capistrano	\$ 2,031	\$ (21,990)	\$ (8)	\$ 0					\$ (19,967)	-1.23%
2 Moulton Niguel Water District	\$ 2,153	\$ (1,825)	\$ (3)	\$ (2)	\$ 5,251	\$ (21,049)	\$ (1)	\$ 33,508	\$ 18,031	0.23%
3 South Coast Water District	\$ 619	\$ (6,004)	\$ 1	\$ 8	\$ (7,811)	\$ 4,881		\$ 11,880	\$ 3,574	0.11%
4 Santa Margarita Water District	\$ (4,856)	\$ 37,623	\$ (1)	\$ 10					\$ 32,775	1.54%
5 El Toro Water District			\$ (10)			\$ 8,966	\$ 4	\$ (17,277)	\$ (8,317)	-1.06%
6 Irvine Ranch Water District			\$ (4)	\$ 11			\$ 4	\$ (17,549)	\$ (17,537)	-10.82%
7 City of San Clemente		\$ (7,823)	\$ (2)						\$ (7,825)	-7.63%
8 Trabuco Canyon Water District				\$ 8					\$ 8	0.03%
9 Emerald Bay Service District			\$ (2)		\$ 494	\$ 258		\$ 619	\$ 1,369	1.76%
10 City of Laguna Beach			\$ 4		\$ 2,067	\$ 6,891		\$ (11,160)	\$ (2,197)	-0.12%
<i>Rounding</i>	\$ (54)	\$ (20)	\$ (24)	\$ 35	\$ 2	\$ (53)	\$ 7	\$ 22	\$ (85)	
Change in Total PC Budget	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	

Finance Committee Input

On March 26, 2019, the Finance Committee met and reviewed the staff recommendation included in this agenda item. The Finance Committee recommended that the Board of Directors receive and file this Operational Cost Distribution Analysis prepared by Carollo Engineers and share the historical outfall cost perspective with the Board of Directors.

A discussion that ensued focused on the potential to split the \$950,000 in outfall costs, including \$277,000 for NPDES permitting, between usage (variable) and ownership (fixed) apportionments. The historical perspective is that the PC agreements stated that the cost

distribution should be “fair and equitable”. The 1976 PC5 agreement stated, “it is extremely difficult to establish in advance of actual operation of the Outfall a fair and equitable allocation of operation and maintenance costs of the Outfall.” The PC 24 Agreement from 1976 and the 1996 Amendments state: “The cost of operating the Outfall shall be on the basis of average annual use in a fiscal year. The cost of maintenance, repair, or replacement of the Outfall shall be based on the percentage of ownership”.

Staff reviewed past budgets to better understand the nature of the expenses and the methods for allocating those expenses. The expenses that occur today are associated with activities for maintaining the outfall permits. Staff reviewed the concept of maintenance in the PC agreements and determined that the NPDES permit, and associated monitoring, falls into the maintenance category. Therefore, the recommendation to shift the costs to fixed (ownership allocation) remains, as shown in the table below:

Capacity Rights Ownership (%)			
SJCOO		ACOO	
CSC	16.62%	11%	CLB
CSJC	11.08%	0.78%	EBSD
MNWD	15.51%	16.30%	ETWD
SCWD	12.47%	15.76%	IRWD
SMWD	44.32%	43.85%	MNWD
		12.23%	SCWD

It was noted in the Finance Committee discussion that the NPDES permit establishes limits based on the average monthly flow for each facility. These flows are determined during the 5-year permit cycle by the SOCWA’s Technical Advisory Group consisting of a staff member from each of the participating member agencies. If member agencies would like to reduce their outfall discharge amount, they can make that recommendation through the TAG process which will reduce the permit cost that is based on flow. However, once permitted capacity is reduced there is a risk. If an agency would like to increase their permitted flows in the future, for example by adding brine discharges to the outfall systems, it may not be allowed under the anti-backsliding provisions of the Clean Water Act. For this reason, the Engineering Committee commented that preserving the existing outfall permitted flows was the best option. In the future as the issues of unused or stranded capacity is discussed in connection with potential JPA/PC Agreement revisions differing approaches could be considered.

Budget and Fiscal Impact

The coming FY 2019-20 budget will include the recommended apportionments from the Carollo report. This includes a change to the FY 2018-19 Budget assumption 10.1; all costs for the outfalls will be allocated as fixed costs. The remaining changes from for the FY 2019-20 Budget include changing the following expenses from labor allocations to common ownership allocations:

- Standby Pay
- Small Vehicle Expenses
- Small Vehicle Fuel
- Recruitment
- Mileage Reimbursement

The remaining recommendations are for several Project Committee line item’s; these are to be updated based on current usage. These include the following:

PC2 – JB Latham Treatment Plant

Ferric Chloride from 80% Liquids and 20% Solids to 100% Solids

PC15 – Coastal Treatment Plant

Electricity from 94% Liquids 2.5% AWT and 3.5% Common to 100% Liquids

Natural Gas from 95% Liquids and 5% Common to 50% Liquids and 50% Common

PC17 – Regional Treatment Plant

Ferric Chloride from 80% Liquids and 20% Solids to 100% Solids (budget assumption 1
0.i update)

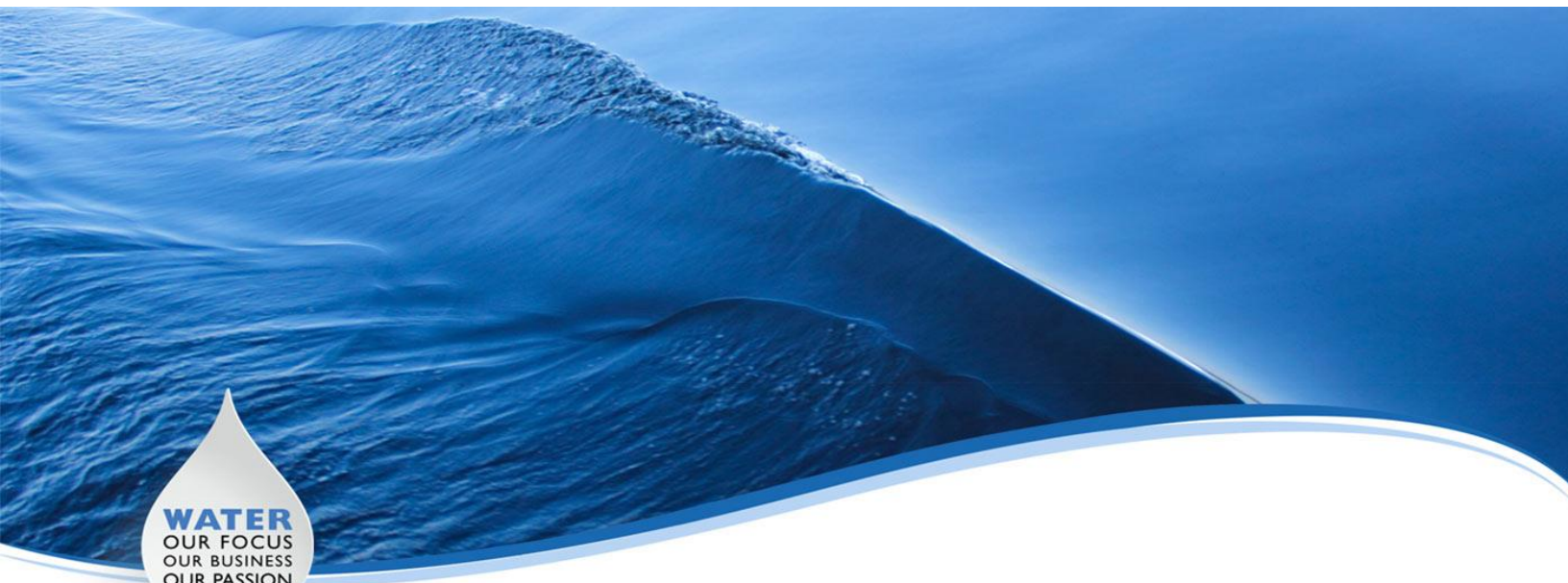
Recommendation

The Finance Committee recommends that the Board of Directors receive and file the March 20, 2019, Operational Cost Distribution Analysis prepared by Carollo Engineers. Staff is directed to proceed to include the report recommendations in the FY 2019-20 Draft Budget preparations.

attachment(s)

March 20, 2019, *Operational Cost Distribution Analysis Finding and Recommendations Report* (prepared by Carollo Engineers)

March 20, 2019, *SOCWA Operational Cost Distribution Analysis* (presentation slides)



South Orange County Wastewater Authority
OPERATIONAL COST DISTRIBUTION ANALYSIS

Technical Memorandum
OPERATIONAL COST DISTRIBUTION ANALYSIS
FINDINGS AND RECOMMENDATIONS

March 20, 2019

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Section 1

INTRODUCTION

Beginning in 2015, SOCWA Board began a process of considering cost apportionments and agreed to review cost distributions for operational costs. The purpose of this Technical Memorandum (TM) is to summarize the results and proposed recommendations of the Operational Cost Distribution Analysis project performed by Carollo Engineers, Inc. (Carollo) for The South Orange County Wastewater Authority (SOCWA). This TM includes an overview of SOCWA’s existing budget and cost distribution process (for Fiscal Year (FY) 2017-2018), and presents Carollo’s proposed revisions to the methodology, and the associated impacts to SOCWA Member Agencies.

The purpose of the analysis is to provide a review of how SOCWA distributed costs for FY 17-18 and to identify potential changes to improve the supportability and/or clarity for future budgets.

1.1 SOCWA Organization, Budgeting, and Cost Distribution Overview

SOCWA was formed in 2001 with the mission to manage the collection, transmission, treatment, and disposal of wastewater for over 500,000 residences and businesses in south Orange County, California. SOCWA is a Joint Powers Authority with ten Member Agencies that each provide wastewater collection services to their residents. SOCWA is under the direction of a General Manager and is governed by a ten-member Board of Directors, which is comprised of representatives from each Member Agency. Table 1 lists these ten Member Agencies and the abbreviations utilized in this TM.

Table 1 SOCWA Member Agencies

Member Agency	Abbreviation
City of Laguna Beach	CLB
City of San Clemente	CSC
City of San Juan Capistrano	CSJC
El Toro Water District	ETWD
Emerald Bay Service District	EBSD
Irvine Ranch Water District	IRWD
Moulton Niguel Water District	MNWD
Santa Margarita Water District	SMWD
South Coast Water District	SCWD
Trabuco Canyon Water District	TCWD

SOCWA operates and maintains three treatment plants, two ocean outfalls, one effluent transmission main, and multiple permits to meet requirements under the National Pollutant Discharge Elimination System (NPDES). Each of these facilities and their associated Member Agencies are referred to as Project Committees (PC) (Table 2).

Member agencies, in their role as both user participants and capacity owners at each PC, are contractually responsible for contributing funding necessary to operate each PC. Pursuant to the Member Agencies agreements, SOCWA agencies share facilities costs based on budgeted and actual usage. Costs are grouped into the categories of “liquids”, “solids”, “AWT” (advanced water treatment), and “common.” Usage is determined for liquids based on metered and assumed flows¹. Solids usage is determined by TSS and BOD expressed as pounds per day. The distribution of AWT costs is determined by ownership of the AWT facilities, regardless of flow. Operational costs may also be designated as common expenses related to general facility functions not otherwise characterized as liquids or solids. In June of each year, SOCWA establishes budgets based on prior annual and averaged periods of use². Each year, following the close of the fiscal year (June 30), costs are redistributed based on actual incurred liquids, common, and solids expenses and usage adjusted to actual.

Because utilities, chemicals, supplies and other expenses are incurred as bulk purchases to meet liquids, solids and common treatment purposes, historical cost distribution percentages are utilized to apportion costs to specific functions. Historical cost distribution approaches include metering, estimating, and evaluation of percentage to track purpose of the cost incurred. Since 2014, the SOCWA Board has made adjustments to move costs between liquids, solids and common expenses, considering factors such as the fixed or variable nature of the expenses incurred. This Operational Cost Distribution Analysis has been undertaken to go one step further and update the distribution approaches, evaluating the equity behind the apportionment methods, and to recommend adjustments.

Table 2 SOCWA Project Committees

Project Committee (PC) Number	PC Description	Member Agencies			
2	JB Latham WWTP	CSJC	MNWD	SCWD	SMWD
5	San Juan Creek Ocean Outfall (SJCOO)	CSJC CSC	MNWD	SCWD	SMWD
8	Pre-Treatment	CLB CSC CSJC	EBS ETWD	IRWD MNWD	SCWD SMWD
12	Recycled Water Permits	CSJC MNWD	SCWD	SMWD	TCWD
15	Coastal WWTP	CLB	EBS	MNWD	SCWD
17	Regional WWTP	CLB EBS	ETWD	MNWD	SCWD
21	Effluent Transmission Main (ETM)	ETWD	IRWD	MNWD	
24	Aliso Creek Ocean Outfall (ACOO)	CLB EBS	ETWD IRWD	MNWD	SCWD

¹ MNWD is assumed to contribute 1.4 mgd of flow to the J.B. Latham Treatment Plant (PC 2) and solids are assumed to be delivered to JBL in a strength that is equivalent to the influent flows to Plant 3A. This data is provided to SOCWA periodically from the operations staff at 3A.

² Member Agencies participate in review and approval of the budget projections and background flow/strength information used to prepare the Budgets.

1.2 Operational Budget Overview

Each year SOCWA creates an operating budget for each PC. The budget process begins in December with the SOCWA management team's review of the prior year's budget in order to identify areas of the budget that required adjustment from the prior year. At the same time, the Engineering Committee reviews ongoing and upcoming capital projects. SOCWA's General Manager then provides the Member Agency with the proposed budget, and holds a workshop to provide Member Agencies the opportunity to propose revisions. Mutually agreed-upon adjustments are made to the budget for Member Agency review and the final operating budget is presented to the Board of Directors for adoption in June. As noted above, budgets distribute usage costs to liquids, solids, AWT, and common purposes and propose apportionments for bulk incurred expenses with percentages assigned to equitably apportion based on the purpose of the expense. Costs that are not related to use, such as capital costs, are distributed based on facility ownership capacity with agencies owning differing percentages of liquids and solids capacity in each facility.

SOCWA invoices Member Agencies in equal quarterly amounts based on their share of the adopted budget. As noted above, at the end of each year, the budget is reconciled against actual expenses incurred, and the Member Agencies are credited or billed for the difference between budgeted and actual expenses for the given year. The budget process begins again with the actual expenses from the previous year(s) serving as the foundation for the next year's budget.

Section 2

PROJECT SCOPE

Carollo provided SOCWA with an analysis of its current cost apportionment methodology for each PC, and recommended potential modifications to streamline the process and increase efficiency. The focus of this TM is to review the methods by which expenses are apportioned among liquids, solids, AWT, common and recommend alternative approaches distribute costs to each PC and to the Member Agencies. This section provides information regarding the approach and scope of this study, as well as an explanation of historical cost distributions.

Carollo met with SOCWA staff to assess needs and request the information necessary to conduct a thorough and supportable analysis. Proposed adjustments to SOCWA's existing cost distribution methods were discussed with SOCWA staff, and feedback was considered in Carollo's proposed recommendations. The resulting operational cost distribution recommendations and accompanying model provides SOCWA with an improved platform for budget preparation.

Carollo's analysis is based on available data and relied on aggregated data provided by SOCWA for evaluation of labor and incurred expenses. Carollo developed a budget model using Microsoft Excel to facilitate adjustments to the budget data and to analyze the impacts. This model uses the FY 2017-18 budget data and allows for adjustments to apportionments and functional categories of expense accounts to estimate budget impacts. Figure 1 illustrates the focus of Carollo's review of the Operational Cost Distributions.

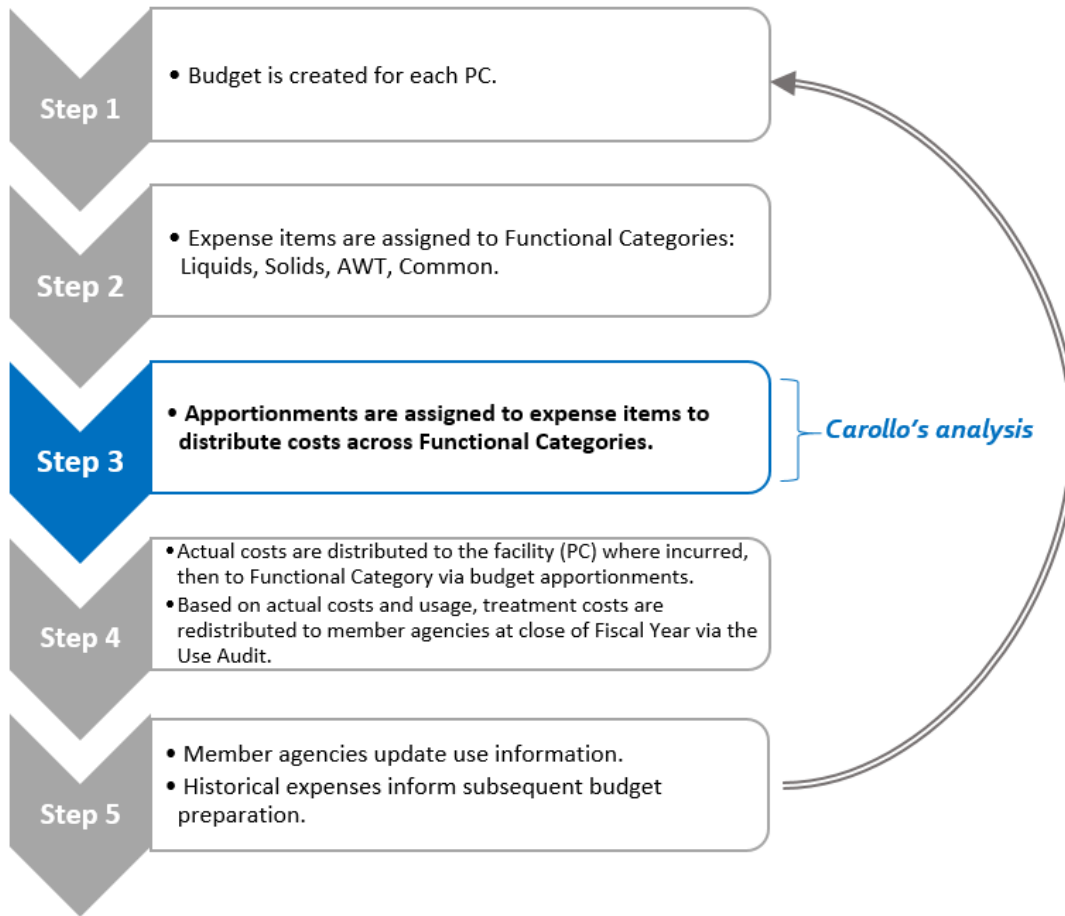


Figure 1 Operational Cost Distribution Analysis Project Scope

2.1 SOCWA Budget Process and Terminology

In Fiscal Year 2017-2018, SOCWA’s operations and maintenance budget was \$18.0 million (per the Fiscal Year 2017-18 Total Operating Budget Report, Approved June 14, 2017). Table 3 shows the budget by PC. Carollo’s proposed adjustments to the apportionment methodology do not alter SOCWA’s overall budget or the budget for each PC. However, the proposed changes do change the overall dollar amounts payable by each Member Agency.

Table 3 Budget Breakdown by PC

PC #	Description	FY 17-18 Budget
2	JB Latham Treatment Plant	\$5,689,556
5	San Juan Creek Ocean Outfall	\$475,884
8	Pre-Treatment	\$192,224
12	Recycled Water Permits	\$244,872
15	Coastal Treatment Plant	\$3,000,388
17	Regional Treatment Plant	\$7,849,616
21	Effluent Transmission Main	\$63,224
24	Aliso Creek Ocean Outfall	\$474,196
TOTAL BUDGET		\$17,989,960

Source: Fiscal Year 2017-18 Total Operating Budget Report (Approved June 14, 2017)

2.1.1 Functional Categories

Functional Categories are the process areas within each PC that benefit from the specific expense. The primary Functional Categories include:

- **Solids** – Expenses that benefit wastewater solids processes measured based on TSS and BOD as pounds per day of treated solids.
- **Liquids** – Expenses that benefit wastewater liquids processes measured based on delivered wastewater flows. Measurement may be by metered flow or assumed flows.
- **Advanced Water Treatment (AWT)** – Expenses related to advanced water treatment processes. AWT units are 100% cost distributed to single member agencies.
- **Common** – Expenses that are specific only to the overall facility and neither specifically liquids, solids, nor AWT treatment process related.
- **Outfall, Fixed** – Expenses that are accrued by the outfall's operation, regardless of flow.
- **Outfall, Variable** – Expenses that are accrued by the outfall's operation, based on the amount of flow.

The Functional Categories that apply to each PC are identified in Appendix B.

2.1.2 Apportionment Basis

An Apportionment Basis is a group of similar actions identified for distribution of like costs. The proposed apportionments cover 22 different basic expenses and distribute costs across one or more Functional Categories (i.e. liquids, solids, AWT, common).

Table 4 lists the Apportionment Basis used in SOCWA's budgeting process. In the table, the Apportionment Basis shows grouping and color based on similarity of expenses. For example, the blue grouping includes staffing and timecard-related expenses. The green grouping includes expenses related to a single function within a given facility. The yellow grouping includes consumables and other expenses that are distributed into functional categories based on metering, equipment and understanding of purpose for costs incurred.

Table 4 Apportionment Basis Definitions

Apportionment Basis	Definition
Labor - Actual Use	Apportioned using staff's actual timecard inputs.
Labor - Allocation	Apportioned using actual (timecard) percentages.
Labor - Overtime	Apportioned using actual (timecard) percentages of overtime.
Actual Use - Bills Coded	Distributed based on actual bills coded by PC and functional area for payment (dollar amounts). This is rarely used during the budget process, but is used during the use audit to reconcile specific expenses.
Common	Apportionment to solely common purposes. Split equally between common liquids and common solids.
Solids	Cost incurred only for solid processes.
Liquids	Cost incurred only for liquid processes.
AWT	Cost incurred only for AWT processes.
Outfall – Fixed	Cost incurred without relationship to flow volume identified as fixed. Applies only to outfalls (PC5 & PC24).
Outfall - Variable	Costs incurred with relationship to flow volume. Applies only to outfalls (PC5 & PC24).
ETM	Operational Cost applies only to effluent transmission main (PC21) with a 2/3 and 1/3 split between Reach B/C/D and Reach E, respectively.
Electricity	Apportioned to functional area based on horsepower and of equipment run times.
Natural Gas	Apportioned to functional area based on the metering of large equipment.
Potable & Reclaimed Water	Apportioned to functional area based on past engineering studies and staff estimates. ⁽¹⁾
Chlorine/Sodium Hypochlorite	Apportioned to functional area based on tracked AWT and RAS usage.
Ferric Chloride	Apportioned to functional area based on an estimate of benefit to processing.
Odor Control Chemicals	Apportioned based on airflows to system by source.
Laboratory	Apportioned based on actual laboratory expenses.
Petroleum Products	Apportioned based on HP of equipment run times.
Recycled Water Permitting	Applies only to PC12, which apportions 50% of costs to Recycled Water Produced in Region 9 and 50% Equally.
Insurance	PC8 insurance costs are distributed equally across all member agencies. All other PC property insurance costs are included in "Common" costs apportionments.

