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Lynda May, Assistant Secretary
SOCWA and the Board of Directors thereof

*Regular Meeting of The
South Orange County Wastewater Authority
Board of Directors*

May 14, 2026
8:30 a.m.

PHYSICAL MEETING LOCATION:
South Orange County Wastewater Authority
34156 Del Obispo Street
Dana Point, CA 92629

THE BOARD OF DIRECTORS MEETING ROOM IS WHEELCHAIR ACCESSIBLE. IF YOU REQUIRE ANY SPECIAL DISABILITY RELATED ACCOMMODATIONS, PLEASE CONTACT THE SOUTH ORANGE COUNTY WASTEWATER AUTHORITY SECRETARY'S OFFICE AT (949) 234-5400 AT LEAST SEVENTY-TWO (72) HOURS PRIOR TO THE SCHEDULED MEETING TO REQUEST SUCH ACCOMMODATIONS. THIS AGENDA CAN BE OBTAINED IN ALTERNATE FORMAT UPON REQUEST TO THE SOUTH ORANGE COUNTY WASTEWATER AUTHORITY'S SECRETARY AT LEAST SEVENTY-TWO (72) HOURS PRIOR TO THE SCHEDULED MEETING. MEMBERS OF THE PUBLIC HAVE THE OPTION TO PARTICIPATE IN AND MAY JOIN THE MEETING REMOTELY VIA VIDEO CONFERENCE FOR VISUAL INFORMATION ONLY (USE ZOOM LINK BELOW) AND BY TELECONFERENCE FOR AUDIO PARTICIPATION (USE PHONE NUMBERS BELOW). THIS IS A PHONE-CALL MEETING AND NOT A WEB-CAST MEETING, SO PLEASE REFER TO AGENDA MATERIALS AS POSTED ON THE WEBSITE AT WWW.SOCWA.COM. ON YOUR REQUEST, EVERY EFFORT WILL BE MADE TO ACCOMMODATE PARTICIPATION. FOR PARTIES PARTICIPATING REMOTELY, PUBLIC COMMENTS WILL BE TAKEN DURING THE MEETING FOR ORAL COMMUNICATION IN ADDITION TO PUBLIC COMMENTS RECEIVED BY PARTIES PARTICIPATING IN PERSON. COMMENTS MAY BE SUBMITTED PRIOR TO THE MEETING VIA EMAIL TO ASSISTANT SECRETARY LYNDA MAY AT LMAY@SOCWA.COM WITH THE SUBJECT LINE "REQUEST TO PROVIDE PUBLIC COMMENT." IN THE EMAIL, PLEASE INCLUDE YOUR NAME, THE ITEM YOU WISH TO SPEAK ABOUT, AND THE TELEPHONE NUMBER YOU WILL BE CALLING FROM SO THAT THE COORDINATOR CAN UN-MUTE YOUR LINE WHEN YOU ARE CALLED UPON TO SPEAK. THOSE MAKING PUBLIC COMMENT REQUESTS REMOTELY VIA TELEPHONE IN REAL-TIME WILL BE ASKED TO PROVIDE YOUR NAME, THE ITEM YOU WISH TO SPEAK ABOUT, AND THE TELEPHONE NUMBER THAT YOU ARE CALLING FROM SO THE COORDINATOR CAN UNMUTE YOUR LINE WHEN YOU ARE CALLED UPON TO SPEAK. ONCE THE MEETING HAS COMMENCED, THE CHAIR WILL INVITE YOU TO SPEAK AND ASK THE COORDINATOR TO UNMUTE YOUR LINE AT THE APPROPRIATE TIME.

AGENDA ATTACHMENTS AND OTHER WRITINGS THAT ARE DISCLOSABLE PUBLIC RECORDS DISTRIBUTED TO ALL, OR A MAJORITY OF, THE MEMBERS OF THE SOUTH ORANGE COUNTY WASTEWATER AUTHORITY BOARD OF DIRECTORS IN CONNECTION WITH A MATTER SUBJECT FOR DISCUSSION OR CONSIDERATION AT AN OPEN MEETING OF THE BOARD OF DIRECTORS ARE AVAILABLE FOR PUBLIC INSPECTION IN THE AUTHORITY ADMINISTRATIVE OFFICE LOCATED AT 34156 DEL OBISPO STREET, DANA POINT, CA ("AUTHORITY OFFICE") OR BY PHONE REQUEST MADE TO THE AUTHORITY OFFICE AT 949-234-5400. IF SUCH WRITINGS ARE DISTRIBUTED TO MEMBERS OF THE BOARD OF DIRECTORS LESS THAN SEVENTY-TWO (72) HOURS PRIOR TO THE MEETING, THEY WILL BE AVAILABLE IN THE RECEPTION AREA OF THE AUTHORITY OFFICE AT THE SAME TIME AS THEY ARE DISTRIBUTED TO THE BOARD OF DIRECTORS AND SENT TO ANY REMOTE PARTICIPANTS REQUESTING EMAIL DELIVERY OR POSTED ON SOCWA'S WEBSITE. IF SUCH WRITINGS ARE DISTRIBUTED IMMEDIATELY PRIOR TO, OR DURING, THE MEETING, THEY WILL BE AVAILABLE IN THE MEETING ROOM OR IMMEDIATELY UPON VERBAL REQUEST TO BE DELIVERED VIA EMAIL TO REQUESTING PARTIES PARTICIPATING REMOTELY.

**THE PUBLIC MAY PARTICIPATE REMOTELY BY VIRTUAL MEANS. FOR AUDIO OF MEETING USE THE CALL
IN PHONE NUMBERS BELOW AND FOR VIDEO USE THE ZOOM LINK BELOW.**

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Agenda

- 1. CALL TO ORDER
- 2. PLEDGE OF ALLEGIANCE
- 3. ORAL COMMUNICATIONS

Members of the public may address the Board regarding an item on the agenda or may reserve this opportunity during the meeting at the time the item is discussed by the Board. There will be a three-minute limit for public comments.

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4. CONSENT CALENDAR

- A. Minutes of Board of Directors..... 1
 - Board of Directors Regular Meeting of April 2, 2026
 - Board of Directors Special Meeting of April 7, 2026

ACTION The Board will be requested to approve the subject Minutes.
- B. Minutes of Finance Committee6
 - Finance Committee Meeting of March 17, 2026

ACTION The Board will be requested to receive and file the subject Minutes.
- C. Minutes of Engineering Committee.....8
 - Engineering Committee Meeting of March 19, 2026

ACTION The Board will be requested to receive and file the subject Minutes.
- D. Minutes of Project Committee 2..... 11
 - Project Committee 2 Meeting of April 13, 2026

ACTION The PC 2 Board will be requested to approve the subject Minutes, and the Board will be requested to receive and file the subject Minutes.
- E. March 2026 Operations Report..... 13
 - 1. Monthly Operational Report
 - 2. SOCWA Ocean Outfall Discharges by Agency
 - 3. Quarterly Report on Key Operational Expenses
 - 4. Beach Ocean Monitoring Report
 - 5. Recycled Water Report
 - 6. Pretreatment Report (March)

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ACTION	The Board will be requested to receive and file subject reports as submitted.	
F.	Capital Improvement Construction Projects Progress and Change Order Report (April) [Project Committees 2 and 15]	61
ACTION	Information Item.	
G.	Financial Reports for the Third Quarter FY 25-26	72
ACTION	The Finance Committee recommend that the Board of Directors receive and file the third quarter FY 2025-26 Financial Reports.	
5.	<u>ENGINEERING MATTERS</u>	
A.	CTP Regional Draft Flow Study	85
ACTION	Board Discussion, Direction, or Action	
B.	SOCWA CIP Workplan	87
ACTION	Information Item	
6.	<u>GENERAL MANAGER’S REPORT</u>	
A.	Open Public Hearing on (AB2561): Agency Workforce Vacancies, Recruitment and Retention Trends	90
ACTION		
1.	That the Board of Directors open a public hearing on (AB2561) Agency Workforce Vacancies, Recruitment and Retention Trends to receive comments.	
2.	That the Board of Directors close public hearing and receive and file report as an information item.	
B.	San Bernardino Municipal Water District Phase II Biosolids Facility Study	95
ACTION	The Board will be requested to receive and file; and provide direction on potential participation in Phase II.	
C.	FY 26-27 Budget	99
	<i>As needed, the General Counsel will cover the vote requirements for each area at the meeting immediately prior to the motion and vote on each item.</i>	
ACTION:	The Finance Committee recommends that the Board of Directors consider the FY 2026-27 Budget as proposed.	

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The FY 2026-27 Budget includes General Fund Expenses, Operating Expenses, and Capital Expenditures as proposed.

1. Project Committee Operating Budgets

- a. Approval of the FY 2026-27 Administration & General Fund Budget (inclusive of project committee administration expenses, residual engineering, and IT). Approval of the FY 2026-27 Administration Budget authorizes the General Manager to expend up to and not more than the total budget funds per the purchasing and/or emergency services policy; funding in excess of the authorized budget requires additional Board action; the Board approves the allocation of expenses with approval of the Budget.
- b. Approval of the Project Committee (“PC”) 2 Operations and Maintenance Budget (inclusive of Environmental Compliance, Safety, IT, UAL and OPEB) as proposed and PC 2 Capital Expenditures Budget (inclusive of large capital, non-capital/misc. engineering and small capital). Approval of the Project Committee (“PC”) 2 Operations and Maintenance Budget and PC 2 Capital Expenditures Budget authorizes the General Manager to expend up to and not more than the total budget funds per the purchasing and/or emergency services policy; funding in excess of the authorized budget requires additional Board action; the Board approves the allocation of expenses with approval of the Budget.
- c. Approval of the Project Committee (“PC”) 5 Operations and Maintenance Budget (inclusive of Environmental Compliance, Safety, IT, UAL, and OPEB) and PC 5 Capital Expenditures Budget (inclusive of large capital and non-capital/misc. engineering). Approval of the Project Committee (“PC”) 5 Operations and Maintenance Budget and PC 5 Capital Expenditures Budget authorizes the General Manager to expend up to and not more than the total budget funds per the purchasing and/or emergency services policy; funding in excess of the authorized budget requires additional Board action; the Board approves the allocation of expenses with approval of the Budget.
- d. Approval of the Project Committee (“PC”) 8 (Pretreatment) Operations and Maintenance Budget (inclusive of Environmental Compliance, Safety, UAL and OPEB). Approval of the Project Committee (“PC”) 8 (Pretreatment) Operations and Maintenance Budget authorizes the General Manager to expend up to and not more than the total budget funds per the purchasing and/or emergency services policy; funding in excess of the authorized budget requires additional Board action; the Board approves the allocation of expenses with approval of the Budget.
- e. Approval of the Project Committee (“PC”) 12 Operations and Maintenance Budget (inclusive of Environmental Compliance, Safety, IT, UAL and OPEB). Approval of the Project Committee (“PC”) 12 Operations and Maintenance Budget authorizes the General Manager to expend up to and not more than the total budget funds per the purchasing and/or emergency services policy; funding in excess of the authorized budget requires additional Board action; the Board approves the allocation of expenses with approval of the Budget.

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- f. Approval of the Project Committee (“PC”) 15 Operations and Maintenance Budget (inclusive of Environmental Compliance, Safety, IT, UAL, and OPEB) and PC 15 Capital Expenditures Budget (inclusive of large capital, non-capital/misc. engineering and small capital). Approval of the Project Committee (“PC”) 15 Operations and Maintenance Budget and PC 15 Capital Expenditures Budget authorizes the General Manager to expend up to and not more than the total budget funds per the purchasing and/or emergency services policy; funding in excess of the authorized budget requires additional Board action; the Board approves the allocation of expenses with approval of the Budget.
- g. Approval of the Project Committee (“PC”) 21 (ETM) Operations and Maintenance Budget, UAL and OPEB, and PC 21 Capital Expenditures Budget (inclusive of large capital and non-capital/misc. engineering). Approval of the Project Committee (“PC”) 21 (ETM) Operations and Maintenance Budget and PC 21 Capital Expenditures Budget authorizes the General Manager to expend up to and not more than the total budget funds per the purchasing and/or emergency services policy; funding in excess of the authorized budget requires additional Board action; the Board approves the allocation of expenses with approval of the Budget.
- h. Approval of the Project Committee (“PC”) 23 (NCI) Operations and Maintenance Budget, UAL and OPEB, and PC 23 Capital Expenditures Budget (inclusive of large capital and non-capital/misc. engineering). Approval of the Project Committee (“PC”) 23 (NCI) Operations and Maintenance Budget and PC 23 Capital Expenditures Budget authorizes the General Manager to expend up to and not more than the total budget funds per the purchasing and/or emergency services policy; funding in excess of the authorized budget requires additional Board action; the Board approves the allocation of expenses with approval of the Budget.
- i. Approval of the Project Committee (“PC”) 24 Operations and Maintenance Budget (inclusive of Environmental Compliance, Safety, IT, UAL, and OPEB) and PC 24 Capital Expenditures Budget (inclusive of large capital and non-capital/misc. engineering). Approval of the Project Committee (“PC”) 24 Operations and Maintenance Budget and PC 24 Capital Expenditures Budget authorizes the General Manager to expend up to and not more than the total budget funds per the purchasing and/or emergency services policy; funding in excess of the authorized budget requires additional Board action; the Board approves the allocation of expenses with approval of the Budget.
- j. Approval of the Laboratory Services provided to Moulton Niguel Water District (MNWD) Operations and Maintenance Budget (inclusive of Environmental Compliance, Safety, IT, UAL, and OPEB) and Capital Expenditures Budget (inclusive of large capital, non-capital/misc. engineering and small capital). Approval of the Laboratory Services provided to MNWD Operations and Maintenance Budget and Capital Expenditures Budget authorizes the General Manager to expend up to and not more than the total budget funds per the purchasing and/or emergency services policy; funding in excess of the

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authorized budget requires additional Board action; the Board approves the allocation of expenses with approval of the Budget.

- k. The Board directs staff to prepare and electronically distribute a final budget consistent with any additional changes presented and approved at the May 14, 2026, meeting.

D. Cost Allocation Policy 103

ACTION Board Discussion, Direction, or Action.

E. Policy Handbook 121

ACTION Board Discussion, Direction, or Action.

F. FY 26-27 Use Audit Update..... 126

ACTION: The Finance Committee recommend that the SOCWA Board approve disbursement of the Use Audit funds as presented at the April 21, 2026, Finance Committee meeting, with the understanding that further actuarial analysis is needed to reconcile adjustments of the UAL and OPEB liabilities post reorganization.

G. FY 25-26 Budget Adjustment for Laboratory Services provided to Moulton Niguel Water District for 3A Treatment Plant 127

ACTION: Staff recommends the Board approve a FY 2025-26 budget amendment in the amount of \$60,923 to the Laboratory Services Budget (coded as PC-17, Department 02) to account for lab services provided by the SOCWA lab to MNWD for its 3A Treatment Plant pursuant to the December 12, 2024 Moulton Niguel Water District SOCWA Continued Services Agreement (Agreement #9).

H. General Manager’s Report 140

ACTION Board Discussion, Direction, or Action.

I. General Counsel’s Report

ACTION Board Discussion, Direction, or Action.

J. Government Affairs Report..... 148

ACTION Board Discussion, Direction, or Action.

K. Upcoming Meetings Schedule:

- May 19, 2026 – Finance Committee Meeting
- May 21, 2026 – Engineering Committee Meeting

South Orange County Wastewater Authority
Board of Directors Meeting
May 14, 2026

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- June 4, 2026 – Board of Directors Regular Meeting

ACTION Information Item.

7. CLOSED SESSION

Closed Session Conference Pursuant to Government Code § 54957(b)(1)

Public Employment

Title: General Manager

Report out of Closed Session

8. OTHER MATTERS

Determine the need to take action on the following item(s) introduced by the General Manager, which arose after the posted agenda. [Adoption of this action requires a two-thirds vote of the Board, or if less than two-thirds are present, a unanimous vote.]

9. ADJOURNMENT

THE NEXT SOCWA BOARD MEETING
June 4, 2026

**MINUTES OF REGULAR MEETING
OF THE
SOUTH ORANGE COUNTY WASTEWATER AUTHORITY**

DRAFT

Board of Directors

April 2, 2026

The Regular Meeting of the South Orange County Wastewater Authority (SOCWA) Board of Directors was held in person and via teleconference on April 2, 2026, at 8:30 a.m. at their Administrative Offices located at 34156 Del Obispo Street, Dana Point, California. The following members of the Board of Directors were present:

KATHRYN FRESHLEY	El Toro Water District	Director
BOB WHALEN	City of Laguna Beach	Director
SCOTT GOLDMAN	South Coast Water District	Director
PAUL PENDER	Santa Margarita Water District	Alternate Director
MIKE DUNBAR	Emerald Bay Service District	Director

Staff Present:

AMBER BOONE	General Manager
RONI GRANT	Capital Improvement Program Manager
JIM BURROR	Deputy GM/ Chief Engineer
DINA ASH	Human Resources Administrator
LYNDA MAY	Assistant Secretary
ANNA SUTHERLAND	Staff Accountant
JACK BECK	Accountant
MATT CLARKE	Chief Technology Officer
JAMES JONES	Superintendent of O&M
ROBERT CULVER	Environmental Service Manager

Also Present:

ADRIANA OCHOA	Snell & Wilmer
KARI VOZENILEK	Kidman Law, LLP

CHAD WANKE	Orbis Public Affairs
RICK SHINTAKU	South Coast Water District
JENNIFER LOPEZ	South Coast Water District
ERICA CASTILLO	South Coast Water District
JOE MUELLER	South Coast Water District
DENNIS CAFFERTY	El Toro Water District
DUSTIN BURNSIDE	City of San Clemente

1. CALL TO ORDER

Director Scott Goldman called the meeting to order at 8:30 a.m.

2. PLEDGE OF ALLEGIANCE – Director Mike Dunbar

3. ORAL COMMUNICATIONS

None.

4. APPROVAL OF BOARD MEMBER REQUEST FOR REMOTE PARTICIPATION

None.

5. CONSENT CALENDAR

ACTION TAKEN

A motion was made by Director Dunbar and seconded by Director Whalen to approve the Consent Calendar.

Motion carried: Aye 5 Nay 0, Abstained 0, Absent 1
Director Dunbar Aye
Director Freshley Aye
Director Whalen Aye
Director Pender Aye
Director Goldman Aye
Director Rebensdorf Absent

(5A-5F)

- A. Minutes of Board of Directors Regular Meeting for March 5, 2026
- B. Minutes of Finance Committee Meeting for February 17, 2026
- C. Minutes of Project Committee 2 Meeting for January 8, 2026
Minutes of Project Committee 2 Meeting for February 5, 2026
- D. February 2026 Operations Reports
Approved Action: Information Item; received and filed.
- E. Capital Improvement Construction Projects Progress and Change Order Report (March) [Project Committees 2 and 15]
Approved Action: Information Item; received and filed.

6. ENGINEERING MATTERS

- A. Contract Award for Coastal Treatment Plant Access Road Repaving [Project Committee 15]

A discussion ensued on the lack of bids, and potential cost sharing with OC Parks. The Project Committee unanimously agreed to postpone action to explore other options for partnerships and bids.

7. GENERAL MANAGER'S REPORT

- A. Government Affairs Report

Guest speaker Chad Wanke, from Orbis Public Affairs gave the Government Affairs report followed by discussion and feedback from the Board.

This was an information item; no action was taken.

- B. General Manager's Report

Ms. Amber Boone, General Manager, provided an update on the Master Planning schedule, noting the 30-day pause for JBL to prepare data for the first set of Board level workshops. Director Goldman suggested scheduling a consultant presentation on the CTP Regional Flow Study at the May Board meeting.

This was an information item; no action was taken.

C. General Counsel's Report

No report was given.

D. Upcoming Meetings Schedule:

- April 7, 2026 – Board of Directors Budget Workshop
- April 16, 2026 – Engineering Committee Meeting
- April 21, 2026 – Finance Committee Meeting
- April 28, 2026 – Finance Special Committee Meeting
- April 28, 2026 – Executive Regular Committee Meeting
- May 14, 2026 – Board of Directors Regular Meeting

This was an information item; no action was taken.

The Board of Directors convened to Closed Session at 9:18 a.m.

8. CLOSED SESSION

- A. A Closed Session Conference was held for the Public Employee Appointment Pursuant to Government Code § 54957(b)(1)
Title: General Manager

The Board of Directors reconvened to Open Session at 9:40 a.m. Director Goldman stated there were no reportable actions from the Closed Session.

9. OTHER MATTERS

None.

10. ADJOURNMENT

There being no further business, Director Goldman adjourned the meeting at 9:40 a.m.

I HEREBY CERTIFY that the foregoing Minutes are a true and accurate copy of the Minutes of the Regular Meeting of the South Orange County Wastewater Authority Board of Directors on April 2, 2026, and approved by the Board of Directors of the South Orange County Wastewater Authority.

Lynda May, Assistant Secretary
SOUTH ORANGE COUNTY WASTEWATER AUTHORITY

**MINUTES OF SPECIAL MEETING
OF THE
SOUTH ORANGE COUNTY WASTEWATER AUTHORITY**

DRAFT

Board of Directors

April 7, 2026

The Special Meeting of the South Orange County Wastewater Authority (SOCWA) Board of Directors was held in person and via teleconference on April 7, 2026, at 8:30 a.m. at their Administrative Offices located at 34156 Del Obispo Street, Dana Point, California. The following members of the Board of Directors were present:

KATHRYN FRESHLEY	El Toro Water District	Director
BOB WHALEN	City of Laguna Beach	Director
SCOTT GOLDMAN	South Coast Water District	Director
FRANK URY	Santa Margarita Water District	Director
MIKE DUNBAR	Emerald Bay Service District	Director (Zoom)
DAVID REBENS DORF	City of San Clemente	Director

Staff Present:

AMBER BOONE	General Manager
RONI GRANT	Capital Improvement Program Manager
JIM BURROR	Deputy GM/ Chief Engineer
LYNDA MAY	Assistant Secretary
ANNA SUTHERLAND	Staff Accountant
JACK BECK	Accountant
MATT CLARKE	Chief Technology Officer
ROBERT CULVER	Environmental Service Manager

Also Present:

ADRIANA OCHOA	Snell & Wilmer
MARK MCAVOY	City of Laguna Beach
KELSEY DECASAS	Moulton Niguel Water District
MATT COLLINGS	Moulton Niguel Water District
RICK SHINTAKU	South Coast Water District
JENNIFER LOPEZ	South Coast Water District
ERICA CASTILLO	South Coast Water District
PAUL PENDER	Santa Margarita Water District
DENNIS CAFFERTY	El Toro Water District
DUSTIN BURNSIDE	City of San Clemente

1. CALL TO ORDER

Chairman Frank Ury called the meeting to order at 8:36 a.m.

2. PLEDGE OF ALLEGIANCE – Director Kathryn Freshley

3. ORAL COMMUNICATIONS

None.

4. APPROVAL OF BOARD MEMBER REQUEST FOR REMOTE PARTICIPATION

Director Mike Dunbar participated remotely.

The Board of Directors convened to Closed Session at 8:36 a.m.

5. CLOSED SESSION

- A. Closed Session Conference Pursuant to Government Code § 54957(b)(1)
Public Employment
Title: General Manager

The Board of Directors reconvened to Open Session at 8:52 a.m. Chairman Ury stated there were no reportable actions from the Closed Session.

6. BUDGET WORKSHOP - Presentation of FY 2026-27 Budget

Ms. Amber Boone, General Manager, provided a slide presentation on the FY 2026-27 Budget with Mr. Jim Burror, Deputy General Manager, presenting the CIP Budget portion. The Board discussed the master budget template's structure and provided feedback on the need for an executive summary for each CIP project.

This was an information item; no action was taken.

9. OTHER MATTERS

None.

10. ADJOURNMENT

There being no further business, Chairman Ury adjourned the meeting at 10:07 a.m.

I HEREBY CERTIFY that the foregoing Minutes are a true and accurate copy of the Minutes of the Special Meeting of the South Orange County Wastewater Authority Board of Directors on April 7, 2026, and approved by the Board of Directors of the South Orange County Wastewater Authority.

Lynda May, Assistant Secretary
SOUTH ORANGE COUNTY WASTEWATER AUTHORITY

**MINUTES OF SPECIAL MEETING
OF THE
SOUTH ORANGE COUNTY WASTEWATER AUTHORITY**

Finance Committee

DRAFT

March 17, 2026

The Special Meeting of the South Orange County Wastewater Authority (SOCWA) Finance Committee was held on March 17, 2026, at 10:30 a.m. in-person and via teleconference from the Administrative Offices located at 34156 Del Obispo Street, Dana Point, California. The following members of the Finance Committee were present:

MICHELLE BANNIGAN	City of Laguna Beach	Alternate Director
DENNIS CAFFERTY	El Toro Water District	Alternate Director
ERICA CASTILLO	South Coast Water District	Alternate Director (Zoom)
PAUL PENDER	Santa Margarita Water District	Alternate Director

Staff Participation:

AMBER BOONE	General Manager
JIM BURROR	Deputy GM/Chief Engineer
JACK BECK	Accountant
ANNA SUTHERLAND	Staff Accountant
MATT CLARKE	Chief Technology Officer
LYNDA MAY	Administrative Assistant/ Assistant Clerk
DINA ASH	Human Resources Administrator

Also Participating:

KATHRYN FRESHLEY	El Toro Water District
SCOTT GOLDMAN	South Coast Water District
KELSEY DECASAS	Moulton Niguel Water District

1. Call Meeting to Order

Chairperson Paul Pender called the meeting to order at 10:32 a.m.

2. Public Comments

None.

3. Approval of Minutes

- Finance Committee Special Meeting of March 3, 2026.

ACTION TAKEN

A motion was made by Director Cafferty and seconded by Director Bannigan to approve the Minutes for March 3, 2026, as submitted.

Motion carried: Aye 4, Nay 0, Abstained 0, Absent 0
Director Cafferty Aye
Director Bannigan Aye
Director Pender Aye
Director Castillo Aye

4. Use Audit FY 24-25

After a review of the Use Audit, the committee requested a recalculation of PC 17's allocations for Lab services, UAL and OPEB. Ms. Amber Boone, General Manager, agreed to bring the items back to the next Finance Committee meeting for more discussion.

5. FY 26-27 Draft Budget

After an open discussion on the need for a quality check before recommendation to the SOCWA Board, which the Manager committed to including at the April 7, 2026 Board meeting.

ACTION TAKEN

A motion was made by Director Cafferty and seconded by Director Bannigan to recommend the FY 26-27 Draft Budget for presenting to the Board of Directors, with the distinction of the committee's approval taking place after the next Finance Committee meeting on April 21, 2026.

Motion carried: Aye 4, Nay 0, Abstained 0, Absent 0
Director Cafferty Aye
Director Bannigan Aye
Director Pender Aye
Director Castillo Aye

6. Chart of Accounts Update to Improve Administrative Efficiency

Ms. Boone updated the committee on the streamlined chart of accounts and GL codes, and noted that the Request for Proposal was released for the audit services.

7. Contract Services

After an open discussion on the allocation of revenue from new services, the committee agreed to bring back a summary of revenue sources and additional details.

8. Adjournment

There being no further business, Chairperson Pender adjourned the meeting at 11:16 a.m.

I HEREBY CERTIFY that the foregoing Minutes are a true and accurate copy of the Minutes of the Special Meeting of the South Orange County Wastewater Authority Finance Committee of March 17, 2026, and approved by the Finance Committee and received and filed by the Board of Directors of the South Orange County Wastewater Authority.

Lynda May/ Assistant Clerk
SOUTH ORANGE COUNTY WASTEWATER AUTHORITY

**MINUTES OF REGULAR MEETING
OF THE
SOUTH ORANGE COUNTY WASTEWATER AUTHORITY**

Engineering Committee

DRAFT

March 19, 2026

The Regular Meeting of the South Orange County Wastewater Authority (SOCWA) Engineering Committee was held on March 19, 2026, at 8:30 a.m. in-person and via teleconferencing from the Administrative Offices located at 34156 Del Obispo Street, Dana Point, California. The following members of the Engineering Committee were present:

HANNAH FORD	El Toro Water District [Zoom]
ROBERT GRANTHAM	Santa Margarita Water District [Zoom]
MARC SERNA	South Coast Water District
MARK MCAVOY	City of Laguna Beach [Zoom]

Absent:

MIKE DUNBAR	Emerald Bay Service District
DAVE REBENDORF	City of San Clemente

Staff Present:

AMBER BOONE	General Manager
RONI GRANT	Capital Improvement Program (CIP) Manager
BRIAN PECK	Project Manager
MATT CLARKE	Chief Technology Officer
LYNDA MAY	Administrative Assistant/Assistant Secretary
ANNA SUTHERLAND	Staff Accountant
JAMES JONES	Operations Superintendent

1. Call Meeting to Order

Ms. Roni Grant, Capital Improvement Program (CIP) Manager, called the meeting to order at 8:32 a.m.

2. Public Comments

None.

3. Approval of Committee Member Request for Remote Participation (Standing Item)

Ms. Hannah Ford, Mr. Robert Grantham, and Mr. Mark McAvoy participated via Zoom.

4. Approval of Minutes

- Engineering Committee Minutes of February 19, 2026.

ACTION TAKEN

A motion was made by Mr. Grantham and seconded by Mr. Serna to approve the Engineering Committee Minutes for February 19, 2026.

Motion carried: Aye 4, Nay 0, Abstained 0, Absent 2
Mr. McAvoy Aye
Ms. Ford Aye
Mr. Dunbar Absent
Mr. Grantham Aye
Mr. Serna Aye
Mr. Rebensdorf Absent

5. General Manager's Report

Ms. Amber Boone, General Manager, provided an update on the March 17th Finance Committee meeting, noting the additional revenues being pursued including the Crystal Canyon Water Reclamation Plant.

This was an information item; no action was taken.

6. Operations Report

Ms. Boone provided an update on the CTP Regional Flow study interviews and recent JBL Facility Planning Assessment workshop with HDR.

This was an information item; no action was taken.

7. Capital Improvement Construction Projects Progress and Change Order Report (March)
[Project Committees 2 and 15]

Ms. Roni Grant, Capital Improvement Project Manager, provided an update on the progress of construction projects including change orders.

This was an information item; no action was taken.

8. Contract Award for CTP Access Road Repaving Project [Project Committee 15]

The committee discussed the CTP Access Road upgrade and moved to approve the contract award to T.E. Roberts.

ACTION TAKEN

A motion was made by Mr. McAvoy and seconded by Mr. Serna to 1) authorize execution of a construction contract with T.E. Roberts in the amount of \$1,341,222 and 2) approve a contract contingency of \$67,061, to address any unforeseen conditions encountered during the work.

Motion carried: Aye 2, Nay 0, Abstained 0, Absent 1
Mr. Dunbar Absent
Mr. McAvoy Aye
Mr. Serna Aye

9. CTP Capital Projects Update [Project Committee 15]

Mr. Brian Peck, Project Manager, provided a slide presentation of the two capital projects for CTP: the Foul Air System Upgrade, and the Drainage Pump Station Upgrade.

This was an information item; no action was taken.

10. Draft Cost Allocation Policy

Ms. Boone briefed the committee on the changes and updates in the policy related to PC 15 and PC 2, giving the committee time to review the details before bringing the policy handbook back in May for review.

This was an information item; no action was taken.

11. Adjournment

There being no further business, Ms. Grant adjourned the meeting at 9:13 a.m.

I HEREBY CERTIFY that the foregoing Minutes are a true and accurate copy of the Minutes of the Regular Meeting of the South Orange County Wastewater Authority Engineering Committee of March 19, 2026, and approved by the Engineering Committee and received and filed by the Board of Directors of the South Orange County Wastewater Authority.

Lynda May, Assistant Secretary
SOUTH ORANGE COUNTY WASTEWATER AUTHORITY

**MINUTES OF SPECIAL MEETING
OF THE
SOUTH ORANGE COUNTY WASTEWATER AUTHORITY**

Project 2 Committee Meeting

April 13, 2026

1:00 p.m.

DRAFT

The Special Meeting of the South Orange County Wastewater Authority (SOCWA) Project Committee 2 Meeting was held on April 13, 2026, at 1:00 p.m. in person and via teleconference from the Administrative Offices located at 34156 Del Obispo Street, Dana Point, California. The following members of Project Committee No. 2 were present:

FRANK URY	Santa Margarita Water District	Director
SCOTT GOLDMAN	South Coast Water District	Director

Staff Participation:

AMBER BOONE	General Manager
MATT CLARKE	Chief Technology Officer
LYNDA MAY	Assistant Secretary

Also Participating:

MARC SERNA	South Coast Water District
JOE MULLER	South Coast Water District
RICK SHINTAKU	South Coast Water District
RODNEY WOODS	Moulton Niguel Water District
TRICIA BUTLER	Santa Margarita Water District
ROBERT GRANTHAM	Santa Margarita Water District
KARI VOZENILEK	Kidman Law, LLP

1. Call Meeting to Order

Director Ury called the meeting to order at 1:02 p.m.

2. Public Comments

None.

3. J.B. Latham Treatment Plant (JBL) Facility Planning Assessment Discussion [Project Committee 2]

Ms. Amber Boone, General Manager, presented the Committee with the CIP Budget Summary containing a breakdown of key projects. An open discussion ensued on treatment alternatives, the impact of the regional flow study, and condition assessments of the facility. Ms. Boone noted the May 14, 20206 Board meeting will have updated findings on the flow study and JBL facility assessment.

4. Adjournment

There being no further business, Chairman Ury adjourned the meeting at 2:44 p.m.

I HEREBY CERTIFY that the foregoing Minutes are a true and accurate copy of the Minutes of the Special Meeting of the South Orange County Wastewater Authority Project Committee 2, of April 13, 2026, and approved by the Project Committee 2, and received and filed by the Board of Directors of the South Orange County Wastewater Authority.

Lynda May, Assistant Secretary
SOUTH ORANGE COUNTY WASTEWATER AUTHORITY

Agenda Item

4.E.

Board of Directors Meeting

Meeting Date: May 14, 2026

TO: Board of Directors
FROM: Jim Burror, Deputy General Manager/Chief Engineer
SUBJECT: March 2026 Operations Report

Summary/Discussion

The following selected operational reports are provided monthly to the Board of Directors. The operational reports included are as follows:

1) Monthly Operational Report

This is a six (6) page overview and comparison of owner use of facilities, including influent and recycled water production. The pages include ongoing calculations used by SOCWA to bill the agencies. Other items include important statistics for regulatory compliance, visits by the public to the treatment works, and other vendor interactions. The information is broken down by facility and by member agency.

2) SOCWA Ocean Outfall Discharges by Agency

This data shows how much water is being discharged into the ocean each month and for the last 12 months. This data is presented for the agencies planning reuse projects to better understand the potential to expand water reuse in their service area.

3) Quarterly Report on Key Operational Expenses

A seven (7) page overview of monthly expenses for key operational expenses with estimated projections for the Fiscal Year. The key parameters being tracked are Electricity, Odor Control, Polymer, Biosolids, Maintenance expenses (not including SOCWA staff labor), small capital purchases, and safety.

4) Beach Ocean Monitoring Report

5) Recycled Water Report

6) Pretreatment Report

Fiscal Impact

No change.

Recommended Action:

Receive and file the Operational Reports.

Monthly Operational Report

SOCWA Operational Report March 2026

Excursion, Complaint, and Violation Events

Events	CTP	JBL	Totals
Odor	0	0	0
Noise	0	0	0
Spills	0	0	0
Violations	0	0	0
Others	0	0	0

Plant Wastewater Characteristics

Key Parameters	CTP	JBL TP1	JBL TP2	Total
Influent (mgd) (1)	2.38	7.98	0.82	11.17
Effluent (mgd)	2.48	5.63	2.68	10.79
Peak Flow (mgd)	7.14	10.56	10.00	27.70
Influent BOD (mg/l)	282	358	428	
Influent TSS (mg/l)	342	453	441	
Effluent BOD (mg/l)	6.1	7.9	7.9	
Effluent TSS (mg/l)	9.8	7.8	7.8	
Effluent Turbidity (NTU)	4.6	5.6	3.6	

(1) CTP Influent value does not include AWT backwash in this table.

Recycled Water (AWT) Operations

Key Parameters	CTP	JBL	Totals
Average Flow (mgd)	0.57		0.57
Days of Operation (days)	27		
Total Flow (million gallons)	17.55		17.55
Plant Irrigation (million gallons)	0.10	0.27	0.37
AWT Time Online (%)	84.6		

Wastewater Unit Definitions

mgd = million gallons per day

mg/l = milligram per liter also known as parts per million

NTU = Nephelometric Turbidity Units

SOCWA Operational Report March 2026 (cont'd)

Biosolids Management

Biosolids Management Site	CTP	JBL	Totals
Nursery Products (tons)		949.0	949.0
Prima Deshecha (tons)		0.0	0.0
Other: (tons)		0.0	0.0
Total Processed (tons)		949.0	949.0

Summary of Maintenance Activities

Task Type	CTP	JBL	Totals
Preventative Maintenance	313	619	932
Corrective Maintenance	22	33	55

Site Visitors

Visitor Types	CTP	JBL	Totals
Regulatory	1	2	3
Member Agency	1	1	2
Residents	0	0	0
Others	6	47	53
Tours #/Visitors	1	1	2

Grit Disposal Management

Grit & Screenings	CTP	JBL	Totals
Simi Valley Landfill (tons)	15.7	NA	15.7

Chemical and Energy Utilization

Chemical/Utility	CTP	JBL	Totals
Ferric Chloride (tons)	17.3	9.4	27
Utility Power Purchase (kWh)	175,157	286,927	462,084
Cogen Power (kWh)		455,338	455,338
Natural Gas (Dth)	17	NA	17
Digester Gas to Engine (scfm)		7,013,627	7,013,627
Digester Gas to Boiler (scfm)		15,359	15,359
Digester Gas to Flares (scfm)		763,032	763,032

NA = Not Available at the time this report was generated.