Notes:

(1) JB Latham Treatment Plant was analyzed by Pace in 2014.

For Budget preparation, expenses with a given Apportionment Basis are distributed into Functional Categories based on a percentage allotment varying up to 100%. The percentage allotment for each Apportionment Basis can vary by facility as each facility is of differing age, operating equipment and construction. The following examples are meant to illustrate how this is done for some of the distributions:

- Labor distributions by PC and functional area is budgeted each year based on the prior year actuals. "Labor – Actual Use" is apportioned based on the timesheet inputs of staff at each PC. As examples, this may be 45% Solids, 35% Liquids, 10% Common Solids, and 10% Common Liquids for PC2 (JB Latham WWTP), but could be 75% Solids, 0% Liquids, 25% Common Liquids, and 0% Common Liquids at PC17 (Regional WWTP) reflective of the services provided by staff.
- Consumables such as chemicals are estimated for budgeting based on prior year actual and any planned changes in plant operations. Consumables are distributed based on area of benefit to plant operations. As an example, "Ferric Chloride" is distributed based on the primary benefit it provides at a given PC. The specific use of the ferric chloride chemical varies due to the difference in plant processes. For PC 2 (JB Latham WWTP) it is 100% Solids, 100% Liquids at PC15 (Costal WWTP), and 80% Solids and 20% Liquids at PC17 (Regional WWTP).
- Metered utilities are budgeted based on prior year actual and any planned changes in plant operations. Metered utilities are distributed based on analysis of available data as to equipment usage. As an example, "Electricity" is distributed based on an analysis of equipment run times. The horsepower rating of major equipment is used to estimate the portion of energy consumed by each process within each plant.

Appendix B includes summary tables of the percentage apportionments of each Apportionment Basis to Functional Categories for each PC for the FY 18 budget.

Figure 2 illustrates the apportionment process for a sample expense. In this example, "Landscaping" expense is assigned the Apportionment Basis "Common". "Common" expenses are distributed 50/50 to liquids and solids ownership percentages as determined by the applicable PC capacity shares.

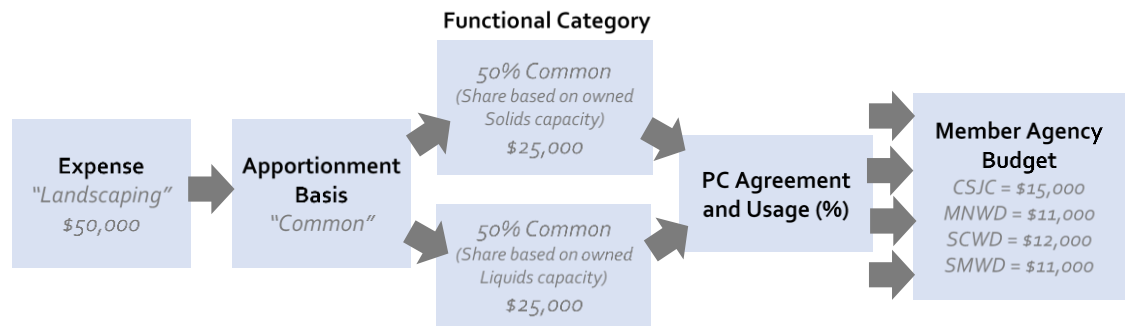


Figure 2 Cost Apportionment Process Example

Section 3

RECOMMENDED APPORTIONMENT CHANGES

Carollo’s proposed adjustments to SOCWA’s cost apportionment methodology is designed to more equitably distribute expenses based on their areas of provided benefit. As a result, some PCs see small changes while others will see greater shifts among apportionments to Functional Categories and corresponding Member Agency funding requirements. The proposed adjustments are presented in the tables below.

3.1 Treatment Plant Changes

Certain expenses have recommended apportionment changes that are constant across the three treatment plant PCs. Table 5 presents proposed apportionment basis changes that are consistent for all treatment plants (JB Latham, Coastal, and Regional).

Table 5 Proposed Apportionment Changes for Treatment Plant PCs

Account Code	Expense	Current Apportionment	Proposed Apportionment	Reasoning
5700	Standby Pay	Labor – Allocation	Common	Pay is not dependent on process that requires work.
5021	Small Vehicle Expense	Labor – Allocation	Common	Benefits entire plant equally, not reflective of timesheets
5026	Small Vehicle Fuel	Labor – Allocation	Common	Benefits entire plant equally, not reflective of timesheets
5033	Recruitment	Labor – Allocation	Common	Benefits entire plant equally, not reflective of timesheets
5061	Mileage	Labor – Allocation	Common	Benefits entire plant equally, not reflective of timesheets

For account codes 5014 (Engineering Misc.), 5015 (Management Support Services), 5016 (Audit Environmental), and 5017 (Legal Fees), we recommend these remain “Common” for budgeting purposes, to provide staff the flexibility to distribute charges to agencies or specific functions as needed during the Use Audit process.

3.2 Ocean Outfall Changes

The apportionment methodology changes are the same for both outfalls (San Juan Creek and Aliso Creek). After discussions with staff and member agencies, it was decided that, for budgeting purposes, all outfall expenses will be assigned the “Outfall – Fixed” Apportionment Basis because most expenses accrued by the outfall PCs occur regardless of the amount of flow through the outfall.

However, permit expenses may potentially be apportioned differently in the future. SOCWA staff developed a memo that examines potential options for outfall flow capacity costs to meet requests from the SOCWA Board. This memo is included in Appendix D. These examinations and calculations are outside the scope of Carollo’s analysis and are included here for reference only.

An additional apportionment methodology change is to consolidate Apportionment Basis in the Outfall PCs. The current budgeting process for outfalls utilizes three Apportionment Basis to distribute expenses to Member Agencies; "Fixed", "Variable – 5%", and "Variable – 95%". The Apportionment Basis "Fixed" and "Variable – 5%" have identical Member Agency distributions, and the division of variable expenses into two categories provides no observed benefit to the distribution of costs. Carollo recommends that "Variable – 5%" and "Variable – 95%" be consolidated into a single "Variable" Apportionment Basis to simplify the cost apportionment process.

Table 6 lists the expenses that were previously assigned the "Variable – 95%" Apportionment Basis for one or both of the two outfall PCs. It is proposed that all of these expenses are changed to the "Outfall – Fixed" Apportionment Basis.

Table 6 Proposed Apportionment Changes for Outfalls

Account Code	Expense	Current Apportionment ⁽²⁾	Proposed Apportionment	Reasoning
5000	Regular Salaries – O&M	Variable	Fixed	Not dependent on amount of flow
5001	Overtime Salaries	Variable	Fixed	Not dependent on amount of flow
5302	Performance-Based Merit Pay	Variable	Fixed	Not dependent on amount of flow
5306	Scheduled Holiday Work	Variable	Fixed	Not dependent on amount of flow
5307	Weekend Shift Pay	Variable	Fixed	Not dependent on amount of flow
5315	Comp Time – O&M	Variable	Fixed	Not dependent on amount of flow
5401	Fringe Benefits	Variable	Fixed	Not dependent on amount of flow
5700	Standby Pay	Variable	Fixed	Not dependent on amount of flow
5011	Laboratory Services	Variable	Fixed	Not dependent on amount of flow
5014	Engineering – Misc. ⁽¹⁾	Variable	Fixed	Not dependent on amount of flow
5015	Management Support Services ⁽¹⁾	Variable	Fixed	Not dependent on amount of flow
5017	Legal Fees ⁽¹⁾	Variable	Fixed	Not dependent on amount of flow
5031	Safety Program & Supplies	Variable	Fixed	Not dependent on amount of flow
5034	Travel Expense/Tech. Conferences	Variable	Fixed	Not dependent on amount of flow
5036	Laboratory Supplies	Variable	Fixed	Not dependent on amount of flow
5044	Offshore Monitoring	Variable	Fixed	Not dependent on amount of flow
5045	Offshore Biochemistry - 20B	Variable	Fixed	Not dependent on amount of flow
5046	Effluent Chemistry	Variable	Fixed	Not dependent on amount of flow
5058	Maintenance Equip. & Facilities (Common)	Variable	Fixed	Not dependent on amount of flow
5301	Vehicle Pay	Variable	Fixed	Not dependent on amount of flow
5305	Medicare Tax Payments for Employees	Variable	Fixed	Not dependent on amount of flow
5705	Monthly Car Allowance	Variable	Fixed	Not dependent on amount of flow
6500	IT Allocations in to PC's & Depts.	Variable	Fixed	Not dependent on amount of flow

Notes:

- (1) For account codes 5014 (Engineering Misc.), 5015 (Management Support Services), and 5017 (Legal Fees), and any other line items that are identified specifically to an agency that is functionally specific, we recommend that staff should retain the flexibility to distribute charges to agencies or specific functions as needed during the Use Audit process.
- (2) O&M Costs Apportionment (Variable) – Current variable O&M costs for the Outfall are determined on the basis of the average annual flows of each PC Member in a fiscal year apportioned to each PC Member based on the following formula: (a) 5% variable cost component calculated by multiplying 5% of the total variable cost by the ownership percentage and this can be considered a "fixed cost". (b) 95% variable cost component calculated by multiplying 95% of the total variable cost by the average Outfall flows for the preceding calendar year.

3.3 PC-Specific Changes

Several recommended changes are unique to a particular facility (PC) For example, both Coastal and Regional Treatment Plants have changes for a few Apportionment Basis percentages.

J.B. Latham Plant (PC2)

- Ferric Chloride costs at this facility are used to benefit the digester gas processes. Therefore, these costs should be apportioned 100% to the solids Functional Category. The current apportionment is 80% to liquids and 20% to solids. (This change was implemented in the FY18-19 budget based on the results of the Budget Workshop process and by approval of the Board.)
- In general, electricity apportionments are determined based on equipment usage and run times. It is recommended that the calculation for electricity and natural gas at this facility be performed annually with the preparation of the budget.

Coastal Treatment Plant (PC15)

- In general, electricity apportionments are determined based on equipment usage and run times. For the Coastal Treatment Plant, the AWT facility is metered separately to South Coast Water District (SCWD) and paid for by SCWD. Accordingly, the current electricity distribution should be revised to 100% liquids.
- In general, natural gas is apportioned based on gas metering for large equipment at each facility. The AWT facility is metered separately to South Coast Water District (SCWD) and paid for by SCWD. Accordingly, the current gas distribution should be revised to 50% liquids and 50% common.
- It is recommended that the calculation electricity and natural gas at this facility be performed annually with the preparation of the budget.
- Unlike PC2 and PC17, the “Ferric Chloride” costs at this facility are for odor control and are 100% liquids costs. No change is needed, since the apportionment basis already reflects this.

Table 7 illustrates the changes made to “Electricity” and “Natural Gas” Apportionment Basis at PC15 (Coastal WWTP).

Table 7 PC15 Functional Category Changes by Apportionment Basis

Basis	Current			Proposed		
	Liquids	AWT	C/Liquids	Liquids	AWT	C/Liquids
Electricity	94%	2.5%	3.5%	100%	-	-
Natural Gas	95%	-	5%	50%	-	50%

Regional Treatment Plant (PC17)

- Ferric Chloride costs at this facility are used to benefit the digester gas processes (similar to PC2). Therefore, these costs should be apportioned 100% to the solids Functional Category. The current apportionment is 80% to liquids and 20% to solids.
- In general, electricity apportionments are determined based on equipment usage and run times. It is recommended that the calculation electricity and natural gas at this facility be performed annually with the preparation of the budget.

Table 8 shows the recommended change for PC 17 “Ferric Chloride” Apportionment Basis.

Table 8 PC17 Functional Category Changes to Apportionment Basis

Basis	Current		Proposed	
	Liquids	Solids	Liquids	Solids
Ferric Chloride	80%	20%	-	100%

Remaining PCs

In addition to treatment plants and outfalls, the apportionment methodologies for PC8 (Pre-Treatment), PC12 (Permits), and PC21 (ETM) were examined. No changes to the cost apportionment approach are recommended for these PCs.

Section 4

RESULTS

The proposed changes to the cost apportionment method results in the redistribution of expense budgets across Functional Categories, due to changes in Apportionment Basis and PC-specific alterations. This section presents the redistribution of expense budgets by Apportionment Basis, Functional Category, and finally by Member Agency.

4.1 Results by Apportionment Basis

The proposed apportionment methodology revisions reassign certain expenses to a new Apportionment Basis, as described in the previous sections. Table 9 provides details of expenses by Apportionment Basis for each PC before proposed changes were applied. Table 10 shows expenses by Apportionment Basis for each PC after the proposed changes were applied. Finally, Table 11 illustrates the difference between the two, with negative (red) values indicating less expense was distributed to that basis after the proposed revisions (a decrease in budget), and positive (black) values indicating that more expense was distributed to that basis after the proposed revisions (an increase in budget). These proposed adjustments do not change the total budget for any PC, however, the proposed changes do alter the dollar amounts among Apportionment Basis within each PC.

Of the original FY 17-18 budget, the majority of the costs were in Apportionment Basis “Labor – Actual Use” (24%), followed by “Labor – Allocation” (20%), “Solids” (13%), and “Common” (13%). After implementing the proposed changes, these total percentages change only slightly, however these basis remain the largest in terms of total expenses.

After the proposed changes, “Outfall – Fixed” increased by 2.9% (\$524,067), while “Outfall – Variable” decreased by the same amounts. And “Common” increased by 0.7% (\$130,699), which resulted from decreases to “Labor – Actual Use” by -0.3% (-\$46,666) and “Labor – Allocation” by -0.5% (-\$84,033).

Table 9 Expenses by Apportionment Basis Before Proposed Changes

Apportionment Basis	PC2	PC5	PC8	PC12	PC15	PC17	PC21	PC24	Total Expenses	%
Labor - Actual Use	\$ 1,428,833	\$ -	\$ 85,113	\$ 50,586	\$ 875,443	\$ 1,819,549	\$ -	\$ -	\$ 4,259,524	23.7%
Labor - Allocation	\$ 1,085,752	\$ -	\$ 61,961	\$ 37,238	\$ 658,668	\$ 1,675,422	\$ -	\$ -	\$ 3,519,041	19.6%
Labor - Overtime	\$ 22,110	\$ -	\$ -	\$ -	\$ 11,121	\$ 39,345	\$ -	\$ -	\$ 72,576	0.4%
Actual Use - Bills Coded	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	0.0%
Common	\$ 811,307	\$ -	\$ 40,427	\$ -	\$ 555,654	\$ 951,232	\$ -	\$ -	\$ 2,358,620	13.1%
Solids	\$ 1,265,000	\$ -	\$ -	\$ -	\$ -	\$ 1,142,000	\$ -	\$ -	\$ 2,407,000	13.4%
Liquids	\$ 280,000	\$ -	\$ -	\$ -	\$ 327,000	\$ 307,000	\$ -	\$ -	\$ 914,000	5.1%
AWT	\$ -	\$ -	\$ -	\$ -	\$ 35,000	\$ 58,996	\$ -	\$ -	\$ 93,996	0.5%
Outfall - Fixed	\$ -	\$ 166,373	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 259,642	\$ 426,015	2.4%
Outfall - Variable	\$ -	\$ 309,491	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 214,576	\$ 524,067	2.9%
ETM	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 63,231	\$ -	\$ 63,231	0.4%
Electricity	\$ 524,000	\$ -	\$ -	\$ -	\$ 283,000	\$ 675,000	\$ -	\$ -	\$ 1,482,000	8.2%
Natural Gas	\$ 65,000	\$ -	\$ -	\$ -	\$ 3,000	\$ 141,000	\$ -	\$ -	\$ 209,000	1.2%
Potable & Reclaimed Water	\$ 15,000	\$ -	\$ -	\$ -	\$ 13,000	\$ 34,000	\$ -	\$ -	\$ 62,000	0.3%
Chlorine/Sodium Hypochlorite	\$ 28,000	\$ -	\$ -	\$ -	\$ 100,000	\$ 350,000	\$ -	\$ -	\$ 478,000	2.7%
Polymer Products	\$ -	\$ -	\$ -	\$ -	\$ 2,000	\$ 406,000	\$ -	\$ -	\$ 408,000	2.3%
Ferric Chloride	\$ 100,000	\$ -	\$ -	\$ -	\$ 30,000	\$ 125,000	\$ -	\$ -	\$ 255,000	1.4%
Odor Control Chemicals	\$ 25,000	\$ -	\$ -	\$ -	\$ 85,000	\$ 50,000	\$ -	\$ -	\$ 160,000	0.9%
Laboratory	\$ 23,500	\$ -	\$ 2,500	\$ -	\$ 19,504	\$ 33,020	\$ -	\$ -	\$ 78,524	0.4%
Petroleum Products	\$ 16,000	\$ -	\$ -	\$ -	\$ 2,000	\$ 42,000	\$ -	\$ -	\$ 60,000	0.3%
Recycled Water Permits	\$ -	\$ -	\$ -	\$ 157,083	\$ -	\$ -	\$ -	\$ -	\$ 157,083	0.9%
Insurance	\$ -	\$ -	\$ 2,199	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 2,199	0.0%
Rounding	\$ 54	\$ 20	\$ 24	\$ (35)	\$ (2)	\$ 53	\$ (7)	\$ (22)	\$ 85	
Total	\$ 5,689,556	\$ 475,884	\$ 192,224	\$ 244,872	\$ 3,000,388	\$ 7,849,617	\$ 63,224	\$ 474,196	\$ 17,989,961	100.0%

*Rounding values from Fiscal Year 2017-18 Total Operating Budget Report, Approved June 14, 2017

Table 10 Expenses by Apportionment Basis After Proposed Changes

Apportionment Basis	PC2	PC5	PC8	PC12	PC15	PC17	PC21	PC24	Total Expenses	%
Labor - Actual Use	\$ 1,405,500	\$ -	\$ 85,113	\$ 50,586	\$ 852,110	\$ 1,819,549	\$ -	\$ -	\$ 4,212,858	23.4%
Labor - Allocation	\$ 1,060,252	\$ -	\$ 61,961	\$ 37,238	\$ 645,468	\$ 1,630,089	\$ -	\$ -	\$ 3,435,008	19.1%
Labor - Overtime	\$ 22,110	\$ -	\$ -	\$ -	\$ 11,121	\$ 39,345	\$ -	\$ -	\$ 72,576	0.4%
Actual Use - Bills Coded	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	0.0%
Common	\$ 860,140	\$ -	\$ 40,427	\$ -	\$ 592,187	\$ 996,565	\$ -	\$ -	\$ 2,489,319	13.8%
Solids	\$ 1,265,000	\$ -	\$ -	\$ -	\$ -	\$ 1,142,000	\$ -	\$ -	\$ 2,407,000	13.4%
Liquids	\$ 280,000	\$ -	\$ -	\$ -	\$ 327,000	\$ 307,000	\$ -	\$ -	\$ 914,000	5.1%
AWT	\$ -	\$ -	\$ -	\$ -	\$ 35,000	\$ 58,996	\$ -	\$ -	\$ 93,996	0.5%
Outfall - Fixed	\$ -	\$ 475,864	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 474,218	\$ 950,082	5.3%
Outfall - Variable	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	0.0%
ETM	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 63,231	\$ -	\$ 63,231	0.4%
Electricity	\$ 524,000	\$ -	\$ -	\$ -	\$ 283,000	\$ 675,000	\$ -	\$ -	\$ 1,482,000	8.2%
Natural Gas	\$ 65,000	\$ -	\$ -	\$ -	\$ 3,000	\$ 141,000	\$ -	\$ -	\$ 209,000	1.2%
Potable & Reclaimed Water	\$ 15,000	\$ -	\$ -	\$ -	\$ 13,000	\$ 34,000	\$ -	\$ -	\$ 62,000	0.3%
Chlorine/Sodium Hypochlorite	\$ 28,000	\$ -	\$ -	\$ -	\$ 100,000	\$ 350,000	\$ -	\$ -	\$ 478,000	2.7%
Polymer Products	\$ -	\$ -	\$ -	\$ -	\$ 2,000	\$ 406,000	\$ -	\$ -	\$ 408,000	2.3%
Ferric Chloride	\$ 100,000	\$ -	\$ -	\$ -	\$ 30,000	\$ 125,000	\$ -	\$ -	\$ 255,000	1.4%
Odor Control Chemicals	\$ 25,000	\$ -	\$ -	\$ -	\$ 85,000	\$ 50,000	\$ -	\$ -	\$ 160,000	0.9%
Laboratory	\$ 23,500	\$ -	\$ 2,500	\$ -	\$ 19,504	\$ 33,020	\$ -	\$ -	\$ 78,524	0.4%
Petroleum Products	\$ 16,000	\$ -	\$ -	\$ -	\$ 2,000	\$ 42,000	\$ -	\$ -	\$ 60,000	0.3%
Recycled Water Permits	\$ -	\$ -	\$ -	\$ 157,083	\$ -	\$ -	\$ -	\$ -	\$ 157,083	0.9%
Insurance	\$ -	\$ -	\$ 2,199	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 2,199	0.0%
Rounding	\$ 54	\$ 20	\$ 24	\$ (35)	\$ (2)	\$ 53	\$ (7)	\$ (22)	\$ 85	
Total	\$ 5,689,556	\$ 475,884	\$ 192,224	\$ 244,872	\$ 3,000,388	\$ 7,849,617	\$ 63,224	\$ 474,196	\$ 17,989,961	100.0%

*Rounding values from Fiscal Year 2017-18 Total Operating Budget Report, Approved June 14, 2017



Table 11 Impacts on Expenses by Apportionment Basis After Proposed Changes

Apportionment Basis	PC2	PC5	PC8	PC12	PC15	PC17	PC21	PC24	Change in Total Expenses	%
Labor - Actual Use	\$ (23,333)	\$ -	\$ -	\$ -	\$ (23,333)	\$ -	\$ -	\$ -	\$ (46,666)	-0.3%
Labor - Allocation	\$ (25,500)	\$ -	\$ -	\$ -	\$ (13,200)	\$ (45,333)	\$ -	\$ -	\$ (84,033)	-0.5%
Labor - Overtime	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	0.0%
Actual Use - Bills Coded	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	0.0%
Common	\$ 48,833	\$ -	\$ -	\$ -	\$ 36,533	\$ 45,333	\$ -	\$ -	\$ 130,699	0.7%
Solids	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	0.0%
Liquids	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	0.0%
AWT	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	0.0%
Outfall - Fixed	\$ -	\$ 309,491	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 214,576	\$ 524,067	2.9%
Outfall - Variable	\$ -	\$ (309,491)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (214,576)	\$ (524,067)	-2.9%
ETM	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	0.0%
Electricity	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	0.0%
Natural Gas	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	0.0%
Potable & Reclaimed Water	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	0.0%
Chlorine/Sodium Hypochlorite	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	0.0%
Polymer Products	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	0.0%
Ferric Chloride	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	0.0%
Odor Control Chemicals	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	0.0%
Laboratory	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	0.0%
Petroleum Products	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	0.0%
Recycled Water Permits	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	0.0%
Insurance	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	0.0%
Total Changes	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	-

Budget Increase / (Budget Decrease)

4.2 Results by Functional Category

The proposed revisions to the cost apportionment methodology results in the redistribution of expenses across Functional Categories, which correspondingly results in changes to the total costs billed to Member Agencies. Table 12 provides detail of expenses distributed by Functional Category prior to the proposed changes. Table 13 shows expenses by Functional Category after the proposed changes were implemented. Table 14 illustrates the difference between the two, with negative (red) values indicating less expense was distributed to that category after the proposed revisions (a decrease in budget), and positive (black) values indicating that more expense was distributed to that category after the proposed revisions (an increase in budget).

These proposed adjustments do not change the total budget for any PC, however, the proposed changes do alter the dollar amounts among Functional Categories within each PC. Because no changes were made to PC8, PC12, and PC21, the Functional Categories for these PCs were grouped in the "All Others" column to simplify the tables.

The proposed changes have a net reduction in Liquids, AWT, and Outfall Flows Functional Categories, and a net increase in Solids, Common, and Capacity Functional Categories. The largest shift occurs at the outfalls (PC5 and PC24) due to the many changes described in Section 3.2 (Ocean Outfall Changes) and Table 6.

Table 12 Expenses by Functional Category Before Proposed Changes

	Treatment Plants			Outfalls			Others		PC Total	
	Solids	Liquids	AWT	C/Solids	C/Liquids	Outfall Flow	Capacity	All Others		
PC2 JB Latham WWTP	\$2,500,731	\$1,993,963		\$ 597,404	\$ 597,404				\$ 54	\$ 5,689,556
PC5 San Juan Creek Outfall						\$ 309,491	\$ 166,373		\$ 20	\$ 475,884
PC8 Pre-Treatment								\$ 192,200	\$ 24	\$ 192,224
PC12 Recycled Water Permits								\$ 244,907	\$ (35)	\$ 244,872
PC15 Coastal WWTP		\$1,680,516	\$ 378,466		\$ 941,408				\$ (2)	\$ 3,000,388
PC17 Regional WWTP	\$3,529,815	\$2,175,392	\$ 858,752	\$ 641,698	\$ 643,908				\$ 53	\$ 7,849,617
PC21 ETM								\$ 63,231	\$ (7)	\$ 63,224
PC24 Aliso Creek Outfall						\$ 214,576	\$ 259,642		\$ (22)	\$ 474,196
Functional Category Total	\$6,030,546	\$5,849,871	\$1,237,218	\$1,239,101	\$2,182,720	\$ 524,067	\$ 426,015	\$ 500,338	\$ 85	\$ 17,989,961

Table 13 Expenses by Functional Category After Proposed Changes

	Treatment Plants			Outfalls			Others		PC Total	
	Solids	Liquids	AWT	C/Solids	C/Liquids	Outfall Flow	Capacity	All Others		
PC2 JB Latham WWTP	\$ 2,511,761	\$ 1,963,795		\$ 606,973	\$ 606,973				\$ 54	\$ 5,689,556
PC5 San Juan Creek Outfall						\$ -	\$ 475,864		\$ 20	\$ 475,884
PC8 Pre-Treatment								\$ 192,200	\$ 24	\$ 192,224
PC12 Recycled Water Permits								\$ 244,907	\$ (35)	\$ 244,872
PC15 Coastal WWTP		\$ 1,673,313	\$ 366,642		\$ 960,436				\$ (2)	\$ 3,000,388
PC17 Regional WWTP	\$ 3,541,700	\$ 2,132,453	\$ 849,268	\$ 661,967	\$ 664,177				\$ 53	\$ 7,849,617
PC21 ETM								\$ 63,231	\$ (7)	\$ 63,224
PC24 Aliso Creek Outfall						\$ -	\$ 474,218		\$ (22)	\$ 474,196
Functional Category Total	\$ 6,053,460	\$ 5,769,561	\$ 1,215,910	\$ 1,268,940	\$ 2,231,585	\$ -	\$ 950,082	\$ 500,338	\$ 85	\$ 17,989,961

*Rounding values from Fiscal Year 2017-18 Total Operating Budget Report, Approved June 14, 2017

Table 14 Impacts on Expenses by Functional Category After Proposed Changes

	Treatment Plants			Outfalls		Others	PC Total Change
	Solids	Liquids	AWT	C/Solids	C/Liquids		
PC2 JB Latham WWTP	\$ 11,029	\$ (30,168)		\$ 9,569	\$ 9,569		\$ -
PC5 San Juan Creek Outfall						\$ (309,491)	\$ 309,491
PC8 Pre-Treatment							\$ -
PC12 Recycled Water Permits							\$ -
PC15 Coastal WWTP		\$ (7,203)	\$ (11,824)		\$ 19,027		\$ -
PC17 Regional WWTP	\$ 11,885	\$ (42,939)	\$ (9,484)	\$ 20,269	\$ 20,269		\$ -
PC21 ETM							\$ -
PC24 Aliso Creek Outfall						\$ (214,576)	\$ 214,576
Total Functional Category Change	\$ 22,915	\$ (80,310)	\$ (21,308)	\$ 29,838	\$ 48,866	\$ (524,067)	\$ 524,067

Budget Increase / (Budget Decrease)

4.3 Results by Member Agency Budget

The proposed cost apportionment methodology revisions have no impact on the total budget for any PC. However, the proposed changes do result in a redistribution of costs among Member Agency budgets within each PC. Table 15 shows the current budget breakdown by Member Agency and PC for FY 17-18, without any of the proposed changes.

Table 16 shows a revised FY 17-18 budget by agency and PC, based on the proposed changes discussed in this TM. Table 17 shows the difference between the two, with negative (red) values indicating less expense was distributed to that member agency after the proposed revisions (a decrease in budget), and positive (black) values indicating that more expense was distributed to that member agency after the proposed revisions (an increase in budget).

The total expense impacts for each PC and Member Agency is the result of the proposed changes made to Apportionment Basis and Functional Categories as described in the previous sections of this TM. After the proposed changes, Regional Treatment Plant (PC17) experiences the largest impacts to the distribution of expenses across Member Agencies. The outfalls (PC5 and PC24) also experience large shifts in the distribution of expenses among Member Agencies due to the Apportionment Basis changes that re-distribute many outfall expenses from "Variable" to "Fixed" Apportionment Basis.

Table 15 Cost Summary by PC Before Apportionment Changes (FY18)

Member Agency	PC2 J.B. Latham	PC5 SJCOO	PC8 Pre-Treatment	PC12 Recycled Water Permits	PC15 Coastal	PC17 Regional	PC21 ETM	PC24 ACOO	Total
City of San Juan Capistrano	\$ 1,505,172	\$ 74,716	\$ 14,692	\$ 24,620					\$ 1,619,200
Moulton Niguel Water District	\$ 1,034,700	\$ 75,632	\$ 41,668	\$ 67,800	\$ 275,196	\$ 6,298,376	\$ 11,144	\$ 174,428	\$ 7,978,944
South Coast Water District	\$ 1,311,176	\$ 65,344	\$ 33,684	\$ 27,984	\$ 1,360,664	\$ 358,752		\$ 46,496	\$ 3,204,100
Santa Margarita Water District	\$ 1,838,508	\$ 173,280	\$ 44,136	\$ 74,320					\$ 2,130,244
El Toro Water District			\$ 9,184			\$ 654,264	\$ 26,040	\$ 94,584	\$ 784,072
Irvine Ranch Water District			\$ 18,488	\$ 25,324			\$ 26,040	\$ 92,284	\$ 162,136
City of San Clemente		\$ 86,912	\$ 15,636						\$ 102,548
Trabuco Canyon Water District				\$ 24,824					\$ 24,824
Emerald Bay Service District			\$ 1,956		\$ 55,732	\$ 16,864		\$ 3,080	\$ 77,632
City of Laguna Beach			\$ 12,780		\$ 1,308,796	\$ 521,360		\$ 63,324	\$ 1,906,260
Total PC Budget	\$ 5,689,556	\$ 475,884	\$ 192,224	\$ 244,872	\$ 3,000,388	\$ 7,849,617	\$ 63,224	\$ 474,196	\$ 17,989,961

Table 16 Cost Summary by PC After Apportionment Changes (FY18)

Member Agency	PC2 J.B. Latham	PC5 SJCOO	PC8 Pre-Treatment	PC12 Recycled Water Permits	PC15 Coastal	PC17 Regional	PC21 ETM	PC24 ACOO	Total
City of San Juan Capistrano	\$ 1,507,203	\$ 52,726	\$ 14,684	\$ 24,620					\$ 1,599,233
Moulton Niguel Water District	\$ 1,036,853	\$ 73,807	\$ 41,665	\$ 67,798	\$ 280,447	\$ 6,277,327	\$ 11,143	\$ 207,936	\$ 7,996,975
South Coast Water District	\$ 1,311,795	\$ 59,340	\$ 33,685	\$ 27,992	\$ 1,352,853	\$ 363,633		\$ 58,376	\$ 3,207,674
Santa Margarita Water District	\$ 1,833,652	\$ 210,903	\$ 44,135	\$ 74,330					\$ 2,163,019
El Toro Water District			\$ 9,174			\$ 663,230	\$ 26,044	\$ 77,307	\$ 775,756
Irvine Ranch Water District			\$ 18,484	\$ 25,335			\$ 26,044	\$ 74,735	\$ 144,599
City of San Clemente		\$ 79,089	\$ 15,634						\$ 94,723
Trabuco Canyon Water District				\$ 24,832					\$ 24,832
Emerald Bay Service District			\$ 1,954		\$ 56,226	\$ 17,122		\$ 3,699	\$ 79,002
City of Laguna Beach			\$ 12,784		\$ 1,310,863	\$ 528,252		\$ 52,164	\$ 1,904,063
<i>Rounding</i>	\$ 54	\$ 20	\$ 24	\$ (35)	\$ (2)	\$ 53	\$ (7)	\$ (22)	\$ 85
Total PC Budget	\$ 5,689,556	\$ 475,884	\$ 192,224	\$ 244,872	\$ 3,000,388	\$ 7,849,617	\$ 63,224	\$ 474,196	\$ 17,989,961

*Rounding values from Fiscal Year 2017-18 Total Operating Budget Report, Approved June 14, 2017



Table 17 Total Expense Impact by PC After Apportionment Changes (FY18)

Member Agency	PC2		PC5	PC8	Recycled Water		PC15	PC17	PC21	PC24	Total Change
	J.B. Latham	SICOO			Pre-Treatment*	Permits*					
City of San Juan Capistrano	\$ 2,031	\$ (21,990)	\$ (8)	\$ 0	\$ 5,251	\$ (21,049)	\$ (1)	\$ 33,508	\$ 18,031	\$ (19,967)	
Moulton Niguel Water District	\$ 2,153	\$ (1,825)	\$ (3)	\$ (2)	\$ (7,811)	\$ 4,881	\$ 4	\$ 11,880	\$ 3,574	\$ 32,775	
South Coast Water District	\$ 619	\$ (6,004)	\$ 1	\$ 10							
Santa Margarita Water District	\$ (4,856)	\$ 37,623	\$ (10)	\$ 11							
El Toro Water District			\$ (4)	\$ (2)							
Irvine Ranch Water District			\$ (7,823)	\$ 8							
City of San Clemente				\$ 494							
Trabuco Canyon Water District			\$ (2)	\$ 2,067							
Emerald Bay Service District			\$ 4	\$ (53)							
City of Laguna Beach			\$ (24)	\$ 7							
<i>Rounding</i>	\$ (54)	\$ (20)	\$ (24)	\$ 35	\$ 2	\$ (53)	\$ 7	\$ 22	\$ (85)	\$ -	
Change in Total PC Budget	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	

*Rounding may impact these values

Budget Increase / (Budget Decrease)

Appendix A

FY 2017-18 BUDGET ASSUMPTIONS

Exhibits A through H summarize the cost apportionment assumptions from the FY17-18 Budget Book. Each PC has different apportionment methods as described in the FY17-18 Budget Book to apportion costs to the Member Agencies. The applicable functional categories are specific to the processes that occur within each PC.

Exhibit A PC2 (J.B. Latham Treatment Plant) Apportionment Assumptions

		PC2 Latham WWTP				
		FY17-18 Budget Use Projections			FY17-18 Ownership	
Member Agency	Abbrev.	Solids	Liquids	Other	C/Solids	C/Liquids
City of San Juan Capistrano	CSJC	23.82%	27.50%		30.00%	30.77%
Moulton Niguel Water District	MNWD	15.24%	19.49%		21.62%	23.08%
South Coast Water District	SCWD	21.73%	23.91%		20.00%	28.84%
Santa Margarita Water District	SMWD	39.21%	29.10%		28.38%	17.31%
El Toro Water District	ETWD					
Irvine Ranch Water District	IRWD					
City of San Clemente	CSC					
Trabuco Canyon Water District	TCWD					
Emerald Bay Service District	EBSD					
City of Laguna Beach	CLB					
Total		100%	100%		100%	100%

Exhibit B PC5 (SJCOO) Apportionment Assumptions

		PC5 San Juan Creek Ocean Outfall	
		FY17-18 Budget Use	
		Projections	FY17-18 Ownership
Member Agency	Abbrev.	Outfall Flow	Capacity
City of San Juan Capistrano	CSJC	18.56%	11.08%
Moulton Niguel Water District	MNWD	16.13%	15.51%
South Coast Water District	SCWD	14.51%	12.47%
Santa Margarita Water District	SMWD	31.52%	44.32%
El Toro Water District	ETWD		
Irvine Ranch Water District	IRWD		
City of San Clemente	CSC	19.28%	16.62%
Trabuco Canyon Water District	TCWD		
Emerald Bay Service District	EBSD		
City of Laguna Beach	CLB		
Total		100%	100%

Exhibit C PC8 (Pre-Treatment) Apportionment Assumptions

		PC8 Pre-Treatment	
		FY17-18 Ownership	
Member Agency	Abbrev.	Timecard	Insurance
City of San Juan Capistrano	CSJC	7.60%	11.11%
Moulton Niguel Water District	MNWD	21.80%	11.11%
South Coast Water District	SCWD	17.60%	11.11%
Santa Margarita Water District	SMWD	23.10%	11.11%
El Toro Water District	ETWD	4.70%	11.11%
Irvine Ranch Water District	IRWD	9.60%	11.11%
City of San Clemente	CSC	8.10%	11.11%
Trabuco Canyon Water District	TCWD		
Emerald Bay Service District	EBSD	0.90%	11.11%
City of Laguna Beach	CLB	6.60%	11.11%
Total		100%	100%

Exhibit D PC12 (Recycled Water Permits) Apportionment Assumptions

		PC12 Recycled Water Permits	
		FY17-18 Budget Use Projections	FY17-18 Ownership
Member Agency	Abbrev.	Recycled Water Produced in Region 9	Equally
City of San Juan Capistrano	CSJC	3.44%	16.67%
Moulton Niguel Water District	MNWD	38.70%	16.67%
South Coast Water District	SCWD	6.19%	16.67%
Santa Margarita Water District	SMWD	44.03%	16.67%
El Toro Water District	ETWD		
Irvine Ranch Water District	IRWD	4.02%	16.67%
City of San Clemente	CSC		
Trabuco Canyon Water District	TCWD	3.61%	16.67%
Emerald Bay Service District	EBSD		
City of Laguna Beach	CLB		
Total		100%	100%

Exhibit E PC 15 (Coastal Treatment Plant) Apportionment Assumptions

		PC15 Coastal WWTP			
		FY17-18 Budget Use Projections			FY17-18 Ownership
Member Agency	Abbrev.	Solids	Liquids	AWT	C/Liquids
City of San Juan Capistrano	CSJC				
Moulton Niguel Water District	MNWD		-	-	29.2%
South Coast Water District	SCWD		41.8%	100.0%	29.9%
Santa Margarita Water District	SMWD				
El Toro Water District	ETWD				
Irvine Ranch Water District	IRWD				
City of San Clemente	CSC				
Trabuco Canyon Water District	TCWD				
Emerald Bay Service District	EBSD		1.6%	-	3.0%
City of Laguna Beach	CLB		56.6%	-	37.9%
Total		100%	100%	100%	100%

Exhibit F PC17 (Regional Treatment Plant) Apportionment Assumptions

		PC17 Regional WWTP				
		FY17-18 Budget Use Projections			FY17-18 Ownership	
Member Agency	Abbrev.	Solids	Liquids	AWT	C/Solids	C/Liquids
City of San Juan Capistrano	CSJC					
Moulton Niguel Water District	MNWD	63.58%	99.54%	100.00%	58.82%	100.00%
South Coast Water District	SCWD	8.53%	0.10%	-	8.96%	-
Santa Margarita Water District	SMWD					
El Toro Water District	ETWD	14.80%	0.19%	-	20.41%	-
Irvine Ranch Water District	IRWD					
City of San Clemente	CSC					
Trabuco Canyon Water District	TCWD					
Emerald Bay Service District	EBSD	0.37%	0.01%	-	0.59%	-
City of Laguna Beach	CLB	12.72%	0.16%	-	11.22%	-
Total		100%	100%	100%	100%	100%

Exhibit G PC21 (Effluent Transmission Main) Apportionment Assumptions

		PC21 Effluent Transmission Main FY17-18 Budget Ownership	
Member Agency	Abbrev.	Reach B/C/D	Reach E
City of San Juan Capistrano	CSJC		
Moulton Niguel Water District	MNWD	-	53.4%
South Coast Water District	SCWD		
Santa Margarita Water District	SMWD		
El Toro Water District	ETWD	50.0%	23.3%
Irvine Ranch Water District	IRWD	50.0%	23.3%
City of San Clemente	CSC		
Trabuco Canyon Water District	TCWD		
Emerald Bay Service District	EBSD		
City of Laguna Beach	CLB		
Total		100%	100%

Exhibit H PC24 (ACCO) Apportionment Assumptions

		PC24 Aliso Creek Ocean Outfall FY17-18 Budget Use Projections			FY17-18 Ownership
Member Agency	Abbrev.	Solids	Outfall Flow	AWT	Capacity
City of San Juan Capistrano	CSJC				
Moulton Niguel Water District	MNWD		27.4%		43.8%
South Coast Water District	SCWD		6.5%		12.3%
Santa Margarita Water District	SMWD				
El Toro Water District	ETWD		24.8%		16.3%
Irvine Ranch Water District	IRWD		24.4%		15.8%
City of San Clemente	CSC				
Trabuco Canyon Water District	TCWD				
Emerald Bay Service District	EBSD		0.5%		0.8%
City of Laguna Beach	CLB		16.5%		11.0%
Total			100%		100%

Appendix B

COST APPORTIONMENT BASIS

Exhibits I, J, and K provide the proposed revised cost apportionment basis specific to each PC.

Exhibit I Apportionment Basis for PC2, PC5, and PC8

Apportionment Basis	PC2 Latham WWTP				PC5 San Juan Creek Ocean Outfall		PC8 Pre-Treatment	
	Solids	Liquids	AWT	C/Solids	C/Liquids	Capacity	Timecard	Insurance
Labor - Actual Use (Dept 1)	42.2%	48.4%		4.7%	4.7%		100.0%	-
Labor - Actual Use (Dept 2)	14.8%	55.0%		15.1%	15.1%		100.0%	-
Labor - Allocation (Dept 1)	42.2%	48.4%		4.7%	4.7%		100.0%	-
Labor - Allocation (Dept 2)	14.8%	55.0%		15.1%	15.1%		100.0%	-
Labor - Overtime (Dept 1)	42.2%	48.4%		4.7%	4.7%		100.0%	-
Labor - Overtime (Dept 2)	14.8%	55.0%		15.1%	15.1%		100.0%	-
Actual Use - Bills Coded	-	-		50.0%	50.0%		100.0%	-
Common	-	-		50.0%	50.0%		100.0%	-
Solids	100.0%	-		-	-		100.0%	-
Liquids	-	100.0%		-	-		100.0%	-
AWT							100.0%	-
Outfall - Fixed						100.0%		
Outfall - Variable						100.0%		
ETM								
Electricity	25.0%	65.0%		5.0%	5.0%			
Natural Gas	25.0%	65.0%		5.0%	5.0%			
Potable & Reclaimed Water	50.0%	40.0%		5.0%	5.0%			
Chlorine/Sodium Hypochlorite	-	100.0%		-	-			
Polymer Products	20.0%	80.0%		-	-			
Ferric Chloride	100.0%	-		-	-			
Odor Control Chemicals	46.0%	54.0%		-	-			
Laboratory	25.0%	75.0%		-	-			
Petroleum Products	20.0%	80.0%		-	-			
Recycled Water Permits							100.0%	-
Insurance							-	100.0%

Exhibit K Apportionment Basis for PC21 and PC24

Apportionment Basis	PC21		PC24	
	Reach B/C/D	Reach E	Aliso Creek Ocean Outfall	Capacity
Labor - Actual Use (Dept 1)	67.0%	33.0%		
Labor - Actual Use (Dept 2)	67.0%	33.0%		
Labor - Allocation (Dept 1)	67.0%	33.0%		
Labor - Allocation (Dept 2)	67.0%	33.0%		
Labor - Overtime (Dept 1)				
Labor - Overtime (Dept 2)				
Actual Use - Bills Coded				
Common				
Solids				
Liquids				
AWT				
Outfall - Fixed			100%	100%
Outfall - Variable			100%	-
ETM	67.0%	33.0%		
Electricity				
Natural Gas				
Potable & Reclaimed Water				
Chlorine/Sodium Hypochlorite				
Polymer Products				
Ferric Chloride				
Odor Control Chemicals				
Laboratory				
Petroleum Products				
Recycled Water Permits				
Insurance				

Appendix C

BUDGET BOOK EXPENSE DETAIL

The following pages were extracted from the Fiscal Year 2017-18 Total Operating Budget Report (Approved June 14, 2017). These tables served as the basis for operating expenses used in the model and this report.