Wastewater Unit Definitions

kWh = kilowatt hours

Dth = Dekatherms

scfm = standard cubic feet per minute

SOCWA Operational Report March 2026 (cont'd)

Agency Flows to SOCWA Operated Treatment Plants (Including Internal Waste Streams Used for Billing)

Agency	CTP (mgd)	CTP (%)	JBL (mgd)	JBL (%)	Total (mgd)	Notes
CLB	1.311	52.79%			1.311	
EBS	0.130	5.25%			0.130	
MNWD			1.400	15.92%	1.400	C/O SCWD
SCWD	1.042	41.96%	1.512	17.19%	2.554	
SMWD			5.884	66.90%	5.884	
Total	2.484	100.00%	8.796	100.00%	11.280	

Total Agency Outfall Flows by Outfall System-Billing Flows

Agency	SJCOO (mgd)	SJCOO (%)	ACOO (mgd)	ACOO (%)	Total (mgd)	Notes
CLB			1.31	11.66%	1.31	
EBS			0.13	1.16%	0.13	
MNWD	2.79	20.00%	2.56	22.78%	5.35	C/O SCWD/SMWD (SJCOO) & ETWD (ACOO)
SCWD	1.69	12.10%	1.03	9.20%	2.72	
ETWD			2.10	18.69%	2.10	
IRWD			4.11	36.52%	4.11	C/O ETWD
SMWD	6.35	45.60%			6.35	
CSC	3.11	22.30%			3.11	
Total	13.93	100.00%	11.25	100.00%	25.18	

SOCWA Operational Report March 2026 (cont'd)

FY Flow/Solids Summary-Billing

Project Committee No. 2 Liquids (JBL)

Agency	Own (mgd)	Own (%)	Budget (mgd)	Budget (%)	Month (mgd)(1)	Month (%)	FY Avg to Date (mgd)	FY Avg to Date (%)
SCWD	6.75	51.92%	1.598	20.85%	1.512	17.19%	1.56	18.05%
SMWD	6.25	48.08%	4.667	60.89%	5.884	66.90%	5.67	65.73%
MNWD(3)			1.400	18.26%	1.400	15.92%	1.40	16.22%
Total	13.00	100.00%	7.665	100.00%	8.796	100.00%	8.63	100.00%

Project Committee No. 2 Solids (JBL)

Agency	Own (lbs/d)	Own (%)	Budget (lbs/d)	Budget (%)	Month (lbs/d)	Month (%)	36 Month Rol. Avg. (lbs/d) (2)	36 Month Rol. Avg. (%)
SCWD	16,055	41.62%	5,183	17.12%	2,778	9.01%	3,161	12.35%
SMWD	22,518	58.38%	19,402	64.08%	22,258	72.22%	17,433	68.09%
MNWD(3)			5,693	18.80%	5,783	18.76%	5,008	19.56%
Total	38,573	100.00%	30,278	100.00%	30,819	100.00%	25,603	100.00%

Project Committee No. 5 - San Juan Creek Ocean Outfall (SJCOO)

Agency	Own (%)	Budget (mgd)	Budget (%)	Month (mgd)	Month (%)	FY Avg to Date (mgd)	FY Avg to Date (%)
CSC	16.63%	13.300	16.63%	3.106	22.30%	2.785	21.07%
SCWD	12.46%	9.970	12.46%	1.686	12.10%	1.735	13.13%
SMWD	55.40%	44.320	55.40%	6.352	45.60%	6.233	47.15%
MNWD(4)		12.410	15.51%	2.786	20.00%	2.466	18.65%
Total	100.00%	80.000	100.00%	13.930	100.00%	13.219	100.00%

(1) Influent billing meter summary:

- a. CSJC is metered daily in the collection system. The area-velocity meter has an accuracy of +/- 20%.
- b. MNWD flows with SCWD flows are assumed to be 1.4 mgd unless Treatment Plant 3A is discharging to the sewer. If other discharges occur, they are estimated.
- c. SCWD flows are the summation of the DPSD and Victoria PS meters. The two metering systems have an accuracy of +/- 10%.
- d. The Oso Trabuco sewer is metered daily in the collection system. The flows from MNWD are subtracted from the metering data collected to determine SMWD's flows. The metering system in the collection system has an accuracy of +/- 20%.

(2) The 36-month average is the average of the past 36 months. The Use Audit is based on the last 3 Fiscal Years versus the average of the past 36 months.

(3) C/O SCWD for billing.

(4) C/O SCWD and SMWD for billing.

SOCWA Operational Report March 2026 (cont'd)

FY Flow/Solids Summary-Billing (cont'd)

Project Committee No. 15 (CTP)

Agency	Own (mgd)	Own (%)	Budget (mgd)	Budget (%)	Month (mgd)	Month (%)	FY Avg to Date (mgd)	FY Avg to Date (%)
CLB	3.64	54.33%	1.430	53.56%	1.311	52.79%	1.325	53.42%
EBSB	0.20	2.99%	0.060	2.25%	0.130	5.25%	0.089	3.59%
SCWD	2.86	42.69%	1.180	44.19%	1.042	41.96%	1.066	42.99%
Total	6.70	100.00%	2.670	100.00%	2.484	100.00%	2.480	100.00%

Project Committee No. 24 (ACOO)

Agency	Own (%)	Budget (mgd)	Budget (%)	Month Outfall Flow (mgd)	Month Outfall Flow (%)	FY Avg Outfall Flow (mgd)	FY Avg Outfall Flow (%)
CLB	11.00%	5.500	11.00%	1.311	11.66%	1.325	11.25%
EBSB	0.78%	0.390	0.78%	0.130	1.16%	0.089	0.76%
ETWD	16.30%	8.151	16.30%	2.102	18.69%	2.250	19.11%
MNWD(1)	43.85%	21.924	43.85%	2.562	22.78%	3.353	28.47%
IRWD(1)	15.76%	7.880	15.76%	4.109	36.52%	3.682	31.27%
SCWD	12.31%	6.155	12.31%	1.035	9.20%	1.079	9.16%
Total	100.00%	50.000	100.00%	11.250	100.00%	11.778	100.00%

(1) Permitted flow to the ACOO from IRWD and MNWD who are not member agencies of SOCWA.

SOCWA Operational Report February 2026 (cont'd)

Select Critical Equipment Repairs

JBL - PC2

Troubleshoot failed Waste Pump #3 at JBL.
Troubleshoot failed Scrubber Recirc Pump #2 at JBL.
Continued 60,000 service troubleshooting on the Cogen system at JBL.
Troubleshoot failed water line for 9-Side Scrubber at JBL.
Troubleshoot failed Sludge Recirc and Transfer Pump #3 at JBL.
Replaced failed Raw Sewage Pump #4 at JBL.
Support the effluent pumping station project with utility shutdowns at JBL.
Completed the relocation of the Vulcan Wash Press at JBL.
Troubleshoot failed Securitas access controllers at JBL.
Troubleshoot failed starters for Grit Pump #3 at JBL.
Troubleshoot failed Aeration Tank #6 at JBL.
Troubleshoot failed Effluent Discharge Flow Meter at JBL.
Troubleshoot failed Plant #1 Blower Room Exhaust Fan #1 at JBL.
Troubleshoot failed Bar Screen #3 at JBL.
Replaced failed Raw Sewage Pump #6 at JBL.

CTP - PC15

Troubleshoot failed Aeration Tank #4 at CTP.
Supported construction to replace the failed skimmers.
Troubleshoot failed West Primary Sludge Grinder at CTP.
Troubleshoot failed Applied Water Turbidimeter / Influent at CTP.
Troubleshoot failed Backwash Pump #1 at CTP.
Replaced failed DPS Level Switch High at CTP.
Troubleshoot multiple failed CTP SCADA callouts issues at CTP.
Troubleshoot failed AWT Bleach Metering Pump #3 at CTP.
Troubleshoot failed Scrubber Stage #2/3 Caustic Pump at CTP.
Repairing overheated electrical Aeration Blower #1 that failed at CTP.
Troubleshoot failed AWT Backwash Flow at CTP.
Troubleshoot failed Collector Drive Tank W-3 Secondary at CTP.
Troubleshoot failed AWT Filter Supply Pump #1 at CTP.
Replaced failed D.O. Analyzer 4B / West 2A at CTP.
Replaced failed D.O. Analyzer 4A at CTP.
Replaced failed D.O. Analyzer 3B / West 1A at CTP.
Replaced failed D.O. Analyzer 3A / West 1B at CTP.
Troubleshoot failed Filtered Water Turbidimeter / Effluent at CTP.
Troubleshoot failed Clearwell CL2 Analyzer at CTP.

SOCWA Ocean Outfall Discharges by Agency

SOCWA Operational Report March 2026 (cont'd)

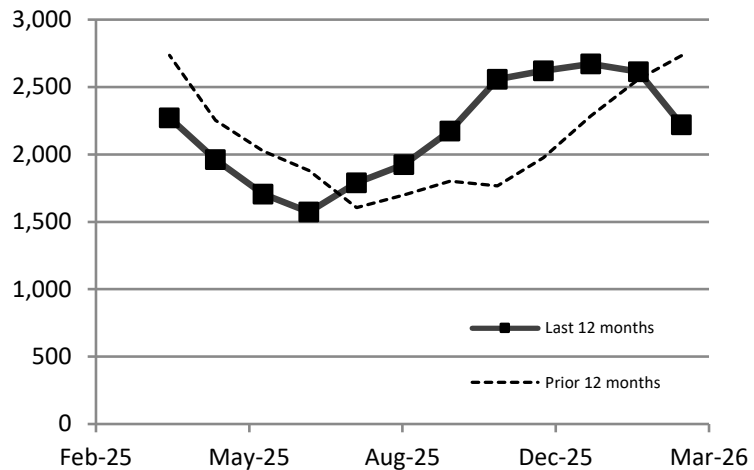
Agency	SJCOO (mgd)	SJCOO (%)	ACOO (mgd)	ACOO (%)	Total (mgd)
CLB			1.31	11.66%	1.31
EBSD			0.13	1.16%	0.13
MNWD(1)	2.79	20.00%	2.56	22.78%	5.35
SCWD	1.69	12.10%	1.03	9.20%	2.72
ETWD			2.10	18.69%	2.10
IRWD(2)			4.11	36.52%	4.11
SMWD	6.35	45.60%			6.35
CSC	3.11	22.30%			3.11
Total	13.93	100.00%	11.25	100.00%	25.18
or Acre-Feet per year equivalent					28,202

(1) C/O ETWD, SCWD & SMWD

(2) C/O ETWD

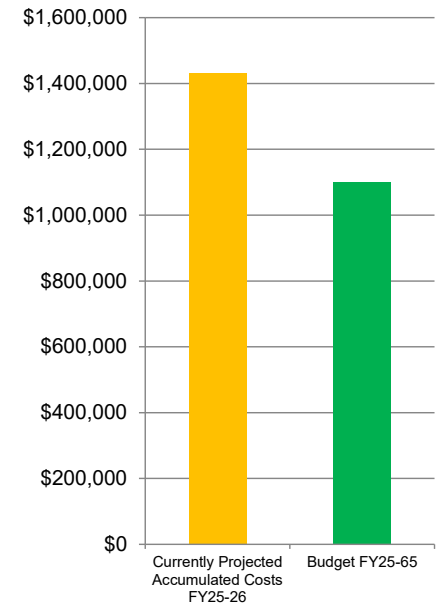
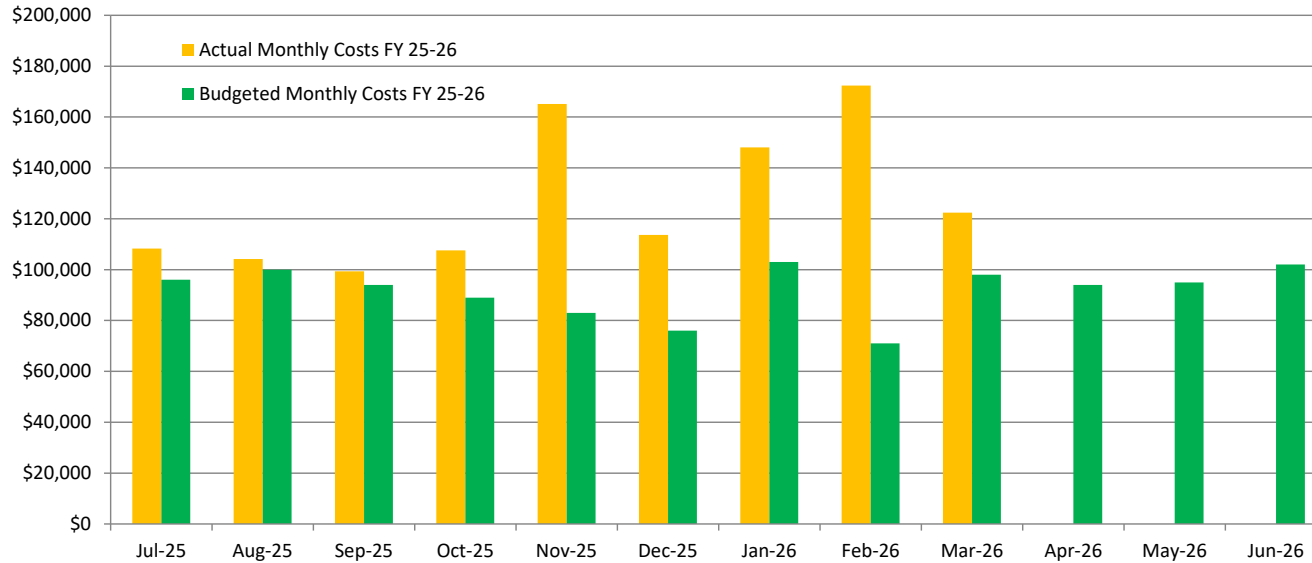
12-Month Running Total Discharge to Ocean Outfalls (AF)

Mar-26	2,221
Feb-26	2,613
Jan-26	2,671
Dec-25	2,620
Nov-25	2,557
Oct-25	2,174
Sep-25	1,924
Aug-25	1,790
Jul-25	1,573
Jun-25	1,705
May-25	1,962
Apr-25	2,271
Total	26,081



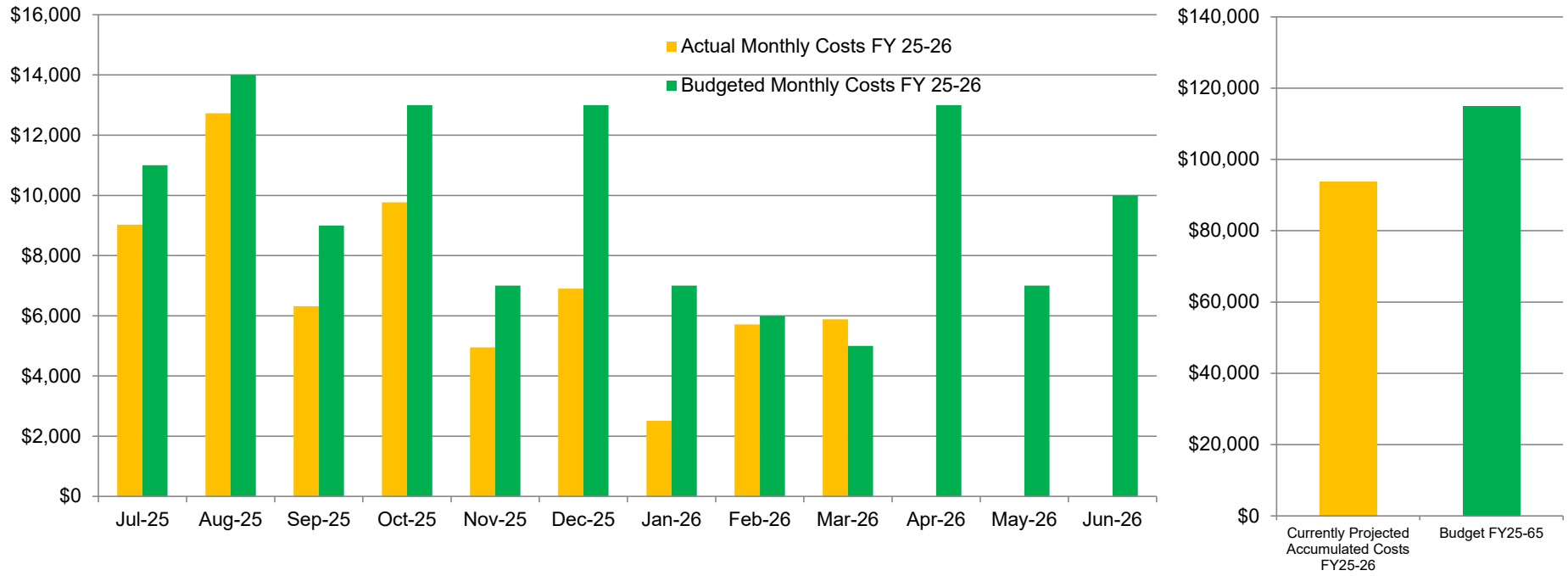
Quarterly Report on Key Operational Expenses

Electricity (5002) Costs



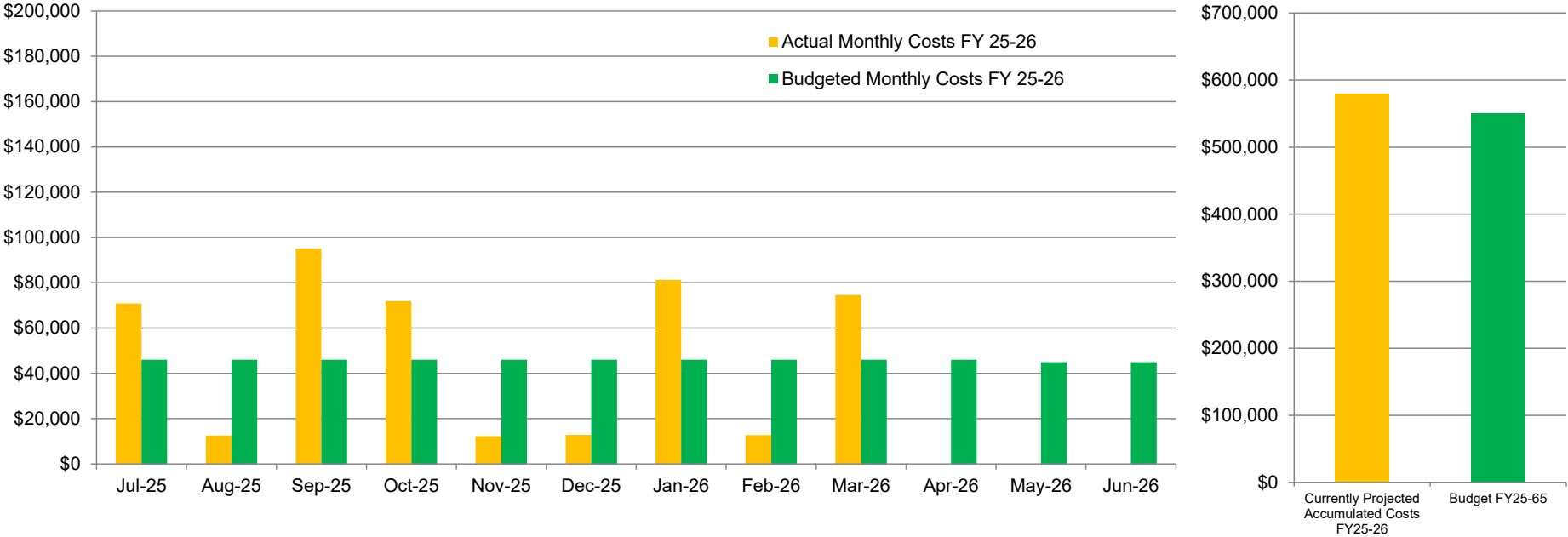
	Jul-25	Aug-25	Sep-25	Oct-25	Nov-25	Dec-25	Jan-26	Feb-26	Mar-26	Apr-26	May-26	Jun-26	Currently Projected Accumulated Costs FY25-26	\$1,432,099
Actual Monthly Costs FY 25-26	\$108,266	\$104,206	\$99,310	\$107,584	\$165,139	\$113,658	\$148,048	\$172,404	\$122,445				Budget FY25-65	\$1,101,040
Budgeted Monthly Costs FY 25-26	\$96,000	\$100,000	\$94,000	\$89,000	\$83,000	\$76,000	\$103,000	\$71,000	\$98,000	\$94,000	\$95,000	\$102,040	Currently Projected Under(+)/Over (-) Budget	-\$331,059

Odor Control (5009) Costs



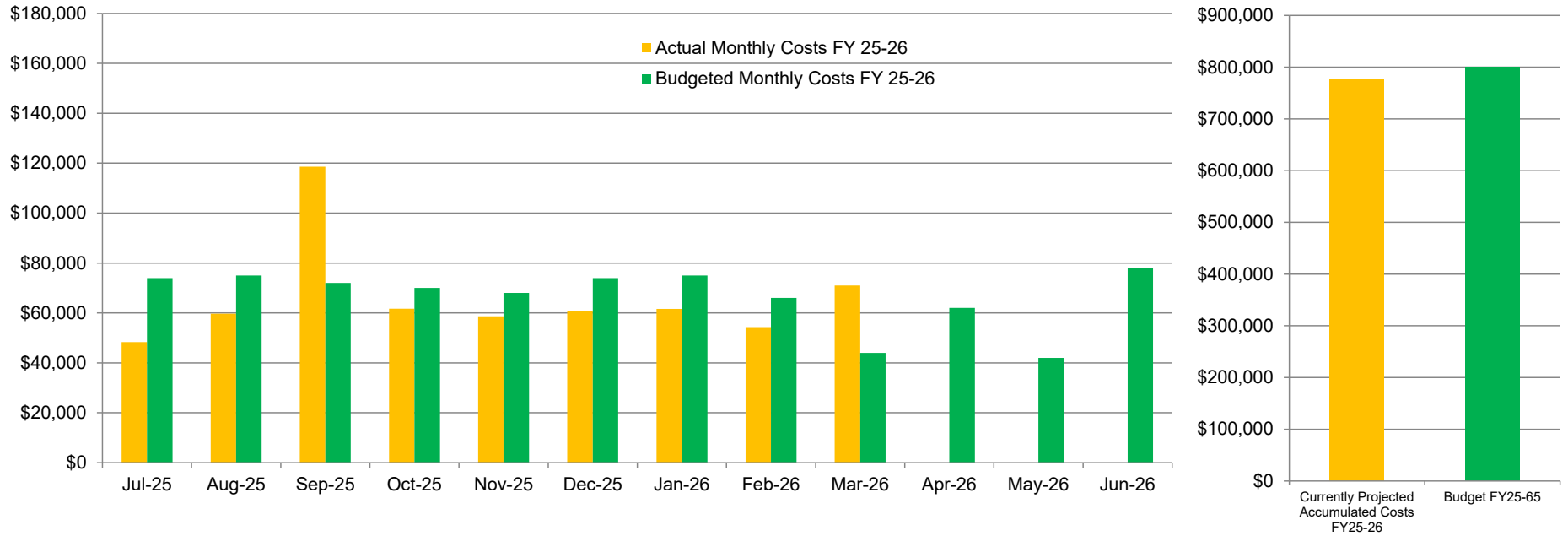
	Jul-25	Aug-25	Sep-25	Oct-25	Nov-25	Dec-25	Jan-26	Feb-26	Mar-26	Apr-26	May-26	Jun-26	Currently Projected Accumulated Costs FY25-26	\$93,802
Actual Monthly Costs FY 25-26	\$9,030	\$12,727	\$6,315	\$9,770	\$4,948	\$6,900	\$2,514	\$5,713	\$5,884				Budget FY25-26	\$115,000
Budgeted Monthly Costs FY 25-26	\$11,000	\$14,000	\$9,000	\$13,000	\$7,000	\$13,000	\$7,000	\$6,000	\$5,000	\$13,000	\$7,000	\$10,000	Currently Projected Under(+)/Over (-) Budget	\$21,198

Polymer (5007) Costs



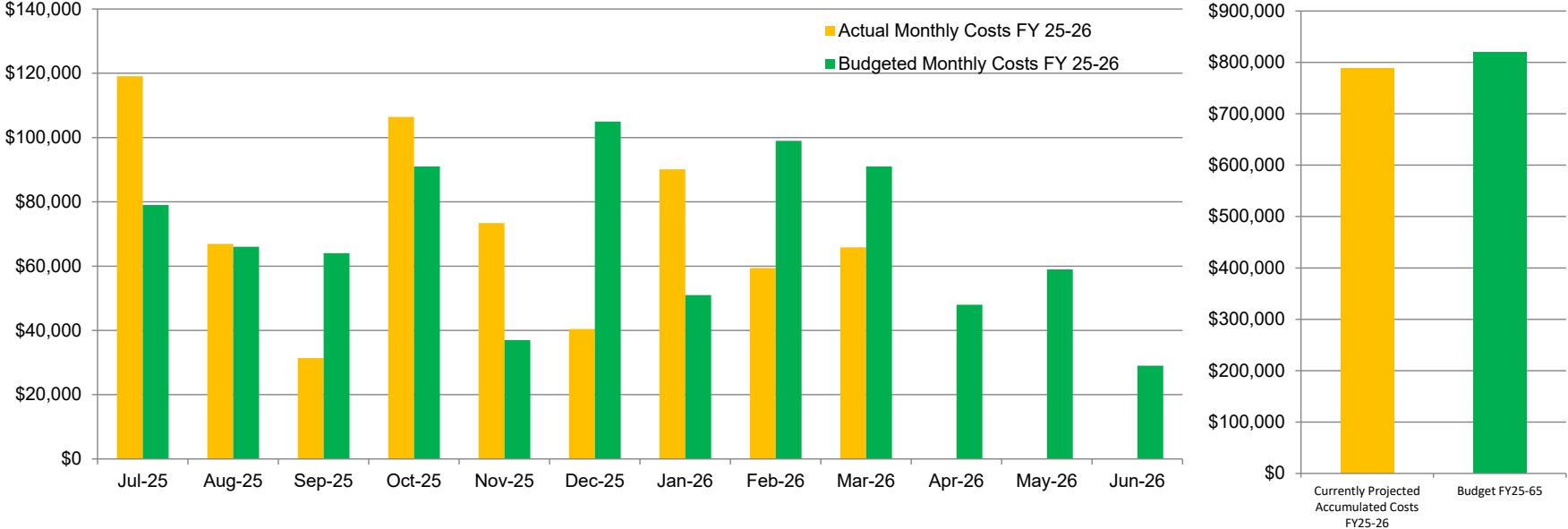
	Jul-25	Aug-25	Sep-25	Oct-25	Nov-25	Dec-25	Jan-26	Feb-26	Mar-26	Apr-26	May-26	Jun-26	Currently Projected Accumulated Costs FY25-26	\$579,277
Actual Monthly Costs FY 25-26	\$70,887	\$12,569	\$95,126	\$71,974	\$12,255	\$12,834	\$81,320	\$12,720	\$74,592				Budget FY25-65	\$550,000
Budgeted Monthly Costs FY 25-26	\$46,000	\$46,000	\$46,000	\$46,000	\$46,000	\$46,000	\$46,000	\$46,000	\$46,000	\$46,000	\$45,000	\$45,000	Currently Projected Under(+)/Over (-) Budget	-\$29,277

Biosolids (5049) Costs



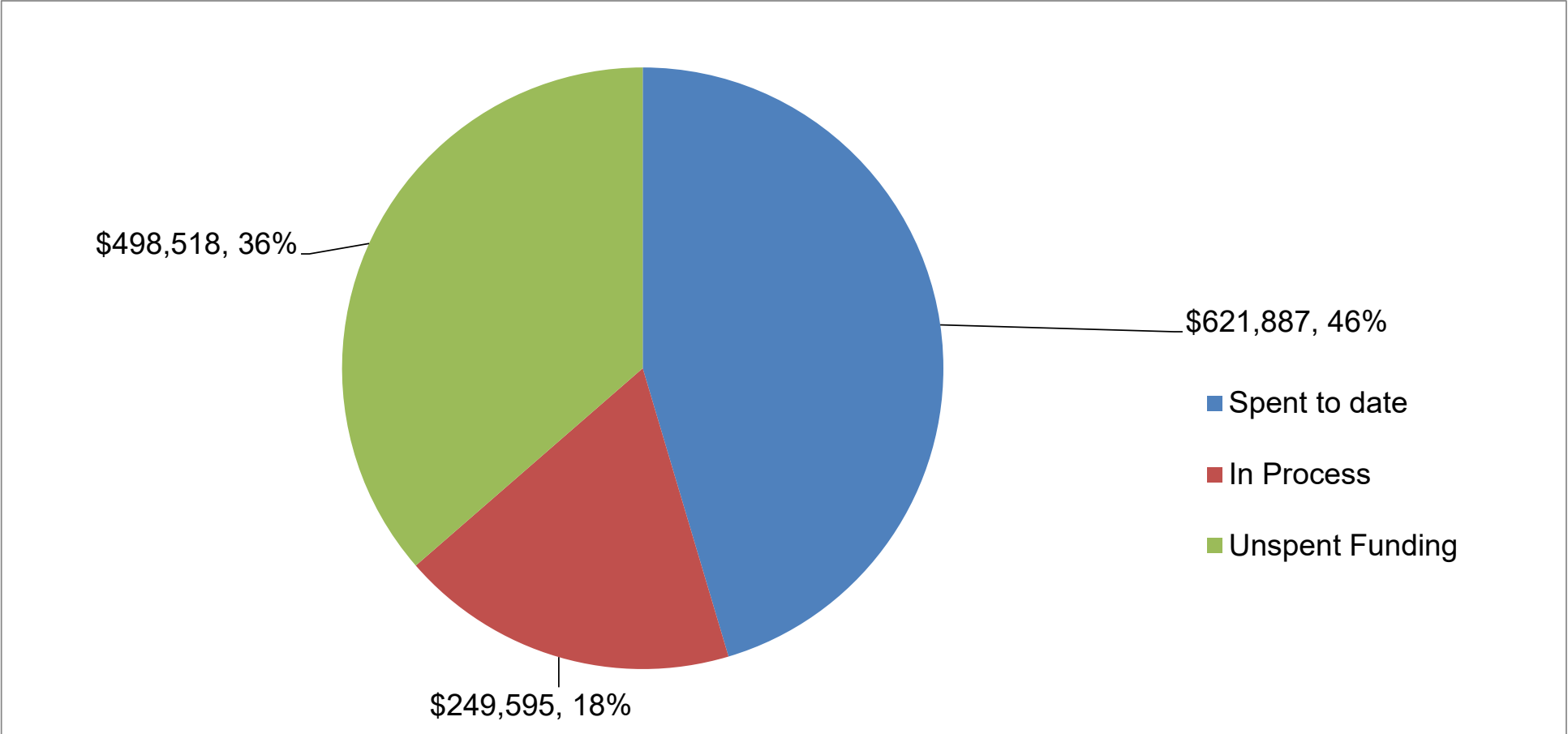
	Jul-25	Aug-25	Sep-25	Oct-25	Nov-25	Dec-25	Jan-26	Feb-26	Mar-26	Apr-26	May-26	Jun-26	Currently Projected Accumulated Costs FY25-26	\$776,677
Actual Monthly Costs FY 25-26	\$48,298	\$59,675	\$118,591	\$61,697	\$58,667	\$60,824	\$61,590	\$54,334	\$71,000				Budget FY25-26	\$800,000
Budgeted Monthly Costs FY 25-26	\$74,000	\$75,000	\$72,000	\$70,000	\$68,000	\$74,000	\$75,000	\$66,000	\$44,000	\$62,000	\$42,000	\$78,000	Currently Projected Under(+)/Over (-) Budget	\$23,323

Maintenance Repair (5056 to 5060) Costs



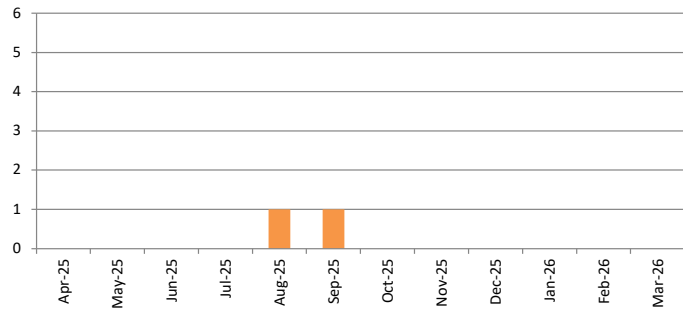
	Jul-25	Aug-25	Sep-25	Oct-25	Nov-25	Dec-25	Jan-26	Feb-26	Mar-26	Apr-26	May-26	Jun-26	Currently Projected Accumulated Costs FY25-26	\$789,024
Actual Monthly Costs FY 25-26	\$119,077	\$66,924	\$31,385	\$106,413	\$73,394	\$40,445	\$90,116	\$59,399	\$65,871				Budget FY25-26	\$819,000
Budgeted Monthly Costs FY 25-26	\$79,000	\$66,000	\$64,000	\$91,000	\$37,000	\$105,000	\$51,000	\$99,000	\$91,000	\$48,000	\$59,000	\$29,000	Currently Projected Under(+)/Over (-) Budget	\$29,976

FY25-26 Small Internal Capital Costs



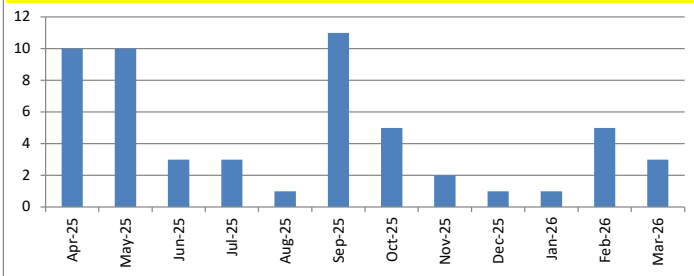
SOCWA SAFETY UPDATE - MARCH 2026

OSHA RECORDABLE INJURIES



	Apr-25	May-25	Jun-25	Jul-25	Aug-25	Sep-25	Oct-25	Nov-25	Dec-25	Jan-26	Feb-26	Mar-26
OSHA RECORDABLE INJURIES	0	0	0	0	1	1	0	0	0	0	0	0

NEAR MISS REPORTS



	Apr-25	May-25	Jun-25	Jul-25	Aug-25	Sep-25	Oct-25	Nov-25	Dec-25	Jan-26	Feb-26	Mar-26
NEAR MISS REPORTS	10	10	3	3	1	11	5	2	1	1	5	3

Updated: 4/1/2026

SAFETY TRAINING

TRAINING TOPIC	ATTENDANCE
Feb '26 Annual Bloodborne Pathogens Training	99%
Jan '26 Aerial Lifting Instructor Training and Employee Training	90%
Dec '25 Annual Distracted and Defensive Driving Training with CHP	95% (includes online training)
Oct'25 Annual Audiometric Hearing Testing/Annual Respirator Fit Testing	100%
Sept '25 - CPR/First Aid/AED Training/Certification (small group format with SOCWA CPR Certified Instructor)	100%
Aug '25 - Annual Confined Space Entry Rescue Refresher Training	95%
July '25 - Workplace Violence Training / Additional PA System Use Training	95%
June '25 - Annual HAZWOPER Refresher Training	95%
May '25 - Emergency Tabletop Training Exercise, Fire Extinguisher Use Training, Forklift Training	95%
Apr '25 - Annual Heat Illness Training (Outdoor and Indoor Heat)	100%
Nov '24 - Annual Audiometric Hearing Testing / Indoor Heat Illness Training	95%
Oct '24 - Workplace Violence/De-Escalation, Annual Confined Space Entry Rescue, CPR-FA-AED Training	90%
Sept '23 - Annual Respirator Fit Testing and INITIAL Confined Space Entry Rescue Refresher Training	100%
July '23 - Forklift Refresher Training	100%
May '23 - Emergency Tabletop Training Exercises and Fire Extinguisher Use Training	90% (of all SOCWA Employees)
Mar '23 - Emergency PA System Use Training / Additional ICS Training	90%
Nov '22 - Hearing Testing/Confined Space Entry Rescue Training/Supervisor Safety Training	95%
June '22 - Active Shooter/Workplace Violence Training and SPCCP Spill Training	95% (of all SOCWA Employees)
Oct '21 - Annual Hearing Testing, Wildfire Safety/Smoke Training, Respirator Fit Testing	100%
Sept '21 - Annual BBP Training and Lifting Safety Training (with CSRMA, remotely)	90%
Aug '21 - Confined Space Rescue Refresher and Electrical Safety in the Workplace	100%
Oct '19 - Fall Protection Training, Additional ICS Training, and Spill Training	90%
Sept '19 - Wildland Fire Training and CPR/First Aid/AED Training	90%
Aug '19 - Initial Confined Space Entry Rescue and Additional Active Shooter Training	95%
September '18 - Silica Safety Training / October '18 - Crane Safety	100%
Aug '18 - Fire Awareness Safety for Field Personnel and Lockout/Tagout Training	100% (all SOCWA employees)
Jan '18 - Respiratory PPE Training/Fit Testing and Additional ICS Training	90%
Sept '17 - Active Shooter/Workplace Violence Training	100%
May '17 - Safety Culture Training & July/Aug '17 - Spill Response Training (hands on)	100%
Mar '17 - Fall Protection Training and CPR/First Aid/AED Training	100%
Jan '17 - Lockout/Tagout Training and Confined Space Rescue Practice/Training	100%
Oct '16 - Confined Space Awareness/Entry Rescue Training and Forklift Training	100%
May '16 - Entry Level NIMS/ICS Training at SOCWA and Heat Illness Training	100%
Feb '16 - Biomechanics/Ergonomics Training (CSRMA) and Bloodborne Pathogens Training	100%
Oct '15 and Jan '16 - Initial Confined Space Entry Rescue Training (3-day trainings)	100%
Aug '15 - Fire Prevention for Water Utility Field Staff & Disaster Cost Recovery Training	100%
July '15 - Additional NIMS/ICS Training, Attend Water and Power Resiliency Workshop	Ongoing
June '15 - Initial Confined Space Awareness and Non-Entry Rescue Training	100%