**South Orange County Wastewater Authority
FY 2017-18 Total O&M Budget by Project Committee and Member Agency**

City of Laguna Beach	City of San Clemente	City of Juan Capistrano	City of San Juan Water District	Emerald Bay Service District	Irvine Ranch Water District	Moulton Niguel Water District	Santa Margarita Water District	South Coast Water District	Trabuco Canyon Water District	Total
-	-	1,505,172	-	-	-	1,034,700	1,838,508	1,311,176	-	5,689,556
-	86,912	74,716	-	-	-	75,632	173,280	65,344	-	475,864
12,780	15,636	14,692	9,184	1,956	18,488	41,668	44,136	33,684	-	192,224
-	-	24,620	-	-	25,324	67,800	74,320	27,984	24,824	244,872
1,308,796	-	-	-	55,732	-	275,196	-	1,360,664	-	3,000,388
521,360	-	-	654,264	16,864	-	6,298,376	-	358,752	-	7,849,616
-	-	-	26,040	-	26,040	11,144	-	-	-	63,224
63,324	-	-	94,584	3,080	92,284	174,428	-	46,496	-	474,196
1,906,260	102,548	1,619,200	784,072	77,632	162,136	7,978,944	2,130,244	3,204,100	24,824	17,989,960

O&M by Project Committee and Member Agency

PC 2 - Jay B. Latham Plant	-	-	-	-	-	-	-	-	-	-
PC 5 - San Juan Creek Ocean Outfall	-	-	-	-	-	-	-	-	-	-
PC 8 - Pretreatment Program	12,780	15,636	14,692	9,184	1,956	18,488	44,136	33,684	-	192,224
PC 12 SO - Water Reclamation Permits	-	-	24,620	-	-	25,324	74,320	27,984	24,824	244,872
PC 15 - Coastal Treatment Plant/AWT	1,308,796	-	-	-	55,732	-	-	1,360,664	-	3,000,388
PC 17 - Joint Regional Wastewater Reclamation	521,360	-	-	654,264	16,864	-	-	358,752	-	7,849,616
PC 21 Effluent Transmission Main	-	-	-	26,040	-	11,144	-	-	-	63,224
PC 24 - Aliso Creek Ocean Outfall	63,324	-	-	94,584	3,080	174,428	-	46,496	-	474,196
Total O&M by Project Committee and Member Agency	1,906,260	102,548	1,619,200	784,072	77,632	7,978,944	2,130,244	3,204,100	24,824	17,989,960

Total Administration, General Fund and Residual Engineering Expenses by Member Agency (calculated page 7b)	48,280	222,026	126,355	45,426	55,106	950,593	280,571	403,591	39,376	2,426,235
Administration, General Fund and Residual Engineering Expenses Allocation Percentages by Project Committee and Member Agency										
Project Committee										
PC 2 - Jay B. Latham Plant	-	93.0%	-	-	-	13.0%	86.3%	40.9%	-	31.6%
PC 5 - San Juan Creek Ocean Outfall	-	84.8%	4.6%	-	-	0.9%	8.1%	2.0%	-	2.6%
PC 8 - Pretreatment Program	0.7%	15.2%	0.9%	2.5%	11.4%	0.5%	2.1%	1.1%	-	1.1%
PC 12 SO - Water Reclamation Permits	-	-	1.5%	-	15.6%	0.8%	3.5%	0.9%	100.0%	1.4%
PC 15 - Coastal Treatment Plant/AWT	68.7%	-	-	71.8%	-	3.4%	-	42.5%	-	16.7%
PC 17 - Joint Regional Wastewater Reclamation	27.3%	-	83.4%	21.7%	-	78.9%	-	11.2%	-	43.6%
PC 21 Effluent Transmission Main	-	-	3.3%	-	16.1%	0.1%	-	-	-	0.4%
PC 24 - Aliso Creek Ocean Outfall	3.3%	-	12.1%	4.0%	56.9%	2.2%	-	1.5%	-	2.6%
Total Allocation %'s	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%

Total Administration, General Fund and Residual Engineering Expenses by Project Committee and Member Agency	48,280	222,026	126,355	45,426	55,106	950,593	280,571	403,591	39,376	2,426,235
Administration, General Fund and Residual Engineering Expenses by Project Committee and Member Agency										
Project Committee										
PC 2 - Jay B. Latham Plant	-	206,391	-	-	-	123,272	242,147	165,157	-	736,966
PC 5 - San Juan Creek Ocean Outfall	-	40,919	10,245	-	-	9,011	22,822	8,231	-	91,228
PC 8 - Pretreatment Program	1,709	7,361	2,015	1,145	6,284	4,964	5,813	4,243	-	35,013
PC 12 SO - Water Reclamation Permits	-	-	3,376	-	8,607	8,078	9,789	3,525	39,376	72,750
PC 15 - Coastal Treatment Plant/AWT	175,017	-	-	32,611	-	32,786	-	171,390	-	411,804
PC 17 - Joint Regional Wastewater Reclamation	69,718	-	105,436	9,868	-	750,374	-	45,189	-	980,584
PC 21 Effluent Transmission Main	-	-	4,196	-	8,850	1,328	-	-	-	14,374
PC 24 - Aliso Creek Ocean Outfall	8,468	-	15,242	1,802	31,365	20,781	-	5,857	-	83,515
Total Administration, General Fund and Residual Engineering Expenses by Project Committee and Member Agency	254,912	48,280	222,026	45,426	55,106	950,593	280,571	403,591	39,376	2,426,235

Total O&M Budget by Project Committee and Member Agency	150,828	1,841,226	910,427	123,058	217,242	8,929,537	2,410,815	3,607,691	64,200	20,416,195
Total O&M Budget by Project Committee and Member Agency										
Member Agency										
Project Committee										
PC 2 - Jay B. Latham Plant	-	1,711,563	-	-	-	1,157,972	2,080,655	1,476,333	-	6,426,522
PC 5 - San Juan Creek Ocean Outfall	-	127,831	84,961	-	-	84,643	196,102	73,575	-	567,112
PC 8 - Pretreatment Program	14,489	22,997	16,707	3,101	24,772	46,632	49,949	37,927	-	227,237
PC 12 SO - Water Reclamation Permits	-	-	27,996	-	33,931	75,878	84,109	31,509	64,200	317,622
PC 15 - Coastal Treatment Plant/AWT	1,483,813	-	-	88,343	-	307,982	-	1,532,054	-	3,412,192
PC 17 - Joint Regional Wastewater Reclamation	591,078	-	759,700	26,732	-	7,048,750	-	403,941	-	8,830,200
PC 21 Effluent Transmission Main	-	-	30,236	-	34,890	12,472	-	-	-	77,598
PC 24 - Aliso Creek Ocean Outfall	71,792	-	109,826	4,882	123,649	195,209	-	52,353	-	557,711
Total O&M Budget by Project Committee and Member Agency	2,161,172	150,828	1,841,226	123,058	217,242	8,929,537	2,410,815	3,607,691	64,200	20,416,195

*Member Agency Administration, Residual Engineering and General Fund expenses are distributed by Member Agency on page 7b.

South Orange County Wastewater Authority
Fiscal Year 2017-18 O&M Project Committee Detail
(Does Not Include Administration, Residual Engineering and General Fund Expenses)

	PC 02	PC 12	PC 05	PC 15	PC 17	PC 21	PC 24	PC 08	SOCWA	
Salary and Fringe										
5000--***	Regular Salaries-O&M	1,391,700	50,192	101,732	847,312	1,804,540	-	92,320	83,504	4,371,300
5001--***	Overtime Salaries-O&M	22,112	-	472	11,108	39,344	-	544	1,412	74,992
5306--***	Scheduled Holiday Work	13,796	392	2,500	4,804	14,996	-	3,216	200	39,904
5401--***	Fringe Benefits IN to PC's & Depts.	1,032,652	37,240	75,484	628,708	1,338,984	-	68,488	61,956	3,243,512
5700--***	Standby Pay	23,328	-	-	23,336	23,332	-	-	-	69,996
	Total Payroll Costs	2,483,588	87,824	180,188	1,515,268	3,221,196	-	164,568	147,072	7,799,704
Other Expenses										
5002--***	Electricity	524,000	-	1,000	283,004	675,000	-	996	-	1,484,000
5003--***	Natural Gas	65,012	-	500	3,000	141,000	-	-	-	209,512
5004--***	Potable & Reclaimed Water	15,004	-	-	13,000	34,004	-	-	-	62,008
5005--***	Co-generation Power Credit	-	-	-	-	(250,000)	-	-	-	(250,000)
5006--***	Chlorine/Sodium Hypochlorite	28,000	-	-	99,996	350,004	-	-	-	478,000
5007--***	Polymer Products	301,004	-	-	2,004	406,008	-	-	-	709,016
5008--***	Ferric Chloride	100,004	-	-	30,004	125,004	-	-	-	255,012
5009--***	Odor Control Chemicals	25,000	-	-	85,000	50,000	-	-	-	160,000
5010--***	Other Chemicals - Misc.	1,000	-	-	-	4,000	-	-	-	5,000
5011--***	Laboratory Services	10,500	-	-	9,500	14,000	-	-	2,500	36,500
5012--***	Grit Hauling - 21A	40,000	-	-	30,004	52,004	-	-	-	122,008
5013--***	Landscaping	48,000	-	-	60,008	74,996	-	-	-	183,004
5014--***	Engineering - Misc.	105,000	-	20,004	55,000	130,004	62,496	20,004	-	392,508
5015--***	Management Support Services	17,996	15,992	27,500	9,500	27,508	-	27,504	2,008	128,008
5016--***	Audit - Environmental	-	-	-	-	-	-	-	-	500
5017--***	Legal Fees	1,200	5,000	2,004	-	-	-	2,004	2,008	12,216
5018--***	Public Notices/ Public Relations	-	-	-	-	-	-	-	500	500
5019--***	Contract Services Misc. - 29	95,000	-	-	67,000	125,000	-	-	-	287,000
5021--***	Small Vehicle Expense - 31A	8,004	-	-	5,996	6,000	-	-	900	20,900
5022--***	Miscellaneous Expense	8,004	-	-	2,996	5,996	-	-	1,496	18,492
5023--***	Office Supplies - All	25,008	-	-	6,996	9,996	-	-	-	42,000
5024--***	Petroleum Products	16,004	-	-	2,000	41,996	-	-	-	60,000
5025--***	Uniforms	12,996	-	-	5,996	17,008	-	-	-	36,000
5026--***	Small Vehicle Fuel - 37A	15,000	-	2,496	5,996	12,996	-	-	1,200	37,688
5027--***	Insurance - Property/Liability	70,448	2,740	5,372	34,640	90,252	728	5,364	2,196	211,740
5028--***	Small Tools & Supplies	40,004	-	-	9,000	25,000	-	-	3,472	77,476
5030--***	Trash Disposal	1,000	-	-	2,996	1,004	-	-	-	5,000
5031--***	Safety Supplies	30,396	-	128	24,000	31,352	-	996	-	86,872
5032--***	Equipment Rental	3,000	-	-	1,000	3,004	-	-	-	7,004
5033--***	Recruitment	1,000	-	-	-	-	-	-	-	1,000
5034--***	Travel Expense/Tech. Conferences	16,976	1,256	2,484	17,156	17,156	-	2,480	3,504	61,012
5035--***	Training Expense	17,020	-	-	17,020	17,028	-	-	1,496	52,564
5036--***	Laboratory Supplies	13,004	-	16,000	10,004	19,020	-	16,996	-	75,024
5037--***	Office Equipment	15,500	-	-	1,000	5,996	-	-	-	22,496
5038--***	Permits	22,000	124,996	145,000	6,504	35,000	-	131,992	10,008	475,500
5039--***	Membership Dues/Fees	3,844	-	-	3,800	3,804	-	-	796	12,244
5044--***	Offshore Monitoring - 20A	-	-	20,004	-	-	-	22,004	-	42,008
5046--***	Effluent Chemistry - 20C	-	-	16,000	-	-	-	29,996	-	45,996
5047--***	Access Road Expenses	-	-	-	46,996	-	-	-	-	46,996
5048--***	Storm Damage	-	-	-	19,000	-	-	-	-	19,000
5049--***	Biosolids Disposal - 21B	550,004	-	-	125,000	866,000	-	-	-	1,541,004
5050--***	Contract Services Generators - 29A	9,004	-	-	4,004	8,000	-	-	-	21,008
5052--***	Janitorial Services	40,004	-	-	20,008	40,004	-	-	-	100,016
5053--***	Contract Serv - Digester Cleaning	-	-	-	-	60,004	-	-	-	60,004
5054--***	Diesel Truck Maint - 31B	20,004	-	-	996	19,000	-	-	-	40,000
5055--***	Diesel Truck Fuel - 37B	6,000	-	-	996	7,000	-	-	-	13,996
5056--***	Maintenance Equip. & Facilities	138,000	-	-	-	190,004	-	-	-	328,004
5057--***	Maintenance Equip. & Facilities	240,000	-	-	170,004	164,996	-	-	-	575,000
5058--***	Maintenance Equip. & Facilities	26,000	-	1,004	20,008	25,000	-	996	-	73,008
5059--***	Maintenance Equip. & Facilities	250,000	-	-	-	250,000	-	-	-	500,000
5060--***	Maintenance Equip. & Facilities	-	-	-	35,000	35,000	-	-	-	70,000
5061--***	Mileage	1,492	-	-	1,196	3,000	-	-	-	5,688
5067--***	Port Cleaning	-	-	-	-	-	-	35,000	-	35,000
17-5068-02-04-22	MNWD Lab Services and Supplies	-	-	-	-	23,996	-	-	-	23,996
5069--***	Misc-Capital-Dilution & Metering Study	-	-	15,004	-	-	-	-	-	15,004
5105--***	Co-Generation Power Credit - Offset	-	-	-	-	250,000	-	-	-	250,000
5301--***	Vehicle Pay	7,196	-	4,200	4,200	12,548	-	-	-	28,144
5303--***	Group Insurance Waiver	3,604	-	-	3,596	3,596	-	-	-	10,796
5305--***	Medicare Tax Payments for Employees	3,812	-	2,352	2,964	1,916	-	-	-	11,044
5309--***	Operating Leases	6,000	-	-	-	6,000	-	-	-	12,000
5705--***	Monthly Car Allowance	-	-	-	-	52	-	-	-	52
5706--***	Effluent Pond Cleaning	-	-	-	-	90,004	-	-	-	90,004
6500--***	IT Allocations in to PC's & Depts.	208,920	7,064	14,644	127,032	271,160	-	13,296	12,568	654,684
	Total Other Expenses	3,205,968	157,048	295,696	1,485,120	4,628,420	63,224	309,628	45,152	10,190,256

Total O&M by Project Committee

5,689,556	244,872	475,884	3,000,388	7,849,616	63,224	474,196	192,224	17,989,960
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**South Orange County Wastewater Authority
Member Agency Allocations**

PC 2 Liquids Allocation FY 17/18

Agency	Liquids Ownership Capacity MGD	Ownership Percentage	2016 Flows MGD	FY 17/18 Projected Flows	FY 17/18 Liquids Allocation %
CSJC	4.000	30.77%	1.975	1.975	27.50
MNWD	3.000	23.08%	1.416	1.400	19.49
SCWD	3.750	28.85%	1.717	1.717	23.91
SMWD	2.250	17.30%	2.090	2.090	29.10
	13.000	100.00%	7.198	7.182	100.00

Agency	Solids Ownership Capacity Pounds/Day	Ownership Percentage	3Yr Average Loading #/Day	FY 17/18 Projected Loading #/Day	FY 17/18 Solids Allocation %
CSJC	11,572	30.00	5,402	5,402	23.82
MNWD	8,340	21.62	3,456	3,456	15.24
SCWD	7,715	20.00	4,926	4,926	21.73
SMWD	10,946	28.38	8,890	8,890	39.21
	38,573	100.00	22,674	22,674	100.00

South Orange County Wastewater Authority
FY 2017-18 PC 2 O&M Budget Detail and Wastewater Code Allocations

Description	Account #	Total	Wastewater Allocation %				Total
			Liquids	Solids	C/Liquids	C/Solids	
Regular Salaries-O&M	02-5000-01-00-00	1,228,506	48.4%	42.2%	4.7%	4.7%	100.0%
Regular Salaries-O&M	02-5000-02-00-00	163,194	55.0%	14.8%	15.1%	15.1%	100.0%
Overtime Salaries-O&M	02-5001-01-00-00	21,910	48.4%	42.2%	4.7%	4.7%	100.0%
Overtime Salaries-O&M	02-5001-02-00-00	200	55.0%	14.8%	15.1%	15.1%	100.0%
Electricity	02-5002-01-00-00	524,000	65.0%	25.0%	5.0%	5.0%	100.0%
Natural Gas	02-5003-01-00-00	65,000	65.0%	25.0%	5.0%	5.0%	100.0%
Water	02-5004-01-00-00	15,000	40.0%	50.0%	5.0%	5.0%	100.0%
Chlorine/Sodium Hypochlorite	02-5006-01-00-00	28,000	100.0%				100.0%
Polymer Products	02-5007-01-00-00	301,000		100.0%			100.0%
Ferric Chloride	02-5008-01-00-00	100,000		100.0%			100.0%
Odor Control Chemicals	02-5009-01-00-00	25,000	54.0%	46.0%			100.0%
Other Chemicals - Misc.	02-5010-01-00-00	1,000			50.0%	50.0%	100.0%
Laboratory Services	02-5011-02-00-00	10,500	75.0%	25.0%			100.0%
Grit Hauling - 21A	02-5012-01-00-00	40,000	100.0%				100.0%
Landscaping	02-5013-01-00-00	48,000			50.0%	50.0%	100.0%
Engineering - Misc.	02-5014-01-00-00	5,000			50.0%	50.0%	100.0%
Engineering - Misc.	02-5014-02-00-00	100,000			50.0%	50.0%	100.0%
Management Support Services	02-5015-01-00-00	5,000			50.0%	50.0%	100.0%
Management Support Services	02-5015-02-00-00	13,000			50.0%	50.0%	100.0%
Legal Fees	02-5017-01-03-00	1,200			50.0%	50.0%	100.0%
Contract Services Misc. - 29	02-5019-01-00-00	95,000			50.0%	50.0%	100.0%
Small Vehicle Expense - 31A	02-5021-01-00-00	8,000	48.4%	42.2%	4.7%	4.7%	100.0%
Small Vehicle Expense - 31A	02-5021-02-00-00		55.0%	14.8%	15.1%	15.1%	100.0%
Miscellaneous Expense	02-5022-01-00-00	8,000			50.0%	50.0%	100.0%
Office Supplies - All	02-5023-01-00-00	25,000			50.0%	50.0%	100.0%
Petroleum Products	02-5024-01-00-00	16,000	80.0%	20.0%			100.0%
Uniforms	02-5025-01-00-00	13,000	48.4%	42.2%	4.7%	4.7%	100.0%
Small Vehicle Fuel - 37A	02-5026-01-00-00	15,000	48.4%	42.2%	4.7%	4.7%	100.0%
Insurance - Property/Liability	02-5027-01-00-00	70,448			50.0%	50.0%	100.0%
Insurance - Property/Liability	02-5027-02-00-00				50.0%	50.0%	100.0%
Small Tools & Supplies	02-5028-01-00-00	40,000			50.0%	50.0%	100.0%
Small Tools & Supplies	02-5028-02-00-00				50.0%	50.0%	100.0%
Trash Disposal	02-5030-01-00-00	1,000			50.0%	50.0%	100.0%
Safety Supplies	02-5031-01-00-00				50.0%	50.0%	100.0%
Safety Supplies	02-5031-02-00-00	30,400			50.0%	50.0%	100.0%
Equipment Rental	02-5032-01-00-00	3,000			50.0%	50.0%	100.0%
Recruitment	02-5033-01-00-00	1,000	48.4%	42.2%	4.7%	4.7%	100.0%
Recruitment	02-5033-02-00-00		55.0%	14.8%	15.1%	15.1%	100.0%
Travel Expense/Tech. Conferences	02-5034-01-00-00	13,833			50.0%	50.0%	100.0%
Travel Expense/Tech. Conferences	02-5034-02-00-00	3,138			50.0%	50.0%	100.0%
Training Expense	02-5035-01-00-00	15,900			50.0%	50.0%	100.0%
Training Expense	02-5035-02-00-00	1,118			50.0%	50.0%	100.0%
Laboratory Supplies	02-5036-02-00-00	13,000	75.0%	25.0%			100.0%
Office Equipment	02-5037-01-00-00	15,500			50.0%	50.0%	100.0%
Permits	02-5038-01-00-00				50.0%	50.0%	100.0%
Permits	02-5038-02-00-00	22,000			50.0%	50.0%	100.0%
Membership Dues/Fees	02-5039-01-00-00	3,050			50.0%	50.0%	100.0%
Membership Dues/Fees	02-5039-02-00-00	800			50.0%	50.0%	100.0%
Biosolids Disposal - 21B	02-5049-01-00-00	550,000		100.0%			100.0%
Contract Services Generators - 29A	02-5050-01-00-00	9,000			50.0%	50.0%	100.0%
Janitorial Services	02-5052-01-00-00	40,000			50.0%	50.0%	100.0%
Contract Serv - Digester Cleaning- 29E	02-5053-01-00-00				100.0%		100.0%
Diesel Truck Maint - 31B	02-5054-01-00-00	20,000			100.0%		100.0%
Diesel Truck Fuel - 37B	02-5055-01-00-00	6,000			100.0%		100.0%
Maintenance Equip. & Facilities	02-5056-01-00-00	138,000			100.0%		100.0%
Maintenance Equip. & Facilities	02-5057-01-00-00	240,000	100.0%				100.0%
Maintenance Equip. & Facilities	02-5058-01-00-00	26,000			50.0%	50.0%	100.0%
Maintenance Equip. & Facilities	02-5059-01-00-00	250,000			100.0%	0.0%	100.0%
Mileage	02-5061-01-00-00	1,000	48.4%	42.2%	4.7%	4.7%	100.0%
Mileage	02-5061-02-00-00	500	55.0%	14.8%	15.1%	15.1%	100.0%
IT Direct	02-5077-01-03-00				50.0%	50.0%	100.0%
Non-Capital Engineering	02-5114-01-00-00				50.0%	50.0%	100.0%
Non-Capital Engineering	02-5114-02-01-00				50.0%	50.0%	100.0%
Vehicle Pay	02-5301-01-01-00		48.4%	42.2%	4.7%	4.7%	100.0%
Group Insurance Waiver	02-5303-01-01-00	3,600	48.4%	42.2%	4.7%	4.7%	100.0%
Group Insurance Waiver	02-5303-02-00-00		55.0%	14.8%	15.1%	15.1%	100.0%
Medicare Tax Payments for Employees	02-5305-01-00-00	3,811	48.4%	42.2%	4.7%	4.7%	100.0%
Scheduled Holiday Work	02-5306-01-01-00	12,000	48.4%	42.2%	4.7%	4.7%	100.0%
Scheduled Holiday Work	02-5306-02-00-00	1,800	55.0%	14.8%	15.1%	15.1%	100.0%
Operating Leases	02-5309-01-00-00	6,000			50.0%	50.0%	100.0%
Fringe Benefits IN to PC's & Depts.	02-5401-01-00-00	911,551	48.4%	42.2%	4.7%	4.7%	100.0%
Fringe Benefits IN to PC's & Depts.	02-5401-02-00-00	121,090	55.0%	14.8%	15.1%	15.1%	100.0%
Standby Pay	02-5700-01-00-00	23,333	48.4%	42.2%	4.7%	4.7%	100.0%
Monthly Car Allowance	02-5705-01-00-00	7,200	48.4%	42.2%	4.7%	4.7%	100.0%
Monthly Car Allowance	02-5705-02-00-00		55.0%	14.8%	15.1%	15.1%	100.0%
Sales Tax	02-5801-01-00-00				50.0%	50.0%	100.0%
Sales Tax	02-5801-02-00-00				50.0%	50.0%	100.0%
Shipping/Freight	02-5802-01-00-00				50.0%	50.0%	100.0%
IT Allocations in to PC's & Depts.	02-6500-01-00-00	184,882			50.0%	50.0%	100.0%
IT Allocations in to PC's & Depts.	02-6500-02-00-00	24,038			50.0%	50.0%	100.0%
Rounding		54					
Total		5,689,556					

**South Orange County Wastewater Authority
Member Agency Allocations**

PC 5 Projections		
FY 17/18		
Member Agency	Outfall Flows	Outfall Flows
	MGD	Percent
CSC	2.283	19.28
CSJC	2.198	18.56
MNWD	1.91	16.13
SCWD	1.718	14.51
SMWD	3.732	31.52
Totals	11.841	100.00

South Orange County Wastewater Authority
FY 2017-18 PC 5 O&M Budget Detail and Wastewater Code Allocations

Description	Account #	Total	Wastewater Allocation %				
			Fixed	EPS	Var 5%	Var 95%	Total
Regular Salaries-O&M	05-5000-01-11-00	11,245	0.0%		5.0%	95.0%	100.0%
Regular Salaries-O&M	05-5000-02-00-00	90,484	0.0%	0.0%	5.0%	95.0%	100.0%
Overtime Salaries-O&M	05-5001-01-11-00	370	0.0%	0.0%	5.0%	95.0%	100.0%
Overtime Salaries-O&M	05-5001-02-00-00	100	0.0%	0.0%	5.0%	95.0%	100.0%
Electricity	05-5002-01-00-00	1,000			5.0%	95.0%	100.0%
Electricity	05-5002-02-00-00				5.0%	95.0%	100.0%
Natural Gas	05-5003-01-00-00	500			5.0%	95.0%	100.0%
Natural Gas	05-5003-02-00-00				5.0%	95.0%	100.0%
Engineering - Misc.	05-5014-01-00-00				5.0%	95.0%	100.0%
Engineering - Misc.	05-5014-02-00-00	20,000			5.0%	95.0%	100.0%
Management Support Services	05-5015-02-00-00	27,504			5.0%	95.0%	100.0%
Legal Fees	05-5017-01-00-00				5.0%	95.0%	100.0%
Legal Fees	05-5017-02-00-00	2,000			5.0%	95.0%	100.0%
Small Vehicle Fuel	05-5026-01-00-00	2,500	0.0%	0.0%	5.0%	95.0%	100.0%
Small Vehicle Fuel	05-5026-02-00-00		0.0%	0.0%	5.0%	95.0%	100.0%
Insurance/Property & Liability	05-5027-01-00-00	5,373	100.0%				100.0%
Insurance - Property/Liability	05-5027-02-00-00		100.0%				100.0%
Safety Supplies	05-5031-02-00-00	128			5.0%	95.0%	100.0%
Travel Expense/Tech. Conferences	05-5034-02-00-00	2,484			5.0%	95.0%	100.0%
Laboratory Supplies	05-5036-02-00-00	16,000			5.0%	95.0%	100.0%
Permits	05-5038-02-00-00	145,000	100.0%				100.0%
Natural Gas - 11-EPS - PC 5	05-5040-02-00-00			100.0%			100.0%
Electricity - 10-EPS - PC 5	05-5041-02-00-00			100.0%			100.0%
Contract Services - 29-EPS - PC 5	05-5042-02-00-00			100.0%			100.0%
Offshore Monitoring - 20A	05-5044-02-00-00	20,000			5.0%	95.0%	100.0%
Offshore Biochemistry - 20B	05-5045-02-00-00				5.0%	95.0%	100.0%
Effluent Chemistry - 20C	05-5046-02-00-00	16,000			5.0%	95.0%	100.0%
Maintenance Equip & Facilities (Solids) 41-A	05-5056-01-02-00				5.0%	95.0%	100.0%
Maintenance Equip & Facilities (Common)	05-5058-01-00-00	1,000	100.0%				100.0%
Port Cleaning	05-5067-02-00-00				5.0%	95.0%	100.0%
Misc-Capital-Dilution & Metering Study	05-5069-02-00-00	15,000	100.0%				100.0%
Vehicle Pay	05-5301-02-00-00			0.0%	5.0%	95.0%	100.0%
Medicare Tax Payments to Employees	05-5305-02-00-00	2,348		0.0%	5.0%	95.0%	100.0%
Scheduled Holiday Work	05-5306-01-00-00	100	0.0%	0.0%	5.0%	95.0%	100.0%
Scheduled Holiday Work	05-5306-02-00-00	2,400	0.0%	0.0%	5.0%	95.0%	100.0%
Fringe Benefits IN to PC's & Depts.	05-5401-01-11-00	8,344	0.0%	0.0%	5.0%	95.0%	100.0%
Fringe Benefits IN to PC's & Depts.	05-5401-02-00-00	67,139	0.0%	0.0%	5.0%	95.0%	100.0%
Monthly Car Allowance	05-5705-02-00-00	4,200	0.0%	0.0%	5.0%	95.0%	100.0%
Sales Tax	05-5801-02-00-00						0.0%
IT Allocations in to PC's & Depts.	05-6500-01-00-00	1,692			5.0%	95.0%	100.0%
IT Allocations in to PC's & Depts.	05-6500-02-00-00	12,953			5.0%	95.0%	100.0%
Rounding		20					
Total		475,884					

South Orange County Wastewater Authority
FY 2017-18 PC 8 O&M Budget Detail and Wastewater Code Allocations

Description	Account #	Total	Wastewater Allocation %	
			Timecard	Insurance
Regular Salaries-O&M	08-5000-02-00-00	83,505	100.0%	
Overtime Salaries-O&M	08-5001-02-00-00	1,408	100.0%	
Laboratory Services	08-5011-02-00-00	2,500	100.0%	
Management Support Services	08-5015-02-00-00	2,000	100.0%	
Audit - Environmental	08-5016-02-00-00	500	100.0%	
Legal Fees	08-5017-02-00-00	2,000	100.0%	
Public Notices/ Public Relations	08-5018-02-00-00	500	100.0%	
Small Vehicle Expense - 31A	08-5021-02-00-00	896	100.0%	
Miscellaneous Expense	08-5022-02-00-00	1,496	100.0%	
Small Vehicle Fuel - 37A	08-5026-02-00-00	1,196	100.0%	
Insurance - Property/Liability	08-5027-02-00-00	2,199		100.0%
Small Tools & Supplies	08-5028-02-00-00	3,476	100.0%	
Travel Expense/Tech. Conferences	08-5034-02-00-00	3,500	100.0%	
Training Expense	08-5035-02-00-00	1,496	100.0%	
Permits and Fines	08-5038-02-00-00	10,000	100.0%	
Memberships	08-5039-02-00-00	800	100.0%	
Scheduled Holiday Work	08-5306-02-00-00	200	100.0%	
Fringe Benefits IN to PC's & Depts.	08-5401-02-00-00	61,961	100.0%	
IT Allocation	08-6500-02-08-00	12,567	100.0%	
Rounding		24		
Total		192,224		

**South Orange County Wastewater Authority
Member Agency Allocations**

PC 12 Recycled Water Projections

FY 17/18

Member Agency	Region 9 Recycled Use 2016 acft	% RW Use 2016 %
CSJC	577	3.44%
ETWD	0	0
IRWD	675	4.02%
MNWD	6493	38.70%
SCWD	1039	6.19%
SMWD	7388	44.03%
TCWD	606	3.61%
Total	16778	100.00%

**South Orange County Wastewater Authority
 FY 2017-18 **PC 12** O&M Budget Detail and Wastewater Code Allocations**

Description	Account #	Total	Wastewater Allocation %	
			Region 9 (Var)	Equally (Fixed)
Regular Salaries-O&M	12-5000-02-00-00	50,186	50.0%	50.0%
Overtime Salaries-O&M	12-5001-02-00-00		50.0%	50.0%
Management Support Services	12-5015-02-00-00	16,000	50.0%	50.0%
Legal Fees	12-5017-02-00-00	5,000	50.0%	50.0%
Insurance	12-5027-02-00-00	2,748	50.0%	50.0%
Travel Expense/Tech. Conferences	12-5034-02-00-00	1,264	50.0%	50.0%
Permits	12-5038-02-00-00	125,000	50.0%	50.0%
Medicare Tax Payments for Employees	12-5305-02-00-00		50.0%	50.0%
Scheduled Holiday Work	12-5306-02-00-00	400	50.0%	50.0%
Fringe Benefits IN to PC's & Depts.	12-5401-02-00-00	37,238	50.0%	50.0%
Monthly Car Allowance	12-5705-02-00-00		50.0%	50.0%
IT Allocations in to PC's & Depts.	12-6500-02-00-00	7,071	50.0%	50.0%
Rounding		-35		
Total		244,872		

**South Orange County Wastewater Authority
Member Agency Allocations**

PC 15 Projections

FY 17-18

Member Agency	Plant Flows MGD	Plant Flow Percent
CLB	1.727	56.59%
EBSB	0.05	1.64%
SCWD	1.275	41.78%
MNWD	0.000	0.00%
Total	3.052	100.00%

South Orange County Wastewater Authority
FY 2017-18 PC 15 O&M Budget Detail and Wastewater Code Allocations

Description	Account #	Total	Wastewater Allocation %					
			Liquids	Solids	C/Liquids	C/Solids	AWT	Total
Regular Salaries-O&M	15-5000-01-00-00	697,358	62.5%	0.0%	24.5%	0.0%	13.0%	100.0%
Regular Salaries-O&M	15-5000-02-00-00	149,952	54.3%	0.0%	22.9%	0.0%	22.8%	100.0%
Overtime Salaries-O&M	15-5001-01-00-00	10,921	62.5%	0.0%	24.5%	0.0%	13.0%	100.0%
Overtime Salaries-O&M	15-5001-02-00-00	200	54.3%	0.0%	22.9%	0.0%	22.8%	100.0%
Electricity	15-5002-01-00-00	283,000	94.0%		3.5%		2.5%	100.0%
Natural Gas	15-5003-01-00-00	3,000	95.0%		5.0%	0.0%	0.0%	100.0%
Water	15-5004-01-00-00	13,000	90.0%		10.0%	0.0%		100.0%
Chlorine/Sodium Hypochlorite	15-5006-01-00-00	100,000	1.0%				99.0%	100.0%
Polymer Products	15-5007-01-00-00	2,000	43.0%				57.0%	100.0%
Ferric Chloride	15-5008-01-00-00	30,000	100.0%					100.0%
Odor Control Chemicals	15-5009-01-00-00	85,000	100.0%					100.0%
Laboratory Services	15-5011-02-00-00	9,500	50.0%				50.0%	100.0%
Grit Hauling - 21A	15-5012-01-00-00	30,000	100.0%					100.0%
Landscaping	15-5013-01-00-00	60,000	0.0%		100.0%	0.0%		100.0%
Engineering - Misc.	15-5014-01-00-00	5,000			100.0%	0.0%		100.0%
Engineering - Misc.	15-5014-02-00-00	50,000			100.0%	0.0%		100.0%
Management Support Services	15-5015-01-00-00	5,000			100.0%	0.0%		100.0%
Management Support Services	15-5015-02-00-00	4,500			100.0%	0.0%		100.0%
Legal Fees	15-5017-01-00-00				100.0%	0.0%		100.0%
Contract Services Misc. - 29	15-5019-01-00-00	67,000			100.0%	0.0%		100.0%
Small Vehicle Expense - 31A	15-5021-01-00-00	6,000	62.5%	0.0%	24.5%	0.0%	13.0%	100.0%
Small Vehicle Expense - 31A	15-5021-02-00-00		54.3%	0.0%	22.9%	0.0%	22.8%	100.0%
Miscellaneous Expense	15-5022-01-00-00	3,000			100.0%	0.0%		100.0%
Office Supplies - All	15-5023-01-00-00	7,000			100.0%	0.0%		100.0%
Petroleum Products	15-5024-01-00-00	2,000	100.0%					100.0%
Uniforms	15-5025-01-00-00	6,000	62.5%	0.0%	24.5%	0.0%	13.0%	100.0%
Small Vehicle Fuel - 37A	15-5026-01-00-00	6,000	62.5%	0.0%	24.5%	0.0%	13.0%	100.0%
Insurance - Property/Liability	15-5027-01-00-00	34,641			100.0%	0.0%		100.0%
Insurance - Property/Liability	15-5027-02-00-00				100.0%	0.0%		100.0%
Small Tools & Supplies	15-5028-01-00-00	9,000			100.0%	0.0%		100.0%
Trash Disposal	15-5030-01-00-00	3,000			100.0%	0.0%		100.0%
Safety Supplies	15-5031-01-00-00				100.0%	0.0%		100.0%
Safety Supplies	15-5031-02-00-00	24,000			100.0%	0.0%		100.0%
Equipment Rental	15-5032-01-00-00	1,000			100.0%	0.0%		100.0%
Travel Expense/Tech. Conferences	15-5034-01-00-00	13,833			100.0%	0.0%		100.0%
Travel Expense/Tech. Conferences	15-5034-02-00-00	3,324			100.0%	0.0%		100.0%
Training Expense	15-5035-01-00-00	15,900			100.0%	0.0%		100.0%
Training Expense	15-5035-02-00-00	1,118			100.0%	0.0%		100.0%
Laboratory Supplies	15-5036-02-00-00	10,004	75.0%				25.0%	100.0%
Office Equipment	15-5037-01-00-00	1,000			100.0%	0.0%		100.0%
Permits	15-5038-01-00-00				100.0%	0.0%		100.0%
Permits	15-5038-02-00-00	6,504			100.0%	0.0%		100.0%
Membership Dues/Fees	15-5039-01-00-00	3,000			100.0%	0.0%		100.0%
Membership Dues/Fees	15-5039-02-00-00	800			100.0%	0.0%		100.0%
Access Road Expenses	15-5047-01-00-00	47,000			100.0%	0.0%		100.0%
Storm Damage	15-5048-01-00-00	19,000			100.0%	0.0%		100.0%
Biosolids Disposal - 21B	15-5049-01-00-00	125,000	100.0%					100.0%
Contract Services Generators	15-5050-01-00-00	4,000			100.0%	0.0%		100.0%
Janitorial Services	15-5052-01-00-00	20,000			100.0%	0.0%		100.0%
Diesel Truck Maint - 31B	15-5054-01-00-00	1,000	100.0%					100.0%
Diesel Truck Maint Fuel	15-5055-01-00-00	1,000	100.0%					100.0%
Maintenance Equip. & Facilities	15-5057-01-00-00	170,000	100.0%					100.0%
Maintenance Equip. & Facilities	15-5058-01-00-00	20,000			100.0%	0.0%		100.0%
Maintenance Equip. & Facilities	15-5060-01-00-00	35,000					100.0%	100.0%
Mileage	15-5061-01-00-00	1,200	62.5%	0.0%	24.5%	0.0%	13.0%	100.0%
IT Direct	15-5077-01-00-00				100.0%	0.0%		100.0%
Group Insurance Waiver	15-5303-01-00-00	3,600	62.5%	0.0%	24.5%	0.0%	13.0%	100.0%
Medicare Tax Payments for Employees	15-5305-01-00-00	2,964	62.5%	0.0%	24.5%	0.0%	13.0%	100.0%
Scheduled Holiday Work	15-5306-01-00-00	3,000	62.5%	0.0%	24.5%	0.0%	13.0%	100.0%
Scheduled Holiday Work	15-5306-02-00-00	1,800	54.3%	0.0%	22.9%	0.0%	22.8%	100.0%
Fringe Benefits IN to PC's & Depts.	15-5401-01-00-00	517,440	62.5%	0.0%	24.5%	0.0%	13.0%	100.0%
Fringe Benefits IN to PC's & Depts.	15-5401-02-00-00	111,264	54.3%	0.0%	22.9%	0.0%	22.8%	100.0%
Standby Pay	15-5700-01-00-00	23,333	62.5%	0.0%	24.5%	0.0%	13.0%	100.0%
Monthly Car Allowance	15-5705-01-00-00	4,200	62.5%	0.0%	24.5%	0.0%	13.0%	100.0%
Sales Tax	15-5801-01-00-00							0.0%
Sales Tax	15-5801-02-00-00							0.0%
Shipping/Freight	15-5802-01-00-00							0.0%
Shipping/Freight	15-5802-02-00-00							0.0%
IT Allocations in to PC's & Depts.	15-6500-01-00-00	104,819			100.0%	0.0%		100.0%
IT Allocations in to PC's & Depts.	15-6500-02-00-00	22,215			100.0%	0.0%		100.0%
Rounding		(2)						
Total		3,000,388						

**South Orange County Wastewater Authority
Member Agency Allocations**

PC 17 Operations Cost Allocation
FY 2017-18

Agency	Liquids Percentages %	Solids Percentages %	AWT Ownership %
CLB	0.16	12.72	0.00
EBSB	0.01	0.37	0.00
ETWD	0.19	14.80	0.00
MNWD	99.54	63.58	100.00
SCWD	0.10	8.53	0.00
	100.00	100.00	100.00