Beach / Ocean Monitoring Report

ALISO CREEK OCEAN OUTFALL MONITORING REPORT

March 2026

DATE	IRWD LOS ALISOS WRP				EL TORO WRP				SOCWA REGIONAL PLANT				SOCWA COASTAL PLANT				IRWD IDP	IRWD SGU	SCWD ACWRF	ACOO FLOW	Rain Fall
	FLOW	TSS	cBOD	SS	FLOW	TSS	cBOD	SS	FLOW	TSS	cBOD	SS	FLOW	TSS	cBOD	SS	FLOW	FLOW	FLOW	FLOW	inches
	MGD	mg/L	mg/L	ml/L	MGD	mg/L	mg/L	ml/L	MGD	mg/L	mg/L	ml/L	MGD	mg/L	mg/L	ml/L	MGD	MGD	MGD	MGD	
03/01/26	3.468	16.6	4.6	<0.1	3.190	5.5	4.4	<0.1	5.260	6.0	4.5	0.1	2.552	18.4	7.2	<0.1	0.446	0.348	0.000	11.002	0.00
03/02/26	3.462	15.1	4.2	<0.1	3.467	6.3		<0.1	3.93	6.5	4.7	0.1	2.910	15.6	9.0	<0.1	0.435	0.343	0.000	10.307	0.00
03/03/26	3.477	12.6	4.8	<0.1	2.745	7.8	5.0	0.2	4.160	8.7	4.3	0.2	2.880	16.6	6.1	<0.1	0.446	0.347	0.168	9.953	0.00
03/04/26	3.486	11.3	3.9	<0.1	3.200	7.4	4.4	0.1	3.550	6.0	4.2	0.1	2.366	11.4	5.8	<0.1	0.446	0.347	0.139	13.534	0.00
03/05/26	3.491	14.3	4.0	<0.1	1.809	8.4	4.3	<0.1	3.450	7.8	4.9	0.2	2.494	13.8	6.1	<0.1	0.447	0.347	0.135	12.173	0.00
03/06/26	3.438	15.7	4.5	<0.1	2.247	7.2	8.4	<0.1	3.390	8.3	5.1	<0.1	2.220	11.8	6.4	<0.1	0.446	0.000	0.121	11.862	0.00
03/07/26	3.177	16.7		<0.1	3.441	12.2	5.2	0.2	2.740	7.2	3.6		2.601	3.3	2.5		0.446	0.000	0.142	12.547	0.00
03/08/26	3.165	14.8	3.8	<0.1	3.545	2.0	2.2	<0.1	2.730	7.3	3.2	<0.1	2.296	10.9	5.0	<0.1	0.428	0.000	0.123	12.287	0.00
03/09/26	3.011	14.9	3.6	<0.1	0.811	5.2		<0.1	3.200	6.2	3.7	0.1	2.632	14.1	6.3	<0.1	0.446	0.000	0.132	10.232	0.00
03/10/26	3.430	16.7	4.1	<0.1	1.939	12.2	7.6	0.1	1.980	7.6	5.6	<0.1	2.320	9.0	6.7	<0.1	0.445	0.000	0.144	10.258	0.00
03/11/26	3.716	22.0	4.7	<0.1	2.298	11.6	5.6	0.1	3.680	4.5	3.3	<0.1	1.833	12.3	8.5	<0.1	0.445	0.000	0.124	12.096	0.00
03/12/26	3.710	19.6	3.8	<0.1	1.711	5.0	3.1	0.1	7.100	5.1	3.4	<0.1	1.967	15.6	7.8	<0.1	0.446	0.000	0.148	15.082	0.00
03/13/26	3.627	27.2	4.5	<0.1	1.455	10.0	5.0	<0.1	2.590	4.3	3.0	<0.1	1.875	12.8	6.4	<0.1	0.445	0.000	0.133	10.125	0.00
03/14/26	3.575	22.2		0.1	2.631	5.7	3.4	0.1	3.500	4.1	2.7		2.279	<1.0	3.5		0.446	0.000	0.145	12.576	0.00
03/15/26	3.563	23.3	4.3	<0.1	2.027	9.1	4.2	<0.1	3.790	5.5	4.1	<0.1	2.424	16.0	7.4	<0.1	0.445	0.000	0.109	12.358	0.00
03/16/26	3.464	23.6	4.3	<0.1	1.962	5.0		<0.1	3.590	4.8	2.5	<0.1	2.193	12.5	6.2	<0.1	0.446	0.000	0.137	11.792	0.00
03/17/26	3.453	26.0	4.3	<0.1	2.025	5.4	4.0	<0.1	2.270	6.8	4.0	0.1	2.091	11.6	6.7	<0.1	0.446	0.313	0.126	10.724	0.00
03/18/26	3.488	22.4	5.0	<0.1	1.430	7.4	2.7	<0.1	3.260	6.3	4.1	0.2	2.378	7.4	6.4	<0.1	0.445	0.316	0.142	11.459	0.00
03/19/26	3.505	26.8	4.3	<0.1	2.328	5.0	3.1	<0.1	0.720	8.7	4.6	0.1	1.953	4.3	4.8	<0.1	0.446	0.314	0.141	9.407	0.00
03/20/26	3.525	26.0	5.2	<0.1	1.107	5.5	3.3	<0.1	1.020	7.8	4.4	<0.1	2.452	4.8	9.9	<0.1	0.446	0.313	0.059	8.922	0.00
03/21/26	3.519	28.8		<0.1	2.090	5.1	3.1	0.1	0.370	8.6	4.4		2.418	7.9	8.2		0.446	0.312	0.170	9.325	0.00
03/22/26	3.538	25.0	5.1	<0.1	1.475	2.4	2.9	<0.1	0.520	6.3	3.4	0.1	2.438	9.1	7.1	<0.1	0.446	0.310	0.116	8.843	0.00
03/23/26	3.530	24.8	5.0	<0.1	2.037	3.5		0.1	1.420	7.3	4.8	0.4	2.596	6.1	6.5	<0.1	0.446	0.310	0.132	10.471	0.00
03/24/26	3.418	22.0	4.0	<0.1	1.847	5.1	3.0	<0.1	2.140	6.6	4.3	0.2	1.921	6.4	6.0	<0.1	0.446	0.310	0.072	10.154	0.00
03/25/26	3.445	16.0	3.2	<0.1	2.205	9.8	2.7	0.1	1.580	5.8	4.2	0.3	2.153	9.6	6.0	0.1	0.440	0.309	0.157	10.289	0.00
03/26/26	3.329	21.7	5.1	<0.1	1.510	13.3	4.1	0.1	1.170	5.0	3.7	<0.1	2.429	7.6	5.1	<0.1	0.450	0.309	0.128	9.325	0.00
03/27/26	3.421	15.1	3.6	<0.1	1.271	11.4	4.1	0.1	0.730	4.7	2.9	0.1	2.456	2.1	3.2	<0.1	0.450	0.309	0.128	8.765	0.00
03/28/26	3.431	19.6		<0.1	1.673	6.7	2.4	0.2	0.920	7.3	3.3		1.858	4.2	2.5		0.450	0.386	0.000	8.718	0.00
03/29/26	3.449	18.0	3.9	<0.1	1.848	6.5	2.7	<0.1	1.390	5.8	4.8	<0.1	2.482	8.4	5.4	<0.1	0.450	0.412	0.000	10.031	0.00
03/30/26	3.453	31.2	3.8	<0.1	1.848	7.0		0.1	2.680	5.9	4.0	<0.1	3.055	8.4	5.2	<0.1	0.450	0.411	0.000	11.897	0.00
03/31/26	3.459	19.2	5.4	<0.1	1.997	6.2	2.4	0.1	0.600	6.6	4.4	<0.1	2.768	10.0	3.7	<0.1	0.450	0.410	0.213	9.897	0.01
AVG	3.459	20.3	4.3	<0.1	2.102	7.1	4.0	<0.1	2.562	6.4	4.0	<0.1	2.364	9.8	6.1	<0.1	0.446	0.219	0.112	10.852	
TOTAL	107.22				65.17				79.43				73.29				13.81	6.78	3.484	336.41	0.01

Unified Beach Monitoring

#1

South Orange County Wastewater Authority-Aliso Creek Ocean Outfall

REPORT FOR: March 2026
 REPORT DUE: May 1 2026
 SAMPLE SOURCE: Surf zone
 TYPE OF SAMPLE: Grab

REPORT FREQUENCY: Monthly
 EXACT SAMPLE POINTS: As specified in Unified Monitoring Plan
 SAMPLES COLLECTED BY: SOCWA Lab
 SAMPLES ANALYZED BY: SOCWA Lab

Tidal Condition: High Tide 09:07

Weather: Overcast

COMMENTS:

STA#	DATE	TIME	Total	Fecal	Entero-	Material of Sewage		Oil & Grease	Odor	Water Color	H2O Temp(F)	Water Condition	Water Outlet	Birds
			Coliform CFU/100ml SM9222B	Coliform CFU/100ml SM9222D	coccus CFU/100ml EPA 1600	Onshore	Offshore							
S3	03/04/26	10:54	<10	<10	<2	None	None	None	None	Green	63	Clear	Flowing	
S4	03/04/26	10:37	<10	<10	<2	None	None	None	None	Green		Clear		
S5	03/04/26	09:56	<10	<10	<2	None	None	None	None	Green		Clear		
S6	03/04/26	10:14	<10	<10	<2	None	None	None	None	Green		Clear		
WEST	03/04/26	10:16	<10	<10	<2	None	None	None	None	Green		Clear		
S7	03/04/26	09:35	50	30	<2	None	None	None	None	Green		Clear		
S8	03/04/26	09:31	<10	<10	<2	None	None	None	None	Green		Clear		
S9	03/04/26	09:27	10	<10	<2	None	None	None	None	Green		Clear		
ACM1	03/04/26	09:07	<10	<10	10	None	None	None	None	Green		Clear		
S10	03/04/26	08:55	<10	<10	<2	None	None	None	None	Green		Clear		
S11	03/04/26	08:50	<10	<10	2	None	None	None	None	Green		Clear		
S12	03/04/26	08:43	<10	<10	<2	None	None	None	None	Green		Clear		

RECEIVING WATER LIMITATIONS: Single Sample Maximum - Total coliform density shall not exceed 10,000 per 100ml; Fecal coliform density shall not exceed 400 per 100ml; Enterococcus density shall not exceed 104 per 100ml.

Unified Beach Monitoring

#2

South Orange County Wastewater Authority-Aliso Creek Ocean Outfall

REPORT FOR: March 2026

REPORT FREQUENCY: Monthly

REPORT DUE: May 1, 2026

EXACT SAMPLE POINTS: As specified in Unified Monitoring Plan

SAMPLE SOURCE: Receiving water surf zone

SAMPLES COLLECTED BY: SOCWA Lab

TYPE OF SAMPLE: Grab

SAMPLES ANALYZED BY: SOCWA Lab

Tidal Condition: Low Tide 8:20

Weather: Clear

COMMENTS:

STA#	DATE	TIME	Total Coliform	Fecal Coliform	Entero-coccus	Material of Sewage		Oil & Grease	Odor	Water Color	H2O Temp(F)	Water Condition	Water Outlet	Birds
			CFU/100ml SM9222B	CFU/100ml SM9222D	CFU/100ml EPA 1600	Onshore	Offshore							
S3	03/09/26	8:20	<10	<10	<2	None	None	None	None	Green		Clear		
S4	03/09/26	11:00	10	<10	<2	None	None	None	None	Green		Slightly Turbid		
S5	03/09/26	10:45	<10	<10	<2	None	None	None	None	Green	59	Slightly Turbid		
S6	03/09/26	10:20	<10	<10	<2	None	None	None	None	Green		Slightly Turbid		
WEST	03/09/26	10:22	<10	<10	<2	None	None	None	None	Green		Slightly Turbid		
S7	03/09/26	10:27	<10	<10	<2	None	None	None	None	Green		Slightly Turbid		
S8	03/09/26	10:09	10	<10	<2	None	None	None	None	Green		Slightly Turbid		
S9	03/09/26	10:11	<10	<10	<2	None	None	None	None	Green		Slightly Turbid		
ACM1	03/09/26	9:59	<10	20	6	None	None	None	None	Green		Slightly Turbid	Flowing	
S10	03/09/26	9:52	10	<10	<2	None	None	None	None	Green		Slightly Turbid		
S11	03/09/26	9:48	<10	<10	<2	None	None	None	None	Green		Slightly Turbid		
S12	03/09/26	9:41	<10	<10	<2	None	None	None	None	Green		Slightly Turbid		

RECEIVING WATER LIMITATIONS: Single Sample Maximum - Total coliform density shall not exceed 10,000 per 100ml; Fecal coliform density shall not exceed 400 per 100ml; Enterococcus density shall not exceed 104 per 100m

South Orange County Wastewater Authority-Aliso Creek Ocean Outfall

REPORT FOR: March 2026
 REPORT DUE: May 1, 2026
 SAMPLE SOURCE: Receiving water surf zone
 TYPE OF SAMPLE: Grab

REPORT FREQUENCY: Monthly
 EXACT SAMPLE POINTS: As specified in Unified Monitoring Plan
 SAMPLES COLLECTED BY: SOCWA Lab
 SAMPLES ANALYZED BY: SOCWA Lab

Tidal Condition: High Tide 09:56
 Weather: Clear
 COMMENTS:

STA#	DATE	TIME	Total	Fecal	Entero-	Material of Sewage		Oil & Grease	Odor	Water Color	H2O Temp(F)	Water Condition	Water Outlet	Birds
			Coliform CFU/100ml SM9222B	Coliform CFU/100ml SM9222D	coccus CFU/100ml EPA 1600	Onshore	Offshore							
S3	03/19/26	07:57	<10	<10	2	None	None	None	None	Blue		Clear		
S4	03/19/26	07:38	<10	<10	<2	None	None	None	None	Blue		Clear		
S5	03/19/26	10:09	<10	<10	<2	None	None	None	None	Blue		Clear		
S6	03/19/26	07:16	<10	<10	2	None	None	None	None	Blue		Clear		
WEST	03/19/26	07:14	<10	<10	4	None	None	None	None	Blue		Clear		
S7	03/19/26	07:21	<10	10	2	None	None	None	None	Blue		Clear		
S8	03/19/26	09:49	<10	10	10	None	None	None	None	Blue		Clear		
S9	03/19/26	09:53	10	<10	20	None	None	None	None	Blue		Clear		
ACM1	03/19/26	09:56	<100	<10	6	None	None	None	None	Blue		Clear	Flowing	
S10	03/19/26	09:35	<10	<10	2	None	None	None	None	Blue	63	Clear		
S11	03/19/26	08:59	<10	<10	2	None	None	None	None	Blue		Clear		
S12	03/19/26	08:52	10	40	<2	None	None	None	None	Blue		Clear		

RECEIVING WATER LIMITATIONS: Single Sample Maximum - Total coliform density shall not exceed 10,000 per 100ml; Fecal coliform density shall not exceed 400 per 100ml; Enterococcus density shall not exceed 104 per 100ml.

South Orange County Wastewater Authority-Aliso Creek Ocean Outfall

REPORT FOR: March 2026
 REPORT DUE: May 1, 2026
 SAMPLE SOURCE: Receiving water surf zone
 TYPE OF SAMPLE: Grab

REPORT FREQUENCY: Monthly
 EXACT SAMPLE POINTS: As specified in Unified Monitoring Plan
 SAMPLES COLLECTED BY: SOCWA Lab
 SAMPLES ANALYZED BY: SOCWA Lab

Tidal Condition: Low Tide 10:37
 Weather: Clear
 COMMENTS:

STA#	DATE	TIME	Total	Fecal	Entero-	Material of Sewage		Oil & Grease	Odor	Water Color	H2O Temp(F)	Water Condition	Water Outlet	Birds
			Coliform CFU/100ml SM9222B	Coliform CFU/100ml SM9222D	coccus CFU/100ml EPA 1600	Onshore	Offshore							
S3	03/25/26	10:52	<10	<10	<2	None	None	None	None	Green	67	Clear	Flowing	25
S4	03/25/26	10:33	10	<10	4	None	None	None	None	Green		Clear		
S5	03/25/26	10:20	<10	<10	2	None	None	None	None	Green		Clear		
S6	03/25/26	10:05	10	<10	2	None	None	None	None	Green		Clear		
WEST	03/25/26	10:06	<10	<10	2	None	None	None	None	Green		Clear		
S7	03/25/26	09:45	<10	<10	2	None	None	None	None	Green		Clear		
S8	03/25/26	09:42	10	20	20	None	None	None	None	Green		Clear		
S9	03/25/26	09:27	10	20	4	None	None	None	None	Green		Clear		
ACM1	03/25/26	09:32	20	60	8	None	None	None	None	Green		Clear		
S10	03/25/26	09:36	<10	<10	<2	None	None	None	None	Green		Clear		
S11	03/25/26	09:19	<10	<10	<2	None	None	None	None	Green		Clear		
S12	03/25/26	09:13	10	30	<2	None	None	None	None	Green		Clear		

RECEIVING WATER LIMITATIONS: Single Sample Maximum - Total coliform density shall not exceed 10,000 per 100ml; Fecal coliform density shall not exceed 400 per 100ml; Enterococcus density shall not exceed 104 per 100ml.

South Orange County Wastewater Authority-Aliso Creek Ocean Outfall

REPORT FOR: March 2026
 REPORT DUE: May 1, 2026
 SAMPLE SOURCE: Receiving water surf zone
 TYPE OF SAMPLE: Grab

REPORT FREQUENCY: Monthly
 EXACT SAMPLE POINTS: As specified in Unified Monitoring Plan
 SAMPLES COLLECTED BY: SOCWA Lab
 SAMPLES ANALYZED BY: SOCWA Lab

Tidal Condition: High Tide 08:00

Weather: Overcast

COMMENTS:

STA#	DATE	TIME	Total	Fecal	Entero-	Material of Sewage		Oil & Grease	Odor	Water Color	H2O Temp(F)	Water Condition	Water Outlet	Birds
			Coliform CFU/100ml SM9222B	Coliform CFU/100ml SM9222D	coccus CFU/100ml EPA 1600	Onshore	Offshore							
S3	03/30/26	08:12	10	10	<2	None	None	None	None	Green	59	Clear		
S4	03/30/26	10:17	10	20	2	None	None	None	None	Green		Slightly Turbid		14
S5	03/30/26	10:09	<10	<10	2	None	None	None	None	Green		Slightly Turbid		
S6	03/30/26	09:58	20	<10	<2	None	None	None	None	Green		Slightly Turbid		2
WEST	03/30/26	09:56	<10	<10	2	None	None	None	None	Green		Clear		2
S7	03/30/26	09:50	<10	<10	<2	None	None	None	None	Green		Clear		
S8	03/30/26	09:32	10	10	10	None	None	None	None	Green		Clear		
S9	03/30/26	09:30	<10	<10	4	None	None	None	None	Green		Clear		
ACM1	03/30/26	09:24	100	70	40	None	None	None	None	Green		Slightly Turbid	Flowing	20
S10	03/30/26	08:58	30	100	<2	None	None	None	None	Green		Clear		
S11	03/30/26	08:54	<10	<10	2	None	None	None	None	Green		Clear		
S12	03/30/26	08:48	<10	<10	10	None	None	None	None	Green		Clear		

RECEIVING WATER LIMITATIONS: Single Sample Maximum - Total coliform density shall not exceed 10,000 per 100ml; Fecal coliform density shall not exceed 400 per 100ml; Enterococcus density shall not exceed 104 per 100ml.

Unified Beach Water Quality Sample Station Map – Aliso Creek Ocean Outfall



Aliso Creek Ocean Outfall

Unified Beach Water Quality Monitoring Stations

SOCWA's NPDES discharge permit requires participation in the South Orange County Unified Beach Water Quality Monitoring Program. The monitoring stations below are tested by SOCWA at least once per week for Total and Fecal Coliform and Enterococcus Bacteria.

Station	Location
S3	Three Arch Bay Beach; 10,000' down-coast from ACOO
S4	Ninth Street-1000 Steps; 5,000' down-coast from ACOO
S5	Laguna Lido Beach; 4,000 down-coast from ACOO
West	West Street Drain; 2,000' down-coast from ACOO
S6	Table Rock Beach; 3,000' down-coast from ACOO
S7	Camel Point Beach; 2,000' down-coast from ACOO
S8	Aliso Beach south; 1,000' down-coast from ACOO
S9	Aliso Beach middle; at ACOO
ACM1	Aliso Beach at Aliso Creek Outlet
S10	Aliso Beach north; 1,000' up-coast of ACOO
S11	Treasure Island Beach; 2,000' up-coast of ACOC
S12	Goff Island Beach; 3,000' up-coast of ACOO

MONITORING REPORT

Off Shore Stations

South Orange County Wastewater Authority

DISCHARGE: Aliso Creek Ocean Outfall

Report For: March 2026

Report Frequency: Monthly

Report Due: May 1, 2026

Sample Source: Receiving water, nearshore and offshore

Sampling Frequency: Monthly

Exact Sample Points: As specified in permit

Type of Sample: Grab

Samples Collected By: Seaventures/SOCWA staff

Tide: High Tide 8:44

Samples Analyzed By: SOCWA Lab

Comments:

Sta No.	Sample Depth	Sample Date	Total Coliform CFU/100ml SM9222B	Fecal Coliform CFU/100ml SM9222D	Enterococcus CFU/100ml EPA 1600	Sample Time	Oil & Grease	Sewage Debris	0 - None 1 - Mild 2 - Moderate 3 - Severe
A-1	Surface	03/03/26	2	<2	4	8:15	0	0	
A-1	Mid depth	03/03/26	<10	<10	<10				
A-2	Surface	03/03/26	<2	<2	<2	8:04	0	0	
A-2	Mid depth	03/03/26	<10	<10	20				
A-3	Surface	03/03/26	<2	<2	2	8:33	0	0	
A-3	Mid depth	03/03/26	<10	<10	<10				
A-4	Surface	03/03/26	<2	<2	<2	8:40	0	0	
A-4	Mid depth	03/03/26	<10	<10	<10				
A-5	Surface	03/03/26	<2	<2	8	8:24	0	0	
A-5	Mid depth	03/03/26	<10	<10	<10				
B-1	Surface	03/03/26	<2	<2	2	7:55	0	0	
B-1	Mid depth	03/03/26	<10	<10	<10				
B-2	Surface	03/03/26	<2	<2	<2	8:54	0	0	
B-2	Mid depth	03/03/26	<10	<10	<10				
N1	Surface	03/03/26	<2	<2	<2	9:33	0	0	
N2	Surface	03/03/26	<2	<2	<2	9:29	0	0	
N3	Surface	03/03/26	<2	<2	<2	9:24	0	0	
N4	Surface	03/03/26	<2	<2	<2	9:21	0	0	
N5	Surface	03/03/26	<2	<2	<2	9:17	0	0	
N6	Surface	03/03/26	<2	<2	<2	9:12	0	0	
N7	Surface	03/03/26	<2	<2	2	9:07	0	0	

REQUIREMENT: (1) Floating particulates and grease and oil shall not be visible. (2) The discharge of waste shall not cause aesthetically undesirable discoloration of the ocean surface.

Receiving Water Limitations: (1)30-Day geometric mean of fecal coliform density not to exceed 200CFU/100 mL

calculated based on the five most recent samples from each site (2)single sample max not to exceed 400 CFU/100mL

(3) Enterococcus 6-week rolling geometric mean not to exceed 30 CFU/100 mL, calculated weekly. (4) Statistical threshold value (STV)

of 110 CFU/100 mL for enterococcus not to be exceeded by more than 10% of samples collected in a calendar month, calculated

in a static manner

**Compliance Summary Report
Aliso Creek Ocean Outfall March 2026**

ACOO Permit Order No. R9-2022-0006							
Agency - Facility	Violation Date	Constituent	Effluent Limit Violation	Units	Permit Limit	Reported Value	Potential Fine
There were no water quality violations during this reporting period.							



**SOCWA and MEMBER AGENCY FACILITIES ACOO
Spill / Overflow Report Log - March 2026
Order No. R9-2022-0006 ~ NPDES Permit No. CA0107611**

Reporting Agency	Responsible Agency	Volume (Gallons)	Type of Discharge	Location/Comments	Receiving Waters	Date Reported To State	Date Resolved
				No Spills During this Monitoring Period			

SAN JUAN CREEK OCEAN OUTFALL MONITORING REPORT

March 2026

DATE	J.B. LATHAM FACILITY				SAN CLEMENTE WRP				SMWD CHIQUITA WRP				3-A PLANT				CSJC	SCWD	SJCOO	Rain
	FLOW MGD	TSS mg/L	cBOD mg/L	SS ml/L	FLOW MGD	TSS mg/L	cBOD mg/L	SS ml/L	FLOW MGD	TSS mg/L	cBOD mg/L	SS ml/L	FLOW MGD	TSS mg/L	cBOD mg/L	SS ml/L	Desalter FLOW MGD	Desalter FLOW MGD	FLOW MGD	Fall inches
03/01/26	8.590	9.2	8.8	<0.1	2.960	7.5	6.8		0.004	0.7	3.7	<0.1	1.459	7.9	8.2	<0.1	0.470	0.172	14.310	0.00
03/02/26	8.710	12.4	12.0	<0.1	3.159	10.2	7.3	<0.1	0.002	1.4	4.7	<0.1	1.385	10.3	5.7	<0.1	0.480	0.176	14.620	0.00
03/03/26	8.540	6.5	7.6	<0.1	3.373	10.6	8.0	<0.1	0.000				1.359	6.0	4.9	<0.1	0.490	0.174	14.340	0.00
03/04/26	8.490	9.2	6.5	<0.1	3.184	10.4	7.2	<0.1	0.005	1.6	3.9	<0.1	1.342	10.0	7.0	<0.1	0.500	0.176	14.150	0.00
03/05/26	8.260	5.7	4.0	<0.1	3.589	10.8	9.3	<0.1	0.000				2.582	6.7	4.8	<0.1	0.500	0.177	13.930	0.00
03/06/26	8.300	8.6	7.0	<0.1	2.747	8.9	9.2	<0.1	0.000				1.807	4.1	2.0	<0.1	0.500	0.173	15.080	0.00
03/07/26	8.170	7.5	6.6		3.627				0.000				1.346				0.490	0.171	14.200	0.00
03/08/26	8.280	9.0	6.7	<0.1	2.298	6.6	7.7		0.003	0.9	4.2	<0.1	1.380	5.1	4.0	<0.1	0.470	0.177	14.200	0.00
03/09/26	8.500	7.6	7.9	<0.1	3.402	10.1	10.3	<0.1	0.003	1.5	3.5	<0.1	1.350	8.7	5.9	<0.1	0.500	0.173	13.960	0.00
03/10/26	8.520	8.0	6.8	<0.1	3.245	10.4	9.9	<0.1	0.024	2.0	4.1	<0.1	1.328	7.0	6.4	<0.1	0.500	0.176	14.140	0.00
03/11/26	8.280	7.4	5.6	<0.1	2.688	9.7	7.3	<0.1	0.001	12.6	5.6	0.1	1.334	7.5	5.2	<0.1	0.480	0.173	13.130	0.00
03/12/26	8.420	6.4	6.0	<0.1	3.122	9.0	6.7	0.1	0.000				1.316	2.2	2.9	<0.1	0.460	0.176	13.790	0.00
03/13/26	8.260	7.2	8.7	<0.1	2.703	8.6	7.0	<0.1	0.000				1.284	4.5	3.2	<0.1	0.480	0.178	13.410	0.00
03/14/26	8.160	9.0	7.1		2.989				0.000				1.393				0.490	0.176	13.590	0.00
03/15/26	8.460	10.3	14.8	<0.1	3.083	9.0	5.2		0.001	1.4	5.3	<0.1	1.391	4.7	5.6	<0.1	0.490	0.172	13.600	0.00
03/16/26	8.760	11.4	14.3	<0.1	3.241	10.4	6.0	<0.1	0.001	2.2	3.7	<0.1	1.335	4.4	4.5	<0.1	0.480	0.175	13.910	0.00
03/17/26	8.790	6.3	9.4	<0.1	3.719	10.7	11.6	<0.1	0.000				1.265	3.1	4.1	<0.1	0.480	0.174	13.990	0.00
03/18/26	8.440	6.2	6.6	<0.1	2.248	10.5	6.5	<0.1	0.001	2.0	4.6	<0.1	1.296	2.7	3.6	<0.1	0.450	0.172	13.750	0.00
03/19/26	8.110	5.5	8.7	<0.1	2.669	10.9	6.6	<0.1	0.002	2.1	5.6	<0.1	1.268	3.2	3.6	0.1	0.460	0.173	13.390	0.00
03/20/26	8.290	6.5	7.6	<0.1	2.893	11.0	8.3	<0.1	0.000				1.249	3.1	3.3	0.1	0.480	0.175	13.720	0.00
03/21/26	8.180	7.0	7.1		3.303				0.000				1.298				0.480	0.171	13.750	0.00
03/22/26	8.230	8.5	4.7	<0.1	3.333	6.8	8.1		0.000				1.369	5.6	6.0	<0.1	0.490	0.174	14.380	0.00
03/23/26	8.520	9.3	12.3	<0.1	2.610	13.2	7.6	<0.1	0.002	3.5	5.2	<0.1	1.350	5.0	6.6	<0.1	0.480	0.175	14.510	0.00
03/24/26	8.250	8.2	8.3	<0.1	4.660	13.6	8.2	<0.1	0.000				1.291	6.8	8.8	<0.1	0.460	0.172	14.250	0.00
03/25/26	8.050	7.1	6.4	<0.1	3.632	12.6	7.9	0.3	0.002	2.1	5.8	<0.1	1.301	13.1	6.7	<0.1	0.450	0.175	14.250	0.00
03/26/26	7.840	6.5	7.8	<0.1	2.987	12.6	8.1	<0.1	0.000				1.303	9.5	4.6	<0.1	0.450	0.176	13.780	0.00
03/27/26	8.250	7.1	7.5	<0.1	2.920	10.4	4.3	0.2	0.000				1.347	5.0	3.2	0.1	0.460	0.172	13.660	0.00
03/28/26	8.160	7.5	7.4		3.360				0.000				1.270				0.460	0.175	13.520	0.00
03/29/26	8.360	8.8	8.6	<0.1	2.220	9.1	9.9		0.000				1.316	5.0	4.0	<0.1	0.460	0.172	13.580	0.00
03/30/26	8.450	7.0		<0.1	3.356	11.6	8.0	0.1	0.000				1.330	3.1	3.7	<0.1	0.460	0.174	13.750	0.00
03/31/26	8.350	5.2	5.1	<0.1	2.963	11.6	8.4	<0.1	0.000				1.321	3.1	3.1	<0.1	0.170	0.175	13.780	0.01
AVG	8.354	7.8	7.9	<0.1	3.106	10.3	7.8	<0.1	0.002	2.6	4.6	<0.1	1.386	5.8	4.9	<0.1	0.467	0.174	13.949	
TOTAL	258.970				96.283				0.051				42.965				14.470	5.400	432.420	0.01

South Orange County Wastewater Authority-San Juan Creek Ocean Outfall

REPORT FOR: March 2026
 REPORT DUE: May 1, 2026
 SAMPLE SOURCE: Receiving water surf zone
 TYPE OF SAMPLE: Grab

REPORT FREQUENCY: Monthly
 EXACT SAMPLE POINTS: As specified in Unified Monitoring Plan
 SAMPLES COLLECTED BY: SOCWA Lab
 SAMPLES ANALYZED BY: SOCWA Lab

Tidal Condition: High Tide 08:30

Weather: Clear

COMMENTS: S5 slight film/heavy bubbles further out from shore present

DSB5Z, S2, and SJC1 uP surfers present near shore

Total Fecal Entero-
 Coliform Coliform coccus Material of Sewage
 CFU/100ml CFU/100ml CFU/100ml Origin Oil & Water H2O Water Water

STATION #	DATE	TIME	SM9222B	SM9222D	EPA 1600	Onshore	Offshore	Grease	Odor	Color	Temp(F)	Condition	Outlet	Birds
S0	03/03/26	08:51	20	<20	20	None	None	None	None	Brown		Clear		
S1	03/03/26	08:55	<20	<20	2	None	None	None	None	Green		Slightly Turbid		
S2	03/03/26	10:03	60	<20	22	None	None	None	None	Green		Slightly Turbid		
DSB5	03/03/26	09:48	<20	<20	8	None	None	None	None	Brown		Slightly Turbid	Flowing	
S3	03/03/26	09:07	<20	<20	4	None	None	None	None	Green		Clear		
DSB4	03/03/26	09:10	<20	<20	2	None	None	None	None	Green		Clear		
S5	03/03/26	09:21	<20	<20	6	None	None	None	None	Brown		Slightly Turbid		
DSB1	03/03/26	09:26	<20	<20	2	None	None	None	None	Green	59	Clear		
SJC1	03/03/26	08:42	700	260	320	None	None	None	None	Brown		Slightly Turbid	Flowing	

RECEIVING WATER LIMITATIONS: Single Sample Maximum - Total coliform density shall not exceed 10,000 per 100ml; Fecal coliform density shall not exceed 400 per 100ml; Enterococcus density shall not exceed 104 per 100ml.

South Orange County Wastewater Authority-San Juan Creek Ocean Outfall

REPORT FOR: March 2026
 REPORT DUE: May 1, 2026
 SAMPLE SOURCE: Receiving water surf zone
 TYPE OF SAMPLE: Grab

REPORT FREQUENCY: Monthly
 EXACT SAMPLE POINTS: As specified in Unified Monitoring Plan
 SAMPLES COLLECTED BY: SOCWA Lab
 SAMPLES ANALYZED BY: SOCWA Lab

Tidal Condition: Low Tide 10:05
 Weather: Overcast
 COMMENTS: Surfers present offshore near S2

STATION #	DATE	TIME	Total Coliform	Fecal Coliform	Entero-coccus	Material of Sewage Origin		Oil & Grease	Odor	Water Color	H2O Temp(F)	Water Condition	Water Outlet	Birds
			CFU/100ml SM9222B	CFU/100ml SM9222D	CFU/100ml EPA 1600	Onshore	Offshore	None	None	None	None	None	None	
S0	03/10/26	09:03	40	20	6	None	None	None	None	Green		Slightly Turbid		
S1	03/10/26	09:06	<20	20	4	None	None	None	None	Green		Slightly Turbid		
S2	03/10/26	09:59	20	<20	<2	None	None	None	None	Green		Slightly Turbid		
DSB5	03/10/26	09:49	<20	<20	10	None	None	None	None	Green	60	Slightly Turbid	Flowing	14
S3	03/10/26	09:16	20	40	<2	None	None	None	None	Brown		Slightly Turbid		
DSB4	03/10/26	09:20	<20	40	8	None	None	None	None	Green		Slightly Turbid		
S5	03/10/26	09:29	<20	20	4	None	None	None	None	Green		Slightly Turbid		
DSB1	03/10/26	09:33	<20	<20	20	None	None	None	None	Green		Slightly Turbid		
SJC1	03/10/26	08:54	134000	191000	9000	None	None	None	None	Brown		Slightly Turbid	Flowing	7

RECEIVING WATER LIMITATIONS: Single Sample Maximum - Total coliform density shall not exceed 10,000 per 100ml; Fecal coliform density shall not exceed 400 per 100ml; Enterococcus density shall not exceed 104 per 100ml.

South Orange County Wastewater Authority-San Juan Creek Ocean Outfall

REPORT FOR: March 2026
 REPORT DUE: May 1, 2026
 SAMPLE SOURCE: Receiving water surf zone
 TYPE OF SAMPLE: Grab

REPORT FREQUENCY: Monthly
 EXACT SAMPLE POINTS: As specified in Unified Monitoring Plan
 SAMPLES COLLECTED BY: SOCWA Lab
 SAMPLES ANALYZED BY: SOCWA Lab

Tidal Condition: High Tide 09:23

Weather: Clear

COMMENTS:

STATION #	DATE	TIME	Total Coliform	Fecal Coliform	Entero-coccus	Material of Sewage Origin		Oil & Grease		Water Color	H2O Temp(F)	Water Condition	Water Outlet	Birds
			CFU/100ml SM9222B	CFU/100ml SM9222D	CFU/100ml EPA 1600	Onshore	Offshore	Grease	Odor					
S0	03/18/26	09:12	60	<20	20	None	None	None	None	Green		Slightly Turbid		
S1	03/18/26	09:03	20	<20	<2	None	None	None	None	Green		Slightly Turbid		
S2	03/18/26	09:30	40	<20	36	None	None	None	None	Green		Slightly Turbid		
DSB5	03/18/26	09:45	20	<20	6	None	None	None	None	Green		Slightly Turbid	Flowing	
S3	03/18/26	09:00	20	<20	2	None	None	None	None	Green		Slightly Turbid		
DSB4	03/18/26	08:57	20	<20	6	None	None	None	None	Green		Slightly Turbid		
S5	03/18/26	08:46	20	<20	2	None	None	None	None	Green		Slightly Turbid		
DSB1	03/18/26	08:40	20	<20	4	None	None	None	None	Green	65	Slightly Turbid		
SJC1	03/18/26	09:16	100	20	20	None	None	None	None	Green		Slightly Turbid	Flowing	

RECEIVING WATER LIMITATIONS: Single Sample Maximum - Total coliform density shall not exceed 10,000 per 100ml; Fecal coliform density shall not exceed 400 per 100ml; Enterococcus density shall not exceed 104 per 100ml.

South Orange County Wastewater Authority-San Juan Creek Ocean Outfall

REPORT FOR: March 2026
 REPORT DUE: May 1, 2026
 SAMPLE SOURCE: Receiving water surf zone
 TYPE OF SAMPLE: Grab

REPORT FREQUENCY: Monthly
 EXACT SAMPLE POINTS: As specified in Unified Monitoring Plan
 SAMPLES COLLECTED BY: SOCWA Lab
 SAMPLES ANALYZED BY: SOCWA Lab

Tidal Condition: Low Tide 07:18
 Weather: Overcast
 COMMENTS:

STATION #	DATE	TIME	Total	Fecal	Entero-	Material of Sewage		Oil &		Water	H2O	Water	Water	Birds
			Coliform	Coliform	coccus	Onshore	Offshore	Grease	Odor	Color	Temp(F)	Condition	Outlet	
			CFU/100ml	CFU/100ml	CFU/100ml									
S0	03/23/26	08:26	200	100	34	None	None	None	None	Green		Clear		
S1	03/23/26	08:30	80	200	40	None	None	None	None	Green		Clear		
S2	03/23/26	09:10	4900	3700	140	None	None	None	None	Green		Clear		
DSB5	03/23/26	09:16	2600	170	200	None	None	None	None	Green	60	Clear		
S3	03/23/26	09:22	3400	190	24	None	None	None	None	Green		Clear		
DSB4	03/23/26	09:27	<20	100	4	None	None	None	None	Green		Clear		
S5	03/23/26	09:36	<20	40	6	None	None	None	None	Green		Clear		
DSB1	03/23/26	09:45	<20	<20	2	None	None	None	None	Green		Clear		
SJC1	03/23/26	09:51	980	620	100	None	None	None	None	Green		Clear		

RECEIVING WATER LIMITATIONS: Single Sample Maximum - Total coliform density shall not exceed 10,000 per 100ml; Fecal coliform density shall not exceed 400 per 100ml; Enterococcus density shall not exceed 104 per 100ml.

South Orange County Wastewater Authority-San Juan Creek Ocean Outfall

REPORT FOR: March 2026
 REPORT DUE: May 1, 2026
 SAMPLE SOURCE: Receiving water surf zone
 TYPE OF SAMPLE: Grab

REPORT FREQUENCY: Monthly
 EXACT SAMPLE POINTS: As specified in Unified Monitoring Plan
 SAMPLES COLLECTED BY: SOCWA Lab
 SAMPLES ANALYZED BY: SOCWA Lab

Tidal Condition: High Tide 08:15
 Weather: Overcast
 COMMENTS:

STATION #	DATE	TIME	Total Coliform	Fecal Coliform	Entero-coccus	Material of Sewage Origin		Oil & Grease		Water Color	H2O Temp(F)	Water Condition	Water Outlet	Birds
			CFU/100ml SM9222B	CFU/100ml SM9222D	CFU/100ml EPA 1600	Onshore	Offshore	Grease	Odor					
S0	03/30/26	09:01	<20	<20	<2	None	None	None	None	Green		Slightly Turbid		
S1	03/30/26	08:53	20	<20	10	None	None	None	None	Green		Slightly Turbid		
S2	03/30/26	09:18	40	60	8	None	None	None	None	Green		Slightly Turbid		
DSB5	03/30/26	09:31	200	20	10	None	None	None	None	Green		Slightly Turbid		
S3	03/30/26	08:50	<20	200	58	None	None	None	None	Green		Slightly Turbid		
DSB4	03/30/26	08:43	<20	<20	<2	None	None	None	None	Green		Clear		
S5	03/30/26	08:39	<20	<20	2	None	None	None	None	Green		Clear		
DSB1	03/30/26	08:29	<20	<20	2	None	None	None	None	Green	63	Clear		
SJC1	03/30/26	08:59	300	80	320	None	None	None	None	Green		Slightly Turbid	Flowing	

RECEIVING WATER LIMITATIONS: Single Sample Maximum - Total coliform density shall not exceed 10,000 per 100ml; Fecal coliform density shall not exceed 400 per 100ml; Enterococcus density shall not exceed 104 per 100ml.