**South Orange County Wastewater Authority
FY 2017-18 PC 17 O&M Budget Detail and Wastewater Code Allocations**

Description	Account #	Total	Wastewater Allocation %					Total
			Liquids	Solids	C/Liquids	C/Solids	AWT	
Regular Salaries-O&M	17-5000-01-00-00	1,531,656	37.5%	48.0%	3.1%	3.1%	8.4%	100.0%
Regular Salaries-O&M	17-5000-02-00-00	272,893	41.5%	10.9%	7.4%	7.4%	32.7%	100.0%
Overtime Salaries-O&M	17-5001-01-00-00	39,145	37.5%	48.0%	3.1%	3.1%	8.4%	100.0%
Overtime Salaries-O&M	17-5001-02-00-00	200	41.5%	10.9%	7.4%	7.4%	32.7%	100.0%
Electricity	17-5002-01-00-00	675,000	51.5%	32.8%	5.4%	5.4%	5.0%	100.0%
Natural Gas	17-5003-01-00-00	141,000		100.0%				100.0%
Water	17-5004-01-00-00	34,000	37.0%	56.5%	6.5%			100.0%
MNWD Potable Water Supplies & Svcs.	17-5004-02-00-00		37.0%	56.5%	6.5%			100.0%
Co-generation Power Credit	17-5005-01-00-00	(250,000)		100.0%				100.0%
Chlorine/Sodium Hypochlorite	17-5006-01-00-00	350,000	4.0%				96.0%	100.0%
Polymer Products	17-5007-01-00-00	406,000	17.5%	82.2%			0.3%	100.0%
Ferric Chloride	17-5008-01-00-00	125,000	20.0%	80.0%				100.0%
Odor Control Chemicals	17-5009-01-00-00	50,000	65.0%	35.0%				100.0%
Other Chemicals - Misc.	17-5010-01-00-00	4,000			50.0%	50.0%		100.0%
Laboratory Services	17-5011-02-00-00	14,000	50.0%	25.0%			25.0%	100.0%
Grit Hauling - 21A	17-5012-01-00-00	52,000	100.0%					100.0%
Landscaping	17-5013-01-00-00	75,000			50.0%	50.0%		100.0%
Engineering - Misc.	17-5014-01-00-00	5,000			50.0%	50.0%		100.0%
Engineering - Misc.	17-5014-02-00-00	125,000			50.0%	50.0%		100.0%
Management Support Services	17-5015-01-00-00	5,000			50.0%	50.0%		100.0%
Management Support Services	17-5015-02-00-00	22,500			50.0%	50.0%		100.0%
Legal Fees	17-5017-01-00-00				50.0%	50.0%		100.0%
Contract Services Misc. - 29	17-5019-01-00-00	125,000			50.0%	50.0%		100.0%
Postage	17-5020-01-00-00				50.0%	50.0%		100.0%
Small Vehicle Expense - 31A	17-5021-01-00-00	6,000	37.5%	48.0%	3.1%	3.1%	8.4%	100.0%
Miscellaneous Expense	17-5022-01-00-00	6,000			50.0%	50.0%		100.0%
Office Supplies - All	17-5023-01-00-00	10,000			50.0%	50.0%		100.0%
Petroleum Products	17-5024-01-00-00	42,000	7.4%	92.6%				100.0%
Uniforms	17-5025-01-00-00	17,000	37.5%	48.0%	3.1%	3.1%	8.4%	100.0%
Small Vehicle Fuel - 37A	17-5026-01-00-00	13,000	37.5%	48.0%	3.1%	3.1%	8.4%	100.0%
Insurance - Property/Liability	17-5027-01-00-00	90,249			50.0%	50.0%		100.0%
Insurance - Property/Liability	17-5027-02-00-00				50.0%	50.0%		100.0%
Small Tools & Supplies	17-5028-01-00-00	25,000			50.0%	50.0%		100.0%
Trash Disposal	17-5030-01-00-00	1,000			50.0%	50.0%		100.0%
Safety Supplies	17-5031-01-00-00				50.0%	50.0%		100.0%
Safety Supplies	17-5031-02-00-00	31,352			50.0%	50.0%		100.0%
Equipment Rental	17-5032-01-00-00	3,000			50.0%	50.0%		100.0%
Recruitment	17-5033-01-00-00		37.5%	48.0%	3.1%	3.1%	8.4%	100.0%
Travel Expense/Tech. Conferences	17-5034-01-00-00	13,833			50.0%	50.0%		100.0%
Travel Expense/Tech. Conferences	17-5034-02-00-00	3,325			50.0%	50.0%		100.0%
Training Expense	17-5035-01-00-00	15,900			50.0%	50.0%		100.0%
Training Expense	17-5035-02-00-00	1,118			50.0%	50.0%		100.0%
Laboratory Supplies	17-5036-01-00-00		50.0%	25.0%			25.0%	100.0%
Laboratory Supplies	17-5036-02-00-00	19,020	50.0%	25.0%			25.0%	100.0%
Office Equipment	17-5037-01-00-00	6,000			50.0%	50.0%		100.0%
Permits	17-5038-01-00-00				50.0%	50.0%		100.0%
Permits	17-5038-02-00-00	35,000			50.0%	50.0%		100.0%
Membership Dues/Fees	17-5039-01-00-00	3,000			50.0%	50.0%		100.0%
Membership Dues/Fees	17-5039-02-00-00	800			50.0%	50.0%		100.0%
Biosolids Disposal - 21B	17-5049-01-00-00	866,000		100.0%				100.0%
Contract Services Generators	17-5050-01-00-00	8,000			50.0%	50.0%		100.0%
Janitorial Services	17-5052-01-00-00	40,000			50.0%	50.0%		100.0%
Contract Serv - Digester Cleaning	17-5053-01-00-00	60,000		100.0%				100.0%
Diesel Truck Maint - 31B	17-5054-01-00-00	19,000		100.0%				100.0%
Diesel Truck Fuel - 37B	17-5055-01-00-00	7,000		100.0%				100.0%
Maintenance Equip. & Facilities	17-5056-01-00-00	190,000		100.0%				100.0%
Maintenance Equip. & Facilities	17-5057-01-00-00	165,000	100.0%					100.0%
Maintenance Equip. & Facilities	17-5058-01-00-00	25,000			50.0%	50.0%		100.0%
Maintenance Equip. & Facilities	17-5059-01-00-00	250,000		100.0%				100.0%
Maintenance Equip. & Facilities	17-5060-01-00-00	35,000					100.0%	100.0%
Mileage	17-5061-01-00-00	3,000	37.5%	48.0%	3.1%	3.1%	8.4%	100.0%
Mileage	17-5061-02-00-00		41.5%	10.9%	7.4%	7.4%	32.7%	100.0%
MNWD Potable Water Supplies & Svcs.	17-5068-02-00-00	23,996					100.0%	100.0%
IT Direct	17-5077-01-00-00				50.0%	50.0%		100.0%
Employee Recognition	17-5101-01-00-00		37.5%	48.0%	3.1%	3.1%	8.4%	100.0%
Co-Generation Power Credit - Offset	17-5105-01-00-00	250,000	51.5%	32.8%	5.4%	5.4%	5.0%	100.0%
Group Insurance Waiver	17-5303-02-00-00	3,600	41.5%	10.9%	7.4%	7.4%	32.7%	100.0%
Medicare Tax Payments for Employees	17-5305-01-00-00	1,913	37.5%	48.0%	3.1%	3.1%	8.4%	100.0%
Scheduled Holiday Work	17-5306-01-00-00	12,000	37.5%	48.0%	3.1%	3.1%	8.4%	100.0%
Scheduled Holiday Work	17-5306-02-00-00	3,000	41.5%	10.9%	7.4%	7.4%	32.7%	100.0%
Operating Leases	17-5309-01-00-00	6,000	37.5%	48.0%	3.1%	3.1%	8.4%	100.0%
Fringe Benefits IN to PC's & Depts.	17-5401-01-00-00	1,136,489	37.5%	48.0%	3.1%	3.1%	8.4%	100.0%
Fringe Benefits IN to PC's & Depts.	17-5401-02-00-00	202,487	41.5%	10.9%	7.4%	7.4%	32.7%	100.0%
Standby Pay	17-5700-01-00-00	23,333	37.5%	48.0%	3.1%	3.1%	8.4%	100.0%
Monthly Car Allowance	17-5705-01-00-00	8,400	37.5%	48.0%	3.1%	3.1%	8.4%	100.0%
Monthly Car Allowance	17-5705-02-00-00	4,200	41.5%	10.9%	7.4%	7.4%	32.7%	100.0%
Effluent Pond Cleaning	17-5706-01-00-00	90,000	100.0%					100.0%
Sales Tax	17-5801-01-00-00				50.0%	50.0%		100.0%
Sales Tax	17-5801-02-00-00				50.0%	50.0%		100.0%
Shipping/Freight	17-5802-01-00-00				50.0%	50.0%		100.0%
Shipping/Freight	17-5802-02-00-00				50.0%	50.0%		100.0%
IT Allocations in to PC's & Depts.	17-6500-01-00-00	230,502			50.0%	50.0%		100.0%
IT Allocations in to PC's & Depts.	17-6500-02-00-00	40,653			50.0%	50.0%		100.0%
Rounding		53						
Total		7,849,616						

South Orange County Wastewater Authority
FY 2017-18 PC 21 O&M Budget Detail and Wastewater Code Allocations

Description	Account #	Total	Wastewater Allocation %		
			Reach B/C/D	Reach E	Total
Regular Salaries-O&M	21-5000-02-00-00		67.0%	33.0%	100.0%
Engineering - Misc.	21-5014-02-00-00	62,500	67.0%	33.0%	100.0%
Contract Services Misc. - 29	21-5019-02-00-00		67.0%	33.0%	100.0%
Insurance - Property/Liability	21-5027-02-00-00	731	67.0%	33.0%	100.0%
Small Tools & Supplies	21-5028-01-00-00		67.0%	33.0%	100.0%
Small Tools & Supplies	21-5028-02-00-00		67.0%	33.0%	100.0%
Misc-Capital-Dilution & Metering Study	21-5069-02-00-00		67.0%	33.0%	100.0%
Fringe Benefits IN to PC's & Depts.	21-5401-02-00-00		67.0%	33.0%	100.0%
Rounding		-7			
Total		63,224			

**South Orange County Wastewater Authority
Member Agency Allocations**

PC 24 Projections
FY 2017-18

Member Agency	Outfall Flow MGD	Variable Cost Percent
CLB	1.727	16.5%
EBSD	0.050	0.5%
ETWD	2.597	24.8%
IRWD	2.554	24.4%
MNWD	2.872	27.4%
SCWD	0.679	6.5%
Total	10.479	100.0%

South Orange County Wastewater Authority
FY 2017-18 PC 24 O&M Budget Detail and Wastewater Code Allocations

Description	Account #	Total	Wastewater Allocation %			
			Var 5%	Var 95%	Fixed	Total
Regular Salaries-O&M	24-5000-01-00-00	6,693	5.0%	95.0%		100.0%
Regular Salaries-O&M	24-5000-02-00-00	85,625	5.0%	95.0%		100.0%
Overtime Salaries-O&M	24-5001-01-00-00	346	5.0%	95.0%		100.0%
Overtime Salaries-O&M	24-5001-02-00-00	200	5.0%	95.0%		100.0%
Electricity	24-5002-01-00-00	1,000	5.0%	95.0%		100.0%
Electricity	24-5002-02-00-00		5.0%	95.0%		100.0%
Engineering - Misc.	24-5014-02-00-00	20,000			100.0%	100.0%
Management Support Services	24-5015-02-00-00	27,504			100.0%	100.0%
Legal	24-5017-02-00-00	2,000			100.0%	100.0%
Insurance - Property/Liability	24-5027-02-00-00	5,366			100.0%	100.0%
Safety Supplies	24-5031-02-00-00	1,000	5.0%	95.0%		100.0%
Travel Expense/Tech. Conferences	24-5034-02-00-00	2,484			100.0%	100.0%
Laboratory Supplies	24-5036-02-00-00	17,000	5.0%	95.0%		100.0%
Permits	24-5038-02-00-00	131,992			100.0%	100.0%
Offshore Monitoring - 20A	24-5044-02-00-00	22,000			100.0%	100.0%
Effluent Chemistry - 20C	24-5046-02-00-00	30,000	5.0%	95.0%		100.0%
Maintenance Equip. & Facilities(Common)	24-5058-01-00-00	1,000	5.0%	95.0%		100.0%
Inspections/Port Cleaning	24-5067-02-00-00	35,000			100.0%	100.0%
Misc-Capital-Dilution & Metering Study	24-5069-02-00-00				100.0%	100.0%
Scheduled Holiday Work	24-5306-01-00-00	100	5.0%	95.0%		100.0%
Scheduled Holiday Work	24-5306-02-00-00	3,112	5.0%	95.0%		100.0%
Fringe Benefits IN to PC's & Depts.	24-5401-01-00-00	4,966	5.0%	95.0%		100.0%
Fringe Benefits IN to PC's & Depts.	24-5401-02-00-00	63,534	5.0%	95.0%		100.0%
IT Allocations in to PC's & Depts.	24-6500-01-00-00	1,007			100.0%	100.0%
IT Allocations in to PC's & Depts.	24-6500-02-00-00	12,289			100.0%	100.0%
Rounding		(22)				
Total		474,196				

Appendix D

OUTFALL COST MEMO

The following memo was developed by SOCWA staff to examine potential options for outfall flow capacity costs to meet requests from the SOCWA Board.



South Orange County Wastewater Authority

Memorandum

DATE: March 20, 2019

TO: SOCWA Member Agency Finance and Engineering Managers

FROM: Betty Burnett, General Manager

STAFF CONTACT: Amber Baylor, Director of Environmental Compliance
Jim Burror, Director of Operations

SUBJECT: Fixed Costs Recommendation for the Ocean Outfalls

National Pollutant Discharge Elimination System (NPDES) Permit Flow Requirements

The NPDES permit expires in five-year cycles, and annual costs are determined by the permitted flows. Each discharge that is included in the permit requires submittal of the design flow capacity included in the permit application. SOCWA submits the NPDES permits to the San Diego Regional Water Quality Control Board (SDRWQCB) on behalf of member agencies after input from member agencies through the NPDES Technical Advisory Group. SOCWA manages two NPDES permits: the Aliso Creek Ocean Outfall (ACOO) and the San Juan Creek Ocean Outfall (SJCOO). The monitoring costs to comply with the NPDES permits do not change based on flow.

The following table includes the flows that were approved by the TAG for ACOO & SJCOO in 2015. The Report of Waste Discharge was submitted to the SDRWQCB on October 31, 2016, due to the requirement that the permit application be submitted to the SDRWQCB 180 days prior to the expiration of the permits which were May 31, 2016. The permit is under administrative relief due to the expiration of the permits. The SDRWQCB has projected that the ACOO & SJCOO will be brought to the Board at the December 2019 meeting.

ACOO	SJCOO
<ul style="list-style-type: none"> • Regional Treatment Plant: 12.0mgd • Coastal Treatment Plant: 6.7mgd • Los Alisos Water Recycling Plant: 7.5mgd • El Toro Water Reclamation Plant: 6.0mgd • Irvine Desalter Project: 1.0mgd • Shallow Ground Water Unit: 0.85mgd • Aliso Creek Water Harvesting Unit: 0.32mgd 	<ul style="list-style-type: none"> • JB Latham Treatment Plant: 13.0mgd • Chiquita Water Reclamation Plant: 9.0mgd • 3A Water Reclamation Plant: 6.0mgd • City of San Clemente Water Reclamation Plant: 6.98mgd • City of San Juan Ground Water Plant: 2.2mgd • South Coast Water District Ground Water Recovery Facility: 0.6mgd • City of San Clemente Segunda Deschecha Runoff Plant: 1.0mgd • Lake Mission Viejo Brine: 1.0mgd
Total = 34.37mgd	Total = 39.78mgd

Fee Determination Calculation

The California Code of Regulations, Title 23, Division 3, Chapter 9, Article 1 sets the Fee Structure for the water quality fee structures applied in permits. In FY 16-17 it was identified by the California Department of Finance that the State Water Resources Control Board would need to increase fees due to a pending budget shortfall. However, in the FY 17-18 there was a one-time fee reduction of 12.6% that was not seen in FY17-18 but was applied to FY18-19. The NPDES annual fee now equals \$2,268 plus 4,011 multiplied by the permitted flow, in mgd. If there is no permitted effluent flow specified, the fee shall be based on the design flow of the facility. NPDES permitted industrial discharges with a threat/complexity rating of 1A (SJCOO & ACOO both qualify) require an additional \$15,000 and public wastewater treatment facilities with approved pretreatment programs are subject to a surcharge of \$10,000.

	ACOO	SJCOO
FY	Fee (\$)	Fee (\$)
14-15	\$137,375	\$143,454
15-16	\$137,375	\$143,454
16-17	\$137,375	\$143,454
17-18	\$137,375	\$143,454
18-19	\$165,126	\$172,815

Table 1: Cost of Permitted flows for ACOO & SJCOO FY 14-15 through FY 18-19

Unless there is an increase in fees associated with the permit from the SWRCB fee change, the fee structure should stay consistent as demonstrated in FY 14-15 through FY 17-18. The only way to change the cost of the permit is to adjust the flows associated with each facility in the next ROWD or file an amendment in the current

ROWD for a reduction of flows. Tables 2 & 3 provide an analysis of the average flow at each of the facilities for 2018.

Agency	2017 Avg Annual Daily Flow MGD	2018 Avg Annual Daily Flow MGD	2017 Peak Daily Flow MGD	2018 Peak Daily Flow MGD	Outfall Ownership MGD
CLB	1.677	1.555	3.201	2.245	5.500
EBSD(1)	0.053	0.048	0.060	0.052	0.390
ETWD	2.398	2.330	5.997	7.108	8.151
IRWD	2.290	2.416	7.001	6.337	7.880
MNWD	2.788	2.541	13.680	9.330	21.924
SCWD	0.995	0.320	2.847	1.559	6.155
Total	10.203	9.210	32.786	26.631	50.000

(1) Daily data is not available.

Table 2: ACOO Flows 2017 and 2018 Flows

Agency	2017 Avg Annual Daily Flow MGD	2018 Avg Annual Daily Flow MGD	2017 Peak Daily Flow MGD	2018 Peak Daily Flow MGD	Outfall Ownership MGD
CSC	2.106	2.455	5.550	4.420	13.300
SCJC	2.594	2.486	6.639	3.933	8.860
MNWD	1.400	1.400	3.833	2.292	12.410
SCWD	1.822	1.837	5.625	3.976	9.970
SMWD	2.993	1.169	11.523	5.846	35.460
Total	10.916	9.347	33.170	20.467	80.000

Table 3: SJCOO 2017 and 2018 Flows

Cost Impact

Using the flows with the FY 18-19 water quality fee structure, the annual cost could drop to \$64,446 for ACOO and \$65,381 for SJCOO. However, the regulatory risk associated with using the reduced flows includes high flow years and an anti-back sliding trigger that will not allow for more flow should the facility need it. The regulatory risk associated with the savings is displayed in Table 4 below.

	ACOO		SJCOO
Current Permit Cost	\$165,126		\$172,815
2017 Peak Flow Cost	\$137,929		\$101,606
Difference	\$27,197		\$71,209
Capital Share - Fixed Costs versus Variable			
Agency	Current	2017 Peak Flow	Potential Savings/Cost
CLB	\$18,164	\$13,466	\$4,697
EBSD	\$1,288	\$252	\$1,036
ETWD	\$26,919	\$25,229	\$1,690
IRWD	\$26,024	\$29,453	(\$3,429)
MNWD	\$99,212	\$11,741	\$87,471
SCWD	\$41,864	\$29,208	\$12,656
CSC	\$28,730	\$17,001	\$11,730
CSJC	\$19,139	\$20,336	(\$1,197)
SMWD	\$76,600	\$35,297	\$41,303

Table 4: Permit cost distribution for reduced flows based on fixed cost capacity

Staff Recommendation:

The monitoring costs and labor costs remain fixed as those costs do not change with the changing flows on an annual basis. Staff recommends using the fixed cost methodology for PC 5 & PC24. Staff also recommends not reducing the permitted flows from each treatment plant included in the NPDES permits.

This is because reducing the permitted value will expose the agencies to the following risks:

- 1) An exceedance of the average permitted value over the monthly average result in a permit violation and an unauthorized discharge violation, or spill, with minimum mandatory penalties of \$10 per gallon.
- 2) If the permitted discharge value is lowered, the RWQCB will not allow for the permitted value to be increased, due to anti-degradation prohibitions in the Clean Water Act, without physical modifications to the outfall. This includes the associated design, assessment, permit application, and public hearings required for the approval on an increased permitted flow. The costs would be similar to

the costs incurred every five years to renew the permit, plus the capital costs to modify the outfall system. Past estimates for this work is between \$250,000 to \$500,000, and again the outcome of the process is subject to the approval of the RWQCB.

SOCWA OPERATIONAL COST DISTRIBUTION ANALYSIS

Project Summary Presentation

March 26, 2019

REVISED 3/21/19

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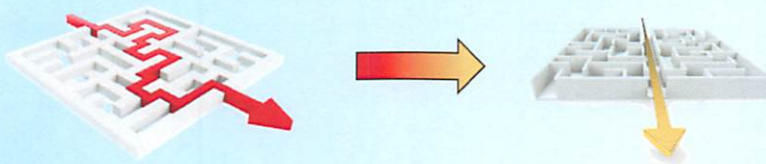
Study Purpose and Goals

Purpose:

- Review of SOCWA budget distribution process.

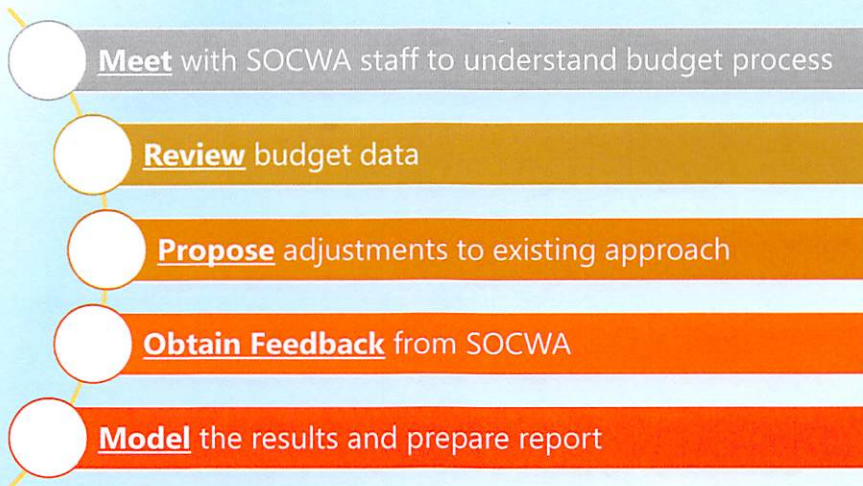
Goal:

- Identify potential changes to improve the supportability, clarity, and process efficiency for future budgets.



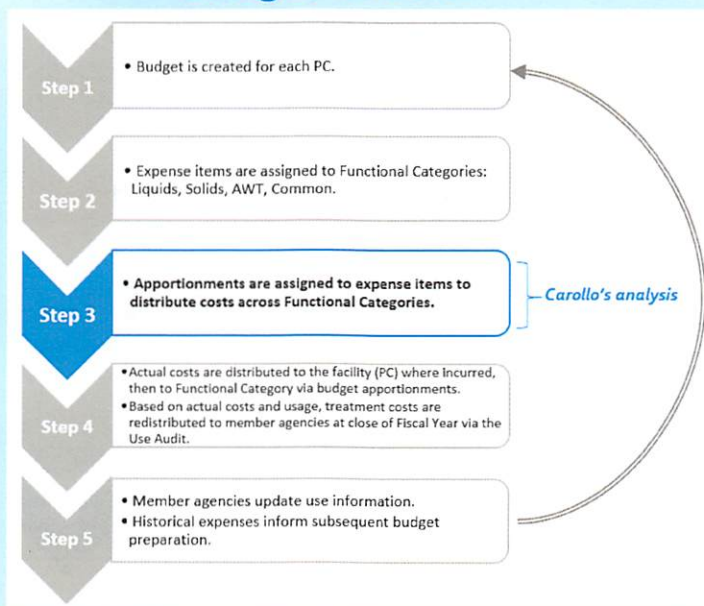
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Project Approach



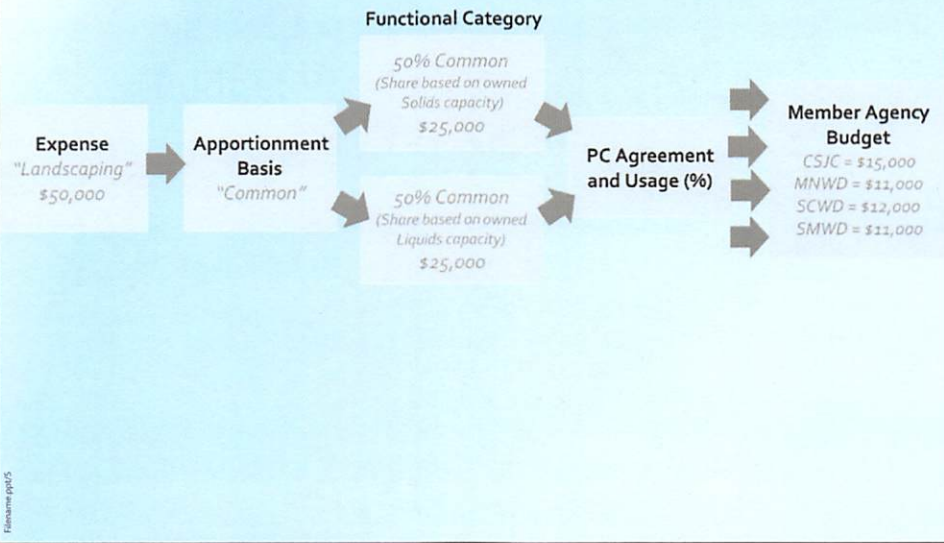
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Budget Process



Filename ppt/4

Budget Process Example – PC2 JBL Landscaping



Project Data

Data Reviewed:

- FY 17-18 Budget Book and budget spreadsheets
- Budget data for 3 previous years
- Staff explanation of budget process

Model Input Data:

- FY 17-18 Budget Book



Recommended Change 1

- Salary and Benefits
 - Change to “Common” from “Labor-Allocation”
 - These expenses are not reflective of time sheets
 - ~\$135,000 total budget

Table 5 Proposed Apportionment Changes for Treatment Plant PCs

Account Code	Expense	Current Apportionment	Proposed Apportionment	Reasoning
5700	Standby Pay	Labor – Allocation	Common	Pay is not dependent on process that requires work.
5021	Small Vehicle Expense	Labor – Allocation	Common	Benefits entire plant equally, not reflective of timesheets
5026	Small Vehicle Fuel	Labor – Allocation	Common	Benefits entire plant equally, not reflective of timesheets
5033	Recruitment	Labor – Allocation	Common	Benefits entire plant equally, not reflective of timesheets
5061	Mileage	Labor – Allocation	Common	Benefits entire plant equally, not reflective of timesheets

Filename: pp4/9

Recommended Change 2 - REVISED

- Outfall PCs (5- ACOO and 24-SJCOO)
 - Change to “Fixed” from “Variable”
 - Most outfall expenses are independent of flow
 - ~\$950,000 total budget

Account Code	Expense	Current Apportionment ⁰⁴	Proposed Apportionment	Reasoning
5000	Regular Salaries – O&M	Variable	Fixed	Not dependent on amount of flow
5001	Overtime Salaries	Variable	Fixed	Not dependent on amount of flow
5302	Performance-Based Merit Pay	Variable	Fixed	Not dependent on amount of flow
5306	Scheduled Holiday Work	Variable	Fixed	Not dependent on amount of flow
5307	Weekend Shift Pay	Variable	Fixed	Not dependent on amount of flow
5315	Comp Time – O&M	Variable	Fixed	Not dependent on amount of flow
5401	Fringe Benefits	Variable	Fixed	Not dependent on amount of flow
5700	Standby Pay	Variable	Fixed	Not dependent on amount of flow
5C11	Laboratory Services	Variable	Fixed	Not dependent on amount of flow
5C14	Engineering – Misc. ⁰⁵	Variable	Fixed	Not dependent on amount of flow
5C15	Management Support Services ⁰⁶	Variable	Fixed	Not dependent on amount of flow
5C17	Legal Fees ⁰⁷	Variable	Fixed	Not dependent on amount of flow
5C31	Safety Program & Supplies	Variable	Fixed	Not dependent on amount of flow
5C34	Travel Expense/Tech. Conferences	Variable	Fixed	Not dependent on amount of flow
5C36	Laboratory Supplies	Variable	Fixed	Not dependent on amount of flow
5044	Offshore Monitoring	Variable	Fixed	Not dependent on amount of flow
5045	Offshore Biochemistry – ZOB	Variable	Fixed	Not dependent on amount of flow
5046	Effluent Chemistry	Variable	Fixed	Not dependent on amount of flow
5059	Maintenance Equip. & Facilities (Common)	Variable	Fixed	Not dependent on amount of flow
5301	Venue Pay	Variable	Fixed	Not dependent on amount of flow
5305	Medicare Tax Payments for Employees	Variable	Fixed	Not dependent on amount of flow
5705	Monthly Car Allowance	Variable	Fixed	Not dependent on amount of flow
6300	IT Allocations in to PCs & Depts.	Variable	Fixed	Not dependent on amount of flow

Filename: pp4/10

Recommended Change 3

- WWTP PCs (2-JBL, 15-CTP & 17 RTP)
 - Electricity, natural gas, and ferric
 - Updated apportionments to better represent actual usage based on investigations by staff or studies
 - Revisit annually and adjust as needed
 - ~\$1,950,000 total budget (76% = electricity budget)

Table 7 PC15 Functional Category Changes by Apportionment Basis

Basis	Current			Proposed		
	Liquids	AWT	C/Liquids	Liquids	AWT	C/Liquids
Electricity	94%	2.5%	3.5%	100%	-	-
Natural Gas	95%	-	5%	50%	-	50%

Table 8 PC17 Functional Category Changes to Apportionment Basis

Basis	Current		Proposed	
	Liquids	Solids	Liquids	Solids
Ferric Chloride	80%	20%	-	100%

Filename: ppt/11

Resulting Outputs

- A look at resulting budget impacts at 3 different levels:
 1. Apportionment Basis totals
 2. Functional Category totals
 3. Member Agency budgets

Filename: ppt/12

Resulting Outputs – Apportionment Basis

- Redistributes budget within a PC between Basis
- Impact: ~\$655,000 redistributed (3.6% of total budget)

Apportionment Basis	PC2	PC5	PC8	PC12	PC15	PC17	PC21	PC24	Change in Total Expenses	%
Labor - Actual Use	\$ (23,333)	\$ -	\$ -	\$ -	\$ (23,333)	\$ -	\$ -	\$ -	\$ (46,666)	0.3%
Labor - Allocation	\$ (75,500)	\$ -	\$ -	\$ -	\$ (13,200)	\$ (45,333)	\$ -	\$ -	\$ (84,033)	-0.5%
Labor - Overtime	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	0.0%
Actual Use - Bills Coded	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	0.0%
Common	\$ 48,833	\$ -	\$ -	\$ -	\$ 36,533	\$ 45,333	\$ -	\$ -	\$ 130,699	0.7%
Solids	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	0.0%
Liquids	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	0.0%
AWT	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	0.0%
Outfall - Fixed	\$ -	\$ 309,491	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 214,576	\$ 524,067	2.9%
Outfall - Variable	\$ -	\$ (309,491)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (214,576)	\$ (524,067)	-2.9%
ETM	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	0.0%
Electricity	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	0.0%
Natural Gas	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	0.0%
Potable & Reclaimed Water	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	0.0%
Chlorine/Sodium Hypochlorite	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	0.0%
Polymer Products	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	0.0%
Ferric Chloride	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	0.0%
Odor Control Chemicals	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	0.0%
Laboratory	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	0.0%
Petroleum Products	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	0.0%
Recycled Water Permits	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	0.0%
Insurance	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	0.0%
Total Changes	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	-

Budget Increase / (Budget Decrease)

Filename: pp/013

Resulting Outputs – Functional Categories

- Redistributes Functional Category budget within a PC
- Impact: \$625,685 redistributed (3.5% of total budget)

	Treatment Plants					Outfalls		Others	PC Total Change
	Solids	Liquids	AWT	C/Solids	C/Liquids	Outfall Flow	Capacity	All Others	
PC2 JB Latham WWTP	\$ 11,029	\$ (30,168)		\$ 9,569	\$ 9,569				\$ -
PC5 San Juan Creek Outfall						\$ (309,491)	\$ 309,491		\$ -
PC8 Pre-Treatment									\$ -
PC12 Recycled Water Permits									\$ -
PC15 Coastal WWTP		\$ (7,203)	\$ (11,824)		\$ 19,027				\$ -
PC17 Regional WWTP	\$ 11,885	\$ (42,939)	\$ (9,484)	\$ 20,269	\$ 20,269				\$ -
PC21 ETM									\$ -
PC24 Aliso Creek Outfall						\$ (214,576)	\$ 214,576		\$ -
Total Functional Category Change	\$ 22,915	\$ (80,310)	\$ (21,308)	\$ 29,838	\$ 48,866	\$ (524,067)	\$ 524,067		\$ -

Budget Increase / (Budget Decrease)

Filename: pp/014

Resulting Outputs – Member Agencies

- Redistributes budget among Member Agencies
- Impact: \$55,842 redistributed (0.3% of total budget)

Member Agency	PC2 J.B. Latham	PC5 SICOO	PC8 Pre-Treatment*	Recycled Water Permits*	PC15 Coastal	PC17 Regional	PC21 ETM*	PC24 ACOO	Total Change
City of San Juan Capistrano	\$ 2,031	\$ (21,990)	\$ (8)	\$ 0					\$ (19,967)
Moulton Niguel Water District	\$ 2,153	\$ (1,825)	\$ (3)	\$ (2)	\$ 5,251	\$ (21,049)	\$ (1)	\$ 33,508	\$ 18,031
South Coast Water District	\$ 619	\$ (6,004)	\$ 1	\$ 8	\$ (7,811)	\$ 4,881		\$ 11,880	\$ 3,574
Santa Margarita Water District	\$ (4,856)	\$ 37,623	\$ (1)	\$ 10					\$ 32,775
El Toro Water District			\$ (10)			\$ 8,966	\$ 4	\$ (17,277)	\$ (8,317)
Irvine Ranch Water District			\$ (4)	\$ 11		\$ 4	\$ (17,549)	\$ (17,537)	\$ (17,537)
City of San Clemente		\$ (7,823)	\$ (2)						\$ (7,825)
Trabuco Canyon Water District			\$ 8						\$ 8
Emerald Bay Service District			\$ (2)	\$ 494	\$ 258		\$ 619	\$ 1,369	\$ 1,369
City of Laguna Beach			\$ 4	\$ 2,067	\$ 6,891		\$ (11,160)	\$ (2,197)	\$ (2,197)
<i>Rounding</i>	\$ (54)	\$ (20)	\$ (24)	\$ 35	\$ 2	\$ (53)	\$ 7	\$ 22	\$ (85)
Change in Total PC Budget	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -

*Rounding may impact these values

Budget Increase / (Budget Decrease)

Filename: pag07

Project Schedule/Next Steps



- Finance Committee – March 26, 2019 (Recommend Board Receive and File)
- Include in draft budget materials – On going
- Board Meeting – April 4, 2019 (Receive and file)

Filename: pag07



Agenda Item

Budgeted: Yes
Budget amount: \$75,000
Project Number: 3603-000
Legal Counsel Review: No
Meeting Date: April 4, 2019

TO: Project Committee No. 5 Board of Directors
FROM: Betty Burnett, General Manager
STAFF CONTACT: Amber Baylor, Director of Environmental Compliance
SUBJECT: Updated Modeling Work for the San Juan Creek Ocean Outfall (SJCOO)

Summary

The California State Water Resources Control Board has endorsed an updated version of the computer model to assess dilution capacity at the point of discharge into the Pacific Ocean. The previous dilution modeling performed by Michael Baker International (MBI), required significant capital investment to achieve attainability of anti-backsliding provisions at the outfall diffuser ports at the San Juan Creek Ocean Outfall (SJCOO). The new model includes six degrees of freedom that results in reduced capital cost for outfall diffuser modifications to comply with California anti-backsliding criteria. The SJCOO NPDES permit is in administrative review status. The work by MBI is estimated to be \$17,000 and can be completed by May 31, 2019, to incorporate the results of the updated modeling work into the SJCOO NPDES permit.

Discussion

The Visual Plumes UM3 dilution model is the model used in the study approved by the SOCWA Board of Directors in December 2016: *Hydrodynamic Modeling of Dilution Capacity for the Aliso Creek and San Juan Creek Ocean Outfalls*. The new model is called Plumes 18b UM3. The MBI study determined that the most cost-effective and proven method for achieving 200:1 dilution for discharges exceeding 20 mgd was to retrofit duckbill check valves to the 125 discharge ports of the SJCOO diffuser. The estimated costs for capital improvements was approximately \$1.3 M.

The updated Plumes 18b model was utilized at the request of the SWRCB to include various wastewater flows from the SJCOO in connection to the Doheny Desal project. The four (4) baseline flow scenarios used in the modeling suggest that dilution credits in the range of 200:1 are possible without any capital improvements to the SJCOO. However, the Plumes 18b dilution results indicated that retrofitting duckbill check valves to only a handful of discharge ports, rather than all 125 ports as presently specified, will result in dilution credits of 200:1 or higher to meet anti-backsliding provisions. Therefore, the costs of capital improvements to the SJCOO to achieve 200:1 dilution credit could be lowered from present estimates that are on the order of \$1.3 M to less than \$100K, simply by installing fewer duckbill check valves.

Advisory Committee Review

The SOCWA Engineering Committee met on April 3, 2019, to review the proposal.

Prior Related Project Committee or Board Action(s)

On December 8, 2016, the Board of Directors approved the award of contract to Michael Baker International for \$125,000.

Fiscal impact

The cost of the additional modeling work is \$17,000. There is capital budget money in Engineering Project Number 3603-000 to cover the updated project. The cost per agency is broken down in the following table:

Agency	Capital Ownership (%)	Per Agency Cost (\$)
City of San Clemente	16.63%	\$2,826.25
City of San Juan Capistrano	11.08%	\$1,882.75
Moulton Niguel Water District	15.51%	\$2,637.13
South Coast Water District	12.46%	\$2,118.63
Santa Margarita Water District	44.33%	\$7,535.25
Total	100.00%	\$17,000.00

Recommendation

Staff recommends that the Project Committee No. 5 Board of Directors approve the additional modeling work for the San Juan Creek Ocean Outfall in the amount of \$17,000.

Agenda Item

Legal Counsel Review: No

Meeting Date: April 4, 2019

TO: Project Committee No. 5 & 24 Board of Directors
FROM: Betty Burnett, General Manager
STAFF CONTACT: Amber Baylor, Director of Environmental Compliance
SUBJECT: SB332: Hertzberg Bill on Prohibition of Ocean Dischargers

Legislative Update

SB332 has been introduced by Senator Robert Hertzberg representing the 18th District (Van Nuys). The California Association of Sanitation Agencies (CASA) State Legislative Committee met on March 15, 2019, to discuss the status of the bill. CASA has taken an oppose stance to this bill. The bill is triple referred, meaning that it must make it through three (3) committees before it moves to an official vote on the Senate Floor. The three (3) committees that it must clear, in order of succession, are:

- Senate Environmental Quality
- Senate Natural Resources
- Senate Judiciary

The Senate Environmental Quality meets on April 3, 2019. Pat Bates, representing the SOCWA service area, sits on the Senate Environmental Committee. SOCWA has submitted a letter to Senator Bates in opposition of the bill.

Penalty Impact Analysis

SB 332 includes language to reduce effluent discharge by 50% by 2030. The following table on page 2 provides an initial review of the fiscal impact to the policy based on flows from 2010 to 2018.

SB 332 Status Quo Analysis for San Diego Regional Water Quality Control Board								
Facility	Ocean Outfall	Effluent Baseline ¹ Flow (mgd)	Annual Flow (mg/yr)	2030 Target (mg/yr)	2030 Target (AF/yr)	MWDOC 2019 Rate (\$1050/AF) ²	Penalty (\$2000/AF/yr penalty)	
SOCWA	Regional Treatment Plant	Aliso Creek Ocean Outfall	2.96	1080.40	540.20	1658.41	\$1,741,335	\$3,316,828.00
	Coastal Treatment Plant	Aliso Creek Ocean Outfall	2.60	949.00	474.50	1456.72	\$1,529,551	\$2,913,430.00
	El Toro Treatment Plant	Aliso Creek Ocean Outfall	3.15	1149.75	574.88	1764.87	\$1,853,110	\$3,529,732.50
	Los Alisos Treatment Plant	Aliso Creek Ocean Outfall	2.15	784.75	392.38	1204.59	\$1,264,821	\$2,409,182.50
	Chiquita Water Reclamation Plant	San Juan Creek Ocean Outfall	2.99	1091.35	545.68	1675.22	\$1,758,983	\$3,350,444.50
	3A Wastewater Reclamation Plant	San Juan Creek Ocean Outfall	0.84	306.60	153.30	470.63	\$494,163	\$941,262.00
	City of San Clemente Water Reclamation Plant	San Juan Creek Ocean Outfall	3.02	1102.30	551.15	1692.03	\$1,776,632	\$3,384,061.00
	JB Latham Water Reclamation Plant	San Juan Creek Ocean Outfall	7.84	2861.60	1430.80	4392.56	\$4,612,184	\$8,785,112.00
						116722.30	\$122,558,418	\$233,444,605.98

Footnotes:

1	Baseline flow was calculated based on SB 332 language which is 2010-2020 but there is not sufficient data for baseline calculation. SOCWA baseline is 2010-2018 (internal database). The other R9 facilities start in 2011 due to historical data obtained from the California Integrated Water Quality System (CIWQS) began in 2011. Exceptions to extracted data time frames provided in footnotes where appropriate.
2	The Municipal Water District of Orange County (MWDOC) is a wholesale water agency. Cost per acre foot for 2019 included as a comparison to potential revenue from recycled water supplies (not including cost to expand distribution).

SB 332 Proposed Language: "The bill would require each wastewater treatment facility that discharges through an ocean outfall and affiliated water suppliers to reduce the facility's annual flow as compared to the average annual wastewater discharge baseline volume, as prescribed, by at least 50% on or before January 1, 2030, and by at least 95% on or before January 1, 2040. The bill would subject the owner or operator of a wastewater treatment facility, as well as the affiliated water suppliers, to a civil penalty of \$2,000 per acre-foot of water above the required reduction in overall volume discharge for the failure to meet these deadlines."

Analysis by: Amber Baylor, SOCWA

Recommendation

Information item.



South Orange County Wastewater Authority

March 25, 2019

The Honorable Ben Allen, Chair
Senate Environmental Quality Committee
State Capitol, Room 2205
Sacramento, CA 95814

RE: SB 332 (Hertzberg & Wiener): Oppose

Dear Senator Allen:

The South Orange County Wastewater Authority (SOCWA) is writing to respectfully state our opposition to SB 332 (Hertzberg & Wiener). As introduced, SB 332 would require wastewater treatment agencies that discharge treated effluent to saline waters, oceans, bays and estuaries to reduce their discharges by 50% by January 1, 2030, and by 95% by January 1, 2040. The bill would also declare that discharge from ocean outfalls is a "waste and unreasonable use" of water and impose penalties of \$2,000/acre-foot of water discharged above the reduction requirement.

SOCWA supports the goal of maximizing recycled water for beneficial reuse in California. In fact, our agency already engages in water recycling and produces approximately 15,000 acre-feet of recycled water used for irrigation to offset potable water demand.

We believe that while the goal of increasing water recycling in California is laudable, a mandate on every ocean and bay discharger in the state is simply unworkable. The regional variations in demand, coupled with vastly different recharge and reuse options pose significant challenges for all agencies to meet the proposed discharge reductions in SB 332.

Our initial estimate is that implementing the mandate in SB 332 is estimated to cost our agency approximately \$160M to comply. That cost burden would inevitably be shifted to our member agencies, through necessary rate increases, and those rate impacts to individual households and businesses in our community would be substantial.

For these reasons, SOCWA must respectfully oppose SB 332. Thank you for your consideration of our concerns.

Sincerely,

Betty Burnett
General Manager
SOCWA

34156 Del Obispo Street · Dana Point, CA 92629 · Phone: (949) 234-5400 · Fax: (949) 489-0130 · Website: www.socwa.com

A public agency created by: CITY OF LAGUNA BEACH • CITY OF SAN CLEMENTE • CITY OF SAN JUAN CAPISTRANO • EL TORO WATER DISTRICT • EMERALD BAY SERVICE DISTRICT
IRVINE RANCH WATER DISTRICT • MOULTON NIGUEL WATER DISTRICT • SANTA MARGARITA WATER DISTRICT • SOUTH COAST WATER DISTRICT • TRABUCO CANYON WATER DISTRICT



South Orange County Wastewater Authority

CC: Gabrielle Meindl, Chief Consultant, Senate Environmental Quality Committee
Mimi Holtkamp, Committee Secretary, Senate Environmental Quality Committee
(via mimi.holtkamp@sen.ca.gov)
Jessica Gauger, California Association of Sanitation Agencies (via
jgauger@casaweb.org)
Senator Pat Bates
Assembly Member Bill Borough

Agenda Item

Legal Counsel Review: No

Meeting Date: April 4, 2019

TO: SOCWA Board of Directors
FROM: Betty Burnett, General Manager
SUBJECT: General Manager's Status Report

Administration/General

Update on FY 2019-2020 Budget Preparation

The Finance Committee met on March 26, 2019, to kick off the fiscal year budget preparations for 2019-2020.

Staff presented information on the key budget assumptions including employee costs, fringe rate projections, PERS normal and unfunded costs and investment projections to manage growth in long term costs. Also discussed were projections for group insurance, performance of the fringe rate year-to-date, succession plan outcomes and staff planning, legal expenditures, utility cost distributions (outcome of the Carollo report), capital funding policy, general fund allocations and forward budget preparation schedules. The Finance Committee will meet next on April 9, and again on April 23 for presentations on the draft O&M, Environmental and Safety Budgets and for discussion of General Fund, Administration and Non-Capital Engineering Costs. The Committee requested consideration of options for funding unfunded obligations, consideration of a possible internship program, presentation of discussions on fixed versus variable sharing of outfall (PC 5 and 24) costs, potential for adding inspection and project management to SOCWA staff resources. The meeting schedule for the budget is below. Please note and calendar May 23, 2019, for the Board Budget Workshop.

- ▶ Finance Committee Special Meetings
 - March 26, 2019 – Budget Development Kick Off
 - April 9, 2019 – O&M Environmental Safety Budget Presentation; Member Comments from 3/26/19
 - April 23, 2019 – General Fund Budget, Administration and Capital Budget, Member Comments to prior
 - May 7, 2019 – Committee Discussion/Direction
 - May 21, 2019 – Committee Discussion/Direction
- ▶ Board Workshop - **May 23, 2019**
 - June 4, 2019 – Budget Consideration for Approval

CWEA SARBS Recognition of SOCWA Employees

On March 16, 2019, SOCWA staff attended the CWEA/SARBS Awards Banquet where the Santa Ana River Basin Section of CWEA recognized three SOCWA employees for excellence in their fields, including:

- Laura Miller: Laboratory Technician – 1st, Place.
- Gage Gesiriech: Maintenance Mechanic Technician – 2nd, Place.
- Kris Luce: Electrical Instrumentation Technician – 2nd, Place.