San Juan Creek Ocean Outfall

Unified Beach Water Quality Monitoring Stations

SOCWA's NPDES discharge permit requires participation in the South Orange County Unified Beach Water Quality Monitoring Program. The monitoring stations below are tested by SOCWA at least once per week for Total and Fecal Coliform and Enterococcus Bacteria.

Station	Location
DSB 5	Doheny Beach – North Creek Outlet 1500' up-coast from SJCOO
S2	Doheny Beach- Midway between Jetty and San Juan Creek
SJC1	San Juan Creek Mouth – up-coast from SJCOO
S0	Doheny Beach at Outfall; surf line over SJCOO
S1	Doheny Beach Campground; 1,000' down-coast from SJCOO
DSB 4	Doheny State Beach; 1,900' down-coast from SJCOO
S3	South Day Use; 2000' down-coast from SJCOO
S5	Doheny Beach near overpass; 3000' down-coast from SJCOO
DSB 1	End of Doheny State Beach; 3500' down-coast from SJCOO

MONITORING REPORT

Offshore

South Orange County Wastewater Authority

DISCHARGE: San Juan Creek Ocean Outfall

Report For: March 2026

Report Frequency: Monthly

Report Due: May 1, 2026

Sample Source: Receiving water, nearshore and offshore

Sampling Frequency: Monthly

Exact Sample Points: As specified in permit

Type of Sample: Grab

Samples Collected By: Seaventures/SOCWA staff

Tide: High Tide 09:07

Samples Analyzed By: SOCWA Lab

Comments:

Station No.	Sample Depth	Sample Date	Total Coliform CFU/100ml SM9222B	Fecal Coliform CFU/100ml SM9222D	Enterococcus CFU/100ml EPA 1600	Sample Time	Oil & Grease	Sewage Debris	
A-1	Surface	03/04/26	<2	<2	<2	09:05	0	0	0 - None 1 - Mild 2 - Moderate 3 - Severe
A-1	Mid depth	03/04/26	<10	<10	<10				
A-2	Surface	03/04/26	<2	<2	<2	08:57	0	0	
A-2	Mid depth	03/04/26	<10	<10	<10				
A-3	Surface	03/04/26	<2	<2	<2	09:15	0	0	
A-3	Mid depth	03/04/26	<10	<10	<10				
A-4	Surface	03/04/26	<2	<2	<2	09:22	0	0	
A-4	Mid depth	03/04/26	<10	<10	<10				
A-5	Surface	03/04/26	<2	<2	<2	09:09	0	0	
A-5	Mid depth	03/04/26	<10	<10	<10				
B-1	Surface	03/04/26	<2	<2	<2	08:48	0	0	
B-1	Mid depth	03/04/26	<10	<10	<10				
B-2	Surface	03/04/26	<2	<2	<2	09:33	0	0	
B-2	Mid depth	03/04/26	<10	<10	<10				
N1	Surface	03/04/26	<2	<2	<2	08:37	0	0	
N2	Surface	03/04/26	4	<2	<2	08:31	0	0	
N3	Surface	03/04/26	<2	<2	2	08:27	0	0	
N4	Surface	03/04/26	12	10	4	08:20	0	0	
N5	Surface	03/04/26	<2	<2	2	08:15	0	0	
N6	Surface	03/04/26	<2	<2	<2	08:09	0	0	

REQUIREMENT: (1) Floating particulates and grease and oil shall not be visible. (2) The discharge of waste shall not cause aesthetically undesirable discoloration of the ocean surface.

Receiving Water Limitations: (1)30-Day geometric mean of fecal coliform density not to exceed 200CFU/100 mL

calculated based on the five most recent samples from each site (2)single sample max not to exceed 400 CFU/100mL

(3) Enterococcus 6-week rolling geometric mean not to exceed 30 CFU/100 mL, calculated weekly. (4) Statistical threshold value (STV) of 110 CFU/100 mL for enterococcus not to be exceeded by more than 10% of samples collected in a calendar month, calculated in a static manner

Compliance Summary Report
San Juan Creek Ocean Outfall March 2026

SJCOO Permit Order No. R9-2025-0001							
Agency	Violation Date	Constituent	Effluent Limit Violation	Units	Permit Limit	Reported Value	Potential Fine
There were no water quality violations during this reporting period.							



SOCWA and MEMBER AGENCY FACILITIES

SJCOO Spill / Overflow Report Log - March 2026 Order

No. R9-2025-0001 ~ NPDES Permit No. CA0107417

Reporting Agency	Responsible Agency	Estimated Volume (Gallons)	Type of Discharge	Location/Comments	Receiving Waters	Date Reported To State	Date Resolved
No spills during this monitoring period.							

Recycled Water Report

SOUTH ORANGE COUNTY WASTEWATER AUTHORITY

QUARTERLY RECYCLED WATER MONITORING

Monitoring Period Ending: Mar 31, 2026

Constituent	Units	12-month Avg Maximum Permit Limit	TCWD 12-month Average	SMWD Oso 12-month Average	SMWD Chiquita 12-month Average	SMWD Nichols 12-month Average	MNWD-3A 12-month Average	MNWD-RTP 12-month Average	SCWD-CTP 12-month Average

TDS	mg/L	1000	785		883	834	891	863	753
Chloride	mg/L	375	227		238	243	234	186	147
Sulfate	mg/L	400	290		215	170	338	146	176
Sodium	mg/L	None	60		165	178		210	160
Alkalinity	mg/L	None	-	-	-	-		237	172
Adjusted SAR	Ratio	None	5.28		5.34	5.32	4.81	4.62	4.44
Iron	mg/L	0.3	0.063		0.115	0.023	0.20	0.277	0.146
Manganese	mg/L	0.05	0.002		0.038	0.011	0.10	0.145	0.081
MBAS	mg/L	0.5	<0.49		0.18	0.24	<0.05	<0.12	<0.08
Boron	mg/L	0.67	0.263		0.233	0.378	0.27	0.332	0.27
Fluoride	mg/L	None	1.02		0.54	0.52	0.71	0.69	0.54
Total Organic Carbon	mg/L	None	6.2		12.0	9.9	10.9	11.2	10.0

*** The CTP 12-month permit limits are listed below:

TDS 1200 mg/L
 Chloride 400 mg/L
 Sulfate 500 mg/L

Waste Discharge Requirement Order 97 - 52

Agency - Facility	Violation Date	Constituent	Effluent Limit Violation	Units	Permit Limit	Reported Value	Remarks
MNWD - RTP	1/5/2026	Manganese	12-Month	mg/L	0.05	0.14	
MNWD - RTP	1/5/2026	Manganese	Daily Maximum	mg/L	0.06	0.19	
SOCWA - CTP	1/7/2026	Manganese	12-Month	mg/L	0.05	0.08	
SOCWA - CTP	1/7/2026	Manganese	Daily Maximum	mg/L	0.06	0.07	
MNWD - 3A	9/26/2025	Manganese	12 month	mg/L	0.05	0.10	offline
MNWD - 3A	9/26/2025	Manganese	Daily Maximum	mg/L	0.06	0.08	offline
MNWD - RTP	2/9/2026	Manganese	12-Month	mg/L	0.05	0.14	
MNWD - RTP	2/9/2026	Manganese	Daily Maximum	mg/L	0.06	0.14	
SOCWA - CTP	2/10/2026	Manganese	12-Month	mg/L	0.05	0.08	
SOCWA - CTP	2/10/2026	Manganese	Daily Maximum	mg/L	0.06	0.08	
MNWD - 3A	9/26/2025	Manganese	12 month	mg/L	0.05	0.10	offline
MNWD - 3A	9/26/2025	Manganese	Daily Maximum	mg/L	0.06	0.08	offline
MNWD - RTP	3/2/2026	Manganese	12-Month	mg/L	0.05	0.14	
MNWD - RTP	3/2/2026	Manganese	Daily Maximum	mg/L	0.06	0.15	
SOCWA - CTP	3/4/2026	Manganese	12-Month	mg/L	0.05	0.08	
SOCWA - CTP	3/4/2026	Manganese	Daily Maximum	mg/L	0.06	0.08	
MNWD - 3A	9/26/2025	Manganese	12 month	mg/L	0.05	0.10	offline
MNWD - 3A	9/26/2025	Manganese	Daily Maximum	mg/L	0.06	0.08	offline

SOCWA Service Area
Recycled Water Production (ac-ft)
2026

Agency	Facility or Region	Jan '26	Feb '26	Mar '26	Apr '26	May '26	Jun '26	Jul '26	Aug '26	Sep '26	Oct '26	Nov '26	Dec '26	Annual Totals
CSJC 1	3-A Plant/MNWD	2.43	0.00	0.00										2.43
CSJC 2	Chiquita/SMWD	1.30	1.25	12.58										15.13
CSJC 3	Non-Domestic Well	4.97	13.23	37.34										55.54
ETWD	Region 8	30.46	39.97	140.25										210.67
IRWD														
4	IRWD - 8	50.83	70.46	186.90										308.19
4	IRWD - 9	13.40	26.18	58.93										98.50
SCWD	SOCWA CTP	11.92	12.15	53.85										77.91
MNWD	JRP	198.46	221.42	432.31										852.20
	3-A Plant	0.00	0.00	0.00										0.00
5	CTP	0.00	0.00	22.17										22.17
SMWD	Oso Creek	0.00	0.00	0.00										0.00
	Chiquita	538.77	485.93	509.56										1,534.26
	Nichols	2.29	1.89	2.16										6.34
TCWD	RRWRP	43.03	32.53	35.34										110.89
TOTALS		897.85	904.99	1,491.39										3,294.24

- 1 Denotes transfer of recycled water from MNWD (3A Plant) for use in the CSJC service area. Not counted as additional production.
 - 2 Denotes recycled water purchased from SMWD Chiquita-WRP used in the CSJC service area. Not counted as additional production.
 - 3 Denotes nondomestic groundwater produced from wells used for landscape irrigation.
 - 4 IRWD production is from recycled water production, nonpotable water wells, and surface water impoundments
 - 5 Denotes transfer of recycled water from SCWD (SOCWA CTP) for use in the MNWD service area. Not counted as additional production.
- Note: All of ETWD reclaimed water produced and used in Region 8.
NR = No Report

Pretreatment Report

Agenda Item

Legal Counsel Review: No

Meeting Date: May 14, 2026

TO: Board of Directors

FROM: Amber Boone, General Manager

STAFF CONTACT: Katie Greenwood, Source Control Manager

SUBJECT: Monthly Pretreatment Report – April 2026
San Juan Creek Ocean Outfall
NPDES Permit #CA0107417 Order # R9-2022-0005
Aliso Creek Ocean Outfall
NPDES Permit #CA0107611 Order # R9-2022-0006

Summary of Program Activities

Staff assisted in completing the 2025 JB Latham air emission report. The report was submitted to South Coast Air Quality Management District (AQMD) on April 21, 2026.

SOCWA staff participated in the City of San Clemente's Earth Day event on April 18, 2026, distributing promotional items and environmental education materials. Staff are also scheduled to attend SMWD's Water Festival in May 2026. Member agencies interested in SOCWA participation at additional outreach events are encouraged to contact staff.

On April 27, 2026, County staff inspected SOCWA's Coastal Treatment Plant facility. Staff assisted as SOCWA's Qualified Industrial Stormwater Practitioner. The inspection resulted in no findings or violations.

Permit Related Activities

The following Wastewater Discharge (WD) Permits, Special Wastewater Discharge (SWD) Permits, Nuisance Water-Special Wastewater Discharge (NSWD) Permits, Non-Industrial Wastewater Discharge (NIWD) forms, and BMP letters were issued or are in the process of being drafted for issuance:

SMWD – CR&R La Pata Transfer Station– SOCWA received a partial permit application for a proposed truck wash facility. Staff requested additional information regarding wastewater-generating processes, truck type and volume, proposed pretreatment, and sampling data from a comparable sister site. Sampling results were received on April 29, 2026, and staff is currently reviewing the data and preparing a permit response.

SOCWA/SMWD – San Juan Meadows – On April 3, 2026, Geosyntec, on behalf of Advanced Group 99-SJ, submitted part two of a SWD permit application for a groundwater dewatering project at the proposed San Juan Meadows Development Project, located in San Juan Capistrano. Staff is presently reviewing the application.

SCWD/SOCWA – Acciona (Doheny Ocean Desalination Plant) - Staff received a partial permit application on February 5, 2026, from Acciona for the proposed CIP discharge from the SCWD Doheny Ocean Desalination Plant and requested additional information to complete the application.

SOCWA – Southern California Gas Company (SoCal Gas) – SWD Permit #SOCWA-4-010-01-26 – SoCal Gas is proposing to discharge up to 730,000 gallons of water sourced from recycled water provided by MNWD and used for hydrostatic safety testing of a 9.9 mile existing 30-inch natural gas transmission line. Staff expect to issue a permit in early August 2026 when the proposed discharge is set to commence.

SCWD – City of Dana Point All Diversions – Renewal NSW Permit #SCWD-N4-013 – Staff assisted SCWD in finalizing an amendment to the agreement between SCWD and CDP for another five-year term. The amendment allows CDP to operate diversions which flow to the JBL facility year-round. All other CDP diversions are required to be closed from October 15th to April 15th. Renewal NSW Permit #SCWD-N4-013-04-31 was issued on April 20, 2026.

SCWD – Salt Creek Treatment Plant Diversion Site – Renewal NSW Permit #SCWD-N4-004-04-31 – Staff assisted SCWD in finalizing an amendment to the agreement between SCWD and CDP for another five-year term. Renewal NSW Permit #SCWD-N4-004-04-31 was issued on April 20, 2026.

Training, Meetings, Education & Outreach

SOCWA staff continue to attend monthly OC Strike Force Meetings to receive and share legal information related to environmental cases and incidents throughout the county.

On April 15, 2026, staff provided a presentation on best management practices to 5th graders at Kinoshita Elementary participating in the Poseidon Stormwater Quality Leadership Program.

On April 22, 2026, staff participated in Clean Water SoCal's Committee Day as one of the acting pretreatment co-chairs.

Summary of IWS Activities in SOCWA's Service Area - YTD through April 30, 2026

MA IUs	Events	Permits	NIWD	BMPs	FSEs	OSEs	DSEs	Closed	Enforcement	Total IUs
CLB (S)	0	2	2	5	8	110	15	0	0	142
CSC (S)	16	10	35	18	187	1265	38	9	2	1553
CSJC (S)	9	0	27	58	142	1708	31	0	0	1966
ETWD (M)	4	0	88	0	261	148	51	0	0	503
EBS (U)	0	1	0	0	0	0	0	0	0	1
IRWD (S)	1	6	51	21	63	915	18	0	0	1074
MNWD (S)	4	5	120	38	662	2147	156	0	0	3128
SMWD (S)	35	9	19	19	224	869	52	8	1	1192
SCWD (S)	2	7	33	7	149	185	15	0	0	396
TCWD (S)	0	0	11	0	7	33	2	0	0	51
SOCWA (S)	0	6	1	0	0	0	0	0	0	6
Totals	71	46	387	166	1703	7380	378	17	3	10012

(S) = SOCWA conducts PT program
 (M) = MA conducts PT program /w SOCWA
 (U) = Urban Diversion Only

NIWD = Non-industrial Waste Discharger
 BMP = Best Management Practices
 FSE = Food Service Establishment

YTD = Year to Date
 OSE = Other Surveyed Establishment
 DSE = Dental Surveyed Establishment

Agenda Item

4.F.

Board of Directors Meeting

Meeting Date: May 14, 2026

TO: Board of Directors

FROM: Amber Boone, General Manager

STAFF CONTACT: Roni Grant, Capital Improvement Program Manager

SUBJECT: Capital Improvement Construction Projects Progress and Change Order Report (April) [Project Committees 2 and 15]

The status of the SOCWA Capital Improvement Program and construction projects progress are presented in the tables on the following pages. Below are updates for the previous month for the major construction projects currently underway at SOCWA facilities, including any change orders.

J.B. Latham Treatment Plant Electrical System Upgrades

Replacement of MCC-M and Plant 1 Standby Generator

Pre-purchasing activities for the Motor Control Center (MCC) and Plant 1 Generator are currently underway. Construction is anticipated to be completed by December 2026, contingent upon the issuance of the AQMD permit to construct for the generator.

J.B. Latham Treatment Plant Effluent Pump Station and Energy Building Upgrades

Replacement of effluent valves and piping; installation of monorail system, roof, safety and seismic retrofit in the Energy Building.

Construction is currently in progress. Construction is anticipated to be completed by July 2026.

J.B. Latham Plant 2 Headworks Rehabilitation

Plant 2 Headworks Building rehabilitation including roof, channel coating, grating, HVAC system and misc. electrical upgrades.

Construction is currently in progress. Construction is anticipated to be completed by July 2026.

J.B. Latham Old Effluent Pump Station Staging and Repurposing

Demolition of the Old Effluent Pump Station and Repurpose into staging and storage area.

Construction has been completed.

CTP West Primary and Secondary Scum Skimming System

Replacement of the west primary and secondary scum skimmers, launders and weirs

Construction is currently in progress. Construction is anticipated to be completed by July 2026.

Coastal Treatment Plant Personnel Building Reconstruction Phase II

Improvements to Personnel Building including lockers, fixtures, ceilings, tiles and minor electrical items.

Construction is currently in progress. Construction is anticipated to be completed by August 2026.

Coastal Treatment Plant Grit Tank Coating System Upgrades

Removal of the existing coating system and apply new coating system at the grit tanks.

The first phase of the construction has been completed. Phase 2 will be combined with the Foul Air System Upgrades project.

CTP Storm Drain Improvements

Improvements to the storm drain systems at CTP.

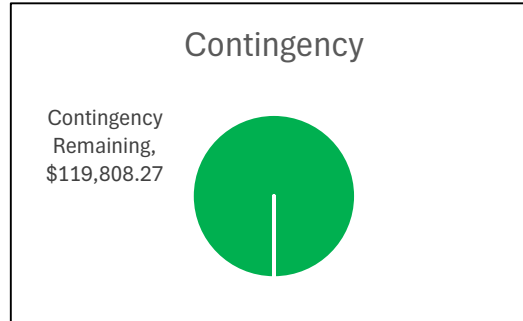
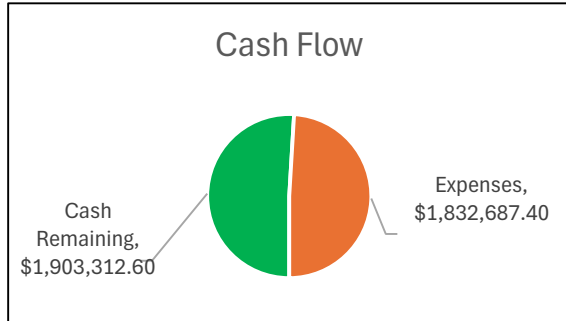
The construction contract has been approved; construction is anticipated to be completed by August 2026.

Recommended Action: Information only.

Project Financial Status

Project Committee	2
Project Name	Effluent Pump Station and Energy Building Upgrades
Project Description	Replacement of effluent valves and piping; installation of monorail, roof, safety upgrades and seismic retrofit in the Energy Building

Data Last Updated April 10, 2026
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Cash Flow

Collected	\$ 3,736,000.00
Expenses	\$ 1,832,687.40

Project Completion

Schedule	60%
Budget	49.05%

Construction Contracts

Company	PO No.	Original	Change Orders	Amendments	Total	Costs to Date
Pacific Hydrotech	21280	\$ 3,093,900.00	\$ 34,886.73		\$ 3,128,786.73	\$ 1,725,335.30
Carollo Engineers	20453	\$ 119,316.00			\$ 119,316.00	\$ 59,985.40
Project Partners	21283	\$ 12,500.00			\$ 12,500.00	
SOCWA Staff Time	32226L/32225S/3216					\$ 47,366.70
		\$ 3,225,716.00	\$ 34,886.73	\$ -	\$ 3,260,602.73	\$ 1,832,687.40

Construction Contingency

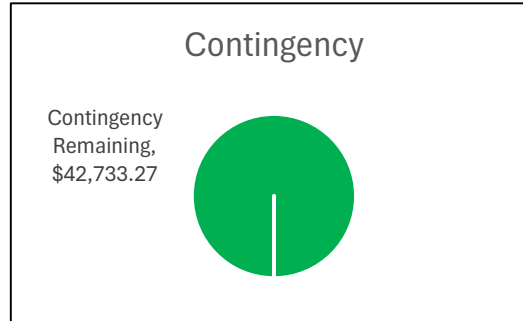
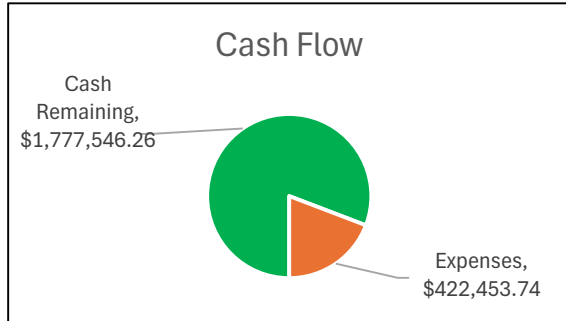
Area	Project Code	Amount	Change Orders	Total Remaining	Percent Used
Liquids/Solids/Common	32226L/32225S/3216	\$ 154,695.00	\$ 34,886.73	\$ 119,808.27	22.6%
		\$ 154,695.00	\$ 34,886.73	\$ 119,808.27	22.6%

<u>Change Order No.</u>	<u>Vendor Name</u>	<u>Project ID</u>	<u>Description</u>	<u>Status Date</u>	<u>Days</u>	<u>Amount</u>
1	Pacific Hydrotech	32225S	Guardrail Mounting Plate Anchor Conflict	12/11/2025		\$ 31,955.35
2	Pacific Hydrotech	32226L	Effluent Pump Station Manifold Tee Addition	12/23/2025		\$ 9,432.35
3	Pacific Hydrotech	32226L	Elimiating Line Stop on the Outfall Line	12/23/2025		\$ (37,988.50)
4	Pacific Hydrotech	32226L	Adding Pipe Spools at the Pump Discharge Location	2/13/2026		\$ 31,487.53
						\$ 34,886.73

Project Financial Status

Project Committee	2
Project Name	Plant 2 Headworks Rehabilitation - 32243L
Project Description	Plant 2 Headworks building roof replacement, channel concrete repair and cover replacement, and electrical modification

Data Last Updated April 10, 2026
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Cash Flow

Collected	\$ 2,200,000.00
Expenses	\$ 422,453.74

Project Completion

Schedule	25%
Budget	19.11%

Construction Contracts

Company	PO No.	Original	Change Orders	Amendments	Total	Costs to Date
Pacific Hydrotech	21351	\$ 2,149,600.00	\$ 7,666.73		\$ 2,157,266.73	\$ 382,453.03
Dudek Engineers	20250	\$ 47,858.00			\$ 47,858.00	\$ 11,754.50
Project Partners	21283	\$ 5,000.00			\$ 5,000.00	
SOCWA Staff Time	32243L					\$ 28,246.21
		\$ 2,202,458.00	\$ 7,666.73	\$ -	\$ 2,210,124.73	\$ 422,453.74

Construction Contingency

Area	Project Code	Amount	Change Orders	Total Remaining	Percent Used
Liquids	32243L	\$ 50,400.00	\$ 7,666.73	\$ 42,733.27	15.2%
		\$ 50,400.00	\$ 7,666.73	\$ 42,733.27	15.2%

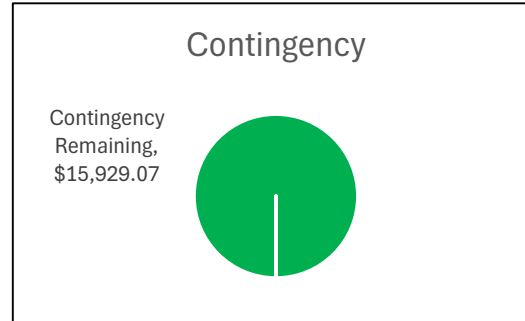
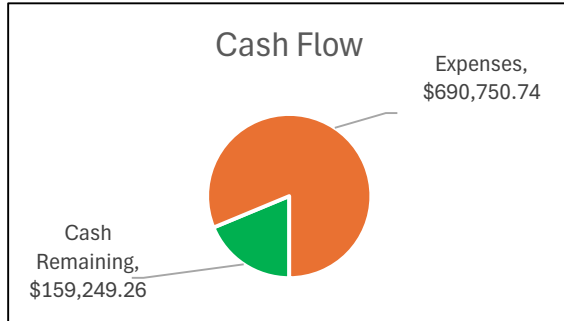
<u>Change Order No.</u>	<u>Vendor Name</u>	<u>Project ID</u>	<u>Description</u>	<u>Status Date</u>	<u>Days</u>	<u>Amount</u>
1	Pacific Hydrtech	32243L	FRP Ductwork Modifications and New Damper	2/23/2026	0	\$ 7,666.73

Project Financial Status

Project Committee	2
Project Name	Effluent Pump Station Stroage and Staging Area (32241L)
Project Description	Demolition of the existing Effluent Pump Station and Repurpose into parking and storage area

Data Last Updated

April 10, 2026



Cash Flow

Collected	\$ 850,000.00
Expenses	\$ 690,750.74

Project Completion

Schedule	90%
Budget	82.04%

Construction Contracts

Company	PO No.	Original	Change Orders	Amendments	Total	Costs to Date
Pacific Hydrotech	21640	\$ 791,700.00	\$ 23,655.93		\$ 815,355.93	\$ 681,700.00
Z&K/Ardurra	21446	\$ 26,640.00			\$ 26,640.00	
SOCWA Staff Time	32241L					\$ 9,050.74
		\$ 818,340.00	\$ 23,655.93	\$ -	\$ 841,995.93	\$ 690,750.74

Construction Contingency

Area	Project Code	Amount	Change Orders	Total Remaining	Percent Used
Liquids	32241L	\$ 39,585.00	\$ 23,655.93	\$ 15,929.07	59.8%
		\$ 39,585.00	\$ 23,655.93	\$ 15,929.07	59.8%

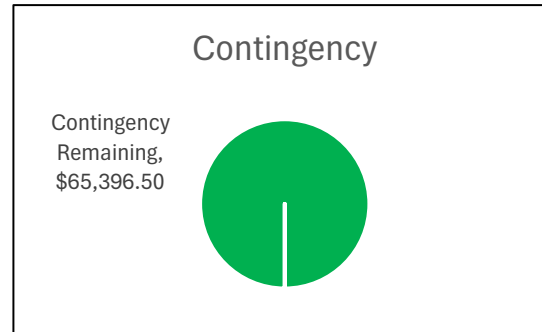
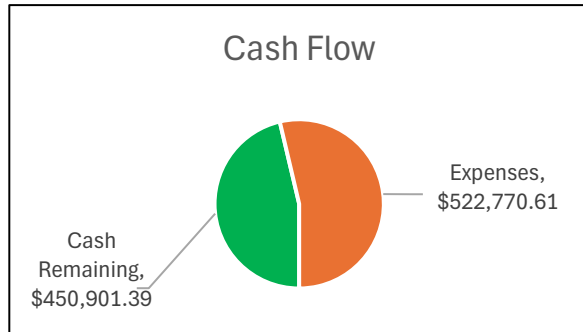
<u>Change Order No.</u>	<u>Vendor Name</u>	<u>Project ID</u>	<u>Description</u>	<u>Status Date</u>	<u>Days</u>	<u>Amount</u>
1	Pacific Hydrotech	32241L	Tripping Hazard Repair	2/18/2026	0	\$ 12,163.93
2	Pacific Hydrotech	32241L	Abandoned Riser Demolition	3/17/2026	0	\$ 11,492.00
						\$ 23,655.93

Project Financial Status

Project Committee	2
Project Name	Electrical System Upgrades - 3252
Project Description	Electrical System upgrades including MCC and Plant 1 Generator

Data Last Updated

April 10, 2026



Cash Flow

Collected	\$ 973,672.00
Expenses	\$ 522,770.61

Project Completion

Schedule	40%
Budget	64%

Construction Contracts

Company	PO No.	Original	Change Orders	Amendments	Total	Costs to Date
Quinn Power	20975	\$ 414,940.00			\$ 414,940.00	\$ 264,999.15
Pacific Parts	20561	\$ 239,025.00			\$ 239,025.00	\$ 56,331.22
Hazen	14331	\$ 164,350.00			\$ 164,350.00	\$ 149,354.49
SOCWA Staff Time	3252					\$ 52,085.75
		\$ 818,315.00	\$ -	\$ -	\$ 818,315.00	\$ 522,770.61

Construction Contingency

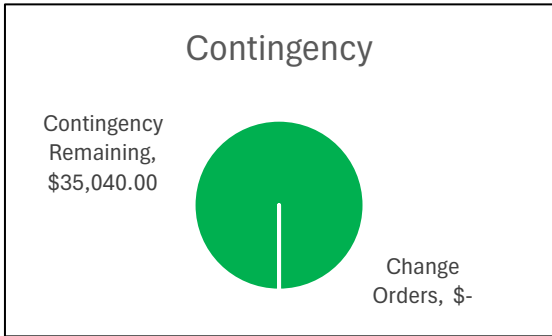
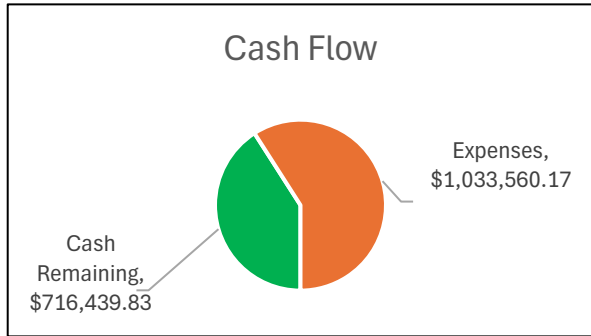
Area	Project Code	Amount	Change Orders	Total Remaining	Percent Used
Liquids	3252	\$ 65,396.50		\$ 65,396.50	0.0%
		\$ 65,396.50	\$ -	\$ 65,396.50	0.0%

Project Financial Status

Project Committee	15
Project Name	West Primary and Secondary Sludge Skimming System - 35246L/35239L
Project Description	Replacement of west primary and secondary sludge skimming system

Data Last Updated

April 10, 2026



Cash Flow

Collected	\$ 1,750,000.00
Expenses	\$ 1,033,560.17

Project Completion

Schedule	20%
Budget	59%

Construction Contracts

Company	PO No.	Original	Change Orders	Amendments	Total	Costs to Date
Filanc		\$ 784,000.00			\$ 784,000.00	\$ 91,100.00
Brentwood	20496	\$ 930,960.00			\$ 930,960.00	\$ 930,960.00
Z&K/Ardurra	21446	\$ 39,860.00			\$ 39,860.00	
SOCWA Staff Time	35246L/35239L					\$ 11,500.17
		\$ 970,820.00	\$ -	\$ -	\$ 1,754,820.00	\$ 1,033,560.17

Construction Contingency

Area	Project Code	Amount	Change Orders	Total Remaining	Percent Used
Liquids	35246L/35239L	\$ 35,040.00	\$ -	\$ 35,040.00	0.0%
		\$ 35,040.00	\$ -	\$ 35,040.00	0.0%

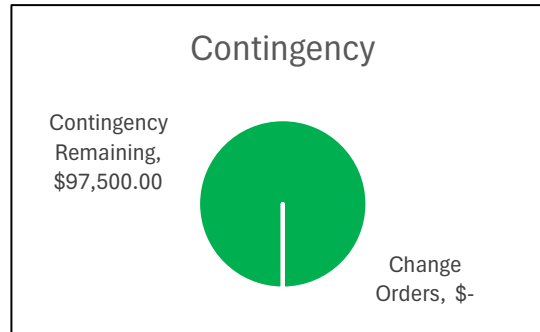
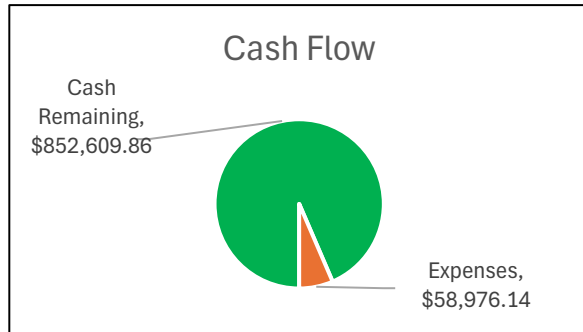
Change Order No.	Vendor Name	Project ID	Description	Status Date	Days	Amount

Project Financial Status

Project Committee	15
Project Name	Personnel Building Reconstruction Phase II- 3525
Project Description	Personnel building reconstruction including fixtures, lightings, ceiling, tiles and minor electrical

Data Last Updated

April 10, 2026



Cash Flow

Collected	\$ 911,586.00
Expenses	\$ 58,976.14

Project Completion

Schedule	10%
Budget	6%

Construction Contracts

Company	PO No.	Original	Change Orders	Amendments	Total	Costs to Date
T.E. Roberts	21768	\$ 649,849.00			\$ 649,849.00	
Project Partners	20877	\$ 50,000.00			\$ 50,000.00	\$ 27,732.50
Project Lines	21767	\$ 50,368.00				\$ -
SOCWA Staff Time	3525					\$ 32,342.64
		\$ 750,217.00	\$ -	\$ -	\$ 699,849.00	\$ 58,976.14

Construction Contingency

Area	Project Code	Amount	Change Orders	Total Remaining	Percent Used
Liquids	3525	\$ 97,500.00		\$ 97,500.00	0.0%
		\$ 97,500.00	\$ -	\$ 97,500.00	0.0%

Change Order No.	Vendor Name	Project ID	Description	Status Date	Days	Amount
						\$ -

Agenda Item

4.G.

Board of Directors Meeting

Meeting Date: May 14, 2026

TO: Board of Directors
FROM: Amber Boone, General Manager
STAFF CONTACT: Jack Beck, Accountant
SUBJECT: Financial Reports for the Third Quarter FY 2025-26

Summary/Discussion

The enclosed financial reports are provided to the Board of Directors to receive and file the summary of the O&M Budget vs. Actual Expenses as of March 31, 2026:

	FY 2025-26 Budget	Actual	(Over)/Under Budget	% Expended
02 - Jay B. Latham Plant	8,616,077	6,299,116	2,316,961	73.1%
05 - San Juan Creek Ocean Outfall	944,588	699,328	245,260	74.0%
08 - Pre Treatment	289,662	173,343	116,319	59.8%
12 - Water Reclamation Permits	88,769	38,735	50,034	43.6%
15 - Coastal Treatment Plant	3,822,518	2,499,180	1,323,338	65.4%
17 - Joint Regional Wastewater Reclamation and Sludge Handling	568,217	450,695	117,522	79.3%
21 - Effluent Transmission Main	27,589	8,789	18,800	31.9%
23 - North Coast Interceptor	17,500	5,168	12,332	29.5%
24 - Aliso Creek Ocean Outfall	807,466	655,254	152,212	81.1%
Total	15,182,386	10,829,609	4,352,777	71.5%

The O&M expenses should be less than 75% to remain in budget for March.

The reports included are as follows:

- a. Budget vs. Actual Expenses:
 - Capital Projects Summaries (Exhibit A)
 - Operations and Environmental Summary (Exhibit B-1)
 - Operations and Environmental by PC (B-1.2)
 - Administration (Exhibit B-3)
 - Information Technology (IT) (Exhibit B-4)

Recommended Action: the Finance Committee recommend that the Board of Directors receive and file the third quarter FY 2025-26 Financial Reports.

Attachment: Q3 FY25-26 Budget vs. Actuals

**South Orange County Wastewater Authority
Capital Projects Summaries
For the Period Ended March 31, 2026
(in dollars)**

FY 2025-26 Budget vs. Actual Spending				
<u>Description</u>	Capital Budget	Fiscal Year Spending	(Over)/ Under Budget	% Expended
PC 2-JB Latham	\$ 8,213,790	\$ 2,812,892	\$ 5,400,898	34.2%
PC 5-San Juan Creek Outfall	-	7,626	(7,626)	-
PC 15-Coastal	3,771,345	733,464	3,037,881	19.4%
PC 17-Regional	176,500	7,111	169,389	4.0%
PC 21 Effluent Transmission	827,273	65,119	762,154	7.9%
PC 24 Aliso Creek Outfall	45,000	13,862	31,138	30.8%
Total Large Capital	\$ 13,033,908	\$ 3,640,074	\$ 9,393,834	27.9%
Non-Capital Engineering	836,000	165,166	670,834	19.8%
Small Internal Capital	1,380,000	605,549	774,451	43.9%
Total Capital	\$ 15,249,908	\$ 4,410,789	\$ 10,839,119	28.9%

South Orange County Wastewater Authority
Preliminary O & M & Environmental Safety Costs Summary¹
 For the Period Ended March 31, 2026
 (in dollars)

		FY 2025-26 Budget	Actual	(Over)/Under Budget	% Expended
Salary and Fringe					
-5000--**-**	Regular Salaries-O&M	3,891,857	2,601,939	1,289,918	66.9%
-5001--**-**	Overtime Salaries-O&M	56,941	46,866	10,075	82.3% (1)
-5304--**-**	Severance Pay	-	45,701	(45,701)	N/A
-5306--**-**	Scheduled Holiday Work	40,772	20,320	20,452	49.8%
-5315--**-**	Comp Time - O&M	16,966	38,312	(21,346)	225.8% (1)
-5401--**-**	Fringe Benefits IN to PC's & Depts.	1,962,205	1,320,219	641,986	67.3%
-5700--**-**	Standby Pay	53,000	57,000	(4,000)	107.5% (2)
	Total Payroll Costs	6,021,741	4,130,356	1,891,385	68.6%
Other Expenses					
-5002--**-**	Electricity	1,101,040	1,141,059	(40,019)	103.6% (3)
-5003--**-**	Natural Gas	225,980	70,135	155,845	31.0% (4)
-5004--**-**	Potable & Reclaimed Water	51,000	45,439	5,561	89.1%
-5006--**-**	Chlorine/Sodium Hypochlorite	182,500	162,396	20,104	89.0% (5)
-5007--**-**	Polymer Products	550,000	444,277	105,723	80.8%
-5008--**-**	Ferric Chloride	700,000	502,397	197,603	71.8%
-5009--**-**	Odor Control Chemicals	115,000	63,802	51,198	55.5%
-5010--**-**	Small Purchases and Consumables	217,516	152,684	64,832	70.2%
-5011--**-**	Laboratory Services	66,104	66,460	(356)	100.5% (6)
-5012--**-**	Grit Hauling	102,000	70,371	31,629	69.0%
-5015--**-**	Management Support Services	407,500	316,160	91,340	77.6%
-5016--**-**	Audit - Environmental	4,356	-	4,356	0.0%
-5017--**-**	Legal Fees	10,000	8,460	1,541	84.6%
-5019--**-**	Contract Services Misc.	566,100	350,190	215,910	61.9%
-5027--**-**	Insurance - Property/Liability	737,903	433,592	304,311	58.8%
-5030--**-**	Trash Disposal	6,000	5,715	285	95.3%
-5033--**-**	Recruitment	-	749	(749)	N/A
-5034--**-**	Memberships, Conferences, Training & Travel	212,430	102,453	109,977	48.2%
-5036--**-**	Laboratory Supplies	144,522	130,148	14,374	90.1%
-5037--**-**	Office Equipment	17,000	716	16,284	4.2%
-5038--**-**	Permits	749,801	625,000	124,801	83.4% (7)
-5044--**-**	Offshore Monitoring	100,000	88,811	11,189	88.8%

South Orange County Wastewater Authority
Preliminary O & M & Environmental Safety Costs Summary¹
 For the Period Ended March 31, 2026
 (in dollars)

	FY 2025-26 Budget	Actual	(Over)/Under Budget	% Expended	
_5045--**-**	Offshore Biochemistry - 20B	22,000	24,321	(2,321)	110.5% (8)
_5046--**-**	Effluent Chemistry	52,880	38,236	14,644	72.3%
_5047--**-**	Access Road Expenses	48,000	-	48,000	0.0%
_5048--**-**	Storm Damage	20,000	-	20,000	0.0%
_5049--**-**	Biosolids Disposal	800,000	523,676	276,324	65.5%
_5054--**-**	Diesel Truck Maint	30,500	3,847	26,653	12.6%
_5056--**-**	Maintenance Equip. & Facilities (Solids)	125,000	73,070	51,930	58.5%
_5057--**-**	Maintenance Equip. & Facilities (Liquids)	300,000	269,812	30,188	89.9%
_5058--**-**	Maintenance Equip. & Facilities (Common)	56,000	45,901	10,099	82.0%
_5059--**-**	Maintenance Equip. & Facilities (Co-Gen)	308,000	234,268	73,732	76.1%
_5060--**-**	Maintenance Equip. & Facilities (AWT)	30,000	25,811	4,189	86.0%
_5068--**-**	MNWD Potable Water Supplies & Svcs.	41,000	35,602	5,398	86.8%
_5076--**-**	SCADA Infrastructure	220,000	12,039	207,961	5.5%
_5080--**-**	CCWRP Contracted Service Expenses	-	1,696	(1,696)	N/A
_5101--**-**	Employee Recognition	1,300	200	1,100	15.4%
_5303--**-**	Group Insurance Waiver	3,600	-	3,600	0.0%
_5309--**-**	Operating Leases	66,000	1,555	64,445	2.4%
_5705--**-**	Monthly Car Allowance	22,800	17,400	5,400	76.3%
_5777--**-**	Laboratory Lease	12,000	12,000	-	100.0%
_5799--**-**	Stormwater Station Costs Share - O&M	(14,000)	(14,992)	992	107.1%
_6500--**-**	IT Allocations in to PC's & Depts.	749,343	613,797	135,546	81.9%
	Total Other Expenses	9,161,175	6,699,252	2,461,923	73.1%
	Total O&M Expenses	15,182,916	10,829,609	4,353,307	71.3%

¹ This report intends to monitor the Annual Budget % Expended at the Project Committee and Functional Department levels.

The financial information contained in this report, in some cases, is based on the full accrual basis of accounting, whereby expenses are recognized in the period in which the liability is incurred, i.e., payroll and fringe benefits.

There are instances where we will include the total expense for the entire accounting fiscal year if the information is available, i.e., property and liability insurance premiums.

The audited financial statements for the fiscal year recognize all expenses on the full accrual basis of accounting.

- (1) Overtime and comp time are budgeted jointly.
- (2) Additional shifts were needed to support the training of staff covering both CTP and JBL.
- (3) Power costs elevated due to 60k cogeneration startup issues.
- (4) Gas purchases reduced due to 60k cogeneration startup issues.
- (5) Bleach Usage is elevated due to ongoing sewage septicity issues and elevated recycled water deliveries.
- (6) Lab Services is elevated due to Verily payment in August 2025
- (7) Annual payments are made at the beginning of the FY.
- (8) 'Offshore Biochemistry is elevated due to molecular lab supplies.

South Orange County Wastewater Authority
Preliminary O&M Budget vs. Actual Comparison by PC¹
 For the Period Ended March 31, 2026
 (in dollars)

	FY 2025-26 Budget	Actual	(Over)/Under Budget	% Expended
02 - Jay B. Latham Plant	8,616,077	6,299,116	2,316,961	73.1%
05 - San Juan Creek Ocean Outfall	944,588	699,328	245,260	74.0%
08 - Pre Treatment	289,662	173,343	116,319	59.8%
12 - Water Reclamation Permits	88,769	38,735	50,034	43.6%
15 - Coastal Treatment Plant	3,822,518	2,499,180	1,323,338	65.4%
17 - Joint Regional Wastewater Reclamation and Sludge Handling	568,217	450,695	117,522	79.3%
21 - Effluent Transmission Main	27,589	8,789	18,800	31.9%
23 - North Coast Interceptor	17,500	5,168	12,332	29.5%
24 - Aliso Creek Ocean Outfall	807,466	655,254	152,212	81.1%
Total	<u>15,182,386</u>	<u>10,829,609</u>	<u>4,352,777</u>	<u>71.5%</u>

02 - Jay B. Latham Plant

Salary and Fringe

02-5000-**-**-**	Regular Salaries-O&M	2,114,092	1,400,398	713,694	66.2%
02-5001-**-**-**	Overtime Salaries-O&M	41,564	34,750	6,814	83.6% (1)
02-5304-**-**-**	Severance Pay	-	22,850	(22,850)	N/A
02-5306-**-**-**	Scheduled Holiday Work	24,475	13,966	10,509	57.1%
02-5315-**-**-**	Comp Time - O&M	13,574	24,931	(11,357)	183.7% (1)
02-5401-**-**-**	Fringe Benefits IN to PC's & Depts.	1,065,880	713,515	352,365	66.9%
02-5700-**-**-**	Standby Pay	41,000	50,000	(9,000)	122.0%
	Total Payroll Costs	<u>3,300,585</u>	<u>2,260,410</u>	<u>1,040,175</u>	<u>68.5%</u>

Other Expenses

02-5002-**-**-**	Electricity	791,040	855,842	(64,802)	108.2%
02-5003-**-**-**	Natural Gas	222,480	68,763	153,717	30.9%
02-5004-**-**-**	Potable & Reclaimed Water	27,000	26,351	649	97.6%
02-5006-**-**-**	Chlorine/Sodium Hypochlorite	65,000	54,502	10,498	83.8% (2)
02-5007-**-**-**	Polymer Products	550,000	444,277	105,723	80.8%
02-5008-**-**-**	Ferric Chloride	400,000	398,732	1,268	99.7% (2)
02-5009-**-**-**	Odor Control Chemicals	45,000	29,101	15,899	64.7%
02-5010-**-**-**	Small Purchases and Consumables	151,100	92,810	58,290	61.4%
02-5011-**-**-**	Laboratory Services	16,924	11,905	5,019	70.3% (3)
02-5012-**-**-**	Grit Hauling	80,000	49,535	30,465	61.9%
02-5015-**-**-**	Management Support Services	25,000	37,136	(12,136)	148.5% (3)
02-5016-**-**-**	Audit - Environmental	500	-	500	0.0%
02-5017-**-**-**	Legal Fees	5,000	8,460	(3,460)	169.2%
02-5019-**-**-**	Contract Services Misc.	343,000	214,411	128,589	62.5%
02-5027-**-**-**	Insurance - Property/Liability	353,523	212,438	141,085	60.1%
02-5030-**-**-**	Trash Disposal	3,000	3,936	(936)	131.2%
02-5033-**-**-**	Recruitment	-	749	(749)	N/A
02-5034-**-**-**	Travel Expense/Tech. Conferences	90,785	63,765	27,020	70.2%

South Orange County Wastewater Authority
Preliminary O&M Budget vs. Actual Comparison by PC¹
For the Period Ended March 31, 2026
(in dollars)

		FY 2025-26 Budget	Actual	(Over)/Under Budget	% Expended
02-5036-**-**-**	Laboratory Supplies	21,630	20,288	1,342	93.8%
02-5037-**-**-**	Office Equipment	14,000	716	13,284	5.1%
02-5038-**-**-**	Permits	34,195	66,936	(32,741)	195.7% (4)
02-5049-**-**-**	Biosolids Disposal	800,000	522,658	277,342	65.3%
02-5054-**-**-**	Diesel Truck Maint	29,000	2,414	26,586	8.3%
02-5056-**-**-**	Maintenance Equip. & Facilities (Solids)	125,000	73,070	51,930	58.5%
02-5057-**-**-**	Maintenance Equip. & Facilities (Liquids)	200,000	172,665	27,335	86.3%
02-5058-**-**-**	Maintenance Equip. & Facilities (Common)	30,000	29,031	969	96.8%
02-5059-**-**-**	Maintenance Equip. & Facilities (Co-Gen)	308,000	234,268	73,732	76.1%
02-5076-**-**-**	SCADA Infrastructure	110,000	9,089	100,911	8.3%
02-5101-**-**-**	Employee Recognition	1,000	100	900	10.0%
02-5309-**-**-**	Operating Leases	66,000	1,555	64,445	2.4%
02-5705-**-**-**	Monthly Car Allowance	10,000	10,735	(735)	107.3%
02-5777-**-**-**	Laboratory Lease	3,000	3,000	-	100.0%
02-5799-**-**-**	Stormwater Station Costs Share - O&M	(14,000)	(14,992)	992	107.1%
02-6500-**-**-**	IT Allocations in to PC's & Depts.	408,315	334,456	73,859	81.9%
	Total Other Expenses	5,315,492	4,038,705	1,276,787	76.0%
	Total Expenses	8,616,077	6,299,116	2,316,961	73.1%
05 - San Juan Creek Ocean Outfall					
Salary and Fringe					
05-5000-**-**-**	Regular Salaries-O&M	85,709	61,112	24,597	71.3%
05-5001-**-**-**	Overtime Salaries-O&M	106	596	(490)	562.7%
05-5306-**-**-**	Scheduled Holiday Work	515	-	515	0.0%
05-5315-**-**-**	Comp Time - O&M	-	2,847	(2,847)	N/A
05-5401-**-**-**	Fringe Benefits IN to PC's & Depts.	42,743	30,858	11,885	72.2%
	Total Payroll Costs	129,073	95,413	33,660	73.9%
Other Expenses					
05-5015-**-**-**	Management Support Services	150,000	117,925	32,075	78.6%
05-5016-**-**-**	Audit - Environmental	500	-	500	0.0%
05-5027-**-**-**	Insurance - Property/Liability	114,398	62,732	51,666	54.8%
05-5034-**-**-**	Travel Expense/Tech. Conferences	15,585	3,572	12,013	22.9%
05-5036-**-**-**	Laboratory Supplies	41,300	23,395	17,905	56.6%
05-5038-**-**-**	Permits	382,658	303,991	78,667	79.4% (4)
05-5044-**-**-**	Offshore Monitoring	50,000	43,806	6,195	87.6%
05-5045-**-**-**	Offshore Biochemistry - 20B	11,000	12,006	(1,006)	109.1% (5)
05-5046-**-**-**	Effluent Chemistry	29,000	19,565	9,435	67.5%
05-5058-**-**-**	Maintenance Equip. & Facilities (Common) 41-C	1,000	-	1,000	0.0%
05-5705-**-**-**	Monthly Car Allowance	700	512	188	73.1%
05-5777-**-**-**	Laboratory Lease	3,000	3,000	-	100.0%
05-6500-**-**-**	IT Allocations in to PC's & Depts.	16,374	13,412	2,962	81.9%
	Total Other Expenses	815,515	603,915	211,600	74.1%
	Total Expenses	944,588	699,328	245,260	74.0%

South Orange County Wastewater Authority
Preliminary O&M Budget vs. Actual Comparison by PC¹
For the Period Ended March 31, 2026
(in dollars)

		FY 2025-26 Budget	Actual	(Over)/Under Budget	% Expended
08 - Pre Treatment					
Salary and Fringe					
08-5000-**-**-**	Regular Salaries-O&M	141,939	91,797	50,142	64.7%
08-5401-**-**-**	Fringe Benefits IN to PC's & Depts.	71,671	46,352	25,319	64.7%
	Total Payroll Costs	213,610	138,149	75,461	64.7%
Other Expenses					
08-5010-02-00-00	Small Purchases and Consumables	8,416	935	7,481	11.1%
08-5011-02-00-00	Laboratory Services	3,180	4,022	(842)	126.5% (3)
08-5015-02-00-00	Management Support Services	20,000	-	20,000	0.0%
08-5016-02-00-00	Audit - Environmental	1,356	-	1,356	0.0%
08-5019-02-00-00	Contract Services Misc.	1,600	-	1,600	0.0%
08-5027-02-00-00	Insurance - Property/Liability	4,152	3,319	833	79.9%
08-5034-02-00-00	Travel Expense/Tech. Conferences	9,295	4,430	4,865	47.7%
08-5038-02-00-00	Permits	598	-	598	0.0%
08-6500-02-00-00	IT Allocations in to PC's & Depts.	27,455	22,489	4,966	81.9%
	Total Other Expenses	76,052	35,195	40,857	46.3%
	Total Expenses	289,662	173,343	116,319	59.8%
12 - Water Reclamation Permits					
Salary and Fringe					
12-5000-**-**-**	Regular Salaries-O&M	26,785	20,743	6,042	77.4%
12-5401-**-**-**	Fringe Benefits IN to PC's & Depts.	13,525	10,474	3,051	77.4%
	Total Payroll Costs	40,310	31,216	9,094	77.4%
Other Expenses					
12-5015-02-00-00	Management Support Services	35,000	-	35,000	0.0%
12-5016-02-00-00	Audit - Environmental	500	-	500	-
12-5027-02-00-00	Insurance - Property/Liability	1,283	1,067	216	83.2%
12-5034-02-00-00	Travel Expense/Tech. Conferences	5,795	-	5,795	0.0%
12-5080-02-00-00	CCWRP Contracted Service Expenses	-	1,696	(1,696)	N/A
12-5705-02-00-00	Monthly Car Allowance	700	512	188	73.1%
12-6500-02-00-00	IT Allocations in to PC's & Depts.	5,181	4,244	937	81.9%
	Total Other Expenses	48,459	7,519	40,940	15.5%
	Total Expenses	88,769	38,735	50,034	43.6%

South Orange County Wastewater Authority
Preliminary O&M Budget vs. Actual Comparison by PC¹
For the Period Ended March 31, 2026
(in dollars)

		FY 2025-26 Budget	Actual	(Over)/Under Budget	% Expended
15 - Coastal Treatment Plant					
Salary and Fringe					
15-5000-**-**-**	Regular Salaries-O&M	1,174,166	770,476	403,690	65.6%
15-5001-**-**-**	Overtime Salaries-O&M	14,223	9,375	4,848	65.9% (1)
15-5306-**-**-**	Scheduled Holiday Work	12,602	3,640	8,962	28.9%
15-5304-**-**-**	Severance Pay	-	22,850	(22,850)	N/A
15-5315-**-**-**	Comp Time - O&M	2,120	9,284	(7,164)	437.9% (1)
15-5401-**-**-**	Fringe Benefits IN to PC's & Depts.	592,346	389,043	203,303	65.7%
15-5700-**-**-**	Standby Pay	12,000	7,000	5,000	58.3%
	Total Payroll Costs	1,807,457	1,211,667	595,790	67.0%
Other Expenses					
15-5002-**-**-**	Electricity	310,000	285,217	24,783	92.0%
15-5003-**-**-**	Natural Gas	3,500	1,372	2,128	39.2%
15-5004-**-**-**	Potable & Reclaimed Water	24,000	19,087	4,913	79.5%
15-5006-**-**-**	Chlorine/Sodium Hypochlorite	117,500	107,894	9,606	91.8% (2)(6)
15-5008-**-**-**	Ferric Chloride	300,000	103,665	196,335	34.6%
15-5009-**-**-**	Odor Control Chemicals	70,000	34,701	35,299	49.6%
15-5010-**-**-**	Other Chemicals - Misc.	56,500	51,897	4,603	91.9%
15-5011-**-**-**	Laboratory Services	16,000	17,344	(1,344)	108.4% (3)
15-5012-**-**-**	Grit Hauling	22,000	20,836	1,164	94.7%
15-5015-**-**-**	Management Support Services	27,500	20,440	7,060	74.3%
15-5016-**-**-**	Audit - Environmental	500	-	500	0.0%
15-5017-**-**-**	Legal Fees	5,000	-	5,000	0.0%
15-5019-**-**-**	Contract Services Misc.	221,500	135,778	85,722	61.3%
15-5027-**-**-**	Insurance - Property/Liability	159,272	95,446	63,826	59.9%
15-5030-**-**-**	Trash Disposal	3,000	1,779	1,221	59.3%
15-5034-**-**-**	Travel Expense/Tech. Conferences	78,625	24,630	53,995	31.3%
15-5036-**-**-**	Laboratory Supplies	23,000	25,136	(2,136)	109.3%
15-5037-**-**-**	Office Equipment	3,000	-	3,000	0.0%
15-5038-**-**-**	Permits	3,450	3,474	(24)	100.7%
15-5047-**-**-**	Access Road Expenses	45,000	-	45,000	0.0%
15-5048-**-**-**	Storm Damage	20,000	-	20,000	0.0%
15-5049-**-**-**	Biosolids Disposal	-	1,019	(1,019)	N/A
15-5054-**-**-**	Diesel Truck Maint	1,500	1,433	67	95.5%
15-5057-**-**-**	Maintenance Equip. & Facilities (Liquids)	100,000	97,146	2,854	97.1%
15-5058-**-**-**	Maintenance Equip. & Facilities (Common)	24,000	16,869	7,131	70.3%
15-5060-**-**-**	Maintenance Equip. & Facilities (AWT)	30,000	25,811	4,189	86.0%
15-5076-**-**-**	SCADA Infrastructure	110,000	2,950	107,050	2.7%
15-5101-**-**-**	Employee Recognition	300	100	200	33.3%
15-5705-**-**-**	Monthly Car Allowance	10,000	4,619	5,381	46.2%
15-5777-**-**-**	Laboratory Lease	3,000	3,000	-	100.0%
15-6500-**-**-**	IT Allocations in to PC's & Depts.	226,914	185,869	41,045	81.9%
	Total Other Expenses	2,015,061	1,287,513	727,548	63.9%
	Total Expenses	3,822,518	2,499,180	1,323,338	65.4%

South Orange County Wastewater Authority
Preliminary O&M Budget vs. Actual Comparison by PC¹
For the Period Ended March 31, 2026
(in dollars)

		FY 2025-26 Budget	Actual	(Over)/Under Budget	% Expended
17 - Joint Regional Wastewater Reclamation and Sludge Handling					
Salary and Fringe					
17-5000-**-**-**	Regular Salaries-O&M	264,922	191,977	72,945	72.5%
17-5001-**-**-**	Overtime Salaries-O&M	530	1,482	(952)	279.6% (1)
17-5306-**-**-**	Scheduled Holiday Work	3,180	2,715	465	85.4%
17-5315-**-**-**	Comp Time - O&M	1,272	957	315	75.2% (1)
17-5401-**-**-**	Fringe Benefits IN to PC's & Depts.	133,769	96,936	36,833	72.5%
	Total Payroll Costs	403,673	294,066	109,607	72.8%
Other Expenses					
17-5010-**-**-**	Small Purchases and Consumables	1,500	7,042	(5,542)	469.5%
17-5011-**-**-**	Laboratory Services	30,000	33,189	(3,189)	110.6% (3)
17-5016-**-**-**	Audit - Environmental	500	-	500	0.0%
17-5034-**-**-**	Memberships, Conferences, Training & Travel	5,000	1,298	3,702	26.0%
17-5036-**-**-**	Laboratory Supplies	31,000	37,011	(6,011)	119.4%
17-5068-**-**-**	MNWD Potable Water Supplies & Svcs.	41,000	35,602	5,398	86.8%
17-5303-**-**-**	Group Insurance Waiver	3,600	-	3,600	0.0%
17-5705-**-**-**	Monthly Car Allowance	700	512	188	73.1%
17-6500-**-**-**	IT Allocations in to PC's & Depts.	51,244	41,975	9,269	81.9%
	Total Other Expenses	164,544	156,629	7,915	95.2%
	Total Expenses	568,217	450,695	117,522	79.3%
21 - Effluent Transmission Main					
Salary and Fringe					
21-5000-**-**-**	Regular Salaries-O&M	7,060	-	7,060	0.0%
21-5401-**-**-**	Fringe Benefits IN to PC's & Depts.	3,565	-	3,565	0.0%
	Total Payroll Costs	10,625	-	10,625	0.0%
Other Expenses					
21-5027-**-**-**	Insurance - Property/Liability	16,964	8,789		
	Total Other Expenses	16,964	8,789	8,175	51.8%
	Total Expenses	27,589	8,789	18,800	31.9%

South Orange County Wastewater Authority
Preliminary O&M Budget vs. Actual Comparison by PC¹
For the Period Ended March 31, 2026
(in dollars)

		FY 2025-26 Budget	Actual	(Over)/Under Budget	% Expended
23 - North Coast Interceptor					
Salary and Fringe					
23-5000-**-**-**	Regular Salaries-O&M	5,000	-	5,000	0.0%
23-5401-**-**-**	Fringe Benefits IN to PC's & Depts.	2,525	-	2,525	0.0%
	Total Payroll Costs	7,525	-	7,525	0.0%
Other Expenses					
23-5027-**-**-**	Insurance - Property/Liability	9,975	5,168	4,807	51.8%
	Total Other Expenses	9,975	5,168	4,807	51.8%
	Total Expenses	17,500	5,168	12,332	29.5%
24 - Aliso Creek Ocean Outfall					
Salary and Fringe					
24-5000-**-**-**	Regular Salaries-O&M	71,654	65,437	6,217	91.3%
24-5001-**-**-**	Overtime Salaries-O&M	518	663	(145)	128.0%
24-5315-**-**-**	Comp Time - O&M	-	292	(292)	N/A
24-5401-**-**-**	Fringe Benefits IN to PC's & Depts.	36,181	33,042	3,139	91.3%
	Total Payroll Costs	108,353	99,434	8,919	91.8%
Other Expenses					
24-5015-**-**-**	Management Support Services	150,000	140,658	9,342	93.8%
24-5016-**-**-**	Audit - Environmental	500	-	500	0.0%
24-5027-**-**-**	Insurance - Property/Liability	78,336	44,634	33,702	57.0%
24-5034-**-**-**	Travel Expense/Tech. Conferences	7,345	4,758	2,587	64.8%
24-5036-**-**-**	Laboratory Supplies	27,592	24,316	3,276	88.1%
24-5038-**-**-**	Permits	328,900	250,598	78,302	76.2% (4)
24-5044-**-**-**	Offshore Monitoring	50,000	45,006	4,995	90.0%
24-5045-**-**-**	Offshore Biochemistry - 20B	11,000	12,315	(1,315)	112.0% (5)
24-5046-**-**-**	Effluent Chemistry	23,880	18,671	5,209	78.2%
24-5047-**-**-**	Access Road Expenses	3,000	-	3,000	0.0%
24-5058-**-**-**	Maintenance Equip. & Facilities (Common) 41-C	1,000	-	1,000	0.0%
24-5705-**-**-**	Monthly Car Allowance	700	512	188	73.1%
24-5777-**-**-**	Laboratory Lease	3,000	3,000	-	100.0%
24-6500-**-**-**	IT Allocations in to PC's & Depts.	13,860	11,353	2,507	81.9%
	Total Other Expenses	699,113	555,820	143,293	79.5%
	Total Expenses	807,466	655,254	152,212	81.1%
Total O&M Expenses		15,182,386	10,829,609	4,352,777	71.3%

¹ This report intends to monitor the Annual Budget % Expended at the Project Committee and Functional Department levels.

The financial information contained in this report, in some cases, is based on the full accrual basis of accounting, whereby expenses are recognized in the period in which the liability is incurred, i.e., payroll and fringe benefits.

There are instances where we will include the total expense for the entire accounting fiscal year if the information is available, i.e., property and liability insurance premiums.

The audited financial statements for the fiscal year recognize all expenses on the full accrual basis of accounting.

South Orange County Wastewater Authority
Preliminary O&M Budget vs. Actual Comparison by PC
For the Period Ended March 31, 2026

- (1) Overtime and comp time are budgeted jointly.
- (2) Ferric chloride and bleach usage have continued to increase due to sewage septicity.
- (3) Elevated due to Verily payment in August 2025
- (4) Annual payments are made at the beginning of the FY.
- (5) Offshore Biochemistry is elevated due to molecular lab supplies
- (6) Bleach Usage is elevated due to elevated recycled water deliveries.

**South Orange County Wastewater Authority
Preliminary Budget vs. Actual Comparison- Administration**

For the Period Ended March 31, 2026

(in dollars)

		FY 2025-26 Budget	Actual	(Over)/Under Budget	% Expended
01-5000-04-00-00	Regular Salaries-ADMIN	773,482	500,144	273,338	64.7%
01-5001-04-00-00	Overtime Salaries-O&M	530	972	(442)	183.4%
01-5315-04-00-00	Comp Time - O&M	1,060	-	1,060	0.0%
01-5401-04-00-00	Fringe Benefits IN to PC's & Depts.	390,561	252,542	138,019	64.7%
01-6318-04-00-00	Severance & Termination Costs	-	15,376	(15,376)	N/A
	Total Payroll Costs	2,331,266	769,034	396,599	66.0%
Other Expenses					
01-5010-04-00-00	Small Purchases and Consumables	26,000	13,312	12,688	51.2%
01-5015-04-00-00	Management Support Services	150,000	45,719	104,281	30.5%
01-5016-04-00-00	Audit	45,000	42,450	2,550	94.3% (1)
01-5017-04-00-00	Legal Fees	100,000	72,288	27,712	72.3%
01-5018-04-00-00	Public Notices/ Public Relations	-	4,000	(4,000)	N/A
01-5019-04-00-00	Contract Services Misc. - 29	9,709	10,945	(1,236)	112.7%
01-5034-04-00-00	Memberships & Trainings	121,000	97,327	23,673	80.4%
01-5037-04-00-00	Office Equipment	1,000	-	1,000	0.0%
01-5074-04-00-00	Education Reimbursement	1,000	-	1,000	0.0%
01-5079-04-00-00	Scholarship Reimbursement	1,000	-	1,000	0.0%
01-5101-04-00-00	Employee Recognition	26,050	24,233	1,817	93.0%
01-5705-04-00-00	Monthly Car Allowance	16,200	12,231	3,969	75.5%
01-6500-04-00-00	IT Allocations in to PC's & Depts.	138,922	122,552	16,370	88.2%
	Total Other Expenses	635,881	445,057	190,824	70.0%
	Total Admin Expenses	2,967,147	1,214,091	587,423	67.4%

(1) Annual payments are made in the middle of the FY.

South Orange County Wastewater Authority
Preliminary Budget vs. Actual Comparison-IT
 For the Period Ended March 31, 2026
 (in dollars)

		FY 2025-26 Budget	Actual	(Over)/Under Budget	% Expended
Salary & Fringe					
01-5000-05-00-00	Regular Salaries-IT	151,848	118,912	32,936	78.3%
01-5401-05-00-00	Fringe Benefits IN to PC's & Depts.	76,674	60,043	16,631	78.3%
	Total Salary & Fringe	228,522	178,956	49,566	78.3%
Other Expenses					
01-5010-05-00-00	Small Tools & Supplies	4,000	726	3,274	18.2%
01-5015-05-00-00	Management Support Services	150,000	64,383	85,617	42.9%
01-5034-05-00-00	Memberships & Trainings	7,025	4,490	2,535	63.9%
01-5076-05-00-00	IT Software and Hardware	303,831	282,678	21,153	93.0%
01-5078-05-00-00	Telecommunications	145,382	156,400	(11,018)	107.6%
01-5309-05-00-00	Operating Leases	56,000	45,808	10,192	81.8%
01-5705-05-00-00	Monthly Car Allowance	4,200	2,908	1,292	69.2%
	Total Other Expenses	670,438	557,393	113,045	83.1%
	Total Expenses before Allocation	898,960	736,349	162,611	81.9%

Agenda Item

5.A

Board of Directors Meeting

Meeting Date: May 21, 2026

TO: Board of Directors

FROM: Amber Boone, General Manager

STAFF CONTACT: Jim Burror, Deputy General Manager/Chief Engineer

SUBJECT: CTP Regional Flow Study Update [Project Committee 15]

Summary

At its March 5, 2026, SOCWA Board of Directors meeting, Project Committee 15 (PC-15) approved a professional services agreement with MKN, to prepare the Coastal Treatment Plant (CTP) Regional Flow Study. The purpose of the study is to evaluate planning-level regional wastewater conveyance and treatment alternatives associated with potential future decommissioning of the CTP and identify major infrastructure requirements, operational impacts, regulatory considerations, recycled water impacts, and planning-level costs.

The study evaluates three primary regional alternatives:

1. Redirection to Orange County Sanitation District (OC San) Plant No. 2 and the Regional Treatment Plant (RTP);
2. Redirection to the JB Latham Treatment Plant (JBL); and
3. Redirection to the RTP.

A presentation on the project's progress will be provided at the May 21, 2026, Board meeting.

Discussion

During the PE1 and PE2 stakeholder workshops, participating agencies discussed the feasibility constraints associated with the original Alternative 1 concept, which evaluated conveying all PC15 wastewater flows to OC San's collection system and Plant No. 2 in Huntington Beach.

It was determined that OC San's currently available collection system capacity is limited to approximately 4 MGD without substantial downstream infrastructure improvements. Current estimates indicate that conveying the full PC15 peak wet weather flows to Plant No. 2, around 12 mgd to 14 mgd, would require major upgrades to OC San facilities extending from Newport Beach to Huntington Beach and could increase overall Alternative 1 costs to approximately \$200 million to \$400 million.

The stakeholders also discussed the uncertainty regarding the feasibility of constructing additional large-diameter pipelines within the highly congested Pacific Coast Highway utility corridor based on prior OC San projects in the area.

As a result of the PE1 and PE2 workshop discussions, stakeholders directed the consultant team to further develop and evaluate a modified “hybrid” variation of Alternative 1. Under the revised hybrid approach:

- Wastewater flows from the City of Laguna Beach (CLB) and Emerald Bay Service District (EBSB) would be redirected to OC San Plant No. 2; and
- Wastewater flows from South Coast Water District (SCWD) would be redirected to Moulton Niguel Water District’s Regional Treatment Plant (RTP).

This modified concept was developed because combined CLB and EBSB wastewater flows are generally near the currently available OC San collection system capacity threshold of 4 MGD. In addition, the hybrid approach may provide a more cost-effective strategy for returning recycled water supplies to SCWD, which was identified by stakeholders as a key regional priority.

Additionally, the team has discussed including a high-level evaluation of a live stream discharge to the Aliso Creek to eliminate ocean outfall discharges. Staff does not anticipate additional budget appropriations will be required for this evaluation, as the team intends to utilize information from prior regional treatment studies to develop preliminary cost estimates.

Fiscal Impact

None

Recommended Action: Board Discussion, Direction, and Action

Agenda Item

5.B.

Board of Directors Meeting

Meeting Date: May 14, 2026

TO: Board of Directors

FROM: Amber Boone, General Manager

STAFF CONTACT: Roni Grant, Capital Improvement Program Manager

SUBJECT: SOCWA Capital Improvement Program Workplan FY 26-27
[All Project Committees]

Staff is providing this update on the status of the Capital Improvement Program (CIP), including project budgets, expenditures, progress, upcoming FY 26-27 funding requests, and key risks. The majority of active projects are progressing as planned, with several nearing completion. A portion of the CIP remains deferred pending the outcome of the master planning effort to ensure infrastructure investments are strategically aligned. Primary challenges affecting schedule and delivery include regulatory permitting, long equipment lead times, and coordination with external agencies.

Recommended Action: Information only.

Attachment: CIP Workplan FY 26-27

SOCWA CIP Workplan										Apr - Jun	Jul - Sep	Oct - Dec	Jan - Mar	Apr - Jun
Project Number	Project Name	Project Budget	Funds Collected as of FY 25/26 Q4	Funds Spent as of March 2026	Funds Remaining	Percent Spent	FY 26-27 Funds Request	Status	Challenges/Delays	FY 25/26	FY 26/27			
										Q4	Q1	Q2	Q3	Q4
PC 2 - J.B. Latham Treatment Plant														
3215/3252	MCC M and Plant 1 Generator Replacement	\$ 4,232,843	\$ 1,716,131	\$ 1,091,524	\$ 624,607	64%	\$ 500,000	Pre-procurement and design underway	Pending AQMD permit on the generator	B&A	C	C	C	C
32221L	Plant 1 Grit, MCC A-1 and Blower Building Upgrades	\$ 6,256,220			\$ -		\$ 500,000	Design underway	Will combine with the Main Plant Drain Project	D	B&A	C	C	C
3285	Main Plant Drain Line Reconstruction	\$ 1,000,000	\$ 431,167	\$ 49,250	\$ 381,917	11%	\$ 568,833	Design underway	Will combine with the Plant 1 Blower Building HVAC Upgrades	D	B&A	C	C	C
32241L	Effluent Pump Station Storage and Staging Area	\$ 850,000	\$ 850,000	\$ 690,751	\$ 159,249	81%	\$ -	Construction complete		C				
32226L	Effluent Pump Station Upgrades	\$ 1,877,000	\$ 1,202,083	\$ 657,026	\$ 545,057	55%	\$ -	Construction underway		C	C			
32243L	Plant 2 Headworks Rehabilitation	\$ 2,200,000	\$ 795,000	\$ 584,657	\$ 210,343	74%	\$ 705,000	Construction underway		C	C			
32225S	Energy Building Roof Upgrades	\$ 1,163,000	\$ 656,196	\$ 759,648	\$ (103,452)	116%	\$ 506,804	Construction underway		C	C			
32225C	Energy Building Upgrades - Common	\$ 895,000	\$ 677,500	\$ 135,500	\$ 542,000		\$ 217,500	Construction underway		C	C			
3216	Hoist System for Maintenance Shop	\$ 758,000	\$ 568,176	\$ 516,134	\$ 52,042	91%	\$ -	Construction underway		C	C			
32232S	Buried Digester Gas and Flare Piping Improvements	\$ 125,000	\$ 124,673	\$ 111,022	\$ 13,651	89%	\$ -	Bidding underway		D	B&A	C	C	C
32234S	Heat Exchanger 4 Pipe Replacement	\$ 250,000	\$ 112,500	\$ 57,739	\$ 54,761	51%	\$ 137,500	Bidding underway		D	B&A	C	C	C
32231S	Gas Flare Replacement	\$ 1,500,000	\$ 268,896	\$ 32,730	\$ 236,166	12%		Design underway	Pending AQMD permit and equipment long lead time	D	D	D	B&A	C
32261S	Odor Control Scrubber No. 2 Replacement	\$ 2,000,000	\$ 500,000	\$ 51,071	\$ 448,929	10%	\$ -		Pending master planning recommendation	CA				
2540/32224S/32224S/32262S	Dewatering System and Truck Loading Area	\$ 9,507,000	\$ -	\$ -	\$ -		\$ -		Pending master planning recommendation and equipment obsolescence					
32263S	Buried Digester Piping Reconstruction	\$ 806,490	\$ 250,000	\$ 139,665	\$ 110,335	56%	\$ -	Bidding underway		D	B&A	C	C	C
322236S	Digester 3 and 4 Upgrades and Coating	\$ 1,000,000	\$ -	\$ -	\$ -		\$ 200,000				P	P	B&A	C
PC 5 - San Juan Creek Ocean Outfall														
5059	Monitoring Vault Rehabilitation	\$ 200,000			\$ -									

P	Planning
CA	Condition Assessment
ENV	Environmental/Permitting
D	Design
B&A	Bidding and Award
C	Construction

SOCWA CIP Workplan										Apr - Jun	Jul - Sep	Oct - Dec	Jan - Mar	Apr - Jun	
Project Number	Project Name	Project Budget	Funds Collected as of FY 25/26 Q4	Funds Spent as of March 2025	Funds Remaining	Percent Spent	FY 26-27 Funds Request	Status	Challenges/Delays	FY 25/26	FY 26/27				
										Q4	Q1	Q2	Q3	Q4	
PC15 - Coastal Treatment Plant															
3540-000/3540-001	Export Sludge Environmental Mitigation	\$ 1,392,100	\$ 146,000	\$ 60,428	\$ 85,572	41%	\$ 291,900	Mitigation work/permitting ongoing	Pending regulatory agencies approval	ENV	ENV	ENV	ENV	ENV	
35242L	Grit Baffles and Diffusers	\$ 631,000	\$ 200,000	\$ 95,262	\$ 104,738	48%	\$ 300,000	Phase 1 complete		D	B&A	C	C		
15820/15821	East Primary and Secondary Tank Sludge Piping, Troughs and Scum Skimmers	\$ 775,000	\$ -	\$ -	\$ -		\$ -		Pending master planning recommendation						
3543	Export Sludge Pipeline Replacement at RTP	\$ 400,000	\$ -	\$ -	\$ -		\$ -		Pending master planning recommendation						
35247L	Aeration Blower System Upgrades	\$ 500,000	\$ 324,999	\$ 46,630	\$ 278,369	14%	\$ -	Preliminary design underway	Pending master planning recommendation	P	P	P	D	D	
35229L/35235L	Odor Control Scrubber/Foul Air System Reconstruction	\$ 1,500,000	\$ 663,722	\$ 408,818	\$ 254,904	62%	\$ 222,323	Final design underway	Long equipment lead time	D	D	D	B&A	C	
3522AL	Drainage Pump Station	\$ 4,200,000	\$ 1,286,085	\$ 281,064	\$ 1,005,021	22%	\$ 279,980	Final design underway	Will address more pressing issues until the master planning effort is done.	D	D	D	B&A	C	
3525	Personnel Building Reconstruction	\$ 965,667	\$ 965,667	\$ 197,856	\$ 767,811	20%	\$ -	Phase 1 complete, Phase 2 underway		C	C				
35233L/35236L	Scum Pump Station and Wet well	\$ 300,000	\$ -	\$ -	\$ -		\$ -		Pending master planning recommendation						
35234L	RAS/WAS Pump Station Repair	\$ 100,000	\$ -	\$ -	\$ -		\$ -		Pending master planning recommendation						
35237L	Electrical Manhole/Cable Project	\$ 85,000	\$ -	\$ -	\$ -		\$ -		Pending master planning recommendation						
35261L	EQ Tank Liner Rehabilitation	\$ 300,000	\$ -	\$ -	\$ -		\$ 100,000	Condition assessment underway	Need to move the project up due to deteriorating lining	P	CA	D	B&A	C	
35248L	Access Road Repaving	\$ 1,750,000	\$ 1,199,999	\$ 70,090	\$ 1,129,909	6%		Bid under review	Will address more pressing issues, looking for partnership with OC Parks.	B&A	C	C			
PC 21 - Effluent Transmission Main															
3105/3106/3107/3108	Air Valve Replacement	\$ 911,424	\$ 562,459	\$ 293,743	\$ 268,716	52%	\$ 229,132	Design/permitting underway	Pending amended permit	ENV	B&A	C	C	C	
31222B	Reach B Techite Pipe Replacement	\$ 7,891,000		\$ 694,000	\$ (694,000)		\$ 1,190,000	Design underway	Potential long CEQA process	D	D	D	B&A	C	
3101/31221B	Trail Bridge Crossing	\$ 7,788,067	\$ 480,438	\$ 444,771	\$ 35,667	93%	\$ 14,000	Planning/design underway	Seeking FEMA grant funding	P	ENV	ENV	ENV	ENV	
PC 24 - Aliso Creek Ocean Outfall															
542210	Outfall inspection, port cleaning and repairs	\$ 400,000	\$ -	\$ -	\$ -		\$ -								
342220	Golf Course Road	\$ 45,000	\$ 22,588	\$ 88	\$ 22,500	1%	\$ 22,500	Planning underway	Coordination with the golf course	P	P	D	B&A	C	

P	Planning
CA	Condition Assessment
ENV	Environmental/Permitting
D	Design
B&A	Bidding and Award
C	Construction

Agenda Item

6.A.