A first-place award at SARBS qualifies Laura Miller to participate in the State level CWEA awards later this year.



Laura Miller



Gage Gesiriech



Kris Luce

HR1764 Update

CASA's Federal Legislative Committee presented information to the House of Representatives Committee on Transportation and Infrastructure at a hearing held on February 7, 2019, to discuss the needs of the Nation's infrastructure. CASA requests included a call for stability in SRF funding and a transition for California back to a more representative share of available funding. A request was also made to support extension of NPDES permit terms for up to 10 years. CASA has been working these issues in Washington D.C. for several years. On March 14, 2019, Congressmen John Garamendi (D-CA) and Ken Calvert (R-CA) introduced H.R. 1764 which "would extend the maximum term for National Pollutant Discharge Elimination System (NPDES) permits issued under the federal Clean Water Act from 5 to 10 years." This Bill would result in permit renewal cost savings to the members of both PC5 and PC24. Staff will track progress through CASA's Federal Legislative Committee for this legislation. The bill is referred first to the House Transportation and Infrastructure Subcommittee on Water Resources and the Environment.

Operations & Maintenance Department Updates

O&M Projects

JB Latham Treatment Effluent Motor Replacement

Below is a picture of staff removing a failed motor at the effluent pumping station at the JB Latham Treatment Plant. The motor was beyond its expected life and the heavy rains this year caused the motor to fail.



CTP Access Road Cleanup Continues

Staff has been cleaning the Coastal Treatment Plant access road storm drains and addressing other related storm damage. Below is a picture of staff replacing a speed limit sign that was washout out along the CTP access road. Staff also hired a street sweeper to remove the debris that littered the road after the storms.



SCWD's CTP Forcemain Lining Project Update

SCWD has started its project to line its forcemain that feeds the Coastal Treatment Plant. SOCWA staff have been working with SCWD staff to place bypass pipes and areas to stage construction equipment. Below is a picture of the bypass line on the ground in the Headworks of the plant.



Polymer Contract Renewal Update

Staff is reviewing the initial proposals from potential Polymer providers. The next phase of the project is to allow vendors to conduct a 2-day trial of their product at the plants. This will allow the vendors to refine their proposals. The refined proposals will be reviewed by staff to select a vendor that will be allowed to perform a 30-day trial to prove their product claims. SOCWA staff will recommend a vendor to the Board after this proving period is over. It is anticipated that a contract award will be presented to the Board in June.

Environmental Compliance Department Updates

ELAP Update

On March 26, 2019, the California Quality Management System (CA QMS) subcommittee of the Environmental Laboratory Technical Accreditation Committee (ELTAC) met to review the final version of the CA QMS in preparation for the formal recommendation to ELTAC on April 19, 2019. The CA QMS is proposing a standard that is more administratively efficient than the current standard proposed by ELAP while producing data that is reliable and reproducible and within the framework that ELAP is requesting. Representatives of the subcommittee include Orange County Sanitation District, Los Angeles County Sanitation District, Coachella Valley, City of Davis, City of Vacaville, & SOCWA. The CA QMS would avoid the need for SOCWA to hire another person to be compliant with the proposed regulation.

Biochar Update

SOCWA is continuing to engage with CASA on a demonstration site for the Wood Innovation Grant. CASA received the grant from the U.S. Forest Service and has been working with scientists in California and Colorado to determine the viability of using treated dead biomass from Northern California, due to bark beetle infestation, as granular activated carbon media for hydrogen sulfide and siloxane removal in the gas cleaning skids at the Regional Treatment Plant and the JB Latham Treatment Plant. Demonstration sites could receive the materials late April 2019 through Summer of 2019. The test locations are Los Angeles County Sanitation Districts, Delta Diablo, South Lake Tahoe Public Utilities District, and SOCWA.

CASA Regulatory Workgroup

The CASA Regulatory Workgroup (RWG) meets on an annual basis to discuss strategy items to guide coordinated efforts for the water and land sub-groups. This annual strategy session includes the Summit Partners:

- CASA, the Southern California Association of POTWs (SCAP)
- California Water Environment Association (CWEA)
- Bay Area Clean Water Association (BACWA)
- Central Valley Clean Water Association.

Amber Baylor is the co-chair of the RWG.

The top regulatory priorities for the water sub-group are:

- Toxicity
- Biostimulatory/Biointegrity
- Perfluorakyl Substances/Perflurooctanesulfonic Acid (PFAS/PFOA)
- Exfiltration

The top priorities for the land sub-group are:

- SB 1383 Short-Lived Climate Pollutants Implementation
- Transportation Fuel Credits for Biogas
- Kern County Post Litigation Follow up Actions - EIR Development and Implementation
- EPA – Office of Inspector General's Report Response
- Fire Reclamation Funding

There were several issues that the water and land committees identified as important for both groups to coordinate activities on:

- Constituents of Emerging Concern (PFAS/PFOA)
- Microplastics
- AB 617 Implementation

Amber Baylor, SOCWA's Director of Environmental Compliance, will continue her active leadership at CASA Regulatory Working Group.



February 7, 2019

The Honorable Peter DeFazio
Chairman
Committee on Transportation & Infrastructure
U.S. House of Representatives
Washington, D.C. 20515

The Honorable Sam Graves
Ranking Member
Committee on Transportation & Infrastructure
U.S. House of Representatives
Washington, D.C. 20515

The Honorable Grace P. Napolitano
Chairwoman
Subcommittee on Water Resources and Environment
Transportation & Infrastructure
U.S. House of Representatives
Washington, D.C. 20515

The Honorable Bruce Westerman
Ranking Member
Subcommittee on Water Resources and Committee on
Environment
U.S. House of Representatives
Washington, D.C. 20515

Dear Chairs and Ranking Members:

The California Association of Sanitation Agencies (CASA), provides the following statement as you conduct your February 7, 2019 hearing on “The Cost of Doing Nothing: Why Investing in Our Nation’s Infrastructure Cannot Wait”. We request that this statement be made a part of the formal record of the committee proceedings.

CASA strongly supports your priority to advance infrastructure legislation without delay. For too many years, our nation’s wastewater infrastructure needs have gone unaddressed and have reached levels that urgently demand a robust federal commitment to support local community needs. The U.S. Environmental Protection Agency’s (USEPA) 2012 Clean Water Survey of Needs revealed a \$26 billion investment gap in California alone for federally eligible projects. From a national perspective, the American Society of Civil Engineers’ infrastructure report card gave wastewater infrastructure a D+ grade. The report noted that over the next two decades more than 56 million additional users will be making demands on this aging and under-capacity infrastructure. USEPA, by its own analysis, has concluded that \$271 billion in wastewater investments will be required to address future demands and construct required water quality infrastructure. This data illustrates the absolute necessity of incorporating wastewater infrastructure in any federal infrastructure initiative . Without such commitment, the ability to protect public health and improve our ecosystems will be seriously compromised. CASA believes that any infrastructure package should include, at a minimum, the following elements:

First, we ask Congress to recommit robust levels of federal funding for the existing wastewater infrastructure funding programs. CASA strongly supports the Clean Water State Revolving Fund (SRF) Loan Program, Water Infrastructure Financing and Innovation Act (WIFIA) Program and other programs to provide federal funds for clean water infrastructure projects. The Clean Water SRF should be authorized at \$6 billion annually. This level would represent a nearly six-fold increase in the annual spending levels of recent years. However, this increased amount is absolutely vital for states and localities to begin addressing the significant needs that exist today, ranging from traditional treatment requirements to those challenges created by climate change, including drought, severe storm events and sea level rise.

Second, we request that the National Pollutant Discharge Elimination System (NPDES) permit terms be extended from five to up to ten years. In addition to the need for financial assistance, there are other ways to stretch limited public dollars while protecting the public's health. Extending the length of NPDES permit terms up to 10 years is one important mechanism for ensuring that our limited dollars are spent wisely. This change would significantly benefit local public agencies by allowing for enhanced planning and efficient permitting of facilities and give agencies the time needed to comply with existing regulatory requirements before the imposition of new mandates. This would also better reflect the technological and administrative realities of the modern era. USEPA and delegated states would retain their existing authority to reopen permits to address changed circumstances.

Third, we request that Congress avoid inclusion of consolidation or reorganization of local wastewater agencies as a criterion for federal funding assistance or ranking projects for funding. The impetus for consolidation and reorganization of local agencies is fact and site specific and must consider the purpose for which the agencies were formed and the roles they serve in the community. CASA believes that decisions to consolidate are best left to the communities that will be impacted and local governance processes designed to drive and guide such changes. In California, a comprehensive process to consider consolidation already exists, and we believe that this structure could be jeopardized by a top down approach that would determine eligibility for federal assistance.

Fourth, we ask that Congress to consider updating the allocation formula that USEPA relies upon to provide states with capitalization grants for their Clean Water SRFs in order to better reflect today's realities. The formula has not been updated since 1987 and has not kept pace with demographic shifts in the United States towards the West and South. While California currently receives 7.2% of federal SRF capitalization grants, the state is home to 12% of the nation's population. According to a USEPA report, California would be entitled to between 15 and 24 percent of appropriated assistance if the formula were to be updated to address population and needs. The Committee received USEPA's Report to Congress: Review of the Allotment of the Clean Water State Revolving Fund (May 2016), documenting the challenges created by the antiquated formula used to allocate SRF resources to states. The opportunity to update this formula to reflect current population and water quality needs of a state would ensure the delivery of federal resources to those areas most in need.

Fifth, CASA urges the committee to resist creating new set-asides within the SRF program. If a compelling need exists, such as support for disadvantaged communities, a program should be created to address such needs through alternative mechanisms and avoid reducing the purchasing power of the Clean Water SRF that is already oversubscribed.

Water and Wastewater Infrastructure Assistance: Adequate and Reliable Federal Funding is Essential

CASA supports a robust infrastructure funding partnership between the federal government and local communities to protect the integrity of our receiving waters, deliver safe and reliable drinking water and enhance our ecosystems. We recognize and thank the Committee for its decades of support of the SRF Program. From its inception, the SRF program has proven to be an effective and efficient means to help meet the significant needs of local communities.

In California, the SRF programs provide vital support for a variety of water infrastructure needs. We have used the programs to support core water quality treatment functions, develop recycled water capacity, build resilient water supplies and capture sustainable energy from treatment processes. During the most recent stretch of extraordinary drought conditions, the SRF served as a lifeline to construct water recycling facilities and other critical infrastructure. Without these funds, the impact of the already devastating drought would have been significantly more severe.

California, along with much of the nation, faces deteriorating infrastructure, increased regulatory compliance costs, unpredictable weather conditions and general population growth. At the same time, financial support has declined

for the key federal partnership offering direct assistance through the SRF programs, which CASA agencies have relied on for decades. As noted above, in California alone, estimates show a \$26 billion need for new wastewater infrastructure over the next twenty years. This figure is in addition to the funding required to continue operation and maintenance of existing facilities and programs.

CASA believes that the SRF program should continue to serve as the backbone of water and wastewater infrastructure financing at the state level and calls upon Congress to provide the programs with increased funding. The loan program provides the most important and effective infrastructure financing tool available today and should be viewed as an investment in the nation's health and its economy. Loan payments create the revolving aspect of the programs, meaning that outgoing monies come back to the states to be loaned again for additional projects. The SRF program is the engine that allows CASA member agencies to continue their mission of protecting human health and the environment.

CASA also appreciates the continued development and implementation of the WIFIA Program. Several of our members have submitted to USEPA full applications for qualifying projects and are eager to utilize this new water infrastructure financing tool. With its focus on large projects, WIFIA complements the SRF program, particularly as USEPA implements the new state finance authority focused WIFIA program. CASA looks forward to working with the committee to ensure that this new program is leveraged under any new infrastructure policy.

We also see an important role for direct grant assistance. In many cases, smaller communities or segments of a service area lack the resources necessary to secure loans. In these circumstances, we strongly encourage Congress to authorize grants for such communities and service areas to serve as a catalyst for long-term water quality improvements. The financial commitment through grant assistance is a significant component of maintaining public investment to improve public health and the environment.

The Clean Water SRF Allocation Formula, Unchanged Since 1987, Should be Updated

The Clean Water Act allocation formula determines the amount of SRF capitalization grant assistance provided to each state. The formula, which is based on a variety of factors including census population and capital needs, has not been updated since 1987. Meanwhile, the population in California and throughout the nation has dramatically changed. Additionally, water infrastructure needs have grown substantially beyond the levels identified in 1987.

As part of the Water Resources Reform and Development Act (WRRDA) of 2014, Congress directed the USEPA to conduct a study to examine the allocation formula and identify options to more accurately address current needs. In a May 2016 report (copy attached) "Review of the Allotment of the Clean Water State Revolving Fund (CWSRF): Report to Congress", the USEPA concluded, "most States do not currently receive appropriated funds in proportion to their reported needs or population, which demonstrates the inadequacy of the current allotment."

The Committee is commended for seeking the report, as it provides a data-driven analysis of the current formula's impacts on states, particularly how it disadvantages states where needs have grown since 1987. The report documents that the current 30-year-old allocation formula fails to equitably address the clean water infrastructure needs of today in an equitable state-by-state basis. Specifically, the current allocation formula fails to provide adequate funding assistance to the states based upon current water quality needs or population. For example, the report illustrated that SRF allocations to California should be significantly higher if they were based on a 2012 water quality needs survey. Alternatively, if 2010 population data was used, California's equitable share should also be significantly increased.

The report presented three options to more accurately gauge needs and set allotments for the states in the future. In each instance, California would gain significant allotment, increasing anywhere between 14.7% to 24.9%, all well over its current 7.3% allotment. These percentage changes were based on the 2012 needs survey and 2010 census data, while applying constraints on the maximum increase or decrease to states. CASA requests that Congress update the Clean Water SRF allocation formula to reflect the findings of the USEPA's May 2016 Report.

Expanded Private Sector Access to the SRF Program Would be Counterproductive

In the past, proposals have been made to allow for private sector use of Clean Water SRF resources. CASA strongly opposes any initiative to open access to the SRF programs to the private sector for several reasons. First, a source of tax-exempt financing for private sector needs already exists in the form of private activity bonds (PABs). Moreover, diluting the purchasing power of already oversubscribed programs designed for the delivery of “public works” is counterproductive. Public entities that rely on traditional public financing for water infrastructure cannot afford the diversion of limited resources to privatize systems that were constructed with public monies.

Extension of NPDES Permit Terms

The extension of NPDES permit terms from five to ten years is our top priority for any non-funding related infrastructure response. Congress has an opportunity to modernize the Clean Water Act permitting process to reflect the realities of today by making a straightforward change to this important environmental statute.

The Clean Water Act requires publicly owned treatment works to secure a new permit to discharge highly treated wastewater every five years. These relatively short permit terms were predicated on the priority for agencies to upgrade treatment facilities to secondary standards and conformed to technology lifecycles and infrastructure expectations of the era. More than 40 years later, water quality needs are increasingly complex and require new methods and technologies to support innovation in making water quality improvements.

The existing five-year renewal cycle results in unnecessary financial and technical burdens on local agencies and the state permitting authorities that must prepare and issue the permits. NPDES permits are becoming increasingly complex and restrictive, and the treatment technologies necessary to meet permit limits have become more expensive and time intensive to implement. As a result, many local public agencies have not completed the upgrades necessary to comply with their prior permit when they are faced with negotiating new terms and requirements. The five-year term, established in 1972, does not reflect the realities of addressing today's clean water challenges and restricts state and local flexibility to address the highest clean water priorities. Additionally, the short permit term does not encourage long-term thinking that is essential to implement innovative solutions that produce the greatest benefits.

Examples of the policy disconnect between the realities of today's water treatment needs and an antiquated five-year permitting cycle abound. Project construction timelines can extend more than a decade, as public agencies seek to implement very large clean water infrastructure projects that must meet extensive environmental, tribal, historical and antiquities reviews, not to mention considerations for labor agreements, project design, scheduling and technology acquisition. This means local agencies must expend time and money to prepare for permit renewals even as they try to comply with existing permit requirements. At the same time, state and federal permitting agencies devote an overwhelming amount of resources to the administrative reviews and approvals necessitated by a constant treadmill of permit applications. The work diverts limited resources away from more pressing issues, such as non-point sources and other water quality improvement programs. Further, the workload can create a permit backlog, leading to administrative extensions that are discouraged by the USEPA and lack certainty for the permitted entity and public alike.

Authority to provide up to ten-year permit terms would facilitate the effective use of limited water quality resources, allowing local agencies and permitting authorities to focus on and address today's water quality needs, which have moved beyond the traditional point sources that were the focus in 1972. This change would benefit local public agencies, states and the public. Local water and wastewater agencies would be afforded adequate time to comply with existing regulatory requirements before the imposition of new ones and could better plan and more efficiently construct new facilities using the latest technology. States could direct more resources to non-point sources and watershed-based solutions. Further, existing permit reopener provisions currently provided for by law would allow new conditions to be addressed in NPDES permits during the 10-year term, if necessary, to protect water quality.

In closing, CASA strongly endorses the Committee's commitment to develop a national infrastructure policy that will propel the nation to sustained economic growth and improved public health. We look forward to working with the Committee in the coming months on this important matter.

Sincerely yours,

A handwritten signature in cursive script that reads "Roberta L. Larson".

Roberta L. Larson
Executive Director

Agenda Item

Meeting Date: April 4, 2019

TO: Board of Directors
FROM: Betty Burnett, General Manager
SUBJECT: Monthly Progress Report on State Audit Recommendations

Attached are staff updates for the State Audit Response Schedule.

Recommendation

Information item.

SOCWA State Audit Response Schedule

Page	Recommendations	Supplemental Actions to Implement Recommendations	Preparation Schedule/Responsible Staff	Filing Date
	<p>Annual Report to State Auditor on Progress</p>			<p>Filed on March 21, 2019 (Remaining Open Item – Consideration of Revision to SOCWA JPA to assign method/% of Member Agency Obligations for Unfunded Liabilities)</p>
16	<p>Finish Investigating difference in available cash balances per audited financial statements</p>	<p>(a) Complete investigation of differences in available cash balances to audited financial statements. (b) Develop a method that is agreeable to members for allocating additional cash to the credit of members: (i) Consider allocating to members based on existing proportion to available cash (ii) Implement improved procedures to account for member cash</p>	<p>(a) Prepare results of investigation report, and discuss with Finance Committee and Board (b) Cash Roll Forward results discussed Finance Committee and Board of Directors April, 2018 (i) Board to review by June, 2018 (ii) Procedures in Place</p>	<p>(a) To be completed: September, 2018 – Submitted to State 9/20/18 for 6 mo. compliance (b) (i) To be Completed: September, 2018 – FCM directed staff to provide alternative methods to allocate and to meet with Agency Finance Officers to review, meeting pending early October 2018 – completed. Board to review and determine final distribution option by 4/4/19. Completed. (ii) 2015-16 Cash Roll Forward was distributed to Board April, 2018; 2016-17 to be reviewed by Finance Committee May, 2018 – Done.</p>

Next Page	Recommendation	Supplements to Recommendation	Preparation/Schedule/ Responsible Staff	Filing Date
		(iii) Provide Monthly reports of available cash balances to members	(iii) 12/6/18 Board adjusted reporting of available cash to Quarterly Responsible: Finance Officer	(iii) Complete '17-18 update and implement quarterly updates 12/6/18; Fin Com reviewed Jan. 2019. Next review 5/'19.
19	SOCWA and Members should amend the current JPA to expressly state whether members will be responsible for SOCWA retirement benefits	SOCWA should inform plan participants.	Begin consideration of JPA Revisions with assistance of SOCWA Counsel by July, 2018 Submit to Agencies for approval, July, 2019 Responsible: GM/SOCWA Counsel	To be Completed: September, 2019. Plan presented by Procopio to SOCWA Executive Committee on 12/6/18 for review and comment. ExCom directed proceeding with meeting of agency attorneys to review – meeting held 2/14/18. Flow input from agencies requested 1/23/19, due 2/28/19. Response from IRWD received. Agency Managers Meeting will be set on response from all agencies.
28	Develop and follow a timeline with specific deadlines for completing each of its planned year-end tasks	i. Prepare a policy requiring correction of future internal control deficiencies within six months of identifying by external auditor ii. Develop accounting procedures including step-by-step instructions	(i) Counsel to develop by May 2018 Board meeting. Responsible: GM/SOCWA Counsel (ii) Underway. Responsible: Finance Controller (iii) Counsel to develop by May 2018 Board meeting.	(i) Completed, reported to State Auditor 5/10/18 (ii) Procedures & Schedule for Year End to review with Finance Committee August, 10 2018. Submitted to State Page 2

28 Cont.		<p>iii. Amend policy on procurements to specify that SOCWA should enter into agreements of at least 5 years with competitively procured external audit firms.</p> <p>iv. Adopt policy to rotate external auditor when state law requires.</p>	<p>Responsible: GM/SOCWA Counsel.</p> <p>(iv) Counsel to develop by May 2018 Board meeting.</p> <p>Responsible: GM/SOCWA Counsel.</p>	<p>9/20/18 with 6-month compliance update. Completed. (iii)and(iv) completed and submitted to State Auditor with initial 60-day compliance 5/11/18.</p>
34	Assure full compliance with the Public Records Act	<p>(i) Update policy on PRA at least annually to track any changes in laws.</p> <p>(ii) Develop more detailed procedures to ensure that SOCWA responds to requests for records in compliance with PRA.</p> <p>(iii) Establish a policy to retain accurate records and supporting documentation to demonstrate full compliance with all PRA requirements.</p>	<p>(i) April 2018 Board Meeting</p> <p>(ii) May 2018, Procedures/Staff Training</p> <p>Responsible: GM/SOCWA Counsel</p> <p>(iii) April 2018 Board Meeting</p>	<p>(i) Adopted April 2018 Board Meeting</p> <p>(ii) Pending (9/2018)</p> <p>(iii) Adopted April 2018 Board Meeting</p> <p>(iv) Submitted to State Auditor with initial 60- day report on 5/10/18</p>