Board of Directors Meeting

Meeting Date: May 14, 2026

TO: Board of Directors
FROM: Amber Boone, General Manager
STAFF CONTACT: Dina Ash, Human Resources Administrator
SUBJECT: Agency Workforce Vacancies, Recruitment, and Retention Trends (AB2561)

Summary/Discussion

Assembly Bill 2561 (AB 2561) requires a public agency to present the status of job position vacancies and recruitment and retention efforts at a public hearing before the agency's governing board at least once per fiscal year. If the agency's governing board intends to adopt an annual or multi-year budget during the fiscal year, the required presentation must be made before the budget's adoption. Furthermore, the public agency is required to identify any necessary changes to policies, procedures, and recruitment activities that may lead to obstacles in the hiring process, during the hearing.

AB 2561 requires that the recognized employee organization for a bargaining unit be allowed to make a presentation at the vacancy hearing for positions within that bargaining unit. If the number of job vacancies within a single bargaining unit meets or exceeds twenty percent (20%) of the total number of authorized full-time positions, the public agency is required to, upon the request of the recognized employee organization, include all the following information during the public hearing:

- The total number of job vacancies within the bargaining unit.
- The total number of applicants for vacant positions within the bargaining unit.
- The average number of days to complete the hiring process from when a position is posted.
- Opportunities to improve compensation and other working conditions.

AB 2561 further provides that the new law may not prevent an agency's government board from holding additional public hearings about vacancies.

While SOCWA does not currently exceed the 20% vacancy threshold that triggers additional requirements, we will still prepare accordingly to meet the law's baseline standards.

Table 1: Count of Regular Full and Part-Time Positions 40.5

General Manager	1
Executive Management Group	5
Technology Services	3.5
Operations O&M	22
Environmental Services	8
Engineering	1

AB 2561 – Agency Workforce Vacancies, Recruitment, and Retention Trends

Although AB 2561 now requires staff to present annually on the status of vacancies and efforts to recruit and retain staff, it has always been a priority for departments to fill vacancies as soon as reasonably possible. SOCWA currently has one represented position open in the Environmental Department. Therefore, SOCWA’s average vacancy rate for the bargaining unit is 12.5%.

Table 2: Vacancy Rate by Group

General Manager	0%
Executive Management Group	0%
Technology Services	0%
Operations O&M	0%
Environmental Services	12.5%
Engineering	0%

Number of Recruitments	2	Number of Applicants	26
Number of Hires	Offer on table	Number of Vacancies	1
Average Time to Hire	52 days	Average Tenure	8 yrs.

AB 2561 also requires that during the hearing, the agency must identify any necessary changes to policies, procedures, and recruitment activities that may lead to hiring obstacles. Staff have not identified any necessary changes to policies and procedures that may present obstacles in the hiring process. The Agency continues to take steps in support of recruitment and retention. Recent efforts to continue the use of focal review process and team-building exercises. Current incentives include career development, flexible work schedules, employee recognition, and employee surveys/feedback.

Recommended Action:

1. That the Board of Directors open a public hearing on (AB2561) Agency Workforce Vacancies, Recruitment and Retention Trends to receive comments.
2. That the Board of Directors close public hearing and receive and file report as an information item.

Attachments:

1. Assembly Bill No. 2561
2. Exhibit “C” 2025_2026 Org Chart



Bill Text: CA AB2561 | 2023-2024 | Regular Session | Chaptered California Assembly Bill 2561

Bill Title: Local public employees: vacant positions.

Spectrum: Partisan Bill (Democrat 1-0)

Status: *(Passed)* 2024-09-22 - Chaptered by Secretary of State - Chapter 409, Statutes of 2024. [AB2561 Detail]

Download: California-2023-AB2561-Chaptered.html

Assembly Bill No. 2561

CHAPTER 409

An act to add Section 3502.3 to the Government Code, relating to public employment.

[Approved by Governor September 22, 2024. Filed with Secretary of State September 22, 2024.]

LEGISLATIVE COUNSEL'S DIGEST

AB 2561, McKinnor. Local public employees: vacant positions.

Existing law, the Meyers-Milias-Brown Act (act), authorizes local public employees, as defined, to form, join, and participate in the activities of employee organizations of their own choosing for the purpose of representation on matters of labor relations. The act requires the governing body of a public agency to meet and confer in good faith regarding wages, hours, and other terms and conditions of employment with representatives of recognized employee organizations and to consider fully presentations that are made by the employee organization on behalf of its members before arriving at a determination of policy or course of action.

This bill would, as specified, require a public agency to present the status of vacancies and recruitment and retention efforts at a public hearing at least once per fiscal year, and would entitle the recognized employee organization to present at the hearing. If the number of job vacancies within a single bargaining unit meets or exceeds 20% of the total number of authorized full-time positions, the bill would require the public agency, upon request of the recognized employee organization, to include specified information during the public hearing. By imposing new duties on local public agencies, the bill would impose a state-mandated local program. The bill would also include related legislative findings.

The California Constitution requires local agencies, for the purpose of ensuring public access to the meetings of public bodies and the writings of public officials and agencies, to comply with a statutory enactment that amends or enacts laws relating to public records or open meetings and contains findings demonstrating that the enactment furthers the constitutional requirements relating to this purpose.

This bill would make legislative findings to that effect.

The California Constitution requires the state to reimburse local agencies and school districts for certain costs mandated by the state. Statutory provisions establish procedures for making that reimbursement.

This bill would provide that no reimbursement shall be made pursuant to these statutory provisions for costs mandated by the state pursuant to this act, but would recognize that a local agency or school district may pursue any available remedies to seek reimbursement for these costs.

Digest Key

Vote: majority Appropriation: no Fiscal Committee: yes Local Program: yes

Bill Text

THE PEOPLE OF THE STATE OF CALIFORNIA DO ENACT AS FOLLOWS:

SECTION 1. The Legislature finds and declares as follows:

(a) Job vacancies in local government are a widespread and significant problem for the public sector affecting occupations across wage levels and educational requirements.

(b) High job vacancies impact public service delivery and the workers who are forced to handle heavier workloads, with understaffing leading to burnout and increased turnover that further exacerbate staffing challenges.

(c) There is a statewide interest in ensuring that public agency operations are appropriately staffed and that high vacancy rates do not undermine public employee labor relations.

SEC. 2. Section 3502.3 is added to the Government Code, to read:

3502.3. (a) (1) A public agency shall present the status of vacancies and recruitment and retention efforts during a public hearing before the governing board at least once per fiscal year.

(2) If the governing board will be adopting an annual or multiyear budget during the fiscal year, the presentation shall be made prior to the adoption of the final budget.

(3) During the hearing, the public agency shall identify any necessary changes to policies, procedures, and recruitment activities that may lead to obstacles in the hiring process.

(b) The recognized employee organization for a bargaining unit shall be entitled to make a presentation at the public hearing at which the public agency presents the status of vacancies and recruitment and retention efforts for positions within that bargaining unit.

(c) If the number of job vacancies within a single bargaining unit meets or exceeds 20 percent of the total number of authorized full-time positions, the public agency shall, upon request of the recognized employee organization, include all of the following information during the public hearing:

(1) The total number of job vacancies within the bargaining unit.

(2) The total number of applicants for vacant positions within the bargaining unit.

(3) The average number of days to complete the hiring process from when a position is posted.

(4) Opportunities to improve compensation and other working conditions.

(d) This section shall not prevent the governing board from holding additional public hearings about vacancies.

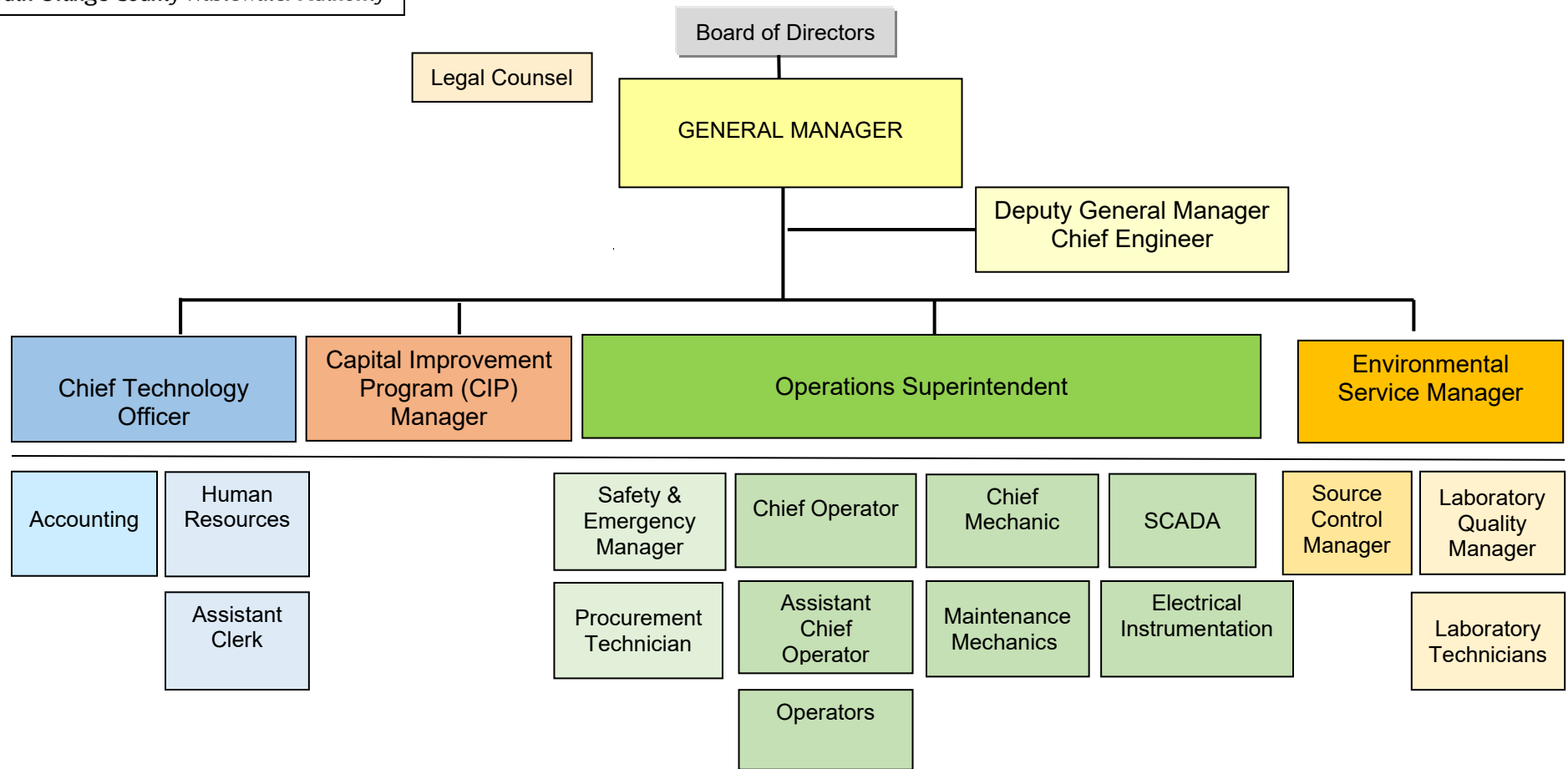
(e) The provisions of this section are severable. If any provision of this section or its application is held invalid, the invalidity shall not affect other provisions or applications that can be given effect without the invalid provision or application.

(f) For purposes of this section, "recognized employee organization" has the same meaning as defined in subdivision (a) of Section 3501.

SEC. 3. The Legislature finds and declares that Section 2 of this act, which adds Section 3502.3 to the Government Code, furthers, within the meaning of paragraph (7) of subdivision (b) of Section 3 of Article I of the California Constitution, the purposes of that constitutional section as it relates to the right of public access to the meetings of local public bodies or the writings of local public officials and local agencies. Pursuant to paragraph (7) of subdivision (b) of Section 3 of Article I of the California Constitution, the Legislature makes the following findings:

It is in the public interest, and it furthers the purposes of paragraph (7) of subdivision (b) of Section (3) of Article I of the California Constitution, to ensure that information concerning public agency employment is available to the public.

SEC. 4. No reimbursement shall be made pursuant to Part 7 (commencing with Section 17500) of Division 4 of Title 2 of the Government Code for costs mandated by the state pursuant to this act. It is recognized, however, that a local agency or school district may pursue any remedies to obtain reimbursement available to it under Part 7 (commencing with Section 17500) and any other law.



Fiscal Year 2025/2026

FTE 40.5

Technology Services	4.5
Operations O/M	23
Environmental	10
Engineering	1
GM / DGM/CE	2

Agenda Item

6.B.

Board of Directors Meeting

Meeting Date: May 14, 2026

TO: Board of Directors

FROM: Amber Boone, Acting General Manager

STAFF CONTACT: Jim Burror, Director of Operations

SUBJECT: San Bernardino Municipal Water District Phase II Biosolids Facility Study

Summary

The SoCal Regional Biosolids Coalition has completed Phase I of a Regional Biosolids Feasibility Study and is advancing the planning for Phase II. Phase I identified viable sites and technology combinations for a potential regional biosolids processing facility in Southern California.

Phase II will further define the project to determine whether to proceed toward implementation by advancing a preferred project configuration, preliminary design, cost estimates, permitting strategy, delivery model, and funding approach.

Participation in Phase II will position participating agencies to make an informed decision on advancing to final design and construction. It will also allow SOCWA to evaluate long-term biosolids management options, improve resiliency, and better understand potential regional cost and regulatory concerns.

The Coalition is now seeking agency alignment and commitments to advance into Phase II.

Discussion / Analysis

At the November 4, 2025, coordination workshop, the Coalition reached alignment on several foundational elements to advance Phase II, including proceeding with a full proposal procurement for a Phase II consultant, establishing a Steering Committee to support multi-agency coordination, and defining a regulatory outreach strategy following site and technology selection.

Several key policy and financial decisions remain under development, including the Phase II cost-sharing methodology, project delivery model (public versus public-private), and participation structure. These decisions will be finalized through upcoming Coalition workshops.

Phase II costs are preliminarily estimated at approximately \$4 million total, with per-agency costs expected to range from approximately \$250,000 to \$400,000 depending on the final cost-sharing agreement and participation levels.

New agencies joining in Phase II are anticipated to reimburse Phase I costs to maintain equity among participating agencies.

A Steering Committee Memorandum of Understanding (MOU) is being developed to establish governance, coordination, and decision-making processes for participating agencies during Phase II. The MOU identifies SBMWD as the lead agency and provides a framework for collaboration, issue resolution, and project oversight.

The Phase II procurement will include a new open solicitation process. The current Phase I consultant team (Carollo/Brown and Caldwell) is expected to participate in the competitive Request for Proposals process.

Phase II represents the critical step in advancing the project from feasibility to implementation readiness. The outcome of Phase II will determine whether the project is viable to proceed into final design, permitting, and construction.

Phase 2 Scope and Deliverables:

- Preferred Project Definition (site, technology, and capacity)
- Preliminary Design Report (layouts, process, and infrastructure)
- Project Cost and Operations Estimates (capital, O&M, staffing)
- Permitting and Regulatory Strategy (pathway, risks, agency input)
- Ownership and Delivery Model Evaluation (public vs. P3, delivery methods)
- Public Outreach and Stakeholder Engagement Program
- Funding and Financing Strategy (grants, loans, opportunities)

Prior Board Actions

On February 13, 2025, the SOCWA PC2 Board reviewed the option for participation in Phase I for \$50,000. No formal action was taken since the authorization was below \$100,000.

Fiscal Impact

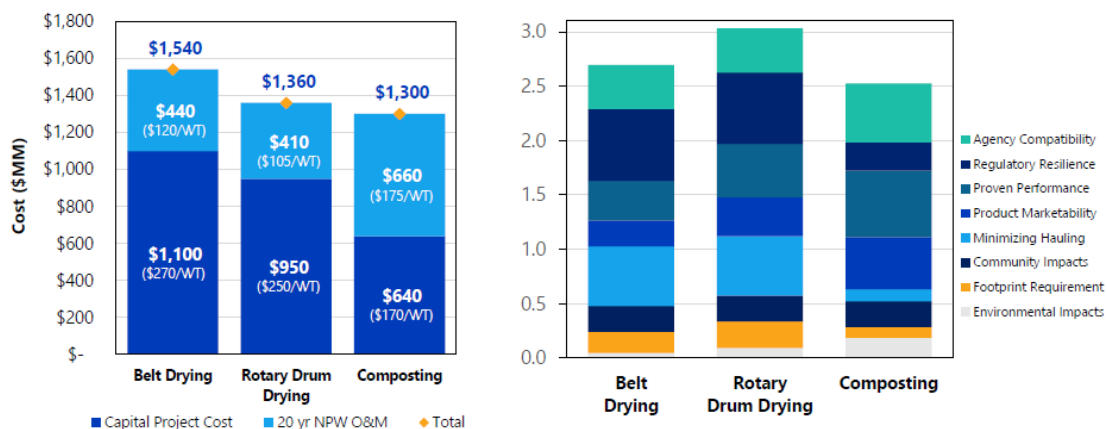
This is not a budgeted SOCWA project.

Depending on the number of participants, the Phase II per-agency cost is expected to range from \$250,000 to \$400,000. Participation in Phase II does not obligate agencies to proceed into future project phases.

Board action will be required to approve participation and associated funding.

The Phase I report presented a range of costs to manage Biosolids under this program:

Evaluated technology and site pairings based on cost and non-cost criteria



Recommended Action: The Board will be requested to receive and file; and provide direction on potential participation in Phase II.

Attachment: SoCal Regional Biosolids Coalition Steering Committee MOU

INITIAL DRAFT

Memorandum of Understanding Establishment of the SoCal Regional Biosolids Coalition Steering Committee

This Memorandum of Understanding (MOU) is entered into by and among the undersigned agencies (hereafter 'Participating Agencies') who have completed Phase I of the Regional Biosolids Feasibility Study and intend to participate in future phases of the SoCal Regional Biosolids Project.

1. Purpose

The purpose of this MOU is to establish a Steering Committee to guide, coordinate, and oversee the Regional Biosolids Program consistent with the Phase I Feasibility Study. The core functions of the Steering Committee include:

- Strategic alignment
- Decision-making
- Oversight
- Issue resolution
- Resource allocation
- Stakeholder communication
- Risk management

2. Steering Committee Structure

- Each agency intending to participate in Phase II is eligible to participate in the Steering Committee. Inclusion in the Steering Committee does not bind the agency to executing the Cost Sharing Agreement (CSA) for Phase II.
- Each agency may send up to two (2) representatives per meeting; these representatives may change from meeting to meeting.
- Each agency has one vote and equal standing in Steering Committee deliberations.

3. Meetings

- Meetings shall be held as needed to support Phase II activities.
- Meetings may be held remotely or in person, with most expected to be remote.
- Meeting materials shall be distributed in advance to all designated representatives.

4. Roles and Responsibilities

- Provide strategic guidance and oversight for Phase II activities.
- Facilitate coordination among Participating Agencies.
- Review and recommend key decisions including technology selection, site evaluation, governance, and funding strategies.
- Serve as the primary forum for collaboration, issue resolution, and stakeholder engagement planning.

5. Dispute Resolution

Participating Agencies shall make good-faith efforts to resolve disputes collaboratively within the Steering Committee.

- If a dispute cannot be resolved, it shall be elevated to the lead agency, SBMWD, which retains final decision-making authority.
- SBMWD's decision shall be final for all matters governed under this MOU.

6. Amendments and Duration

- This MOU may be amended with consensus of the Steering Committee.
- This MOU remains in effect until the completion of Phase II or until superseded by a subsequent agreement.

7. Signatures

By signing below, each Participating Agency affirms its commitment to this MOU and acknowledges SBMWD as the lead agency with final decision authority.

Agenda Item

6.C.

Board of Directors Meeting

Meeting Date: May 14, 2026

TO: Finance Committee
FROM: Amber Boone, General Manager
SUBJECT: FY 26-27 Budget

Summary

Staff is requesting approval of the FY 26-27 Budget. At the April 21, 2026, Finance Committee, the Operations and Maintenance (O&M) budget was recommended, with the direction for staff to work on actuarial liability post transition for use in the FY 25-26 and FY 26-27 Use Audits. For the Capital Improvement Plan (CIP) Budget, SOCWA staff reviewed internal staff resources, cash on hand, and project schedules to adjust the previously requested amount of \$12,280,076 to \$8,448,406. The total request for the FY 26-27 O&M and CIP budget is \$28,508,054.

Discussion

The April 7, 2026, Special Board meeting and Budget Workshop included a review of the O&M and CIP Budgets, which incorporated quality control refinements (external and internal), revised figures, and minor adjustments to line items after presentation to the SOCWA Finance Committee on March 3, 2026. Not included in the April 7, 2026, Budget presentation was an additional increase of COLA due to the increase in the Consumer Price Index (CPI) for Orange County, which was published on April 14, 2026. Staff used the 3.4% as the 12-month average based on the CPI-U requirement in the SOCWA MOU. The previously budgeted COLA was 3.0%. The updated O&M budget, including revisions from internal and external quality control review and COLA increase, was presented to the Finance Committee on April 21, 2026, as reflected in Table 1.

Table 1: FY 26-27 O&M Budget Summary

Project Committee	FY 2026-27 (\$)	FY 2025-26 (\$)	Difference (\$)	Change (%)
PC2	\$9,004,449	\$8,616,078	\$388,372	4.51%
PC5	\$928,180	\$944,588	(\$16,407)	-1.74%
PC8	\$295,428	\$289,662	\$5,766	1.99%
PC12	\$111,425	\$88,769	\$22,655	25.52%
PC15	\$3,702,773	\$3,822,519	(\$119,746)	-3.13%
Lab Services	\$641,711	\$576,240	\$65,471	11.36%
PC21	\$24,183	\$27,589	(\$3,406)	-12.35%
PC23	\$10,290	\$17,500	(\$7,210)	-41.20%
PC24	\$805,445	\$807,995	(\$2,550)	-0.32%
Total Operating	\$15,523,883	\$15,190,939	\$332,944	2.19%
Administration & General Fund	\$1,803,814	\$1,801,514	\$2,300	0.13%
Subtotal O&M and Admin	\$17,327,697	\$16,992,453	\$335,245	1.97%
UAL & OPEB	\$ 2,731,951	\$2,477,818	\$254,133	10.26%
TOTAL BUDGET	\$20,059,648	\$19,470,271	\$589,378	3.03%

At the April 21, 2026, Finance Committee meeting, there were no operational questions on budgeted items, rather the discussion focused on the liability budget post-2024 reorganization agreement. The liabilities were captured conservatively, related to a lower discount rate for OPEB and an unfunded actuarial liability percentage from previous actuarial work completed by Bartel and Associates. This work is referenced in the draft Cost Allocation Policy. The actuarial analysis considered 30 years of labor data as the method for assessment of liabilities following where the employee worked, prior to retirement from SOCWA. The labor that remains at SOCWA is significantly reduced, focusing solely on permitting and laboratory services as part of the contractual agreements post-reorganization. Additionally, the time frame (denominator) of the liabilities is slight, as explained at the Finance Committee, resulting in a slight adjustment to the budgeted liabilities for FY 26-27, which representatives from MNWD agreed to at the Finance Committee. The SOCWA staff are working with an actuarial firm, the Finance Committee, and MNWD to address the potential changes for reconciliation in FY 25-26 and FY 26-27 Use Audits. This work does not affect the budget changes as presented today but represents a work schedule to complete this analysis as requested to be relayed from the Finance Committee to the Board.

Not presented at the Finance Committee was the revised CIP budget for FY 26-27 due to additional comments requested from the Engineering Committee through April 29, 2026. Parallel to comments requested, SOCWA staff worked to evaluate the internal resources at SOCWA, resolve capital cash on hand, and further refine the CIP plan in step with the Master Planning efforts. The results of these efforts produced an updated CIP workplan, presented in Agenda Item 5b, as a communication tool for tracking project progress by the Board and the Engineering Committee in alignment with SOCWA’s goal of 75% CIP budget spend. SOCWA staff originally requested \$12,280,076 at the April 7, 2026, Board Budget workshop, but revised the CIP to \$8,448,406 as reflected in Table 2. Table 3 provides a summary of the changes that were made to the CIP, with the majority of the reduction coming from delaying \$3M in Master Planning studies to FY27-28 PC2 and PC15.

Table 2: Chronology and Delta of SOCWA CIP FY 26-27 Budget Requests

	Est. FY26-27 Budget From FY25-26 Budget Book	FY26-27 Proposed Cash Requests (April 2026)	Proposed FY26-27 Budget	Delta from Budget for FY25-26
Planned Cash Request	\$16,824,895	\$12,280,076	\$8,448,406	\$8,376,489

Table 3: CIP FY 26-27 Cash Requests

FY26-27 Proposed Cash Requests (Revised)	FY26-27 Proposed Cash Requests	Current Project Phase
\$3,272,774	\$4,573,340	In Construction/Implementation
\$2,070,632	\$1,801,736	In Design
\$1,482,000	\$1,482,000	Planned FY26-27 start
\$1,370,000	\$1,370,000	Planned small capital
\$253,000	\$3,053,000	Non-cap studies
\$8,448,406	\$12,280,076	Total FY26-27 Budget

The Board requested that staff provide a year-over-year comparison of the budgets and present the budget for member agencies only. Table 4 provides that update for O&M Expenses. Please note that MNWD is presented in Table 4 due to contractual obligations and JPA requirements for approval of monies to be spent on expenses incurred by the Agency. Capacity rights, as per the 2024 Reorganization Agreements, are combined with the respective Member Agency, as reflected in the respective agreements.

Table 4: Member Agency Year-over-Year Budget by Member and Participating Agency

**South Orange County Wastewater Authority
 FY 2026-27 Budget By Project Committee and Member Agency**

PC/Area	City of Laguna Beach	City of San Clemente	EBSD	ETWD	MNWD	SCWD	SMWD	Total
Administration (without GF)	\$ 158,491	\$ 18,871	\$ 9,400	\$ 61,725	\$ 67,316	\$ 591,112	\$ 507,319	\$ 1,414,233
General Fund (GF)	\$ 64,930	\$ 64,930	\$ 64,930	\$ 64,930	\$ -	\$ 64,930	\$ 64,930	\$ 389,581
PC 2 - JB Latham Treatment Plant	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 4,171,069	\$ 4,833,380	\$ 9,004,449
PC 5 - San Juan Creek Ocean Outfall	\$ -	\$ 154,264	\$ -	\$ -	\$ -	\$ 174,776	\$ 599,140	\$ 928,180
PC 8 - Pretreatment Program	\$ 12,275	\$ 52,880	\$ 6,475	\$ 41,953	\$ 55,781	\$ 38,379	\$ 87,685	\$ 295,428
PC 12 - SO - Water Reclamation Permits	\$ -	\$ -	\$ -	\$ -	\$ 41,424	\$ 21,423	\$ 48,577	\$ 111,425
PC 15 - Coast Treatment Plant	\$ 1,628,997	\$ -	\$ 90,000	\$ -	\$ -	\$ 1,983,776	\$ -	\$ 3,702,773
PC 17 - Laboratory Services	\$ -	\$ -	\$ -	\$ -	\$ 641,711	\$ -	\$ -	\$ 641,711
PC 21 - Effluent Transmission Main	\$ -	\$ -	\$ -	\$ 24,183	\$ -	\$ -	\$ -	\$ 24,183
PC 23 - North Coast Interceptor	\$ 9,866	\$ -	\$ 424	\$ -	\$ -	\$ -	\$ -	\$ 10,290
PC 24 - Aliso Creek Ocean Outfall	\$ 88,599	\$ -	\$ 6,282	\$ 611,413	\$ -	\$ 99,150	\$ -	\$ 805,445
OPEB UAL Payment	\$ 292,865	\$ 19,124	\$ 12,840	\$ 114,469	\$ 1,201,785	\$ 517,978	\$ 572,890	\$ 2,731,951
Total (\$) FY 26-27	\$ 2,256,024	\$ 310,069	\$ 190,351	\$ 918,673	\$ 2,008,017	\$ 7,662,594	\$ 6,713,921	\$ 20,059,648
Total (\$) FY 25-26	\$ 2,304,861	\$ 336,228	\$ 214,486	\$ 929,674	\$ 1,803,152	\$ 7,476,069	\$ 6,405,799	\$ 19,470,269
Difference FY 26-27 vs. FY 25-26	\$ (48,837)	\$ (26,159)	\$ (24,135)	\$ (11,001)	\$ 204,865	\$ 186,525	\$ 308,122	\$ 589,379

Table 5: Member Agency Year-over- Year CIP

Member Agency	Proposed Revised FY26-27 Cash Request	FY26-27 Cash Request in FY25-26 Budget	Revised CIP Delta	March 2026 Delta
CLB	\$1,427,400	\$3,532,670	(\$2,105,270)	(\$1,032,160)
CSC	\$14,542	\$31,578	(\$17,036)	(\$17,036)
EBSD	\$78,995	\$195,390	(\$116,395)	(\$57,107)
ETWD ⁽¹⁾	\$1,435,016	\$1,883,065	(\$448,049)	(\$448,049)
MNWD ⁽²⁾	\$386,256	\$1,901,678	(\$1,515,422)	(\$1,515,422)
SCWD ⁽¹⁾	\$3,106,112	\$4,746,273	(\$1,640,161)	\$7,759
SMWD ⁽¹⁾	\$2,000,086	\$4,534,241	(\$2,534,155)	(\$1,482,804)
Total	\$8,448,406	\$16,824,895	(\$8,376,489)	(\$4,544,819)

(1) Capacity Transfer Inclusions per December 2024 Exit Agreements
 (2) Direct Billings

The combined cash request by the Member and Participating Agency is provided in Table 6 below.

Table 6: Combined O&M and CIP Budget Request for FY 26-27

Member Agency	O&M FY26-27	CIP FY26-27 Cash Request	Combined Total
City of Laguna Beach (CLB)	\$2,256,024	\$1,427,400	\$3,683,424
City of San Clemente (CSC)	\$310,069	\$14,542	\$324,611
EBSD	\$190,351	\$78,995	\$269,346
ETWD ⁽¹⁾	\$918,673	\$1,435,016	\$2,353,689
MNWD ⁽²⁾	\$2,008,017	\$386,256	\$2,394,273
SCWD ⁽¹⁾	\$7,662,594	\$3,106,112	\$10,768,706
SMWD ⁽¹⁾	\$6,713,921	\$2,000,086	\$8,714,007
Total	\$20,059,648	\$8,448,406	\$28,508,054

Table 7: Combined Project Committee Budgets by PCs

Project Committee (PC)	O&M FY 2026-27	CIP FY 2026-27	Combined FY 2026-27
PC2	\$9,004,449	\$3,813,333	\$12,817,782
PC5	\$928,180	\$25,000	\$953,180
PC8	\$295,428	\$0	\$295,428
PC12	\$111,425	\$0	\$111,425
PC15	\$3,702,773	\$2,617,941	\$6,320,714
Lab Services	\$641,711	\$489,000	\$1,130,711
PC21	\$24,183	\$1,433,132	\$1,457,315
PC23	\$10,290	\$0	\$10,290
PC24	\$805,445	\$70,000	\$875,445
Total Operating/Capital	\$15,523,883	\$8,448,406	\$23,972,289
Administration & General Fund	\$1,803,814	\$0	\$1,803,814
Subtotal O&M and Admin	\$17,327,697	\$8,448,406	\$25,776,103
UAL & OPEB	\$2,731,951	\$0	\$2,731,951
Total O&M/ Capital	\$20,059,648	\$8,448,406	\$28,508,054

In compliance with the JPA Section 6.3, we will be calling a vote by PC for tracking purposes.

Recommended Action: SOCWA staff recommend that the Board approve the FY 26-27 O&M Budget, including the associated CIP, in the amount of \$28,508,054, with individual votes by PC.

Under Separate Cover: FY 26-27 Budget Book

Agenda Item

6.D.

Board of Directors Meeting
Legal Counsel Review: Yes
Meeting Date: May 14, 2026

TO: Board of Directors
FROM: Amber Boone, General Manager
SUBJECT: Draft Cost Allocation Policy

Summary

Based on the discussion at the SOCWA Board, staff is bringing back this updated policy to the Board for discussion for final review prior to incorporating into the SOCWA Policy Handbook.

Recommended Action: Board Discussion, Direction, and Action

Attachment: Draft Cost Allocation Policy

Cost Allocation Policy

Purpose

This policy establishes the methodology for allocating costs associated with the operation, maintenance, administration, and unfunded liabilities of the South Orange County Wastewater Authority (SOCWA). It ensures fair, transparent, and consistent distribution of costs among member agencies and project committees and shall govern the allocation of costs reflected in SOCWA's Budgets, including costs related to administration, operation, and maintenance, capital projects, information technology, fringe benefits, Unfunded Accrued Liabilities (UAL), and Other Post-Employment Benefits (OPEB).

Budget Components

SOCWA's budget consists of four principal components outlined below.

1. **Capital Improvement Plan (CIP) Budget** – The CIP Plan is a multi-year plan outlining SOCWA's planned investments in public facilities and infrastructure, and more specifically, the financing, location, and timing of capital improvement projects. The CIP Budget is created with input from the Engineering Committee. The CIP Budget includes maintenance costs not directly related to use of the Project facilities, including necessary capital improvements, repairs, adjustments, replacements, and extraordinary or standby maintenance, and incidental accounting and administrative costs in connection therewith. Any change to the cost allocation methodology for the CIP Budget set forth in this Policy must be made by the unanimous consent of all the SOCWA Board of Directors in accordance with Section 6.3.1 of the SOCWA Joint Powers Agreement.
2. **Operations and Maintenance (O&M) Budgets** – Operations and maintenance budgets are prepared for each Project operated and maintained by SOCWA and approved at or prior to each June meeting of the Board for the ensuing Fiscal Year. Operations and maintenance costs directly related to the use of the Project facilities, including necessary improvements, repairs, adjustments, and replacement costs in connection therewith, are paid by each Member Agency using the Project facilities in proportion to its use, consistent with Section 6.3.1 of the SOCWA Joint Powers Agreement. The O&M Budgets include (a) the estimated expenses of operating the Project; (b) the estimated expenses of maintaining the Project, (c) an estimate of income from operations, if any; and (d) the allocation of operation and maintenance expenses among the Member Agencies in each particular Project Committee (the "Participating Member Agencies") in accordance with the formulas set forth in this Policy. O&M Budgets must be approved by a two-thirds (2/3) vote of the Participating Directors in that Project in accordance with Section 6.3 of the SOCWA Joint Powers Agreement. The O&M Budget includes two departments:
 - Department 01: Operations and Maintenance
 - Department 02: Environmental Services

3. **Administration Budget:** The Administrative Budget contains the administrative and incidental accounting costs arising specifically from the operations and maintenance of the Project facilities, as well as the allocation among the Member Agencies of the amounts necessary to cover the Administrative Budget expenditures. Because the Administrative Budget is a Project; the O&M costs for a Project, the Administrative Budget also must be approved by a two-thirds (2/3) vote of the Participating Directors in that Project in accordance with Section 6.3 of the SOCWA Joint Powers Agreement. The Administration Budget includes the following:

- Department 03: Engineering. Greater than 60% of non-labor expenses (residual engineering) in this department are administrative in nature, which were combined with administrative expenses. Engineering labor is billed directly to Capital projects in the CIP Budget with minimal time billed to administration.
- Department 04: Administration. Includes administration and incidental accounting costs arising specifically from the operations and maintenance of the Project facilities.
- Department 05: Information Technology - Expenses are budgeted as direct costs where technology services or equipment are needed at SOCWA facilities or as indirect costs based on the IT pool of expenses. Department 05 expenses are distributed to all project committees and departments based on the "where labor worked" methodology.

4. **General Fund Budget:** The General Fund Budget includes the general administrative expenses of SOCWA and the allocation among the Member Agencies of the amounts necessary to cover the General Budget expenditure. The General Fund Budget is allocated evenly among the six participating Member Agencies. If the General Fund Budget provides an allocation to the Member Agencies on some basis other than equal amounts, the General Fund Budget must be approved by the unanimous consent of all the Member Agencies in accordance with Section 6.1 of the SOCWA Joint Powers Agreement. Certain expenses are split between the General Fund Budget and the Administrative Budget.”. Items included in the General Fund Budget include portions of the following categories, as allocated in Table 1 and described below Table 1 for clarity.

Please note that the percentages in Table 1 are the General Fund percentage allocations, and the remaining percentage allocations for each category of expense are allocated entirely to the Administration Budget. For example, Regular Salaries of the General Manager shall be split 50% into the General Fund (allocated equally to the SOCWA member agencies) and 50% into the Administration budget, which is allocated based on where labor worked, following O&M expenses.

Table 1: General Fund Expenses and Percentage Allocations

General Fund Allocation	
Salary and Fringe	
Regular Salaries-Admin	50% (General Manager)
Assistant Clerk	50%
Comp Time - Admin	50%
Other Expenses	
Car Allowance (General Manager)	50%
Public Notices	100%
<u>Public Relations/Government Affairs</u>	<u>Board Member Agency Directed</u>
Contract Labor/Part-Time Labor	25%
Audit	100%
Legal Fees	40%
Memberships, Conferences, Training, and Travel	75%
Small Purchases and Consumables	5%
IT Allocations into PC's & Depts.	5%

Definitions of the General Fund:

Audit: Annual audit shall be filed with the State Controller, Orange County Auditor and each Member Agency within six (6) months of the end of the Fiscal Year under examination. All costs associated with this requirement shall be included in this category.

Car Allowance: Monthly allowance for vehicle expense per the General Manager's contract.

Contract Labor/Part-Time Labor: Board approved budget for this additional work as needed.

General Fund: Also known as the General Budget as described in the SOCWA: "(i) "General Budget" means the approved budget applicable to the expenses of administration of the Authority."

IT Allocations into PC's & Depts: IT allocations follow O&M labor.

Legal: For matters related to conducting Board-related business for labor and general counsels.

Public Notices/~~Public Relations~~: Expenses incurred related to any public ~~relations-notice~~ required for the business of the Authority.

Public Relations/Governmental Affairs: Expenses incurred to support Public Relations or Governmental Affairs efforts based on Board-directed or Member Agency requests. Public Relations/Governmental Affairs expenses –and may be funded or co-funded through respective Member Agency partnerships. Public Relations/Governmental Affairs expenses shall be allocated on a case-by-case basis at the direction of the SOCWA Board. Public Relations/Governmental Affairs expenses shall first be presented to the SOCWA Board for discussion regarding whether the expense, or a portion of the expense, belongs in the General Budget, or whether the expense should be subject to a different allocation. Upon the unanimous vote of the SOCWA Board, a Public Relations/Governmental Affairs expense may be added to the General Budget (and thereby allocated evenly among the six participating Member Agencies) or allocated on some basis other than equal amounts among all Member Agencies. If there is not unanimous consent regarding the proposed allocation by the SOCWA Board, then ~~that~~ Public Relations/Governmental Affairs expense may be funded by one or more Project Committees, or by two or more Member Agencies, subject to the unanimous consent of the Participating Directors representing the Member Agencies that will fund the expense.

Regular Salaries-Admin: Regular salary of the SOCWA General Manager

Small Purchases and Consumables: Small tools and supplies, subscriptions, postage, office supplies in admin, miscellaneous, and shipping/freight that support Board-related business.

5. **Other Budget Components:**

- UAL - "UAL" is an abbreviation for Unfunded Actuarial Liability, which is the gap between a pension plan's total obligations to employees and the assets it has on hand to pay for those benefits. This liability represents the portion of accumulated benefits that an organization is committed to paying but for which it has not yet set aside sufficient funding. For example, in the UAL calculation for a public agency, the UAL represents the amount of promised benefits that is greater than the plan's assets.
- OPEB - An OPEB liability is an accounting term for the financial obligation an employer has to pay for Other Postemployment Benefits (OPEB) provided to its retired

employees and their beneficiaries. These benefits are non-pension benefits earned during an employee's service period but paid after employment has ended.

Cost Allocations

The following sections provide the allocations by each component outlined above.

Engineering Allocations

Capital costs are considered projects that maintain the SOCWA facilities and follow Section 6.3.1 of the SOCWA JPA agreement, which states that capital costs “shall be paid by the Participating Member Agencies in proportion to their respective percentage share of the ownership of capacity in said Project facilities.” The December 2024 reorganization agreements contain the most current cost allocations for capital projects and are utilized in the budget creation.

The capital portion of the O&M Budget is presented to the SOCWA Board’s Engineering Committee for review, comment, and incorporation by consensus of each project committee member.

Administrative Cost Allocation

Administrative costs follow Section 6.2 of the SOCWA JPA agreement. The methodology divides costs per agency by the total Operations and Maintenance budget (Departments 01 & 02) without including Admin, UAL, or OPEB costs. This ensures administrative costs remain proportional to services received, as identified in the annual budget. Any changes to this methodology require unanimous consent from all Participating Member Agencies per Section 6.3.1 of the SOCWA JPA.

Fringe Benefit Allocation

SOCWA utilizes a fringe benefit pool methodology that is applied to salaries with a utilization rate. The fringe benefit pool encompasses costs for accrued leave, group insurance, PERS Normal Costs, and other paid benefits. The utilization rate is the pay-for-time-worked rate based on the number of hours on leave divided by the total number of hours available to work. SOCWA plans to transition from the fringe pool method to an actual cost allocation approach to better accommodate labor changes throughout the fiscal year.

Information Technology Allocation

IT costs are distributed using a labor-based ("where labor worked") allocation methodology, distinguishing between:

- Direct costs: Technology services or equipment needed at specific SOCWA facilities.
- Indirect costs: Distributed across project committees and departments based on labor allocation.

Unfunded Liabilities Allocation

The allocation of Unfunded Accrued Liability (UAL) requires annual payments based on actuarial distributions. Distribution adheres to a proportional methodology based on labor services received by each Member Agency and is updated by an actuarial firm, when necessary, to account for

structural changes at the agency. Employer retirement costs are allocated according to labor distribution and agency participation levels, reviewed and updated periodically by an outside consulting firm. Certain agencies (referred to as Contract Agencies as defined herein) are contractually obligated to cover certain UAL and OPEB costs based on terms set forth in individual agreements, such as withdrawal or continuing services agreements.

Contracted Services Allocations

SOCWA may contract from time to time with partners to provide those partners with certain specialty services, such as recycled water permitting, permitting compliance services (such as NPDES and master recycled water permits), pretreatment program services, and/or laboratory services using the same general facilities and standard of care as provided to SOCWA's Member Agencies. Generally, SOCWA provides these services and invoices for the contracted partners, such as the Trabuco Canyon Water District and the Moulton Niguel Water District, on a quarterly or annual basis for actual costs, plus reasonable administration and overhead costs, which are calculated proportionately based on the same overhead and administration methodology used for Member Agencies.

De minimis contracts that provide revenue sources of under \$100k annually will have a flat overhead and administration rate, which will be set and reviewed annually, and these revenues will be used to offset costs associated with the specific Project Committee applicable to the service provided, if applicable, and shall be reconciled and credited as appropriate during the use audit process.

SOCWA will defer to agreed-upon contract language from previous member agencies negotiated as part of subsequent withdrawal agreements related to unfunded public system liability while agencies were members of the Authority.

SOCWA will provide notice to each contracted services partner no later than March 1 each year to determine whether they desire to continue using SOCWA's services for the following fiscal year, to determine inclusion in the budget, where and as applicable.

Project Committee Allocation

SOCWA operates through a series of Project Committees (PCs), each with specific operational responsibilities and Participating Member Agencies. The Project Committee costs are inclusive of facility usage, operational needs, special studies determined by Engineering or Finance Committees, permit requirements, regulatory drivers, labor, and utility operational costs. Specific allocation methodologies vary by Project Committee. SOCWA will utilize the capacity ownership amounts set forth in the December 2024 Reorganization Agreements as normal budgeted costs and resolve the usage in the Use Audit process.

Table 2 sets forth the current SOCWA Project Committees, Member Agencies, and Contract Agencies. "Contract Agencies" are agencies that have contracted capacity through other SOCWA Member Agencies and/or otherwise receive services through contracts directly with SOCWA.

Table 2: SOCWA Project Committee Participating Member Agencies and Contract Agencies

Project Committee	Description	SOCWA Participating Member Agencies	Contract Agencies
PC 2	JB Latham WWTP	SCWD, SMWD	MNWD
PC 5	San Juan Creek Ocean Outfall (SJCOO)	CSC, SCWD, MNWD, SMWD	MNWD
PC 8	Pre-Treatment Program	CLB, CSC, EBSD, ETWD, SCWD, SMWD	IRWD, MNWD
PC 12	Recycled Water Permit	SCWD, SMWD	MNWD, TCWD
PC 15	Coastal WWTP	CLB, EBSD, SCWD	N/A
PC 21	Effluent Transmission Main (ETM)	ETWD	IRWD, MNWD
PC23	North Coast Interceptor (NCI)	CLB, EBSD	N/A
PC 24	Aliso Creek Ocean Outfall (ACOO)	CLB, EBSD, ETWD, SCWD	IRWD, MNWD

Agency Abbreviations:

- CLB: City of Laguna Beach
- CSC: City of San Clemente
- EBSD: Emerald Bay Service District
- ETWD: El Toro Water District
- IRWD: Irvine Ranch Water District (a Contract Agency, not a Member of SOCWA)
- MNWD: Moulton Niguel Water District (a Contract Agency, not a Member Agency of SOCWA)
- SCWD: South Coast Water District
- SMWD: Santa Margarita Water District
- TCWD: Trabuco Canyon Water District (a Contract Agency, not a Member Agency of SOCWA)

PC 2 (JB Latham WWTP)

PC 2 O&M costs are budgeted and allocated based on the capacity rights specified in the Assignment and Assumption Agreement (PC 2) (Agreement No.5/Agreement #7 to PC 2, effective December 12, 2024), as outlined in Table 3. Please note that, based on the agreement, *MNWD costs are combined with SCWD costs, effective December 12, 2024:*

“MNWD’s 23.08% liquids treatment capacity allocation in (and effluent from) the JB Latham Treatment Plant, totaling 3.00 mgd; and (ii) MNWD’s 21.62% solids treatment capacity allocation in the JB Latham Treatment Plant, totaling 8,340 lbs/day ((i))”.

Table 3: PC 2 Capacity Summary (Owned and Operated by SOCWA)

PC 2 - SOCWA JBL Capacity Summary (Owned and Operated by SOCWA)					
Agency	Liquids (mgd)	Solids (mgd) (1)	Solids (lbs)(1)	Common-S (%)	Common - L (%)
SCWD	6.75	7.70	16055	41.62%	51.92%
SMWD	6.25	10.80	22518	58.38%	48.08%
Total	13.00	18.50	38573	100%	100%

PC 5 (San Juan Creek Ocean Outfall)

PC 5 O&M costs are budgeted and allocated based on the hydraulic capacity ownership amounts set forth in the Assignment and Assumption Agreement (Agreement No.6, effective December 12, 2024) and represent fixed costs as noted in Table 4. Please note that, based on the agreement, *MNWD costs are combined with SMWD costs effective December 12, 2024*: “MNWD hereby permanently assigns to (a) SMWD and SMWD hereby accepts 59% of MNWD’s assigned Outfall Capacity, and (b) SCWD and SCWD hereby accepts 41% of MNWD’s Assigned Outfall Capacity and 100% of MNWD’s Assigned Pumping Capacity.”

Table 4: PC 5 - SOCWA San Juan Creek Ocean Outfall Capacity Summary (Owned and Operated by SOCWA)

Agency	Ownership (%)	Hydraulic Capacity (mgd)
CSC	16.620%	13.296
SCWD	18.829%	15.063
SMWD	64.551%	51.64
Total	100.000%	80.00

PC 8 (Pretreatment Costs)

PC 8 costs remain in the budget with direct costs billed to Contract Agencies based on where labor worked.

PC 12 (Water Reclamation Permits)

The PC 12 costs are volume based (recycled water produced) and are allocated by_Agency in the following manner.

- MNWD: The amount of reclaimed water produced from the Regional Treatment Plant (RTP) and the 3A Treatment Plant (split with SMWD).
- South Coast Water District (SCWD): The total reclaimed water produced from the Coastal Treatment Plant (CTP).
- Santa Margarita Water District (SMWD): The combined sum of reclaimed water produced from the Oso Creek Water Reclamation Plant (OCWRP), the Chiquita Water Reclamation Plant (CWRP), and the Nichols Water Reclamation Plant (NWRP), the acre-foot sum of the Rosebaum well, the Mission Street Well, and the total reclaimed water from the SMWD/CSJC intertie.
- Trabuco Canyon Water District (TCWD): Reclaimed water produced from the Robinson Ranch Water Reclamation Plant (RRWRP).

PC 15 (Coastal Treatment Plant) Allocation

PC 15 O&M costs are budgeted and allocated according to the Liquids, AWT, and Common capacity amounts set forth December 12, 2024, Coastal Treatment Plant Capacity Rights Transfer Agreement (Agreement No.3MNWD Capacity Rights in Project Committee 15), as noted in Table 5.

Table 5: PC 15 - Coastal Treatment Plant Capacity Summary (CTP Owned and Operated by SOCWA: AWT is owned by SCWD but operated by SOCWA)

Agencies	Liquids (mgd)	AWT (%)	Common (%)
CLB	3.64	0	54.30%
EBSB	0.2	0	3.00%
SCWD	2.86	100	42.70%
Total	6.7	100	100.00%

PC 21 (Effluent Transmission Main) Costs

PC 21 O&M costs are budgeted and allocated according to hydraulic capacity ownership as set forth in the Assignment and Assumption Agreement (Agreement No.7, effective December 12, 2024) (Project Committees 21 and 24) as noted in Table 6. Please note that *IRWD costs are combined with ETWD costs, effective July 1, 2023, with 50% capacity rights to IRWD and 50% capacity rights to ETWD for ETM reach B/C/D, and IRWD and MNWD costs are combined with ETWD costs, effective December 12, 2024, with 23.29% allocated to ETWD, 23.29% allocated to IRWD, and 53.43% allocated to MNWD for Reach E.*

Table 6: PC 21 - Effluent Transmission Main (ETM) Capacity Summary Reach B/C/D/E (Owned and Maintained by SOCWA)

Agency	Hydraulic Capacity	Ownership Percentage (%)
ETWD - B/C/D	15	100%
ETWD - E	32.2	100%

PC 23 North Coast Interceptor Costs

PC 23 O&M costs are budgeted and allocated according to hydraulic capacity ownership as set forth in the November 22, 2006, Amendment No. 3 to the Agreement for Design, Construction, Use, Operation, Maintenance, Repair, and Replacement of Phase I North Coastal Interceptor Sewer Pipeline and Pumping Stations for AWMA for and on Behalf of PC No. 7-A as noted in Table 7.

Table 7: PC23 North Coast Interceptor

Agency	Capacity Ownership Percentage (%)
CLB	95.88
EBSD	4.12

PC 24 (Aliso Creek Ocean Outfall) Costs

PC 24 O&M costs are budgeted and allocated according to hydraulic capacity ownership as set forth in the December 12, 2024, Assignment and Assumption Agreement (Agreement No.7) (Project Committees 21 and 24) as noted in Table 8. As noted in the Agreement: “*Note MNWD costs are combined with ETWD costs, effective December 12, 2024. Assignment and Acceptance of MNWD’s Assigned Capacity and Rights and Obligations. MNWD hereby permanently assigns to ETWD, and ETWD hereby accepts from MNWD, (1) MNWD’s 53.42% capacity allocation in Reach E of the Effluent Transmission Main; (2) MNWD’s 43.848% capacity allocation in the ACO Outfall ((1) and (2) are collectively referred to herein as “MNWD’s Assigned Capacity”). Note that IRWD transferred capacity rights to ETWD effective July 1, 2023 via an Assignment and Assumption Agreement.*”

Table 8: PC 24 - Aliso Creek Ocean Outfall (ACOO) Capacity Summary (Owned and Operated by SOCWA)

Agency	Hydraulic Capacity (mgd)	Ownership Percent (%)
CLB	5.500	11.00%
EBSB	0.390	0.78%
ETWD	37.955	75.91%
SCWD	6.155	12.31%
Total	50.000	100.00%

Cost Allocation Principles for SOCWA Wastewater Treatment Facilities

The following principles guide SOCWA's cost allocation methodologies and are applicable to PC 2 & PC 15. The other PCs have fixed cost distribution (PC 5 & PC 24), and are based on production (PC 12), or labor allocation (PC 8). Additional allocation categories are described below.

Treatment Plant Cost Allocation Categories

1. Process-Based Allocation: Costs are allocated based on operational processes (Liquids, Solids, Common, AWT).
2. Facility-Specific Considerations: Each facility has a unique allocation structure reflecting its operational characteristics.
3. Direct vs. Shared Costs: Direct costs are allocated to specific processes; shared resources are allocated proportionally.
4. Labor Distribution: Based on actual time spent supporting each facility or project committee.
5. Utility-Specific Allocation: Based on metering data and operational requirements.
6. Chemical Usage Tracking: Based on actual usage by treatment process, resolved in the use audit.
7. Equipment-Based Allocation: Based on the primary function of equipment (solids, liquids, or common costs).

PC 2 Cost Allocation Structure

PC 2 operates with a four-way allocation system distributing costs among Liquids (55.1%), Solids (43.4%), Common/Liquids (0.8%), and common Solids (0.8%) treatment processes. This allocation structure applies to regular labor costs, benefits, and most operational expenditures. Notable variations include:

- Electricity: 65.0% Liquids, 25.0% Solids, 5.0% Common/Liquids, 5.0% Common/Solids

- Natural Gas: 65.0% Liquids, 25.0% Solids, 5.0% Common/Liquids, 5.0% Common/Solids
- Chlorine/Sodium Hypochlorite: 50.0% Liquids, 50.0% Solids
- Polymer Products: 100% Solids
- Ferric Chloride: 100% Liquids
- Other Chemicals: 54.0% Liquids, 46.0% Solids
- Non-Control Chemicals: 50.0% Common/Liquids, 50.0% Common/Solids
- Laboratory Services: 75.0% Liquids, 25.0% Solids
- Grit Hauling: 100% Solids
- Capital projects follow the ownership allocations, depending on the type of project, that are presented as the Common-L or Common-S.

PC15 Cost Allocation Structure

PC 15 employs a different allocation structure than PC 2, with costs distributed among Liquids (55.4%), Common/Liquids (3.4%), and AWT (41.2%) treatment processes. This reflects the facility's distinct operational focus. Key allocation patterns include:

- Regular Salaries: 76.4% Liquids, 18.2% Common/Liquids, 5.4% AWT
- Overtime Salaries: 64.9% Liquids, 21.9% Common/Liquids, 13.2% AWT
- Electricity: 100% Liquids
- Natural Gas: 50.0% Liquids, 50.0% Common/Liquids
- Water: 90.0% Liquids, 10.0% AWT
- Chlorine/Sodium Hypochlorite: 100% Liquids
- Ferric Chloride: 100% Liquids
- Laboratory Supplies: 75.0% Liquids, 25.0% AWT
- Petroleum Products: 50.0% Liquids, 3.4% Common/Liquids, 41.2% AWT
- Uniforms: 55.4% Liquids, 3.4% Common/Liquids, 41.2% AWT
- Maintenance Equipment & Facilities (Liquids): 100% Liquids
- Maintenance Equipment & Facilities (Common): 100% Common/Liquids
- Maintenance Equipment & Facilities (AWT): 100% AWT
- Solids Pumping Costs (discussion item)
- Capital projects follow the ownership allocations, depending on the type of project, that are presented as Common or AWT--

PC 5, 21, 23, and 24 Cost Allocation Structure

All budgeted capital and O&M costs for PCs 5, 21, 23, and 24 are allocated based on the Member Agencies' ownership of hydraulic capacity of the pipelines.

Contract Agency Services

SOCWA provides services for Contract Agencies through contractual agreements, such as laboratory and permitting services. The budget for these services is provided to the Contract Agencies by March of each year for approval of continuation of services.

Budget Allocations

Once the total cost of providing staffing and services on behalf of MAs is completed by SOCWA staff and approved by the Board, the following standardized methodology allocates costs to

project committees (PCs) and ultimately rolled up to each SOCWA Member Agency. It ensures equitable distribution of operations and maintenance (O&M) expenses, administrative costs, general fund (GF) contributions, unfunded actuarial liability (UAL), and other post-employment benefits (OPEB) liabilities. All allocations shall be based on verifiable data sources, such as capacity rights, labor utilization, or flow percentages, and shall adhere to board-approved guidelines and reorganization agreements. SOCWA staff shall provide Member Agency staff with the raw data for the allocations and methodology employed with a statement of quality assurance in adherence with the allocation steps below with the annual SOCWA Budget.

The steps for cost allocation are as follows:

1. Allocation Based on Capacity Rights: Utilize established capacity rights to determine the proportional contribution per agency for each PC and MA.
2. Alternative Allocation Methods: In instances where capacity rights are unavailable, employ labor utilization metrics (e.g., “where employee worked”) or flow percentages to calculate the proportional utilization by each agency.
3. Calculation of MA Operating Cost Percentages: Determine the percentage that each MA’s operating costs represent relative to the total O&M budgeted expenses. This calculation excludes administrative costs, GF contributions, UAL, and OPEB liabilities.
4. Determination of Administrative Costs per MA: Multiply the percentage derived in Step 3 for each MA by the draft budget amount to compute the total administrative cost attributable to that MA.
5. Computation of Administrative Cost Allocation Percentage: Divide the O&M cost per facility or service budget by the total MA budget to establish the administrative cost allocation percentage.
6. Allocation of General Fund: Calculate the percentage of costs based on Table 1, subtract that amount from the administrative costs and divide equally between the six member agencies.
7. Allocation of Administrative Costs per PC or Service: Multiply the percentage from Step 5 by the total administrative cost from Step 4 to allocate administrative costs to each PC or service.
8. Allocation of UAL and OPEB Liabilities: Use the admin cost allocation percentages per PC (that follows where labor worked) for the liability distribution of the UAL and OPEB. The total liability is the sum of the PCs that the MA is a member of based on UAL Methodology established by the SOCWA Board in 2018¹.
9. Total Budget per Agency: Sum all allocated costs (including O&M, administrative, GF (if applicable), UAL, and OPEB) to derive the total budget attributable to each agency.
- 9-10. Allocation of Capacity Rights Transfer: Staff will allocate costs to contract agencies utilizing “care of (c/o)” methodology per the 2025 reorganization agreements.

This procedure shall be reviewed as needed to incorporate any updates to board methodologies, reorganization agreements, or budgetary frameworks. All calculations must be documented and auditable, with supporting data retained as required by the SOCWA Records Retention Policy.

¹ Actuaries Marilyn Jones of Nyhart and Mary Beth Redding of Bartel Associates provided the updated UAL methodology at the June 17, 2018 Finance Committee meeting. The Finance Committee recommended to use the methodology on August 29, 2018, further discussion at the September 19, 2018 Finance Committee meeting, final action to approve the methodology for use in the audited financial statements on October 4, 2018 by the Finance Committee. This methodology was used in the distribution of liability in FY 2017-2018 audited financials that was brought to the Board to receive and file at the December 6, 2018 SOCWA Board meeting. The SOCWA Board voted to receive and file the audited financial statements and approved the methodology in the approval of the FY 2017-18 Annual Use Audit.

Use Audit Allocation

The Use Audit is completed by applying established flow allocation methodologies, circulated annually for review to SOCWA member agencies, which distribute costs among member agencies based on their proportional usage of treatment facilities. The process involves collecting actual flow data (measured in million gallons per day) and solids loading data (calculated from BOD and TSS measurements) for each Member Agency during the fiscal year, then comparing these actual values against budgeted amounts to determine each agency's percentage share of total system usage.

The allocation methodology varies by project committee - some use average flows over multiple years, others incorporate solids loading calculations, and some account for special agreements between agencies (like the 2018 MNWD-SMWD agreement for solids allocation). Once the actual usage percentages are calculated and compared to budgeted percentages, any differences result in either disbursement of funds to agencies that were overcharged or collection of additional funds from agencies that were undercharged, with the final results reviewed through the Engineering and Finance Committees and recommended to the SOCWA Board of Directors before implementation. Table 9 provides a summary of the Use Audit Methodology with PC descriptions below Table 9.

Table 9: Use Audit Methodology Table

Project Committee (PC)	Method	O & M Costs - Variable	O & M Costs - Fixed	Capital Costs
PC 2	Variable	Liquids-related costs are based on each agency's prior calendar year flows to prepare the budget. Solids-related costs are based on each agency's three (3) year prior pounds (BOD + TSS)/2) to prepare the budget. The Use Audit process utilizes the actual FY totals for Liquids and the actual FY solids, along with the two prior FY solids totals.	Common costs are allocated based on the average ownership of liquids and solids capacity percentages, or $((L\% + S\%)/2)$.	For facilities or equipment with a service life equal to or greater than 5 years, or a value in excess of \$1,000, costs are allocated on the basis of liquid treatment capacity ownership and/or solids treatment capacity ownership as may be applicable.
PC 5	Fixed	Allocated to PC members based on fixed ownership.	Allocated to PC members based on fixed ownership.	Allocated to PC members based on fixed ownership.
PC 8	Variable	Allocated based on percentage of staff time.	Shared equally among all member agencies.	Shared equally among all member agencies.

PC 12	Variable	Total costs are split 50/50 between fixed and variable. Allocated proportionally to each PC member based on non-potable water production and projections.	Total costs are split 50/50 between fixed and variable. Divided equally between each PC member. Insurance is allocated equally amongst the Participating Member Agencies.	Not applicable
PC 15	Variable	Liquids related costs are allocated based on the agency's prior calendar year's flows to prepare the budget. The Use Audit process uses the actual FY totals for Liquids. 100% of the AWT costs are attributed to SCWD.	Common costs are allocated to the PC members based on their liquids ownership allocations.	Plant Liquids and Common capital costs are allocated to the PC members based on their liquids ownership allocations. 100% of the AWT capital costs are allocated to SCWD.
PC 17*	Variable	All costs are allocated to MNWD unless otherwise stated in the Budget/Use Audit.	All costs are allocated to MNWD unless otherwise stated in the Budget/Use Audit.	Any designated capital costs will be allocated to the Budget/Use Audit based on the accompanying agreement.
PC 21	Fixed	Not applicable.	Allocated to each PC member based on percentage of ownership.	Allocated to PC members based on percentage of ownership.
PC 23	Fixed	None or NA - All Costs are considered to be allocated using the Fixed method	All O&M Costs are allocated by ownership percentages per PC23 agreements (see Section 7 of the 11-4-1976 agreement).	All Capital O&M Costs are allocated by ownership percentages per PC23 agreements (see Section 7 of the 11-4-1976 agreement).
PC 24	Fixed	Allocated to PC members based on fixed ownership.	Allocated to PC members based on fixed ownership.	Allocated to PC members based on fixed ownership.

*Included to complete the FY 24-25 Use Audit and will be no longer after the FY 24-25 Use Audit is completed.

The following provides the method for the Use Audit by PC:

PC 2

Member Agency average flows for the FY were used in the flow allocation and applied proportionally from the total combined flow from each tributary trunk line. The PC 2 uses FY flows and three-year FY average solid loadings to reconcile the budgeted amounts. Solids loadings are calculated from adding the average FY BOD and TSS and, dividing by 2, and then multiplying the result by the flow and the 8.34 pounds conversion factor. In March 2018, PC2 members Moulton Niguel Water District (MNWD) and Santa Margarita Water District (SMWD) came to an agreement on how to allocate solids for budgeting and use audit purposes. The new method captures the influent loading at Plant 3A, as it was recognized that this allocation would isolate MNWD's solids contributions to JBL to a single variable. SMWD solids to JBL would then be the balance of solids contributed by the Oso Creek Water Reclamation Plant, 3A, and any other discharges to the Oso Trabuco line to JBL.

PC 5

Fixed costs based on ownership capacity per Member Agency.

PC 8

Allocation is based on timecard (where labor worked).

PC 12

The PC 12 method of production is detailed by Member Agency in the following narrative. San Juan Capistrano is the acre-foot sum of the Rosebaum well, the Mission Street Well, and the total reclaimed water from the SMWD/CSJC intertie. For MNWD, it is the amount of reclaimed water produced from the Regional Treatment Plant (RTP) and the 3A Treatment Plant (split with SMWD). South Coast Water District (SCWD) is the total reclaimed water produced from the Coastal Treatment Plant (CTP). The Santa Margarita Water District (SMWD) is the combined sum of reclaimed water produced from the 3A Treatment Plant (split with MNWD), the Oso Creek Water Reclamation Plant (OCWRP), the Chiquita Water Reclamation Plant (CWRP), and the Nichols Water Reclamation Plant (NWRP). The Trabuco Canyon Water District (TCWD) is reclaimed water produced from the Robinson Ranch Water Reclamation Plant (RRWRP).

PC 15

Due to the lack of solids handling capacity at the Coastal Treatment Plant (CTP), allocation methodology is based on flows to the treatment plant. In addition, there are no current flow meters installed to account for any flow sent to CTP from MNWD, so no flow is being accounted for in this PC flow allocation methodology, unless for emergency use as needed through authorization by the PC15 members, with billing based on use, reconciled in the annual use audit. The City of Laguna Beach (CLB) is the average annual flow into CTP (metered). The Emerald Bay Services District (EBSD) is the average annual flow into CTP (calculated from monthly meter read from the

lift station divided by the days in the month). The South Coast Water District (SCWD) is the average annual flow into CTP (metered). The meter calibration is performed annually in June.

PC 17

The final use audit will be for FY 24-25 due to the reorganization agreements. The method is therefore included in this policy for memorialization.

PC 17 has liquid and solids contribution. The liquid flow allocation is based on influent flow to the plant. The influent flow is solely contributed by the MNWD. The export sludge line transports solids to RTP from CTP for further processing. The liquid flow from CTP's export sludge line is divided by five and distributed to each agency, then summed up to create a total liquid flow to RTP. The flows are then distributed on a proportional basis. The solids contribution is based on the total daily average pounds contributed by each agency distributed proportionally. The meter calibration is performed annually in June.

PC21

Fixed costs based on ownership capacity per Member Agency.

PC 24

Fixed costs based on ownership capacity per Member Agency.

Review and Adjustment

Budgeted administrative costs may be adjusted mid-year as necessary to ensure accurate cost allocation, with all adjustments promptly communicated to member agencies. This policy undergoes periodic review during the budget development process, allowing for modifications based on operational changes, financial circumstances, or evolving Member Agency needs. Changes to this policy may only be made by the unanimous consent of all the Participating Member Agencies as set forth in Section 6.3.1 of the SOCWA Joint Powers Agreement.

Policy Approval and Adoption

This Policy has been reviewed by the Authority Board of Directors and adopted by Resolution No. 2025-16 on December 11, 2025, superseding all previous versions.

Agenda Item

6.E.

Budgeted: N/A

Legal Counsel Review: Yes

Meeting Date: May 14, 2026

TO: SOCWA Board of Directors
FROM: Amber Boone, SOCWA General Manager
SUBJECT: SOCWA Policy Handbook

Summary

On November 13, 2024, SOCWA Executive Committee met and provided direction to update the SOCWA policies. SOCWA staff reviewed the SOCWA policies, compared to Member Agency policies, and provided updates to legal counsel for review. Where appropriate, SOCWA staff removed procedures from policies for a future update to an administrative manual for the agency. On April 8, 2025, the SOCWA Executive Committee met to review the SOCWA Policy Handbook. The Executive Committee recommended that the SOCWA Finance Committee review the SOCWA Policies that have a financial impact on the organizational standard business practices. Underlined policies in the discussion below are policies that the SOCWA Finance Committee reviewed with summaries of changes included in the staff report.

Discussion:

The following list represents the policies included in the draft Policy Handbook:

1. Artificial Intelligence
2. Capitalization and Depreciation of Facilities & Equipment
3. CEQA Compliance
4. Conflict of Interest Code
5. Cost Allocation Policy
6. Disposal of Surplus Property
7. Document Management
8. External Auditor Policy
9. Fraud Prevention and Reporting
10. Government Claims Act Policy
11. Interim Dry Weather Nuisance Flow
12. Investment Policy for Public Funds
13. Public Records Disclosure
14. Travel and Expense Reimbursement
15. Uniform Purchasing Policy
16. Vehicle Charging Policy

Included in this staff report is a list of SOCWA policies and a summary of the changes in the policies presented in a draft policy handbook.

1. Artificial Intelligence

Board adopted in October 2024. Added training requirement related to requirements completed with labor which was previously adopted by the Board in the MOU Labor Agreement in June 2025.

2. Capitalization and Depreciation of Facilities & Equipment

Added sections for Technology Assets, Intangible Assets, Asset Tracking, and Disposition while maintaining the \$5,000 capitalization threshold. Incorporated GASB compliance references (34, 42, 51) and California Government Code citations relevant to JPAs. Enhanced asset safeguarding language and added a five-year policy review recommendation.

3. CEQA Compliance

Transformed technical procedures into a formal board policy emphasizing governance and oversight roles. Streamlined language while maintaining compliance elements including greenhouse gas analysis and tribal cultural resources. Clearly defined Board and Staff responsibilities for environmental review processes.

4. Conflict of Interest Code

Formalized comprehensive policy with clear purpose statements, disclosure categories, and filing requirements. Added training provisions, enforcement information, and established a regular review cycle. Created a professional format with placeholders for policy number, adoption date, and signatures.

5. Cost Allocation

New policy for the Authority establishing policy directive for budgeting and use audit reconciliation in a single policy. Articulation of participating agencies, contract agencies, and how the Authority tracks/manages costs in a detailed manner to avoid ambiguity in cost management. Inclusion of historical practices and summaries of methods included. Clarity in additional governance contracts included in this update.

6. Disposal of Surplus Property

Increased General Manager's approval threshold from \$25,000 to \$50,000. Added dedicated procedures for technology equipment with data security requirements and environmental compliance provisions. Enhanced documentation requirements and added annual reporting to the Board.

7. Document Management

Updated to include cloud storage security requirements and digital signature protocols. Added comprehensive email management section with classification and retention guidelines. Enhanced definition of electronic records to include current technologies. Added specific provisions for permanent records in compliance with Government Code Section 60201(d).

8. External Auditor Policy

Maintained multiyear agreement provisions and six-year partner limit with Government Code citation. Preserved internal control deficiency correction timeframe. Updated RFP criteria for competitive selection.

9. Fraud Prevention and Reporting

Updated gender-specific pronouns to gender-neutral language and increased FPPC gift limit to \$590. Enhanced whistleblower protections with references to California Labor Code Section 1102.5. Improved formatting and fixed numbering inconsistencies.

10. Government Claims Act Policy

Enhanced policy structure with improved numbering and expanded definitions. Added clear delegation of authority and policy review procedures. Redesigned claim form with improved fields and instructions.

11. Interim Dry Weather Nuisance Flow

The policy has been updated to remove references to former member agencies (Moulton Niguel Water District, Irvine Ranch Water District, City of San Juan Capistrano, and Trabuco Canyon Water District) while retaining current SOCWA members. It clarifies the definition of non-stormwater discharge and adds "dust control overwetting" as an example of such discharge.

12. Investment Policy for Public Funds

Added California Asset Management Program, U.S. Government Agency Securities, and Money Market Mutual Funds to authorized investments. Increased LAIF maximum deposit limit from \$40 million to \$75 million. Enhanced regulatory compliance sections and strengthened delegation of authority.

13. Public Records Disclosure

Clarified definition of public records and streamlined request process. Updated exemptions, security provisions, and copy service pricing. Improved formatting for better readability.

14. Travel and Expense Reimbursement

Increased allowable maximums to reflect current costs and reasonable travel allowances as overseen by the Department Head and General Manager. Added provisions for technology reimbursement and rideshare services. Referenced California Government Code and Public Records Act for compliance.

15. Uniform Purchasing Policy

Board approved December 2024 with formatting changed to be consistent with all policies. No content was modified in the policy.

16. Vehicle Charging Policy

New policy for the agency related to current and future vehicle charging. South Coast Water District provided a copy of their policy, as discussed at the 11/18/25 Finance Committee meeting and staff included those updates into the policy.

Recommended Action: Board Discussion, Direction and Action

Attachment: Resolution No. 2026-02: SOCWA Policy Handbook

Separate Cover: SOCWA Policy Handbook

RESOLUTION NO. 2026-02

A RESOLUTION OF THE BOARD OF DIRECTORS OF THE SOUTH ORANGE COUNTY WASTEWATER AUTHORITY APPROVING THE UPDATED SOCWA POLICY HANDBOOK

WHEREAS, the South Orange County Wastewater Authority (SOCWA) is a joint powers authority formed pursuant to the California Government Code Section 6500 et seq., and operates under the governance of its Board of Directors; and

WHEREAS, on November 13, 2024, the SOCWA Executive Committee provided direction to update the SOCWA policies to ensure alignment with current best practices, Member Agency policies, and legal requirements; and

WHEREAS, SOCWA staff reviewed the existing policies, compared them to Member Agency policies, and provided updates to legal counsel for review, removing procedures where appropriate for inclusion in a future administrative manual; and

WHEREAS, the updated Policy Handbook has been reviewed by legal counsel and is recommended for adoption to promote efficient, compliant, and effective operations of SOCWA; and

WHEREAS, the Board of Directors has considered the staff report, and public input, if any, and finds that adoption of the updated Policy Handbook is in the best interest of SOCWA and its Member Agencies.

NOW, THEREFORE, BE IT RESOLVED by the Board of Directors of the South Orange County Wastewater Authority as follows:

1. The updated SOCWA Policy Handbook, incorporated herein by reference, is hereby approved and adopted.
2. The General Manager is authorized and directed to implement the policies contained therein, including any necessary administrative procedures.
3. All prior policies inconsistent with the updated Policy Handbook are hereby repealed or superseded to the extent of such inconsistency.
4. This Resolution shall take effect immediately upon its adoption.

ADOPTED this 14th day of May, 2026, by the Board of Directors of the South Orange County Wastewater Authority.

(Seal)

Frank Ury, Chairman

Amber Boone, General Manager, and Board Secretary

Agenda Item

6.F.

Board of Directors Meeting

Meeting Date: May 14, 2026

TO: Board of Directors
FROM: Amber Boone, General Manager
STAFF CONTACT: Jack Beck, Accountant
SUBJECT: FY 24-25 Use Audit Update

Summary/Discussion

The Annual Use Audit was presented at the January 10, 2026, Finance Committee meeting. Agencies requested that staff break out the use audit pre and post reorganization to show where the transferred capacity ownership would be allocated to using the “C/O” methodology to track capacity transfers post 2024 SOCWA Reorganization. At the March 3, 2026, Finance Committee meeting, SOCWA presented the “C/O” financials with a 50% split pre and post Reorganization. The Finance Committee requested the following, which also incorporated comments received by the Moulton Niguel Water District:

1. Split costs 45%/55% to account for the December 12, 2024, transfer date for pre and post transition use audits.
2. Reflect laboratory services (Department 02) provided after the transition in the post-transition spreadsheet, while allocating all other applicable costs to the pre-transition spreadsheet.

At the March 17, 2026, Finance Committee meeting, additional comments were provided to further allocate costs for laboratory services. SOCWA staff pulled actual costs pre-transition and all other costs were included in the post-transition costs reflected in #2 above. Updated spreadsheets were distributed to the Committee at the April 21, 2026 Finance Committee for additional comments with no requested changes in presentation received.

Recommended Action: The Finance Committee recommend that the SOCWA Board approve disbursement of the Use Audit funds as presented at the April 21, 2026, Finance Committee meeting, with the understanding that further actuarial analysis is needed to reconcile adjustments of the UAL and OPEB liabilities post reorganization.

Agenda Item

6.G.

Board of Directors Meeting

Legal Counsel Review: Yes

Meeting Date: May 14, 2026

TO: Board of Directors

FROM: Amber Boone, General Manager

STAFF CONTACT: Robert Culver, Environmental Services Manager

SUBJECT: FY 25-26 Budget Amendment for Laboratory Services provided to Moulton Niguel Water District for 3A Treatment Plant

Summary/Discussion

SOCWA staff is requesting to amend the Laboratory Services budget for FY2025-26 to account for the additional cost of \$60,923 for laboratory services provided by the SOCWA lab to Moulton Niguel Water District's 3A Treatment Plant.

The December 12, 2024 Moulton Niguel Water District SOCWA Continued Services Agreement (Agreement #9) allows for SOCWA to provide lab services to MNWD pursuant to the terms laid out in the Agreement. Under the terms of Continued Services Agreement, MNWD is to coordinate with SOCWA on an annual basis to confirm the level of service desired in support of its potable water, recycled water, and wastewater operations. SOCWA provides MNWD with an annual budget for laboratory services, to include MNWD's proportional cost for Laboratory Lease, and MNWD confirms in writing its intent to maintain those services.

The SOCWA Budget for FY2025-26 did not include funding for the SOCWA lab to provide services to MNWD's 3A plant. However, at MNWD's request, SOCWA started providing lab services for the 3A Treatment Plant in or around early November 2025 consistent with the terms of the Continued Services Agreement. These services include, but are not limited to, testing for TSS, BOD, SS, Turbidity and Coliform. Costs are allocated to MNWD based on SOCWA's actual costs plus reasonable administration and overhead costs, which, for these services, include Management/Quality Assurance services, and a proportional IT allocation cost. To align 3A Treatment Plant accounting to Regional Treatment Plant and MNWD lab services in the 25/26 budget, staff used a similar methodology as it does with RTP lab services. The detailed cost breakdown associated with SOCWA's 3A lab services and annual FY costs for FY 2025/26-FY2027/28 is attached to this Staff Report as Exhibit 1. Staff notes that when compared to budgeting for RTP and MNWD lab services, 3A does not include collections, process control testing, domestic and groundwater services. The proposed pro-rated budget adjustment amount for FY 2025-26 only (for services from November 2025-June 2026) is \$60,923.

Discussion/Analysis

MNWD has requested, and staff recommends, that these expenses be included in the Lab Services budget consistent with other lab services SOCWA provides to MNWD under the 2024 Continued Services Agreement.

Fiscal Impact

The total budget will increase by \$60,923, which is covered by billed expenses directly to MNWD.

Recommended Action:

Staff recommends the Board approve a FY 2025-26 budget amendment in the amount of \$60,923 to the Laboratory Services Budget (coded as PC-17, Department 02) to account for lab services provided by the SOCWA lab to MNWD for its 3A Treatment Plant pursuant to the December 12, 2024 Moulton Niguel Water District SOCWA Continued Services Agreement (Agreement #9).

Staff will continue to coordinate with MNWD staff and will include annual estimates/projections for future lab services for MNWD's 3A Treatment Plant in the upcoming fiscal year budgets.

Attachment: 3A MNWD Lab Services Cost Estimate Report
MNWD / SOCWA Continued Services Agreement (No. 9)

STAFF REPORT EXHIBIT 1

SOCWA Regional Laboratory

ELAP Certificate 1280

3A Lab Services

Test		Cost per Hour FY 25/26 including fringe	Hours per Test	Supplies Cost per Test	Cost Per Test	Tests per week	Estimated cost per Week	Estimated Cost per Year 25/26	Estimated Pro-rated 25/26 Nov-Jun	Estimated Cost per Year 26/27	Estimated Cost per Year 27/28
BOD		\$76.25	0.5	\$2.00	\$40.13	3	\$120.38	\$6,259.50	\$4,092.75	\$6,635.07	\$7,033.17
cBOD		\$76.25	0.5	\$2.00	\$40.13	9	\$361.13	\$18,778.50	\$12,278.25	\$19,905.21	\$21,099.52
TSS		\$76.25	0.5	\$1.00	\$39.13	10	\$391.25	\$20,345.00	\$13,302.50	\$21,565.70	\$22,859.64
VSS		\$76.25	0.1	\$0.00	\$7.63	3	\$22.88	\$1,189.50	\$777.75	\$1,260.87	\$1,336.52
SS		\$76.25	0.25	\$0.00	\$19.06	5	\$95.31	\$4,956.25	\$3,240.63	\$5,253.63	\$5,568.84
Turb		\$76.25	0.1	\$0.00	\$7.63	1	\$7.63	\$396.50	\$259.25	\$420.29	\$445.51
Total Coliform		\$76.25	0.5	\$10.50	\$48.63	7	\$340.38	\$11,232.38	\$4,424.88	\$11,906.32	\$12,620.70
Mgmt and QA		\$128.29	4	0	\$513.16	1	\$513.16	\$26,684.32	\$17,447.44	\$28,285.38	\$29,982.50
IT Allocations							\$150.00	\$7,800.00	\$5,100.00	\$8,268.00	\$8,764.08
Total							\$2,002.10	\$97,641.95	\$60,923	\$103,500	\$109,710

Coliform annual costs calculated for recycled to be online at 3A for 33 weeks per year based on averages over last 3 years

Coliform 25/26 pro-rated costs calculated for recycled to be online at 3A for 13 weeks

Emergency or after hours charges-test cost plus 1.5 times

MNWD will conduct all 3A sampling and deliver samples daily to the SOCWA Regional Lab.

To meet proposed TAT, samples shall be delivered to the Lab daily before 10am.

Fringe at 53% accounted for in column E

6% cost increase per FY for labor and supplies accounted for in columns M and N

**MOULTON NIGUEL WATER DISTRICT
SOCWA CONTINUED SERVICES AGREEMENT (Agreement #9)**

This MNWD - SOCWA Continued Services Agreement (“Agreement”) is dated December 12, 2024 (“Effective Date”) and is by and between MNWD and SOCWA, and each of the Remaining Member Agencies. SOCWA, each of the Remaining Member Agencies, and MNWD are referred to as a “Party” and together as the “Parties”.

RECITALS

A. **Overview.** The *Joint Exercise of Powers Agreement Creating South Orange County Wastewater Authority* dated July 1, 2001 (the “Joint Powers Agreement”) created SOCWA, a joint powers authority made up of ten wastewater service providers within the San Juan Creek and Aliso Creek watersheds. The seven current members of SOCWA are the City of Laguna Beach, the City of San Clemente, El Toro Water District, Emerald Bay Service District, MNWD, Santa Margarita Water District, and South Coast Water District (collectively, the “Member Agencies”). SOCWA currently provides, among other things, operation, maintenance, repair and rehabilitation services for three wastewater treatment plants and two ocean/land outfalls. It also provides laboratory services, NPDES permit compliance monitoring, facilitation of a recycled water reuse permit compliance program, monitoring services, a reporting program, and a pretreatment/non-domestic source control program.

B. **Incorporation of Asset and Capacity Transfer Agreement.** The Parties agree that the “Asset and Capacity Transfer Agreement (Agreement 1)” (“Transfer Agreement”) is incorporated herein by this reference. The definitions set forth in the Transfer Agreement shall be incorporated herein and control unless otherwise specifically defined herein.

C. **MNWD Withdrawal from SOCWA.** Upon the Effective Date of the “MNWD-SOCWA Withdrawal Agreement (Agreement No. 4)” (“Withdrawal Agreement”), executed concurrently herewith, MNWD will no longer be a Member Agency of SOCWA. SOCWA agrees after MNWD’s withdrawal becomes effective, to continue providing services, as defined herein, to MNWD, including participation in SOCWA bulk purchasing, the SOCWA recycled water permit, the pretreatment program, and laboratory services pursuant to the terms of this Agreement.

D. **MNWD Project Committee Participation.** Prior to MNWD’s withdrawal from SOCWA, MNWD is a member of PC 12 and PC 8 as detailed below.

- a. MNWD is currently a member of PC 12 (formerly known as PC No. 2SO), which handles the activity of joint recycled water permit compliance, monitoring, and reporting for three of the Member Agencies: MNWD, Santa Margarita Water District, and South Coast Water District, as well as Trabuco Canyon Water District per a service agreement. The SOCWA recycled water permit, Order No. 97-52, governs, among other things, discharges from MNWD’s Regional and Plant 3A Advanced Water Treatment Facilities and related recycled water distribution and reuse.

- b. MNWD is currently a member of PC 8, which provides for a regional pretreatment program that ensures all United States Environmental Protection Agency and State Water Resources Control Board regulations pertaining to control of non-domestic sewage discharges are met based on the applicable SOCWA pretreatment ordinance, and associated member agencies ordinance that implement the SOCWA pretreatment ordinance. SOCWA administers the pretreatment program, issuing waste discharge permits to industrial and other non-domestic dischargers, and performing inspection, monitoring and enforcement activities on behalf of MNWD and the other SOCWA Member Agencies, thereby preventing harmful substances from entering and interfering with wastewater treatment, and NPDES permit compliance, at the three SOCWA wastewater treatment plants.

E. **Recycled Water Permitting Services.** MNWD intends to continue to operate its recycled water program pursuant to, and in compliance with, the SOCWA recycled water permit, Order No. 97-52, and development and implementation of a salt and nutrient management plan to support the recycled water program. SOCWA will provide recycled water permit services under contract to MNWD instead of under the Joint Powers Agreement per the terms of this Agreement.

F. **SOCWA Pretreatment Program.** The MNWD Board of Directors has adopted Ordinance 15-2 (An Ordinance of Rules and Regulations for the Discharge of Wastewater to Sewerage Facilities of the MNWD Service Area) and a Sewer System Management Plan to comply with the pretreatment requirements of the San Diego Regional Water Quality Control Board (SDRWQCB) Order No. R9-2022-0006 (Waste Discharge Requirements for the South Orange County Wastewater Authority Discharge to the Pacific Ocean through the Aliso Creek Ocean Outfall) and SDRWQCB Order No. 2024-0005 (Waste Discharge Requirements and National Pollutant Discharge Elimination System Permit for the South Orange County Wastewater Authority Discharge to the Pacific Ocean through the San Juan Creek Ocean Outfall). MNWD will continue to participate in the SOCWA pretreatment program under the terms of this Agreement in lieu of participation in the Joint Powers Agreement.

G. **Laboratory Services.** MNWD currently receives laboratory services from SOCWA for collection and analysis of potable water, analysis of recycled water, analysis of wastewater, and discharge compliance associated with NPDES permits. SOCWA will provide laboratory services under contract to MNWD instead of under the Joint Powers Agreement per the terms of this Agreement.

NOW, THEREFORE, for and in consideration of the promises and mutual agreements, benefits, representations, warranties and covenants of the Parties contained herein and for other good and valuable consideration the receipt and sufficiency of which are hereby acknowledged, and intending to be legally bound, the Parties agree as follows:

1. **Purposes and Incorporation.** The Parties intend by this Agreement to provide for (i) SOCWA's continued provision of recycled water permitting services; and (ii) SOCWA's continued provision of pretreatment program services; and (iii) SOCWA's continued provision of certain other special services, including bulk purchasing, laboratory and permitting services, on a

contract basis. The Preamble hereto and “Recitals” provisions hereof are true and correct and incorporated herein by reference as if set forth herein at length and in full.

2. **Effective Date.** This Agreement will become effective on the last date this Agreement is executed by SOCWA and the Member Agencies and concurrent with the Withdrawal Agreement and the other 2024 SOCWA Reorganization Agreements.

3. **Recycled Water Permitting Services.** SOCWA shall provide recycled water permit compliance, monitoring, and reporting services (“RW Services”) to MNWD pursuant to this Agreement. RW Services includes the development and approval of a Salt and Nutrient Management Plan (“SNMP”) and other special studies related to production and distribution of recycled water as may be authorized by the SOCWA Board. SOCWA shall perform the RW Services to the same level, to the same extent, and using the same reasonable standard of care as those RW Services were provided to MNWD prior to MNWD’s withdrawal. SOCWA shall provide the RW Services from the Effective Date until MNWD gives notice per Section 18 of this Agreement to SOCWA that MNWD no longer requires SOCWA’s RW Services.

4. **Pretreatment Program Services.** SOCWA shall provide MNWD services related to the execution of the pretreatment program as defined in the MNWD and SOCWA pretreatment ordinances (“Pretreatment Services”). SOCWA shall provide the Pretreatment Services from the Effective Date until MNWD gives notice per Section 18 of this Agreement to SOCWA that MNWD no longer requires SOCWA’s Pretreatment Services.

5. **Laboratory Services.** SOCWA shall provide laboratory services to the same level, to the same extent, and using the same standard of care as those laboratory services were provided to MNWD prior to MNWD’s withdrawal. MNWD shall coordinate with SOCWA on an annual basis to confirm the level of service desired by MNWD in support of its potable water, recycled water, and wastewater operations. SOCWA shall provide an annual budget for laboratory services to MNWD, to include MNWD’s proportional cost for the Laboratory Lease, and MNWD shall confirm in writing each year its intent to maintain those services. In the event MNWD no longer requires laboratory services, MNWD shall provide notice per Section 18 of this Agreement to SOCWA.

6. **Permitting Services.** SOCWA shall facilitate permitting assistance and permit compliance services to the same level, to the same extent, and using the same standard of care as those services were provided to MNWD prior to MNWD’s withdrawal. These services include, but are not limited to, reports, studies and data required by NPDES permits and recycled water permits. SOCWA shall agree to assist in reopening NPDES permits should MNWD require a change in permit language as a result of any projects. If MNWD requests that the NPDES permits be reopened for a project, MNWD shall be responsible for its proportional costs associated with the processing of a permit update and incremental regulatory compliance costs related to MNWD’s project. SOCWA shall provide an annual budget and narrative for permit services to MNWD, and MNWD shall confirm in writing each year its intent to maintain those services. In the event MNWD no longer requires permit services, MNWD shall provide notice per Section 18 of this agreement to SOCWA.

7. **Costs, Invoicing and Payment.** All references to “Services” in this Agreement include RW Services, Pretreatment Services, and Laboratory Services. SOCWA shall invoice MNWD, on a quarterly basis, for all of SOCWA’s costs to provide the Services to MNWD. The costs will be allocated to MNWD based on SOCWA’s actual plus reasonable administration and overhead costs. MNWD shall pay SOCWA’s invoices for all Services under this Agreement no later than 30 days after receipt of SOCWA’s invoice, where receipt is presumed to occur three (3) days after SOCWA sends the invoice to MNWD.

8. **SOCWA Bulk Purchases.** MNWD is currently a party to multiple contracts with SOCWA and other Member Agencies for bulk purchasing of services and supplies. SOCWA shall use reasonable efforts to continue to allow MNWD to participate in joint purchasing agreements offered to Member Agencies for chemicals, solids hauling, and other services that SOCWA negotiates with third party vendors. MNWD shall pay to SOCWA its proportional share of the estimated procurement costs for any such contract, including reasonable administration and overhead costs.

9. **Indemnity By SOCWA.** SOCWA shall indemnify, defend, and hold harmless MNWD from any claims arising out of or related to SOCWA’s Services on or after the Effective Date and except where such claims arise, in whole or in part out of any acts or omissions of MNWD. The Parties do not intend to release MNWD from its proportional liability for any claims relating to MNWD’s participation in SOCWA or PC 8 and PC 12 prior to the Effective Date.

10. **Indemnity By MNWD.** MNWD shall indemnify, defend, and hold harmless SOCWA from any claims attributable to MNWD’s participation in PC 8 or PC 12 prior to the Effective Date, any claims that are the result of MNWD’s own acts or omissions that arise on or after the Effective Date, and MNWD’s share of any claims that arise while MNWD is a permittee under the SOCWA recycled use permit, except those claims related to SOCWA Services under Section 5 above. If MNWD is responsible for any permit violation or other non-compliance with any legal requirement, the liability for such violation shall be the responsibility of MNWD, in proportion to their level of fault, and shall not be allocated to SOCWA or its Remaining Member Agencies.

11. **Effect on Prior Rights.** The terms of this Agreement, together with Amendment No. 1 to the SOCWA JPA, govern the Parties and supersede all contrary terms in prior agreements between the Parties, including but not limited to the Joint Powers Agreement. As specified more fully in the order of control set forth in Amendment No. 1 to the SOCWA JPA, the terms of this Agreement are incorporated into the Transfer Agreement and the Parties agree that this Agreement does not supersede that agreement.

12. **Integration, Governing Law, Amendment.** This Agreement represents the entire understanding of the Parties as to MNWD’s continued contracted services with SOCWA for the above defined functions and activities. To the extent that it contradicts or varies from this Agreement, no prior oral understanding shall be of any force or effect with respect to the matters covered by this Agreement. This Agreement is governed by the laws of the State of California and shall be construed as if drafted by both Parties. This Agreement may not be modified except in writing, approved and executed by both Parties.

13. **Force Majeure.** No Party shall be responsible for any delay or failure in complying with the terms of this Agreement where such delay or failure is due to acts or events beyond the reasonable control of the Party, including but not limited to fire, flood, hurricane/tornado, cyclone, explosion, labor strikes, labor disputes, picketing, lockout, transportation embargo or acts of God, civil riot or insurrection, terrorist acts, pandemic or epidemic, acts of the federal, state, or municipal government or any agency thereof or judicial action (“Force Majeure”), excluding such acts to the extent that the effect of such acts can be mitigated by the use of alternate sources, business continuity plans and other reasonable actions timely taken. In the event of Force Majeure, the Party affected by such Force Majeure event shall promptly give a written notice thereof to the other Party describing (a) the Force Majeure event, (b) the obligations which it is unable to perform due to such Force Majeure event, and (c) its projection of the expected period of delay or inability to perform due to such Force Majeure event. Notwithstanding the foregoing, the Party unable to perform because of a Force Majeure event shall use reasonable good faith efforts to restore services or performance required by his agreement as soon as practicable, and if the Party suffering from the Force Majeure event is not able to perform within thirty (30) calendar days after the event giving rise to the excuse of Force Majeure, the other Party(ies) may terminate the Agreement without liability.

14. **Specific Performance.** The Parties agree that irreparable damage would occur if any provision of this Agreement were not performed in accordance with the terms hereof and that the Parties shall be entitled to specific performance of the terms hereof, in addition to any other remedy to which they are entitled at law or in equity.

15. **Dispute Resolution.** The Parties agree that the maintenance of a cooperative and mutually beneficial relationship between them is an important aspect of this Agreement, and the Parties therefore intend to provide means for resolving any disputes, claims, or controversies that may arise during the course of this Agreement in an efficient manner to avoid resorting to legal actions against one another, if feasible. Therefore, except for any claim or action filed by a nonparty to this Agreement, any dispute, claim, or controversy arising from the interpretation of this Agreement or the performance of any Party (“Dispute”) shall be first submitted to a three-step dispute resolution process that includes, in sequence: (1) an informal meet and confer process between representatives designated by all the Parties to the Dispute, (2) mediation, and (3) binding arbitration as to findings of fact. Notwithstanding the foregoing, the Parties reserve the right to seek equitable relief in exigent circumstances where timely relief is required to protect human health or the environment.

- a. **Informal Meet and Confer.** The Party asserting such a Dispute may, within 30 days from the date the Dispute has arisen, serve written notice upon the others that a Dispute exists, and each Party to the Dispute shall then within 14 days designate one or more representatives and shall establish a time and place at which to meet and confer in mutual good faith to resolve the Dispute.
- b. **Mediation.** If, after a reasonable amount of time and effort have elapsed, a resolution of the Dispute has not been established to the mutual satisfaction of all Parties to the Dispute, any Party may then initiate a mediation process by serving a written notice of the election to mediate upon the other(s). In the event the election to mediate a Dispute is invoked by any Party to the Dispute,

a request for mediation shall be submitted within 30 days to a mediation service such as the Office of the American Arbitration Association in or nearest to Orange County, California and the mediation shall be initiated and conducted in accordance with rules and procedures for mediation then in effect with the mediation service, or by any other rules and procedures mutually agreeable to the Parties. Any statements made during mediation shall remain confidential and may not be disclosed without consent of all mediation participants.

- c. **Arbitration.** If mediation does not resolve the Dispute, any Party to the Dispute may then elect arbitration by providing a written notice of such election to the other Parties. Such request must be mailed to the other Parties within 30 days following the conclusion of mediation. Upon serving written notice upon the other Parties, the request for arbitration shall be submitted to an arbitration service such as the Judicial Arbitration and Mediation Services, Inc. and the arbitration rules and procedures then in effect with the service shall control the arbitration, unless all Parties mutually agree to an alternative rules and procedures to control arbitration. The Parties agree the arbitration shall occur in Orange County, California.
- d. **Arbitrator and Binding Findings of Fact.** The arbitrator shall decide the Dispute in accordance with the law, and the arbitrator's award shall be subject to review as to the arbitrator's application of the law by any court having jurisdiction thereof, whether or not any mistake of law shall appear upon the face of the award. The arbitrator shall award the prevailing party (as defined in Code of Civil Procedure §1032(a)(4)) their reasonable attorney's fees and costs, to include the cost of the arbitration. As to all questions of facts, only, the determination of the arbitrator shall be final and binding upon all Parties to the Dispute. Any Party to the Dispute shall be entitled to written findings of fact and conclusions of law as to all issues determined by the award. Subject to the above limitations, any Party to the Dispute may file the arbitrator's award in any court having jurisdiction thereof.
- e. **Other Remedies and Continued Performance Under the Agreement.** Nothing in this procedure shall prohibit the Parties from seeking other remedies available to them at law or in equity, including, but not limited to, specific performance. During the course of any proceeding to resolve a Dispute, the Parties shall continue to perform any duties or obligations existing under the Agreement.

16. **Third Parties.** This Agreement does not create any third-party beneficiary or any rights in any person or party other than the Parties.

17. **Signing Authority.** Each Party represents and warrants that each person or persons executing this Agreement on its behalf is duly authorized to do so by the respective Party and that this Agreement shall bind the Parties.

18. **Notices.** Any written notice required by this Agreement shall be made by U.S. mail or by reliable overnight courier and delivered to the following address, together with a courtesy copy by email:

- To MNWD: Moulton Niguel Water District
26161 Gordon Road
Laguna Hills, CA 92653
Attn: General Manager
By email to: jlopez@mnwd.com
- To SCWD: South Coast Water District
31592 West St.
Laguna Beach, CA 92651
Attn: General Manager/Rick Shintaku
By email to: rshintaku@scwd.org
- To EBSD: Emerald Bay Service District
600 Emerald Bay
Laguna Beach, CA 92651
Attn: General Manager/Michael Dunbar
By email to: mdunbar@ebservicedistrict.com
- To CLB: City of Laguna Beach
505 Forest Avenue
Laguna Beach, CA 92651
Attn: Asst. City Manager
By email to: cmoffice@lagunabeachcity.net
- To ETWD: El Toro Water District
24251 Los Alisos Blvd.
Lake Forest, CA 92630
Attn: General Manager/Dennis Cafferty
By email to: dcafferty@etwd.org
- To SMWD: Santa Margarita Water District
26111 Antonio Parkway
Las Flores, CA 92688
Attn: General Manager/Robb Grantham
By email to: robbg@smwd.com
- To CSC: City of San Clemente
910 Calle Negocio
San Clemente, CA 92673
Attn: Public Works Director

By email to: rebensdorfd@san-clemente.org

To SOCWA: South Orange County Wastewater Authority
34156 Del Obispo Street
Dana Point CA 92629
Attn: Interim General Manager/Amber Boone
By email to: amberb@socwa.com

Any Party may, by written notice to the others, designate a different address or addressee, which will be substituted immediately for that specified above.

19. **Severability**. If any provision of this Agreement, for any reason, is held to be invalid, illegal, or unenforceable in any respect, that invalidity, illegality, or unenforceability will not affect any other provision of this Agreement, but this Agreement will be construed as if the invalid, illegal, or unenforceable provision had never been set forth herein, and the remainder will be enforceable to the fullest extent permitted by law.

20. **Successors & Assigns**. The terms of this Agreement are binding upon and will inure to the benefit of and be enforceable by the respective successors and assigns of the Parties.

21. **Term**. The term of this Agreement shall be ten (10) years from the Effective Date (Initial Term). This Agreement will automatically extend on a yearly basis after the Initial Term but may be terminated by MNWD at any time after the Initial Term with twelve (12) months written notice to SOCWA. If SOCWA ceases to provide any services under this Agreement, SOCWA shall be relieved of its obligations to provide such services to MNWD under this Agreement.

22. **Counterparts**. Each Party represents and warrants that it has the full power and authority to execute this Agreement. This Agreement may be executed in counterparts and/or electronically (e.g., via DocuSign), each of which shall be deemed an original and all of which shall constitute one instrument. A faxed, .pdf, scanned or other electronic copy of the fully executed original version of this Agreement shall have the same legal effect as an executed original for all purposes.


23. **Further Assurances**. Each Party shall cooperate with the other and shall execute such other documents as may be reasonably necessary to carry out the provisions of this Agreement.

[SIGNATURES CONTINUED ON NEXT PAGE]

The Parties are signing this Agreement as of the Effective Date.

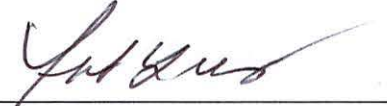
“MNWD”

Moulton Niguel Water District,
a California water district

By: 
Name: Duane Cave
Title: Board President


“SOCWA”

South Orange County Wastewater District,
a California joint powers authority

By: 
Name: Frank Ury
Title: Board Chair

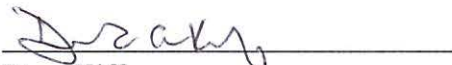
“SCWD”

South Coast Water District,
a county water district

By: 
Name: Douglas Erdman
Title: Board President


“CLB”

City of Laguna Beach,
a California municipality

By: 
Name: Dave Kiff
Title: City Manager

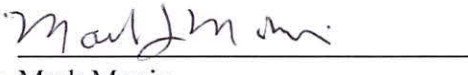
“EBSD”

Emerald Bay Service District,
a California community services district

By: 
Name: John Marconi
Title: Board President

“ETWD”

El Toro Water District,
a California water district

By: 
Name: Mark Monin
Title: Board President

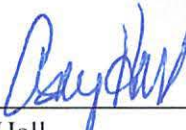
“SMWD”

Santa Margarita Water District,
a California water district

By: 
Name: Frank Ury
Title: Board Member

“CSC”

City of San Clemente,
a California municipality

By: 
Name: Andy Hall
Title: City Manager

Agenda Item

6.H.

Board of Directors Meeting

Meeting Date: May 14, 2026

TO: Board of Directors
FROM: Amber Boone, General Manager
SUBJECT: General Manager's Report

Master Schedule for CTP and JBL Master Planning Efforts

At the February SOCWA Board meeting, Board members requested that the master planning schedule be separated into respective facilities with a completion date added to the master planning schedule. The following table represents activities planned and completed for the JB Latham (JBL) Facility Planning Assessment (FPA).

JBL Facility Planning Assessment

EVENTS	DATE	COMPLETION DATE
Kick-Off Meeting	14-Jan-26	14-Jan-26
Task 1 - Project Management (start)	14-Jan-26	14-Jan-26
Staff Workshop 1	27-Jan-26	27-Jan-26
Staff Workshop 2	17-Mar-26	17-Mar-26
Task 2 - Existing Facility Evaluation	31-Mar-26 (project postponed 60 days)	
PC2 Board Workshop	Not Previously Planned	3-Apr-26
Task 3 - Wastewater Treatment Alternatives Staff Workshop 3	23-Apr-26 (project postponed 60 days)	
PC2 Board Workshop – Criteria Setting	Date not set	
Task 4 - Effluent Utilization Evaluation	30-May-26 (project postponed 60 days)	
PC2 Board Workshop	Date not set	
Task 5 - Develop Project Alternatives	30-Sept-26 (project postponed 60 days by PC2)	
Task 6 - Facility Planning & Assessment	30-Nov-26 (project postponed 60 days by PC2)	
PC2 Board Workshop	Date not set	

The following tables represent activities planned and completed for the Coastal Treatment Plant (CTP) Facility Planning Assessment (FPA) and CTP Regional Flow Study.

CTP Facility Planning Assessment

EVENTS	DATE	COMPLETION DATE
Issue RFP	November 7, 2025	November 7, 2025
Mandatory Pre-Proposal Meeting	November 20, 2025	November 20, 2025
Deadline for Questions and Supplemental Information	December 11, 2025	December 11, 2025
Proposal Submission Deadline	January 15, 2026	January 15, 2026
Interviews	January 22, 2026	January 29, 2026
Contract Award	February 12, 2026	March 5, 2026
NTP	April 1, 2026	Est. May 28, 2026 (CTP Regional Flow Study PE4 workshop)
Kick-Off Meeting	May 28, 2026	
Task 1 - Project Management	May 28, 2026	
Task 2 - Existing Facility Evaluation	July 13, 2026	
Task 3 - Wastewater Treatment Alternatives	November 2, 2026	
Task 4 - Effluent Utilization Evaluation	November 2, 2026	
Task 5 - Develop Project Alternatives	January 12, 2026	
Task 6 - Facility Planning & Assessment, Admin Draft Report	February 16, 2027	
Project Final Report	February 28, 2027	

CTP Regional Flow Study

EVENTS	DATE	COMPLETION DATE
Issue RFP	November 20, 2025	November 20, 2025
Mandatory Pre-Proposal Meeting	December 18, 2025	December 18, 2025
Deadline for Questions and Supplemental Information	January 8, 2026	January 29, 2026
Proposal Submission Deadline	January 29, 2026	January 29, 2026
Interviews	February 9-12, 2026	February 11, 2026
Contract Award	February 26, 2026	March 5, 2026
Kick-Off Meeting	March 12, 2026	March 10, 2026
Project Element 1 Due	March 26, 2026	March 26, 2026
Project Element 2 Due	April 16, 2026	April 17, 2026
Project Element 3 Due	May 7, 2026	
Project Element 4 Due	May 21, 2026	
Project Element 5 Due: 2 weeks	June 4, 2026	
Final Report Due - Tentative	July 1, 2026	

Purchases over \$25,000 but under \$100,000

Per the SOCWA December 2024 Uniform Purchasing Policy, the General Manager will report authorized purchases to the Board that were over \$25,000 but under \$100,000. The following items were authorized since the last Board meeting report:

Purchase	Amount
CTP Personnel Building Phase II CM Services	\$42,950.00
Securitas Technology Corporation	\$40,000.00

Industry Presentations Updates

Staff provided the following industry presentations:

- May 11, 2026: University of California, Riverside, Chemical and Environmental Engineering Class. “Generative and Agentic Use Cases in the Sanitation Sector”.
- April 22, 2026. Water Environment Federation AI Nexus: AI 101 for Water Professionals Feature.

SOCWA Saige

SOCWA Saige is our dedicated AI tool designed specifically for Agency Governance. It ingests and understands our complete set of operational and governance documents, then generates professional outputs tailored to Board and staff needs.

Saige works directly with SOCWA's full range of authoritative internal records maintained in our secure repository, including agendas, packets, minutes and attachments; admin policies; auditors reports; budgets; compensation data; elected officials information; Engineering, Executive, and Finance Committee records; the GM Contract; industrial waste files; meeting transcripts; permits; plans; and voting records.

Saige delivers the following practical outputs that directly support Board oversight, staff efficiency, and public communication:

1. AI-Assisted Memos and Staff Reports (Templated)
 - Instantly generates professional, policy-compliant memos and reports on any governance topic.
 - Example: Drafting a staff report on a proposed budget or contract amendment—ready for your review in minutes.
2. Topic Research (Quick Answer, Summary, Detailed, or Deep Dive)
 - Answers Board questions on any subject using our full document library.
 - Example: "What was the pre-transition total budget for SOCWA?" or "Provide a detailed history of Engineering Committee recommendations on capital projects over the last five years."
3. Voting History
 - Summarizes votes by topic, member, committee, or time period with full context.
 - Example: "What did I vote on the budget over the last 5 years?"
4. Budget Summary & Budget Number Lookups
 - High-level overviews of current fiscal performance, variances, and forecasts.
 - Instant retrieval of any specific line item, department, or project budget detail.
 - Example "What is the current budget, expenditures to date, and remaining balance for Project Budget XX?"
5. AI-Assisted Minutes
 - Produces accurate, concise minutes from meeting transcripts and packets.
 - Example: "Generate accurate and concise minutes from the attached transcript and meeting packet of today's Board meeting."
6. Agenda Summaries in Advance of Meetings
 - Delivers clear, one-page summaries of upcoming agendas, key issues, and supporting materials before each Board or committee meeting.

- Example: "Provide a clear one-page summary of next Tuesday's Board meeting agenda, highlighting the key issues, recommended actions, and any supporting materials I should focus on."

7. Post-Meeting Recaps

- Immediate, objective summaries of what was discussed, decided, and what follows—available right after adjournment.
- Example: "Create a post-meeting summary for the committee meeting ton XX."

8. Board Member Monthly Summaries

- Generates monthly content highlighting agency business from all committee meetings throughout the month.
- Example: "Generate my monthly summary for April highlighting key agency business from all committee meetings this month."

How Saige Benefits the Board and Agency

- **Faster, Better Information Sharing:** Board members receive clear summaries and research on demand.
- **Time Savings:** Staff spend less time compiling packets, minutes, and reports.
- **Improved Transparency & Compliance:** All outputs are grounded in official records and audit-ready.
- **Consistency:** Templated memos and reports follow SOCWA policies and formatting standards.
- **Enhanced Public Communication:** Professional newsletter content is ready in seconds.

This tool represents a major step forward in modernizing our governance processes while maintaining the highest standards of accuracy and security. Saige is now live and available agency wide. It will make our governance work more efficient, informed, and responsive without replacing human judgment or oversight.

OCWR

At the March 6, 2025, Board of Directors meeting, the SOCWA Board authorized the General Manager to sign the OC Food Waste Diversion and Co-Digestion Memorandum of Understanding (MOU) with Orange County Waste & Recycling (OCWR) and four other regional Digester Owners. The MOU is explicitly non-binding and is designed solely to establish a collaborative framework for developing a County of Orange Regional Food Waste Co-Digestion Standard (CDS). It does not obligate SOCWA financially or mandate acceptance of food waste for co-digestion.

On April 30, 2026, SOCWA staff met at OC San to discuss the next steps in the MOU through the first meeting of the working group. SOCWA staff are working with the group to identify the technical specifications that would be needed to receive the products. Additionally, staff are working to identify if there is capacity to receive the food waste product. Staff will continue to participate in work group meetings as part of the MOU.

WRF 5394

The Water Research Foundation (WRF) has issued RFP 5394, "Evaluating Scalability, Reproducibility, and Impact of GenAI and Agentic AI in the Water and Wastewater Sector," seeking a lead research team to identify actionable guardrails (including NIST-aligned cybersecurity and secure development practices), pilot and replicate at least four high-potential GenAI applications (one focused on knowledge transfer and staff training) across utilities of varying sizes, catalogue existing Agentic AI use cases, reproduce one low-effort Agentic application, and deliver an interim white paper, full research report, case studies, and sector-wide recommendations—all within a 15-month, \$200,000 project.

SOCWA Member Agencies have formally committed to participate as both GenAI and Agentic AI partners, offering in-kind staff time, data/system access, and pilot/replication support valued at approximately \$25,000 per agency. SOCWA Member Agencies and an additional ten utilities are investing in the support for this RFP as knowledge-transfer/training GenAI pilots, multi-agent engineering cost estimation, laboratory/operation SOP automation, AI technology marketplace tracking, and prompt-engineering workforce development tools, positioning the Arcadis/SOCWA-led team to deliver immediately actionable, cross-utility demonstrations that directly address the RFP's core requirements for scalability, reproducibility, and responsible AI adoption. The RFP is due May 20, 2026, with notification by Fall of 2026 of receipt of the potential award.

SOCWA Administrative Building Restoration Project Completion

The total cost of the mold restoration project at the JB Latham Administrative building was \$159,139.80. The settlement claim was \$149,120.30. SOCWA paid the \$10,000 insurance claim deductible and paid \$10,019.50 for the mold portion (\$300,000 deductible). The total amount of the loss claim of \$139,120.30 has been received from CSRMA.

SOCWA's Insurance Programs Update

SOCWA staff has applied to the Special District Risk Management Authority (SDRMA) for a formal quotation on Property Liability and Workers' Compensation programs based on direction from the Board of Directors. SOCWA is also in the process of gathering all information needed to apply for membership to the Association of California Water Agencies Joint Powers Insurance Authority (ACWA JPIA). Once approved, a quotation request may be considered.

JBL Maintenance Activities

- Carbon media swap
- 4-Side grit conveyor rehab
- 4-Side Bar rake repair
- 9-Side Vulcan wash press repair
- Carbon media swap
- Aeration Tank 2 – Dome cleaning and pipe repair
- Concrete bridge at secondary tank
- Relocate the fiber pull box from the Old Effluent Tank wall demo
- Replace Centrifuge #2 VFD and lugs



4-Side Bar rake repair



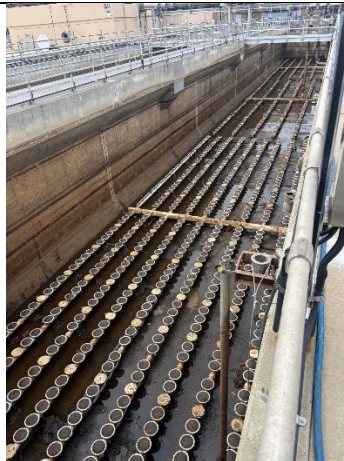
9-Side Vulcan wash press repair



Carbon media swap



Grit conveyor repair



Aeration tank cleaning and pipe repair



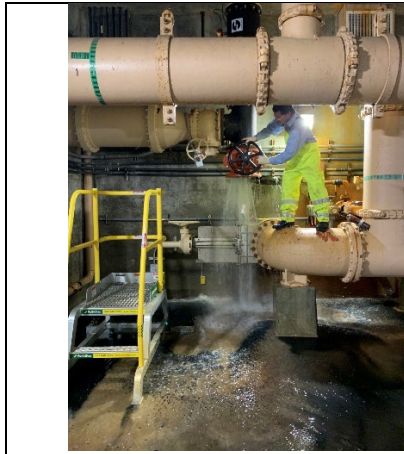
Secondary tank bridge



Carbon media swap

CTP Maintenance Activities

- Clean and backflush export flow meter
- Replace headworks influent channel spools
- Clearwell pump replacement



Cleaning the export flow meter



Clearwell pump replacement



Clearwell pump replacement

Innovative Service Model Development

SOCWA Staff meet monthly with General Managers on the development of the Innovative Service Model for SOCWA. Staff is working on item 6D of the "Transfer Price MOU" as articulated here:

6. Development of a Forward-Thinking Services Model. The General Managers' working group shall collaborate with SOCWA staff to develop a forward-thinking services model for SOCWA. This model will be consistent with the cost-cutting measures outlined above and will strive to:

- a. Enhance operational efficiencies and reduce costs for all Remaining Member Agencies.
- b. Identify and evaluate potential new services and opportunities for SOCWA to generate revenue.
- c. Establish benchmarks and key performance indicators (KPIs) for evaluating the effectiveness of SOCWA's services.
- d. Propose a phased implementation plan for the adoption of the new services model, including timelines, resource requirements, and anticipated outcomes.

SOCWA Staff is working on productization of the VOICESOP.org pilot under 6.b. Transfer Price MOU with review and updates through the General Manager group.

Recommended Action: Board Discussion, Direction and Action

Agenda Item

6.J.

Board of Directors Meeting

Meeting Date: April 2, 2026

TO: Board of Directors
FROM: Chad Wanke, Orbis Public Affairs
SUBJECT: Government Affairs Report

Summary

At the March 5, 2026, SOCWA Board of Directors meeting, a contract award was approved for Orbis Public Affairs to provide monthly updates related to relevant government affairs affecting SOCWA member agencies. An oral report will be provided at the meeting.

Recommended Action: Board Discussion, Direction and Action